

Chapter 10

Molecular Mechanism and Evolutionary Process Underlying Female-Limited Batesian Mimicry in *Papilio polytes*

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Abstract Mimicry is an important evolutionary trait involved in prey-predator interactions. In a swallowtail butterfly *Papilio polytes*, only mimetic-form females mimic the unpalatable butterfly, *Pachliopta aristolochiae*, but it remains unclear how this female-limited polymorphic Batesian mimicry is generated and maintained. To explore the molecular mechanisms, we determined two whole genome sequences of *P. polytes* and its related species *P. xuthus* for comparison. The genome projects revealed a single long-autosomal inversion outside *doublesex* (*dsx*) between mimetic (*H*) and non-mimetic (*h*) chromosomes (Chr25) in *P. polytes*. The inversion site was just same as the mimicry locus *H* identified by linkage mapping. The gene synteny around *dsx* among Lepidoptera suggests that *H*-chromosome originates from *h*-chromosome. The 130 kb inverted region includes three genes, *doublesex* (*dsx*), *UXT*, and *U3X*, all of which were expressed from *H*-chromosome, but rarely from *h*-chromosome, indicating that these genes in *H*-chromosome are involved in the mimetic trait as supergene. Amino acid sequences of Dsx were substituted at over 13 sites between *H*- and *h*-chromosomes. To certify the functional difference of Dsx, we performed electroporation-mediated knock-down and found that only female *dsx* from *H*-chromosome (*dsx_H*) induced mimetic patterns but simultaneously repressed non-mimetic patterns on female wings. We propose that *dsx_H* switches the coloration of predetermined patterns in female wings and that female-limited polymorphism is tightly kept by chromosomal inversion. In this chapter, I will introduce the above results and discuss about the molecular mechanism and evolutionary process underlying the female-limited Batesian mimicry in *P. polytes*.

Keywords Batesian mimicry • Female-limited polymorphic mimicry • *Papilio polytes* • Whole genome sequence • *Doublesex* • Supergene • Chromosomal inversion • Electroporation-mediated functional analysis • Wing coloration pattern

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10.1 Research Background

One of the most essential problems in evolutionary biology is to elucidate the molecular basis of various and adaptive morphological phenotypes in living organisms. The morphological diversity plays an important role in adaptation to the surrounding environment in many cases (Darwin 1872). Insects at the bottom of the food chain have been continuously attacked by the predators and thus developed various defense strategies to avoid predation during the evolution (Ruxton et al. 2005).

Among the various strategies used by butterflies to avoid predators, some butterflies have become unpalatable and inform predators to their toxicity by exhibiting the conspicuous wing patterns. Some unpalatable butterflies share similar wing patterns to provide mutualistic protection called Mullerian mimicry (Müller 1878). In contrast, some palatable butterflies have evolved Batesian mimicry, in which they resemble unpalatable model to protect them from predators (Bates 1862; Brower 1958; Uesugi 1996). Multiple loci are involved in the expression of Mullerian mimicry phenotypes in *Heliconius* butterflies (Jiggins et al. 2005; Kapan et al. 2006), whereas the phenotypes of Batesian mimicry species reported so far are determined by a single locus (Clarke and Sheppard 1959, 1962, 1972).

It is noteworthy that the Common Mormon butterfly, *Papilio polytes*, shows a female-limited Batesian mimicry (Clarke and Sheppard 1972). The females have two forms: non-mimetic female (also called *cyrus*) which wing patterns are almost identical to monomorphic males and mimetic female (also called *polytes*) which resembles wing patterns of the distasteful butterfly, the Common Rose, *Pachliopta aristolochiae* (Fig. 10.1). This female-limited dimorphism is controlled by a single autosomal locus *H*, and the mimetic phenotype (genotype: *HH* or *Hh*) is dominant (Clarke and Sheppard 1972). However, how the female-limited Batesian mimicry is generated or how the female dimorphism is maintained is largely unknown.

