Chapter 13 The Clinical Value of Cardiac PET in Heart Failure

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Abstract Heart failure (HF) is a complex clinical syndrome that results from any structural or functional impairment of ventricular filling or ejection of blood [1]. Approximately 5 million patients in the USA and 15 million patients in Europe have HF. It is the leading cause of hospitalization in elderly people. Despite treatment advances, the mortality rate of HF has increased steadily. More patients are surviving myocardial infarction (MI) due to better standards of care, and consequently this may increase numbers of patients who subsequently develop HF. HF places a significant burden on patients, carers, and healthcare systems.

Limited progress has been made in identifying evidence-based, effective treatments for HF over the last decades. Potential contributors include an incomplete understanding of pathophysiology and poor matching of therapeutic mechanisms.

Positron emission tomography (PET), as a molecular imaging technique, with various tracers allows noninvasive evaluation of contractile function, myocardial perfusion, metabolism, and innervation. Molecular imaging approaches using PET could be further used to evaluate inflammation, angiogenesis, cell death, and ventricular remodeling. It could provide new insights on the chemotherapy-related cardiotoxicity and the roles of stem cell monitoring in living bodies for cell-based therapy from preclinical studies to clinical trials. In conclusion, cardiac PET is a promising tool to understand the physiologic consequences of HF, resulting in early detection of patients with HF at risk, improvement of risk stratification, and

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therapeutic strategy planning and treatment response monitoring. Therefore, in order to give the readers a brief and concise overview, we will mainly review the latest advances in cardiac PET studies in heart failure.

Keywords Heart failure • Positron emission tomography • Myocardial perfusion • Myocardial metabolism • Neuroautonomic system

13.1 Perfusion

13.1.1 Coronary Artery Disease (CAD) and Microvascular Dysfunction

Patients presenting with HF are initially classified based on the etiology of their disease, i.e., ischemic or nonischemic cardiomyopathy. The diagnosis of HF is clear if prior MI is reliably documented. With the wide availability of single-photon emission computed tomography (SPECT) cameras and perfusion tracers, SPECT is currently the preferred imaging modality of cardiac radionuclide imaging with good diagnostic accuracy and prognostic significance.

PET provides better image quality because of higher spatial resolution, less scatter, and fewer attenuation artifacts and may be superior to SPECT with respect to imaging quality, interpretative confidence, and inter-reader agreement. In addition, PET allows quantitative measurement of myocardial perfusion (MP) and metabolism. Cardiac PET with perfusion tracers, such as [¹⁵O]-water, [¹³N]-ammonia, ⁸²rubidium, and [¹⁸F]-flurpiridaz [2], allows noninvasive and absolute assessment of regional and global myocardial blood flow (MBF) in ml/min/g. In conjunction with stress, regional and global coronary flow reserve (CFR) can also be calculated, which is the ratio between MBF at peak stress and MBF at rest.

CFR measures not only vasodilator capacity but also endothelial reactivity of the coronary circulation, allowing noninvasive quantitative assessment for patients with diffuse coronary luminal narrowing or microvascular dysfunction.

Coronary microvascular dysfunction is highly prevalent among at-risk individuals and is associated with adverse outcomes regardless of sex, even in the absence of overt coronary atherosclerosis [3]. It may reflect the functional alteration of endothelium, such as hypertension, dyslipidemia, diabetes mellitus, smoking, obesity, or metabolic syndrome, or structural alteration of microangiopathy, myocardial fibrosis, or loss of capillaries. The portion of HF consists predominantly of older age and high prevalence of comorbidities. The systemic pro-inflammatory state may induce coronary endothelial inflammation, microvascular dysfunction, myocardial substrate shift, myocardial and interstitial fibrosis that contribute to high diastolic left ventricular stiffness, and HF development, even with preserved LVEF. The potential roles of myocardial perfusion abnormalities in subjects with cardiovascular risks, metabolic disease, and HF warrant further investigations.

