k-Quasi-Planar Graphs

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Abstract. A topological graph is k-quasi-planar if it does not contain k pairwise crossing edges. A topological graph is simple if every pair of its edges intersect at most once (either at a vertex or at their intersection). In 1996, Pach, Shahrokhi, and Szegedy [16] showed that every n-vertex simple k-quasi-planar graph contains at most $O\left(n(\log n)^{2k-4}\right)$ edges. This upper bound was recently improved (for large k) by Fox and Pach [8] to $n(\log n)^{O(\log k)}$. In this note, we show that all such graphs contain at most $(n\log^2 n)2^{\alpha^{Ck}(n)}$ edges, where $\alpha(n)$ denotes the inverse Ackermann function and c_k is a constant that depends only on k.

1 Introduction

A topological graph is a graph drawn in the plane such that its vertices are represented by points and its edges are represented by non-self-intersecting arcs connecting the corresponding points. The arcs are allowed to intersect, but they may not pass through vertices except for their endpoints. Furthermore, the edges are not allowed to have tangencies, i.e., if two edges share an interior point, then they must properly cross at that point in common. We only consider graphs without parallel edges or loops. A topological graph is *simple* if every pair of its edges intersect at most once. If the edges are drawn as straight-line segments, then the graph is *geometric*. Two edges of a topological graph *cross* if their interiors share a point.

Finding the maximum number of edges in a topological graph with a forbidden crossing pattern has been a classic problem in extremal topological graph theory (see [2,3,4,6,8,10,15,19,21]). It follows from Euler's Polyhedral Formula that every topological graph on n vertices and no crossing edges has at most 3n-6 edges. A topological graph is k-quasi-planar, if it does not contain k pairwise crossing edges. Hence 2-quasi-planar graphs are planar. An old conjecture (see Problem 1 in section 9.6 of [5]) states that for any fixed k>0, every k-quasi-planar graph on n vertices has at most $c_k n$ edges, where c_k is a constant that depends only on k. Agarwal et al. [4] were the first to prove this conjecture for simple 3-quasi-planar graphs. Later, Pach, Radoičić, and Tóth [14] generalized the result for all (not simple) 3-quasi-planar graphs. Ackerman [1] proved the conjecture for k=4.

For $k \geq 5$, Pach, Shahrokhi, and Szegedy [16] showed that every simple k-quasi-planar graph on n vertices has at most $c_k n(\log n)^{2k-4}$ edges. This bound can be improved to $c_k n(\log n)^{2k-8}$ by using a result of Ackerman [1]. Valtr [20] proved

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that every n-vertex k-quasi-planar geometric graph contains at most $O(n \log n)$ edges. Later, he extended this result to simple topological graphs with edges drawn as x-monotone curves [21]. Pach, Radoičić, and Tóth showed that every n-vertex (not simple) k-quasi-planar graph has at most $c_k n (\log n)^{4k-12}$ edges, which can also be improved to

$$c_k n (\log n)^{4k-16}$$

by a result of Ackerman [1].

Recently, Fox and Pach [8] improved (for large k) the exponent in the polylogarithmic factor for simple topological graphs. They showed that every simple k-quasi-planar graph on n vertices has at most

$$n(c\log n/\log k)^{c\log k}$$

edges, where c is an absolute constant. Our main result is the following.

Theorem 1. Let G = (V, E) be an n-vertex simple k-quasi-planar graph. Then

$$|E(G)| \le (n\log^2 n)2^{\alpha^{c_k}(n)},$$

where $\alpha(n)$ denotes the inverse Ackermann function and c_k is a constant that depends only on k.

In the proof of Theorem 1, we apply results on generalized Davenport-Schinzel sequences. This method was used by Valtr [21], who showed that every n-vertex simple k-quasi-planar graph with edges drawn as x-monotone curves has at most $2^{2^{ck}} n \log n$ edges, where c is an absolute constant. Our next theorem extends his result to (not simple) topological graphs with edges drawn with x-monotone curves, and moreover we obtain a slightly better upper bound.

Theorem 2. Let G=(V,E) be an n-vertex (not simple) k-quasi-planar graph with edges drawn as x-monotone curves. Then $|E(G)| \le 2^{ck^3} n \log n$, where c is an absolute constant.