There are two models for the *H* gene: a conceptual “supergene” that comprises a series of the neighboring genes tightly linked to each other (Clarke and Sheppard

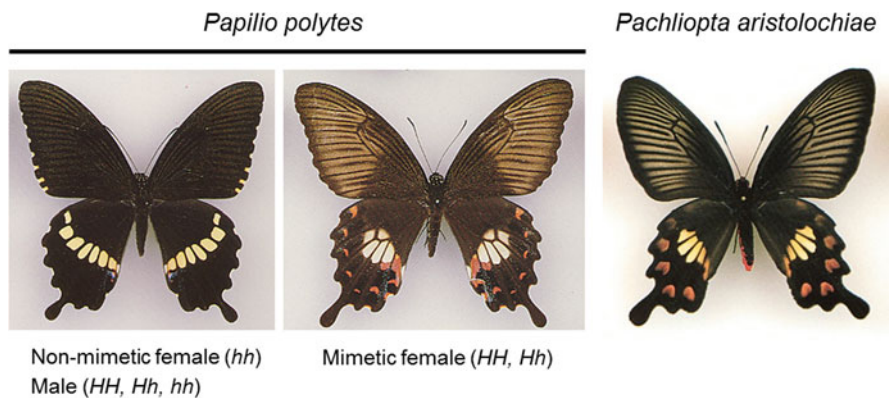


Fig. 10.1 *P. polytes* and model butterfly, *Pachliopta aristolochiae*

1960, 1972) and a single regulatory gene that controls downstream, unlinked genes affecting the color pattern. It is hypothesized that a supergene unit is created by recombination events and fixed by inhibitory effects of a chromosomal inversion on recombination (Nijhout 2003; Joron et al. 2011), although the mechanism underlying this hypothesis has remained obscure.

Recently, we found that drastic changes of gene networks not only in red but also pale-yellow regions can switch wing color patterns between non-mimetic and mimetic female of *P. polytes* (Nishikawa et al. 2013). It is presumed that these pigmentation processes involved in Batesian mimicry of *P. polytes* should be downstream of the *H* gene. To elucidate the evolutionary processes of this mimicry comprehensively, it is important to clarify the *H* locus and its structure and function. More recently, Kunte et al. (2014) and our group have identified the *H* gene locus and revealed its structure, independently (Nishikawa et al. 2015).

10.2 *Papilio* Genome Projects Reveal the *H* Locus and Chromosomal Inversion Near *dsx*

To reveal the *H* locus and its flanking structure, we first determined the whole genome sequences of *P. polytes* and *P. xuthus* for comparison (Nishikawa et al. 2015). We have prepared the *P. polytes* genome DNA (Ishigakijima Island strain in Japan) from one inbred female (genotype, *H/h*) after four generations of laboratory inbreeding and the *P. xuthus* genome DNA from a male captured in the field near Tokyo, Japan. We used a whole genome shotgun approach with next-generation sequencing platform. Filtered paired-end reads (135.2 Gb pairs for *P. polytes* and 73.8 Gb pairs for *P. xuthus*) were assembled using Platanus (version 1.2.1) (Kajitani et al. 2014) with some mate-pair libraries sequenced by Illumina HiSeq2000 and HiSeq2500. Consequently, we obtained 3873 and 5572 scaffolds, with an N50 of 3.7 Mb and 6.2 Mb pairs, spanning 227 Mb and 244 Mb pairs of the genome sequences for *P. polytes* and for *P. xuthus*, respectively.