In patients with CAD, a reduced CFR was shown to have prognostic implications, being a more sensitive predictor for cardiac death than was reduced left ventricular ejection fraction (LVEF). CFR in patients with CAD was found to be also reduced in areas supplied by non-stenotic vessels, suggesting a microvascular component. A recent study showed an association between global CFR and major adverse cardiovascular events (MACE) independently of angiographic CAD prognostic index (CADPI) [4]. This may attribute to diffuse atherosclerosis or microvascular dysfunction. In addition, global CFR also modified the effect of coronary revascularization in terms of event-free survival. These emphasize the utility of cardiac PET in patient risk stratification and the role in decision-making for coronary revascularization.

13.1.2 Transplant Vasculopathy

Cardiac allograft vasculopathy (CAV) is one of the leading causes of late mortality after heart transplantation. It is characterized by the diffuse concentric intima thickening of both epicardial and intramyocardial arteries, which is difficult to be assessed by traditional coronary angiogram. Intravascular ultrasound (IVUS) has been proposed to be the most sensitive method for diagnosis of early CAV. Due to the progressive and diffuse process involving the epicardial and microvascular coronary system, traditional stress myocardial perfusion SPECT frequently underestimates disease severity and extent in patients with CAV. With the absolutequantitative nature, PET provides a noninvasive way to deal with this problem. A good correlation was observed between plaque burden as determined by IVUS and CFR as assessed by ¹³N-ammonia PET in recipients with normal coronary angiography findings. Quantitative measurement of myocardial flow using dynamic ¹³Nammonia PET is thus clinically feasible [5]. A recent study enrolled 140 posttransplant recipients with median follow-up of 18.2 months; the relative perfusion defects, mean myocardial flow reserve, and mean stress MBF using dipyridamole rubidium-82 PET were significant predictors of adverse outcome [6].

13.2 Sympathetic Innervation

Neurohormonal activation is considered as a compensatory mechanism in HF and maintains perfusion to the heart, yet in the meanwhile is also responsible for the progression of HF. From a long-term point of view, it is associated with cardiac remodeling, progressive impairment of ventricular function, symptoms, and lethal arrhythmia. Despite their critical role in regulation of the heart, local neurohormonal statuses of patients are not routinely and closely monitored in clinical practice. Innervation imaging may provide prognostic information as well as guide selection of therapies. Both a decreased cardiac [¹²³I]-meta-iodobenzyl-guanidine (MIBG) activity and an increased washout rate are indicative of a poor prognosis in patients with chronic heart failure [7]. Several PET tracers, including

[¹¹C]-meta-hydroxyephedrine (HED), a norepinephrine analog, and [¹¹C]-CGP12177, a beta-adrenoceptor antagonist, not only can be used to visualize global but also regional defects in myocardial sympathetic innervation. A pilot study enrolled 10 patients with dilated cardiomyopathy who underwent PET with [¹¹C]-HED before, acutely and 3 months after cardiac resynchronization (CRT) [8]. It showed CRT improves cardiac sympathetic nerve activity in responders and seems to be more effective in those with functionally preserved neuroautonomic system.

Decreased cardiac beta-adrenoceptor density measured by [¹¹C]-CGP12177 PET was observed in a study of patients with nonischemic cardiomyopathy. In addition the receptor density was significantly correlated with systolic function [9]. Receptor density correlated with [¹²³I]-MIBG washout rate and delayed heartto-mediastinum (H/M) ratio, but not early H/M ratio. Another study showed that reduced myocardial beta-adrenoceptor density measured by [¹¹C]-CGP12177 early after myocardial infarction is associated with the incidence of congestive heart failure on long-term follow-up [10]. Besides [¹¹C]-labeled tracers, [¹⁸F]-N-[3-Bromo-4-(3-fluoro-propoxy)-benzyl]-guanidine (LMI1195), an ¹⁸F-labeled analog of [¹²³I]-MIBG SPECT agent, is a new PET tracer retained in the heart through the norepinephrine transporter (NET) and allowing evaluation of the cardiac sympathetic neuronal function by PET imaging [11–13].