2 Generalized Davenport-Schinzel Sequences

The sequence $u=a_1,a_2,...,a_m$ is called l-regular if any l consecutive terms are pairwise different. For integers $l,t\geq 2$, the sequence

$$S = s_1, s_2, ..., s_{lt}$$

of length $l\cdot t$ is said to be of type up(l,t) if the first l terms are pairwise different and for i=1,2,...,l

$$s_i = s_{i+l} = s_{i+2l} = \dots = s_{i+(t-1)l}.$$

For example,

would be an up(3,4) sequence. By applying a theorem of Klazar on generalized Davenport-Schinzel sequences, we have the following.

Theorem 3 ([11]). For $l \ge 2$ and $t \ge 3$, the length of any l-regular sequence over an n-element alphabet that does not contain a subsequence of type up(l,t) has length at most

$$n \cdot l2^{(lt-3)} \cdot (10l)^{10\alpha^{lt}(n)}$$
.

For $l \geq 2$, the sequence

$$S = s_1, s_2, ..., s_{3l-2}$$

of length 3l-2 is said to be of type up-down-up(l), if the first l terms are pairwise different, and for i=1,2,...,l,

$$s_i = s_{2l-i} = s_{(2l-2)+i}$$
.

For example,

would be an up-down-up(4) sequence. Valtr and Klazar [12] showed that any l-regular sequence over an n-element alphabet containing no subsequence of type up-down-up(l) has length at most $2^{l^c}n$ for some constant c. Recently, Pettie made the following improvement.

Lemma 1 ([18]). For $l \geq 2$, the length of any l-regular sequence over an n-element alphabet containing no subsequence of type up-down-up(l) has length at most $2^{O(l^2)}n$.

For more results on generalized Davenport-Schinzel sequences, see [13,18,17].

3 Simple Topological Graphs

In this section, we will prove Theorem 1. For any partition of V(G) into two disjoint parts, V_1 and V_2 , let $E(V_1,V_2)$ denote the set of edges with one endpoint in V_1 and the other endpoint in V_2 . The *bisection width* of a graph G, denoted by b(G), is the smallest nonnegative integer such that there is a partition of the vertex set $V=V_1\dot{\cup}V_2$ with $\frac{1}{3}\cdot |V|\leq |V_i|\leq \frac{2}{3}\cdot |V|$ for i=1,2, and $|E(V_1,V_2)|=b(G)$. We will use the following result by Pach et al.

Lemma 2 ([16]). If G is a graph with n vertices of degrees $d_1, ..., d_n$, then

$$b(G) \le 7cr(G)^{1/2} + 2\sqrt{\sum_{i=1}^{n} d_i^2},$$

where cr(G) denotes the crossing number of G.

Since $\sum_{i=1}^{n} d_i^2 \le 2n|E(G)|$ holds for every graph, we have

$$b(G) \le 7cr(G)^{1/2} + 3\sqrt{|E(G)|n}. (1)$$

Proof of Theorem 1. Let $k \ge 5$ and $f_k(n)$ denote the maximum number of edges in a simple k-quasi-planar graph on n vertices. We will prove that

$$f_k(n) \le (n\log^2 n)2^{\alpha^{c_k}(n)}$$

where $c_k=10^5\cdot 2^{k^2+2k}$. For sake of clarity, we do not make any attempts to optimize the value of c_k . We proceed by induction on n. The base case n<7 is trivial. For the inductive step $n\geq 7$, let G=(V,E) be a simple k-quasi-planar graph with n vertices and $m=f_k(n)$ edges, such that the vertices of G are labeled 1 to n. The proof splits into two cases.

Case 1. Suppose that $cr(G) \leq m^2/(10^4\log^2 n)$. By (1), there is a partition $V(G) = V_1 \cup V_2$ with $|V_1|, |V_2| \leq 2n/3$ and the number of edges with one vertex in V_1 and one vertex in V_2 is at most

$$b(G) \le 7cr(G)^{1/2} + 3\sqrt{mn} \le 7\frac{m}{100\log n} + 3\sqrt{mn}.$$

Let $n_1 = |V_1|$ and $n_2 = |V_2|$. Now if $7m/(100 \log n) \le 3\sqrt{mn}$, then we have

$$m \le 43n \log^2 n$$

and we are done since $\alpha(n) \ge 2$ and $k \ge 5$. Therefore, we can assume $7m/(100\log n) > 3\sqrt{mn}$, which implies

$$b(G) \le \frac{m}{7\log n}. (2)$$

By the induction hypothesis and equation (2), we have

$$m \leq f_k(n_1) + f_k(n_2) + b(G)$$

$$\leq \left(n_1 \log^2(2n/3)\right) 2^{\alpha^{c_k}(n)} + \left(n_2 \log^2(2n/3)\right) 2^{\alpha^{c_k}(n)} + b(G)$$