In validating resulting assembled scaffolds, we noticed that there were two independent scaffolds including *dsx* in *P. polytes* while only one scaffold including *dsx* in *P. xuthus*. Because these two scaffolds in *P. polytes* were significantly different in sequences and the genome DNA was prepared from one heterozygous (*Hh*) mimetic female, we assumed that each haplotype (*H* or *h*) was highly diverged around the *dsx* locus in the two independent scaffolds. To survey such heterozygous regions in the whole genome of *P. polytes*, we picked windows in which the coverage depth was ≤ 350 , which is approximately half the homozygous peak of 600. After clustering overlapping windows, we found 15 highly diverse (identity of $\leq 90\%$) and long (≥ 100 kbp) heterozygous regions; 14 were mapped on heterozygous sex chromosome-1 (ZW) and one on chromosome-25 near *dsx* (Nishikawa et al. 2015). In the heterozygous region near *dsx*, we detected an approximately 130 kbp autosomal inversion (Fig. 10.2b). Strikingly, in the whole genome data of

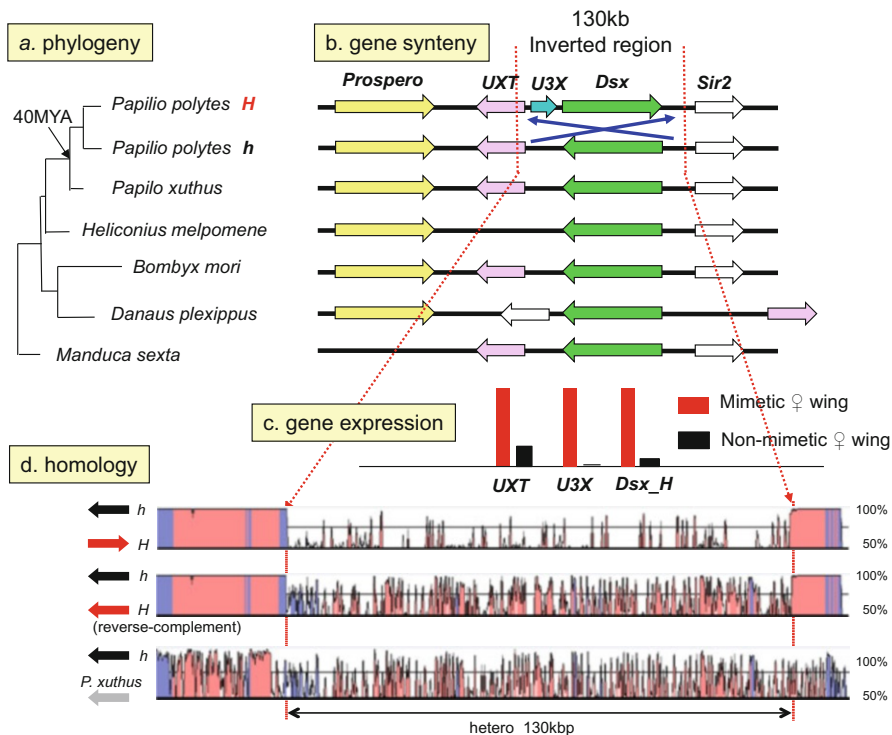


Fig. 10.2 Schematic view of the *H* locus in *P. polytes*. (a) Phylogenetic tree of Lepidoptera based on *Dsx* amino acid sequences. (b) Gene structure around *dsx* for the *h* and *H* alleles and gene synteny among Lepidoptera. (c) Expression level of genes within inverted region of the *H* locus, at early pupal stage in hind wings of mimetic (red) and non-mimetic (black) females. (d) Sequence homology around the *H* locus between *H* and *h* alleles and between *h* and *P. xuthus*

P. polytes, we could not find a long heterozygous region other than in the sex chromosomes (*Z/W*) which include many various repetitive sequences. Thus, the putative *H* locus region located on the chromosome-25 is thought to be only a long and unique heterozygous site among the whole autosomal chromosomes, which structure is maintained by reduced recombination due to the chromosomal inversion.