13.3 Noninvasive Assessment of Myocardial Metabolism

13.3.1 Myocardial Viability

Myocardial viability, the evaluation of dysfunctional but viable myocardium, can be assessed by several imaging techniques. In conjunction with dobutamine, contractile reserve can be evaluated by either echocardiography or cardiac magnetic resonance imaging (MRI). Delayed contrast-enhanced (DCE) MRI and contrast-enhanced CT can assess scar tissue. Myocardial perfusion SPECT evaluates either cell membrane or mitochondria integrity. [¹⁸F]-fluorodeoxyglucose (FDG) can be used to assess glucose metabolism and to differentiate the hibernating myocardium from scar tissue. Dual-isotope simultaneous acquisition (DISA) viability testing, the combination of [^{99m}Tc]-sestamibi and [¹⁸F]-FDG SPECT, provides more accurate prediction of post-revascularization functional recovery than thallium-201 [14]. PET using FDG remains the most reliable and noninvasive tool to assess myocardial viability [15] and tends to replace Tl-201 SPECT imaging in centers equipped with a PET/CT camera.

For patients with CAD-associated chronic LV dysfunction, noninvasive detection of myocardial viability is crucial for decision-making of the treatment. Myocardial viability imaging can be used to inform the often difficult clinical decision regarding revascularization in patients with CAD and left ventricular systolic dysfunction, providing data on the potential benefit to balance against the known risks [15]. FDG PET has a higher sensitivity than DCE MRI, but comparable specificity, in predicting functional recovery [16]. The post hoc subgroup analysis of the PET and Recovery Following Revascularization (PARR-2) trial suggested that FDG PET-guided management reduces the composite of cardiovascular events in patients with ischemic cardiomyopathy in a center with an experienced imaging team [17].

Despite that several studies have emphasized the utility of viability imaging in identifying patients who may benefit from revascularization, a recent meta-analysis shows confusing results [18]. Patients with viable myocardium appear to benefit from revascularization, but the same benefits were observed in patients without viable myocardium. Some suggested this might be resulted from mixed traditional (SPECT and dobutamine echocardiography) and the advanced (MRI and PET) imaging techniques, but this was still not conclusive [19, 20]. A large prospective randomized trial regarding Alternative Imaging Modalities in Ischemic Heart Failure (AIMI-HF) has conducted in 2013 [21], which will complement the results of the Surgical Treatment for Ischemic Heart Failure (STICH) viability substudy and the PET and PARR-2 trial to answer this unsolved issue.

13.3.2 Cardiac Efficiency

 $[^{11}C]$ -acetate, a well-known myocardial PET tracer, is directly metabolized into $[^{11}C]$ -acetyl-CoA in mitochondria and enters the Krebs cycle. $[^{11}C]$ -acetate PET imaging provides noninvasive and reproducible measurements of cardiac oxidative metabolism (MVO₂) [22]. Heterogeneously decreased oxidative metabolism was observed in patients with ischemic and nonischemic cardiomyopathy [23]. In chronic HF MI porcine model, myocardial perfusion and oxygen consumption in the remote non-infarcted myocardium were preserved in HF pigs as compared to controls. Global LV work and efficiency were significantly lower in HF than controls and were associated with increased wall stress [24]. It can also be used to evaluate cardiac efficiency under variable conditions, especially after treatments of heart failure. Surgical intervention with LV volume reduction and mitral regurgitation correction significantly improved cardiac efficiency in patients with end-stage heart failure, which is observed in both nonischemic and ischemic etiologies [25].

On the other hand, myocardial oxygen metabolism was increased in patients with aortic stenosis (AS), which was decreased after aortic valve replacement [26]. It is suggested that the increased myocardial oxidative metabolism in AS was largely attributable to the pressure overload of the left ventricle and normalized after unload.

In a study of diastolic heart failure patients, decreased cardiac efficiency is associated with increased LV filling pressure but does not primarily cause diastolic dysfunction or diastolic heart failure in normal hearts [27]. They suggest that improvement of cardiac efficiency may be a target for the treatment of heart failure with preserved ejection fraction (HFpEF).

13.3.3 Cardiac Resynchronization Therapy (CRT)

CRT can correct cardiac dyssynchrony and augment LV systolic function. PET is the only noninvasive imaging technique that can provide information on alternations of myocardial blood flow, glucose utilization, and oxygen consumption. [¹¹C]acetate PET can also be used to evaluate the efficacy of CRT in patients with severe heart failure [8, 28–31]. CRT could improve cardiac systolic function, but global resting MBF and global MVO₂ are not always altered by CRT, even under stress condition [28]. A more homogeneous myocardial glucose uptake was observed, which implies CRT may induce a more balanced wall stress and energy requirement. Another study demonstrated that decreased oxygen consumption was observed in patients showing good response to CRT. The decrease in oxygen consumption assessed by PET in the early period after CRT is useful to predict improvement of cardiac function and major cardiac events during the first year of follow-up [31].