$$\leq \left(n \log^2(2n/3)\right) 2^{\alpha^{c_k}(n)} + \frac{m}{7 \log n}$$

$$\leq \left(n \log^2 n\right) 2^{\alpha^{c_k}(n)} - 2n2^{\alpha^{c_k}(n)} \log n \log(3/2) + n2^{\alpha^{c_k}(n)} \log^2(3/2) + \frac{m}{7 \log n}$$

which implies

$$m\left(1 - \frac{1}{7\log n}\right) \le (n\log^2 n)2^{\alpha^{c_k}(n)}\left(1 - \frac{2\log(3/2)}{\log n} + \frac{\log^2(3/2)}{\log^2 n}\right).$$

Hence

$$m \le (n\log^2 n)2^{\alpha^{c_k}(n)} \frac{1 - 2\log(3/2)\log^{-1} n + \log^2(3/2)\log^{-2} n}{1 - 1/(7\log n)}$$

$$\le (n\log^2 n)2^{\alpha^{c_k}(n)}.$$

Case 2. Now suppose that $cr(G) \ge m^2/(10^4\log^2 n)$. By a simple averaging argument, there exists an edge e = uv such that at least $2m/(10^4\log^2 n)$ other edges cross e. Fix such an edge e = uv, and let E' denote the set of edges that cross e.

We order the edges in $E'=\{e_1,e_2,...,e_{|E'|}\}$, in the order that they cross e from u to v. Now we create two sequences $S_1=p_1,p_2,...,p_{|E'|}$ and $S_2=q_1,q_2,...,q_{|E'|}$ as follows. For each $e_i\in E'$, as we move along edge e from u to v and arrive at edge e_i , we turn left and move along edge e_i until we reach its endpoint u_i . Then we set $p_i=u_i$. Likewise, as we move along edge e from u to v and arrive at edge e_i , we turn right and move along edge e_i until we reach its other endpoint v_i . Then set $q_i=v_i$. Thus S_1 and S_2 are sequences of length |E'| over the alphabet $\{1,2,...,n\}$. See Figure 1 for a small example.

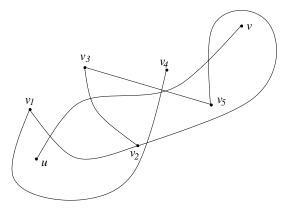


Fig. 1. In this example, $S_1 = v_1, v_3, v_4, v_3, v_2$ and $S_2 = v_2, v_2, v_1, v_5, v_5$

Now we need the following two lemmas. The first one is due to Valtr.

Lemma 3 ([21]). For $l \ge 1$, at least one of the sequences S_1, S_2 defined above contains an l-regular subsequence of length at least |E'|/(4l).

Since each edge in E' crosses e exactly one, the proof of Lemma 3 can be copied almost verbatim from the proof of Lemma 4 in [21].

Lemma 4. Neither of the sequences S_1 and S_2 contains a subsequence of type $up(2^{k^2+k}, 2^k)$.

Proof. By symmetry, it suffices to show that S_1 does not contain a subsequence of type $up(2^{k^2+k}, 2^k)$. The argument is by contradiction. We will prove by induction on k, that such a sequence will produce k pairwise crossing edges in G. The base cases k=1,2 are trivial. Now assume the statement holds up to k-1. Let

$$S = s_1, s_2, ..., s_{2^{k^2 + 2k}}$$

be our $up(2^{k^2+k}, 2^k)$ sequence of length 2^{k^2+2k} such that the first 2^{k^2+k} terms are pairwise different, and for $i = 1, 2, ..., 2^{k^2+k}$

$$s_i = s_{i+2^{k^2+k}} = s_{i+2 \cdot 2^{k^2+k}} = s_{i+3 \cdot 2^{k^2+k}} = \dots = s_{i+(2^k-1)2^{k^2+k}}.$$

For each $i=1,2,...,2^{k^2+k}$, let $v_i\in V_1$ denote the label (vertex) of s_i . Moreover, let $a_{i,j}$ be the arc emanating from vertex v_i to the edge e corresponding to $s_{i+j2^{k^2+k}}$ for $j=0,1,2,...,2^k-1$. We will think of $s_{i+j2^{k^2+k}}$ as a point on $a_{i,j}$ very close but not on edge e. For simplicity, we will let $s_{2^{k^2+2k}+t}=s_t$ for all $t\in\mathbb{N}$ and $a_{i,j}=a_{i,j\mod 2^k}$ for all $j\in\mathbb{Z}$. Hence there are 2^{k^2+k} distinct vertices $v_1,...,v_{2^{k^2+k}}$, each vertex of which has 2^k arcs emanating from it to the edge e.