10.3 Linkage Mapping of the *H* Locus

To identify the mimicry locus *H*, we also performed the linkage mapping using non-mimetic type of *P. polytes* in Minamidaitōjima Island in Japan and mimetic type of *Papilio alphenor* in the Philippines (Nishikawa et al. 2015) (this work was performed mainly by Dr. H. Hori). After analyzing 84 F2 backcrossed females with

mimetic phenotype in heterozygote of *H* (*Hh*) and 69 of non-mimetic females (*hh*) using amplified fragment length polymorphism (AFLP) and restriction fragment length polymorphism (RFLP) markers, we mapped the mimicry locus in *P. polytes* within 800 kbp genomic region containing 41 genes between two markers designed in *kinesin* and *intermediate* on chromosome-25. The association between the region and mimicry phenotype in natural populations was further examined using single nucleotide polymorphisms (SNPs) in 54 wild-caught females (Nishikawa et al. 2015). Consequently, eight SNPs in *dsx* showed significantly higher association (chi-squared test of independence, $P < 10^{-10}$) but none outside the gene. This is consistent with the result of the association study by K. Kunte et al. (2014) using laboratory-reared *P. polytes alphenor*. It is noteworthy that the *H* locus revealed by linkage mapping coincides completely with the long heterozygous region revealed by whole genome sequencing. This means that a genetic locus responsible for some polymorphic trait with a long heterozygous region can be identified only by genome sequencing without linkage mapping.

10.4 Detailed Structure of a Long Heterozygous Region Linked to the *H* Locus

Gene prediction and RNA-seq mapping showed that most of the inverted region of the *H* locus was occupied by *dsx* and the intron/exon structure was reversed in the *h*- and *H*-chromosomes, suggesting that a simple inversion occurred near both ends of *dsx* (Kunte et al. 2014; Nishikawa et al. 2015). Sequence comparison of the inverted region between *H* and *h* showed low-level homology not only directly but also in reverse (Fig. 10.2d). However, it is remarkable that some scattered regions including exons (shown by blue) for *dsx* were highly conserved (Fig. 10.2d).

To estimate the evolutionary process of the chromosomal inversion between *H*- and *h*- chromosomes, we further compared the gene synteny around *dsx* of *P. polytes*, with those of other Lepidoptera (Fig. 10.2a, b). We found that all tested genomes (*Papilio* species, *Heliconius*, *Bombyx* and *Manduca*) except *Danaus* have the same oriented synteny as the *h*-chromosome of *P. polytes*. Only in *H*-chromosome of *P. polytes*, *dsx* resides in the reverse orientation. These observations suggest that the *H*-chromosome may have originated from *h*-chromosome by a single inversion. Based on the gene synteny, we speculate that different types of inversion may have occurred near *dsx* in the *Danaus* genome independently. When comparing the inverted region (named hetero_130kbp) with a corresponding region of *P. xuthus*, the homology between *h* and *P. xuthus* was a little bit lower than that between *h* and *H* (Fig. 10.2d). This fact and phylogenetic tree suggest that the chromosomal inversion may have occurred after the branch of *P. polytes* and *P. xuthus* (Fig. 10.2a, d).

To clarify structural features of the inverted region of the *H* locus, we identified the exact place for the chromosomal inversion (Nishikawa et al. 2015). We have

detected the sharp decline of the sequence conservation at both ends of inverted region between *H*- and *h*-chromosomes and considered these as putative breakpoints (Fig. 10.2d). The left breakpoint which closes on *Prospero* resides on about 700 bp downstream of the sixth exon of *dsx* in *h*-chromosome but on about 14.6 kbp upstream of the first exon of *dsx* in *H*-chromosome. The right breakpoint which closes on *Sir2* resides on about 8.9 kb upstream of the first exon of *dsx* in *h*-chromosome but on about 1.1 kb downstream of *dsx* in *H*-chromosome. Compared with *dsx* in *h*-chromosome (*dsx_h*), *dsx* in *H*-chromosome (*dsx_H*) was longer in the second, fourth, fifth, and sixth introns and sixth exon. Just outside of both breakpoints, in contrast, more than 99% homology was carried on between the *h*- and *H*-sequences (Fig. 10.2d). These structures implied that many mutations and several insertion and deletion events may have accumulated in the inverted region for *H* after the inversion and were maintained by suppression of recombination between two chromosomes.