13.3.4 Chemotherapy-Related Heart Failure

[¹¹C]-acetoacetate, a ketone body tracer, is expected to have some similarities to [¹¹C]-acetate. In mitochondria, acetoacetate is first converted into acetoacetyl-CoA and then into acetyl-CoA. In cytoplasm, acetoacetate can also be directly incorporated into the lipogenesis pathway. Contrary to [¹¹C]-acetate and other MBF PET tracers, [¹¹C]-acetoacetate together with dynamic gated PET imaging was able to identify doxorubicin-induced heart failure at an early stage in a resting state. Thus, [¹¹C]-acetoacetate is promising for the assessment of cardiomyopathy [32].

13.3.5 Metabolic Therapy

Heart failure severity, substrate availability, hormonal status, and coexisting insulin resistance contribute to the metabolic change. Optimizing myocardial energy metabolism has been studied as a novel form of therapy [33]. Metabolic therapy is a promising new avenue for the treatment of heart failure. Suitable targets for therapy include substrate utilization, oxidative phosphorylation, and the availability of high-energy phosphates [34].

Insulin resistance is a recognized phenomenon leading to heart failure. The change in myocardial metabolism can be visualized using PET with metabolic tracers. A prospective study utilized [¹⁵O]-water, [¹¹C]-acetate, [¹¹C]-glucose, and [¹¹C]-palmitate PET studies and found that obesity and gender independently modulate MBF and MVO₂. Moreover, gender and obesity may interact in predicting myocardial glucose uptake and insulin sensitivity [35]. FDG PET can also be used in evaluation of the effect of therapy on glucose metabolism. In a transgenic mice model, G protein-coupled receptor kinase 2 inhibition delays the reduction in glucose uptake and protects insulin signaling in the heart, preserving cardiac dimension and function [36].

13.4 Molecular Imaging Approaches of Cardiac Remodeling

13.4.1 Imaging of Matrix Metalloproteinases

The matrix metalloproteinases (MMPs) play a role in physiologic extracellular matrix (ECM) turnover and response to cardiac disease. During the progression of HF, the level of MMPs is increased. The excessive degradation of ECM may result in wall thinning, dilatation, and heart failure. Post-MI myocardial LV remodeling is also associated with changes within the myocardial extracellular matrix and often leads to heart failure. MI results in the activation of the reninangiotensin-aldosterone system, which in turn results in the activating of MMPs within the heart. Molecular imaging of MMPs has the potential to allow early and serial evaluation of underlying alterations that accompany progression of LV remodeling [37]. The activation of MMPs in infarcted murine myocardium can be imaged by [¹¹¹In]- and [^{99m}Tc]-labeled single-photon ligands [38]. Several PET tracers have also been synthesized [39]. However, to date there are only limited publications, especially in the field of HF.

13.4.2 Angiogenesis

Angiogenesis occurs in response to ischemia and inflammation being part of the healing process after ischemic tissue injury. [¹⁸F]-galacto-RGD allows noninvasive imaging of the expression of $\alpha_v\beta_3$ integrin that is highly expressed in the endothelium of angiogenic vessels. Noninvasive imaging using [¹⁸F]-galacto-RGD PET appears promising for the monitoring of therapies aiming to stimulate angiogenesis in ischemic heart disease and preventing the development of HF [40].

13.4.3 Myocardial Inflammation

FDG PET may be useful in the study of inflammatory process since inflammatory cells accumulate glucose. However, a recent study showed some limitations to study the inflammatory process after myocardial infarction even when active uptake of FDG in normal myocardial tissue is suppressed. In the presence of large region of microvascular obstruction, FDG may not reliably and accurately represent the degree of inflammatory cell activity. Even in areas without microvascular obstruction, the degree of inflammation may be underestimated or even overestimated [41].