Consider the drawing of the 2^k arcs emanating from v_1 and the edge e. Since G is simple, this drawing partitions the plane into 2^k regions. By the Pigeonhole principle, there is a subset $V' \subset \{v_1, ..., v_{>k^2+k}\}$ of size

$$\frac{2^{k^2+k}-1}{2^k},$$

such that all of the vertices of V' lie in the same region. Let $j_0 \in \{0, 1, 2, ..., 2^k - 1\}$ be an integer such that V' lies in the region bounded by $a_{1,j_0}, a_{1,j_0+1}, e$. See Figure 2. In the case $j_0 = 2^k - 1$, V' lies in the unbounded region.

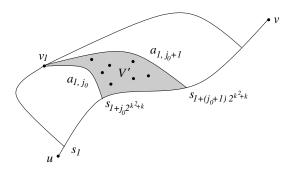


Fig. 2. Vertices of V' lie in the region enclosed by $a_{1,j_0}, a_{1,j_0+1}, e$.

Let $v_i \in V'$ and a_{i,j_0+j_1} be an arc emanating out of v_i for $j_1 \geq 1$. Notice that a_{i,j_0+j_1} cannot cross both a_{1,j_0} and a_{1,j_0+1} since G is simple. Suppose that a_{i,j_0+j_1} crosses a_{1,j_0+1} . Then the set of arcs (emanating out of v_i)

$$A = \{a_{i,j_0+1}, a_{i,j_0+2}, ..., a_{i,j_0+j_1-1}\}$$

must also cross a_{1,j_0+1} . Indeed, let γ be the simple closed curve created by the arrangement

$$a_{i,j_0+j_1} \cup a_{1,j_0+1} \cup e$$
.

Since $a_{i,j_0+j_1}, a_{1,j_0+1}, e$ pairwise intersect at precisely one point, γ is well defined. We define points $x=a_{i,j_0+j_1}\cap a_{1,j_0+1}$ and $y=a_{1,j_0+1}\cap e$, and orient γ in the direction from x to y along γ .

Since a_{i,j_0+j_1} intersects a_{1,j_0+1} , v_i must lie to the right of γ . Moreover since the arc from x to y along a_{1,j_0+1} is a subset of γ , the points corresponding to the subsequence

$$S' = \{ s_t \in S \mid 2 + (j_0 + 1)2^{k^2 + k} \le t \le (i - 1) + (j_0 + j_1)2^{k^2 + k} \}$$

lie to the left of γ . Hence γ separates vertex v_i and the points of S'. Therefore each arc from A must cross a_{1,j_0+1} since G is simple (these arcs cannot cross a_{i,j_0+j_1}). See Figure 3.

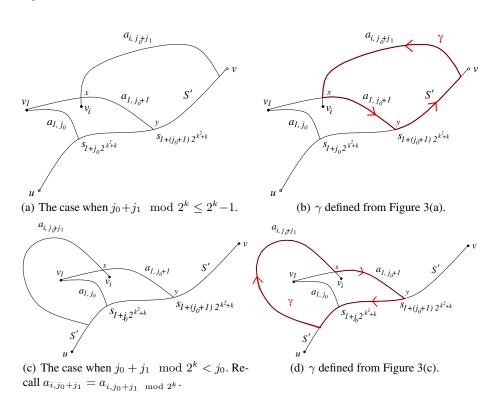


Fig. 3. Defining γ and its orientation

By the same argument, if the arc a_{i,j_0-j_1} crosses a_{1,j_0} for $j_1 \geq 1$, then the arcs (emanating out of v_i)

$$a_{i,j_0-1}, a_{i,j_0-2}, ..., a_{i,j_0-j_1+1}$$

must also cross a_{1,j_0} . Since $a_{i,j_0+2^k/2}=a_{i,j_0-2^k/2}$, we have the following observation.

Observation 4. For half of the vertices $v_i \in V'$, the arcs emanating out of v_i satisfy

- 1. $a_{i,j_0+1}, a_{i,j_0+2}, ..., a_{i,j_0+2^k/2}$ all cross a_{1,j_0+1} , or
- 2. $a_{i,j_0-1}, a_{i,j_0-2}, ..., a_{i,j_0-2^k/2}$ all cross a_{1,j_0} .