10.5 Dimorphic Dsx Structure Associated with the *H* and *h* Alleles

The fact that a complete *dsx* was encoded inside of the inversion region indicates a possible involvement of the gene on the mimetic phenotype. RNA-seq assembly from mimetic (*HH*) and non-mimetic female (*hh*) revealed three types of female isoforms of *dsx* (F1, F2, and F3) in wings (Fig. 10.3). Dsx isoforms are generated by alternative splicing between the third and the fourth exon both on the *h* and *H* alleles. Translational stop codon appeared in the fourth exon for F1 and for F3 and in the third exon for F2. Amino acid differences among isoforms were restricted merely in the C-terminal region (4–23 amino acids); three isoforms shared the first 244 amino acids including *dsx* DNA-binding motif and oligomerization domain (Fig. 10.3). Although there were 13–15 amino acid changes in three *dsx* isoforms between *H* and *h* alleles (Fig. 10.3), most substitutions occurred around the DNA-binding motif and dimerization domain (An et al. 1996). The comparison of *dsx* sequences among Lepidoptera showed that only five amino acids were specifically changed in *dsx_H* of *P. polytes* (Fig. 10.3, *). Recently, we have revealed dimorphic structure of Dsx sequences in another polymorphic, female-limited Batesian mimic species *P. memnon*, which shows different sites of amino acid changes between mimetic and non-mimetic alleles, in comparison with *P. polytes* (Komata et al. 2016). This finding suggests parallel evolution of the mimicry locus in two *Papilio* species, and further researches are necessary to clarify the structural features of Dsx involved in the mimicry traits.

Respective differences of amino acid sequence for F1, F2, and F3 between *dsx_H* and *dsx_h* are 2, 0, and 1, respectively (Fig. 10.3). This indicates that at least the C-terminal region of F2 may not be involved in the specific function of *dsx_H* on the mimetic phenotype. Furthermore, sequence comparison of these

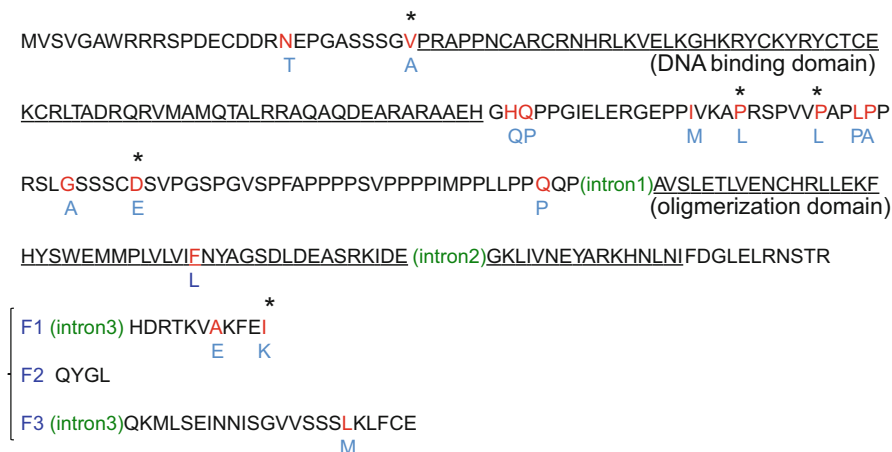


Fig. 10.3 Amino acid sequences of Dsx for the *H* and *h* alleles. The N-terminal 244 amino acid sequence of Dsx which is common to female-specific isoforms (F1, F2, and F3) is shown on *top*. Each sequence of three isoforms for C-terminal region is shown at the *bottom*. The *h* allele sequence (Dsx_h) is shown. The amino acids substituted in Dsx_H are shown in *blue* below the sequence. * indicates an amino acid residue which is substituted only in Dsx_H among Lepidoptera

isoforms with those in other Lepidoptera revealed highly conserved structure except the C-terminal amino acid in F1 isoform. These observations suggest that no special isoform of *dsx_H* seems to be involved in the mimetic wing coloration, although it needs further evidence to show this possibility.