Besides the usefulness as a molecular target for neuroendocrine tumor imaging, some of the somatostatin receptors are also expressed by activated macrophage. A recent animal study compared [⁶⁸Ga]-citrate, [⁶⁸Ga]-DOTATATE, and [¹⁸F]-FDG

in a postinfarction mouse model [42]. They conclude that FDG with myocardial suppression is the most practical imaging marker of postinfarction inflammation. However, there are also some studies in human that emphasize the usefulness of the somatostatin receptor imaging in the assessment of sarcoidosis [43] and infarction [44]-related myocardial inflammation. Compared with the nonspecific FDG, this is a new potential biomarker that may be useful in evaluation of myocardial inflammation and predicting cardiac remodeling and progression toward heart failure.

13.5 Conclusions

Advances in the application of cardiac PET may play an increasingly critical role in diagnosis, prognosis, and clinical treatment of cardiovascular diseases. Molecular imaging approaches using PET could evaluate myocardial pathophysiology at different stages of HF. It further provides insights on the HF preventive strategies, tracking patients' clinical status, novel drug therapies, expanding indications for HF therapeutic devices, and gene or cell-based therapies (Fig. 13.1 and Table 13.1).

Stage				AHA Treatment Goals	Potential Roles of PET Imaging
A				Healthy life stylePrevent vascular, coronary diseasePrevent structural abnormalities	 Absolute flow quantification (MBF/CFR): detection macro- and microvascular diseases, candidates for aggressive treatment
В				 Prevent heart failure symptoms Prevent cardiac remodeling 	Etiology, subclinical cardiac dysfunction and vasculature changes Imaging for perfusion (revascularization), metabolic and innervation alteration, plaque/vascular inflammation
с	Structural change	s and signs	function	 Control symptoms Improve HRQOL Prevent hospitalization Prevent mortality 	 Gated PET: LV function deterioration, dyssynchrony Perfusion/ Viability imaging: revascularization for ischemic, hibernating myocardium Innervation Imaging: cardiac arrhythmias (ICD) Metabolic imaging: CRT revascularization/surgery response
D		Symptoms	Reduced systolic	 Control symptoms Improve HRQOL Reduce hospital readmission Establish patient's end-of-life goal 	 Inflammation imaging: device/ LVAD implant infection Viability imaging: revascularization or heart transplantation MBF/CFR: early detection and follow-up of CAV Metabolic imaging: treatment strategies, therapeutic response

Fig. 13.1 Potential roles of PET imaging in different stages of American College of Cardiology (ACC)/American Heart Association (AHA) classification of heart failure. *CAV* cardiac allograft vasculopathy, *CFR* coronary flow reserve, *HRQOL* health-related quality of life, *ICD* implantable cardioverter defibrillator, *MBF* myocardial blood flow, *LV* left ventricular, *LVAD* left ventricular assist device

Substrate	
Glucose	¹⁸ F-fluorodeoxyglucose
	¹¹ C-glucose
Free fatty acid	¹¹ C-palmitate
	¹⁸ F-fluoro-6-thiaheptadecanoic acid
Oxidative metabolism	¹¹ C-acetate
Apoptosis	¹⁸ F-annexin V
Interstitial fibrosis	
Angiotensin-converting enzyme	¹⁸ F-captopril, ¹⁸ F-fluorobenzyl-lisinopril
Angiotensin II type 1 receptor	¹¹ C-MK-996, ¹¹ C-L-159884, ¹¹ C-KR31173
Matrix metalloproteinase	¹⁸ F-FB-ML5
	¹¹ C-labeled compounds
Sympathetic innervation	
Presynaptic	¹¹ C-meta-hydroxyephedrine (HED)
	¹⁸ F-fluorodopamine
	¹¹ C-epinephrine
	¹¹ C-phenylephrine
Postsynaptic	¹¹ C-CGP 12177/12388
	¹⁸ F-fluorocarazolol
Parasympathetic innervation	
Acetylcholine transporter	¹⁸ F-fluoroethoxybenzovesamicol
Nicotinic receptor	¹⁸ F-fluoro-A85380
Muscarinic receptor	¹¹ C-methylquinuclidiny benzilate

Table 13.1 Examples of PET tracers useful in heart failure patients [12, 37, 39]

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