Since

$$\frac{|V'|}{2} \ge \frac{2^{k^2+k}-1}{2 \cdot 2^k} \ge 2^{(k-1)^2+(k-1)},$$

by Observation 4 we have a $(2^{(k-1)^2+(k-1)}, 2^{k-1})up$ sequence, whose corresponding arcs all cross either a_{1,j_0} or a_{1,j_0+1} . By the induction hypothesis, we have k pairwise crossing edges.

Now we are ready to complete the proof of Theorem 1. By Lemma 3 we know that, say, S_1 contains a 2^{k^2+k} -regular subsequence of length $|E'|/(4\cdot 2^{k^2+k})$. By Theorem 3 and Lemma 4, this subsequence has length at most

$$n2^{k^2+k}2^{2^{k^2+2k}-3}\left(10\cdot 2^{k^2+k}\right)^{10\alpha^{2^{k^2+2k}}(n)}.$$

Therefore

$$\frac{2m}{10^4 \cdot 4 \cdot 2^{k^2 + k} \log^2 n} \le \frac{|E'|}{4 \cdot 2^{k^2 + k}} \le n2^{k^2 + k} 2^{2^{k^2 + 2k} - 3} \left(10 \cdot 2^{k^2 + k}\right)^{10\alpha^{2^{k^2 + 2k}}(n)}$$

which implies

$$m \le 4 \cdot 10^4 \cdot 2^{2k^2 + 2k} 2^{2^{k^2 + 2k} - 3} n \left(10 \cdot 2^{k^2 + k} \right)^{10\alpha^{2^{k^2 + 2k}} (n)} \log^2 n.$$

Since $c_k = 10^5 \cdot 2^{k^2 + 2k}$, $\alpha(n) \ge 2$ and $k \ge 5$, we have

$$m \le (n\log^2 n)2^{\alpha^{c_k}(n)}.$$

4 x-Monotone

In this section we will prove Theorem 2.

Proof of Theorem 2. For $k \ge 2$, let $g_k(n)$ be the maximum number of edges in a (not simple) k-quasi-planar graph whose edges are drawn as x-monotone curves. We will prove by induction on n that

$$g_k(n) \le 2^{ck^6} n \log n$$

where c is a sufficiently large absolute constant. The base case is trivial. For the inductive step, let G = (V, E) be a k-quasi-planar topological graph whose edges are drawn

as x-monotone curves, and let the vertices be labeled 1,2,...,n. Then let L be the vertical line that partitions the vertices into two parts, V_1 and V_2 , such that $|V_1| = \lfloor n/2 \rfloor$ vertices lie to the left of L, and $|V_2| = \lceil n/2 \rceil$ vertices lie to the right of L. Furthermore, let E_1 denote the set of edges induced by V_1 , E_2 be the set of edges induced by V_2 , and E' be the set of edges that intersect L. Clearly, we have

$$|E_1| \le g_k(\lceil n/2 \rceil)$$
 and $|E_2| \le g_k(\lceil n/2 \rceil)$.

Hence it suffices that show that

$$|E'| \le 2^{ck^6/2}n,\tag{3}$$

since this would imply

$$g_k(n) \le g_k(\lfloor n/2 \rfloor) + g_k(\lceil n/2 \rceil) + 2^{ck^6/2}n \le 2^{ck^6}n \log n.$$

For the rest of the proof, we will only consider the edges from E'. Now for each vertex $v_i \in V_1$, consider the graph G_i whose vertices are the edges with v_i as a left endpoint, and two vertices in G_i are adjacent if the corresponding edges cross at some point to the left of L. Since G_i is an incomparability graph (see [7], [9]) and does not contain a clique of size k, G_i contains an independent set of size $|E(G_i)|/(k-1)$. We keep all edges that correspond to the elements of this independent set, and discard all other edges incident to v_i . After repeating this process on all vertices in V_1 , we are left with at least |E'|/(k-1) edges.

Now we continue this process on the other side. For each vertex $v_j \in V_2$, consider the graph G_j whose vertices are the edges with v_j as a right endpoint, and two vertices in G_j are adjacent if the corresponding edges cross at some point to the right of L. Since G_j is an incomparability graph and does not contain a clique of size k, G_j contains an independent set of size $|E(G_j)|/(k-1)$. We keep all edges that corresponds to this independent set, and discard all other edges incident to v_j . After repeating this process on all vertices in V_2 , we are left with at least $|E'|/(k-1)^2$ edges.