In males which show merely non-mimetic phenotype, we found only one isoform of *dsx* which skips exons 3 and 4 included in all female isoforms, implying the importance of exons 3 and 4 for the mimicry. In these regions of three female isoforms, however, there was only one amino acid (the C-terminal end of F1) changed specifically in *dsx_H*, as described above. The male-specific isoform of *dsx_H* was scarcely expressed in prepupal to pupal wings, suggesting that male *dsx_H* is not involved in the mimetic phenotype (Fig. 10.4).

10.6 Expression Profiles of Genes Around the Inverted Region of *H* Locus

To clarify the transcribed regions around the inverted regions, we mapped reads of RNA-seq to *h* and *H* alleles and found that three independent transcripts near left breakpoints, *ubiquitously expressed transcript* (*UXT*, transcriptional regulator) (Schroer et al. 1999), *unknown-3-exons* (*U3X*, long noncoding RNA emerged in *H*), and unknown transcript downstream of *Prospero*. These genes were highly expressed in wings of mimetic females (*HH* or *Hh*) compared with that in wings in

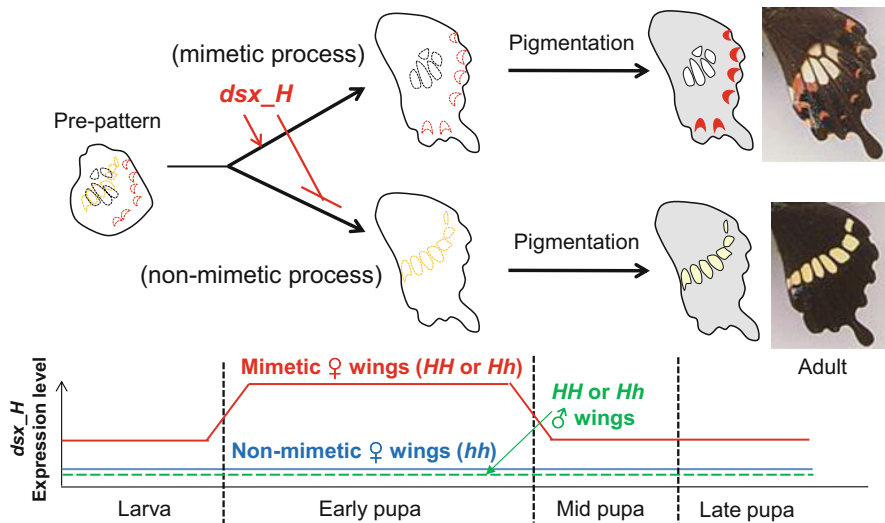


Fig. 10.4 Hypothetical model of *Dsx_H* function on mimetic and non-mimetic wing coloration patterns. The expression patterns of *dsx_H* in wings of mimetic (red) and non-mimetic (blue) females and males (dotted green) during larval to pupal stages are shown below

non-mimetic females (*hh*) (Nishikawa et al. 2015) (Fig. 10.2c), suggesting a possible involvement of these genes in the mimicry. The 5' untranslated region (UTR) structure and transcriptional start site for *UXT* were altered by the inversion event between *H* and *h*, while the open reading frame was the same. A newly emerged gene *U3X* was found merely in the heterozygous region of the *H*-chromosome in the whole genome of *P. polytes*. The downstream sequence of *Prospero* was differently expressed between *h*- and *H*-chromosomes while located outside of inverted regions. These facts demonstrate that the chromosomal inversion affects not only the genome structure but also the expression of neighboring genes drastically probably through changes of gene regulatory elements.