We order the remaining edges $e_1, e_2, ..., e_m$ in the order in which they intersect L from bottom to top. We define two sequences $S_1 = p_1, p_2, ..., p_m$ and $S_2 = q_1, q_2, ..., q_m$ such that p_i denotes the left endpoint of edge e_i and q_i denotes the right endpoint of e_i . Now we need the following lemma.

Lemma 5. Neither of the sequences S_1 and S_2 contains a subsequence of type up-down-up($k^3 + 2$).

Proof. By symmetry, it suffices to show that S_1 does not contain a subsequence of type $up\text{-}down\text{-}up(k^3+2)$. For the sake of contradiction, suppose S_1 did contain a subsequence of type $up\text{-}down\text{-}up(k^3+2)$. Then there is a sequence

$$S = s_1, s_2, ..., s_{3(k^3+2)-2}$$

such that the integers $s_1, ..., s_{k^3+2}$ are pairwise different and for $i = 1, 2, ..., k^3 + 2$ we have

$$s_i = s_{2(k^3+2)-i} = s_{2(k^3+2)-2+i}$$

For each $i=1,2,...,k^3+2$, let $v_i\in V_1$ denote the label (vertex) of s_i and let x_i denote the x-coordinate of vertex v_i . Moreover, let a_i be the arc emanating from vertex v_i to the point on L that corresponds to $s_{2(k^3+2)-i}$. Note that the set of arcs $A=\{a_2,a_3,...,a_{k^3+1}\}$ are ordered downwards as they intersect L, and corresponds to the "middle" part of the up-down-up sequence. We define two partial orders on A as follows.

 $a_i \prec_1 a_j$ if i < j, $x_i < x_j$ and the arcs a_i, a_j do not intersect,

$$a_i \prec_2 a_j$$
 if $i < j$, $x_i > x_j$ and the arcs a_i, a_j do not intersect.

Clearly, \prec_1 and \prec_2 are partial orders. If two arcs are not comparable by either \prec_1 or \prec_2 , then they must cross. Since G does not contain k pairwise crossing edges, by Dilworth's Theorem, there exist k arcs $\{a_{i_1}, a_{i_2}, ..., a_{i_k}\}$ such that they are pairwise comparable by either \prec_1 or \prec_2 . Now the proof falls into two cases.

Case 1. Suppose that $a_{i_1} \prec_1 a_{i_2} \prec_1 \cdots \prec_1 a_{i_k}$. Then the arcs emanating from $v_{i_1}, v_{i_2}, \dots, v_{i_k}$ to the points corresponding to

$$s_{2(k^3+2)-2+i_1}, s_{2(k^3+2)-2+i_2}, ..., s_{2(k^3+2)-2+i_k}$$

are pairwise crossing. See Figure 4.

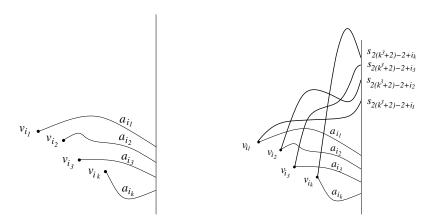


Fig. 4. Case 1

Case 2. Suppose that $a_{i_1} \prec_2 a_{i_2} \prec_2 \cdots \prec_2 a_{i_k}$. Then the arcs emanating from $v_{i_1}, v_{i_2}, ..., v_{i_k}$ to the points corresponding to $s_{i_1}, s_{i_2}, ..., s_{i_k}$ are pairwise crossing. See Figure 5.

We are now ready to complete the proof of Theorem 2. By Lemma 3, we know that, say, S_1 contains a $(k^3 + 2)$ -regular subsequence of length

$$\frac{|E'|}{4(k^3+2)(k-1)^2}.$$

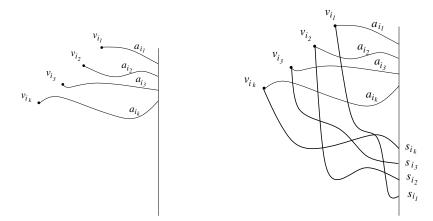


Fig. 5. Case 2

By lemma 1 and 5, this subsequence has length at most $2^{c'k^6}n$, where c' is an absolute constant. Hence

$$\frac{|E'|}{4(k^3+2)(k-1)^2} \le 2^{c'k^6}n$$

implies

$$|E'| < 4k^5 2^{c'k^6} n < 2^{ck^6/2} n$$

for a sufficiently large absolute constant c.

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