We found that there seemed no significant differences in expression level of each isoform (F1, F2, and F3) of *dsx* between mimetic and non-mimetic wings in P1–2, P4–5, and P10.5 stages (Nishikawa et al. 2015). However, the expression level of *dsx_H* in mimetic female wings was quite higher than in non-mimetic wings in early pupal stages, but *dsx_h* did not show such expression profiles. RNA-seq analyses support the results that *dsx_H* was dominantly expressed in *Hh* female wings (Nishikawa et al. 2015). Differential expression level between *dsx_h* and *dsx_H* becomes significant on female wings at P2 stage when the patterning of wing pigmentation may be determined (Nishikawa et al. 2013) (Fig. 10.4). In contrast to *Hh* male, *dsx_H* was scarcely expressed, while *dsx_h* was dominantly expressed both in wandering and early pupal stages. In the report of Kunte et al. (2014), however, the expression pattern of *dsx_H* was upregulated at late pupal stage, which was different with our result.

The comparison of promoter regions of *dsx_H* and *dsx_h* showed highly nucleotide conservation near the transcriptional start site, but the conservation gradually reduced in more upstream regions (Nishikawa et al. 2015). Some of the nucleotide differences in the regulatory regions or intron regions between *dsx_H* and *dsx_h* may be responsible for the specific regulation of *dsx_H* in the female wings. The above results suggest that not only amino acid substitution but also regulatory changes for female *dsx_H* are possibly involved in the mimetic phenotype.

10.7 Functional Analysis of *dsx*

To verify the *dsx* function on the mimetic wing pattern formation, we performed the functional analysis with electroporation-mediated siRNA incorporation optimized for pupal wings, which enables mosaic analysis by knocking down target genes (Ando and Fujiwara 2013; Yamaguchi et al. 2011; Fujiwara and Nishikawa 2016). First, to confirm the validity of this newly established method, we knocked down *tyrosine hydroxylase (TH)* that is involved in melanin synthesis and found that the black pigmentation in adult wings was clearly repressed in the siRNA incorporated region. When injecting Universal Negative Control siRNA which is used generally as a negative control, no phenotypic change was observed.

Using this method, we injected siRNA designed to knock down *dsx_H* but not *dsx_h* into the whole hind wings of mimetic female and applied electroporation. This treatment caused the mimetic wing pattern switching to non-mimetic wing patterns. Furthermore, electroporation of *dsx_H* siRNA into part of early pupal hind wings of mimetic females also resulted in severe repression of mimetic wing patterns in the siRNA incorporated region; the peripheral red spots became the small pale orange ones; the central white marking mostly disappeared in the mosaic area. By this treatment, the ectopic white patterns for non-mimetic females emerged in the predicted position (Nishikawa et al. 2015). These results indicated that *dsx_H* not only induces the mimetic wing patterns but also simultaneously represses the emergence of the non-mimetic wing patterns. In contrast, *dsx_h* siRNA in mimetic females did not influence wing phenotype. When knocking down both *dsx_H* and *dsx_h* expression by siRNA which was designed in the common region between *H* and *h* (*dsx_H/h*), the same phenotype was observed as *dsx_H* siRNA alone (Nishikawa et al. 2015). These results implied that *dsx_h* is not involved both in mimetic and non-mimetic wing pattern formation. This strongly suggests that only *dsx_H* is involved in the mimicry phenotypes. It is noteworthy that *H/H* homozygous individuals are viable. This means that *dsx_H* should have basic functions for sexual differentiation in addition to the wing coloration.

It is unexpected that *dsx_H* not only induces the formation of mimetic color patterns but also represses non-mimetic patterns (Fig. 10.4). We previously showed that white (pale-yellow in mimetic) regions on mimetic and non-mimetic female wings of *P. polytes* are composed of different pigments (Nishikawa et al. 2013). Additionally, kynurenine/N-beta-alanyldopamine (NBAD) synthesis and *Toll*

signaling genes were upregulated in the red spots of mimetic wings of *P. polytes* (Nishikawa et al. 2013; Rembold and Umebachi 1984). In addition to these observations, in the mimetic female wings, the positions of white bands in non-mimetic females are altered to the central area, which pattern resembles that of the model species *Pachliopta aristolochiae*. Therefore, both region-specific patterns and the synthesis of pale-yellow and red pigments in the mimetic female wings should be switched by *dsx_H*. We observed that chemical properties of pale-yellow pigments in mimetic females are similar to the model butterfly. Thus, various changes controlled by *dsx_H* lead to the successful mimicry of mimetic females of *P. polytes*.

More noteworthy, the appearance of non-mimetic-type white bands in mimetic pupal wings by knockdown *dsx_H* suggests that the pigmentation pattern is preset at least in early pupal stages. We propose a possible model for the functional role of *dsx_H* on the color pattern formation (Fig. 10.4). We assume that *dsx_H* acts as the pigmentation selector for the mimetic pattern. In late larval to early pupal stages, both mimetic and non-mimetic color patterns are predetermined by pattern formation genes other than *dsx*. Moreover, *dsx_H* merely selects the pigmentation processes for the mimetic pattern and represses the non-mimetic pattern in the fate-determined wings. In heliconid butterflies, the black region at the center of the forewings is determined by *WntA* (Martin et al. 2012), and the forewing band pattern is determined by *optix* (Reed et al. 2011). In early pupal wings of *Bicyclus anynana*, the *Distal-less (Dll)* homeobox gene is involved in positive regulation of focal differentiation and eyespot signaling (Monteiro et al. 2006; Brakefield et al. 1996). In addition, the red spots in the margin of hind wings are often observed among many butterflies in *Papilionidae*, irrelevant to males or females. From these facts, we speculated that the wing patterns of *P. polytes* may be determined initially by other genes than *dsx*.

10.8 Evolution of Female-Limited Batesian Mimicry

We revealed fine structure of the chromosomal inversion linked to female-limited Batesian mimicry of *Papilio polytes*, which has been studied mainly by classical genetics or from ecological points of views to date. Female-limited Batesian mimicry is widely observed among *Papilio* species (Kunte 2009) and may be controlled by a similar *dsx*-mediated system used in *P. polytes*. The characteristic of female-limited mimicry of *P. polytes* is the intraspecies polymorphisms and mimetic and non-mimetic phenotypes, which molecular background is explained well by the existence of two differentiated chromosomes, *h*- and *H*-chromosomes. The structure and function of *dsx_H* (and maybe neighboring genes) specialized for mimetic phenotype may be tightly kept through reduced recombination due to chromosomal inversion just outside of *dsx* for a long time in natural populations.

A question to be answered is when the chromosomal inversion or the differentiation of *dsx_H* and *dsx_h* occurred. The comparison of gene synteny among Lepidoptera indicates that *H*-chromosome of *P. polytes* may be originated from the *h*-type chromosome which structure is common in many species. Therefore, the chromosomal inversion might have occurred after the branch of *P. polytes* and *P. xuthus* 40 million years ago (Zakharov et al. 2004) (Figs. 10.1 and 10.2a), and thereafter the sequence difference between *dsx_H* and *dsx_h* had been fixed and accumulated. Indeed, the rate of single nucleotide variations (SNVs) and phylogenetic analysis of *dsx* showed considerable rate of nucleotide substitutions in the inverted region, suggesting that *dsx_H* has a high evolutionary rate and may evolve a new function under positive selection pressure. On the other hand, we observed lower homology in the whole hetero_130 kbp region between the *H*- and *h*-chromosomes of *P. polytes*, which level seemed similar to that between the *P. polytes* and *h*-chromosomes (Fig 10.2d). This indicates the chromosomal inversion might have occurred in very ancient age, and *dsx_H* function was refined by repeated and accumulated mutations during evolution. This evolutionary scenario needs to be certified by further detailed analysis of genome structure in other closely related species.

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