On the Coexistence of UWB with Fixed Wireless Access Systems*

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Abstract. Ultra Wide Bandwidth (UWB) spread-spectrum techniques are getting an increased importance due to their many desirable features such as high bit rates support and low power consumption. UWB is considered one enabling technology for advanced wireless local area networks providing integrated multimedia services to nomadic users over hot-spot areas. The assessment of the interference caused by UWB devices on already existing systems is fundamental to guarantee not conflicting coexistence and, therefore, the acceptance of this new technology worldwide. In this paper we use simulation to analyze the coexistence issues between and indoor UWB system and an outdoor fixed wireless access (FWA) system in the $3.5 \div 5.0$ GHz frequency range. We consider a realistic UWB system architecture and we show that in many cases UWB system can coexist with FWA without causing dangerous interference.

1 Introduction

Ultra Wide Bandwidth (UWB) spread-spectrum techniques are gaining increased importance due to their many desirable features such as supported high bit rates and low power consumption [1]. In order to protect existing services against UWB interference the Federal Communications Commission (FCC) has restricted the UWB operating frequencies and allowed UWB transmissions under the operating conditions stated by frequency-power masks issued for each UWB application/device. As a result, UWB communications are confined to the frequency range of $3.1 \div 10.6~\mathrm{GHz}$.

The UWB technology is based on the transmission of very short duration pulses and the information signal is spread over a very wide bandwidth (of the order of several GHz) [2]. UWB is one viable candidate for short-range indoor radio communications supporting very high bit rates services and applications. It enables the implementation of innovative wireless local area networks providing multimedia services to nomadic users over hot-spot areas. Thus the assessment of the possible interference caused by UWB devices is of fundamental importance to guarantee not conflicting coexistence between UWB devices and any other

^{*} Work done for RADIOLABS, Consorzio Università Industria - Laboratori di Radiocomunicazioni, Italy within the IST ULTRAWAVES European Project

M. Conti et al. (Eds.): PWC 2003, LNCS 2775, pp. 101-113, 2003.

 $[\]odot$ IFIP International Federation for Information Processing 2003

system and to obtain acceptance of this new technology worldwide. To meet the UWB interference requirements on the existing narrow-band systems UWB low transmission-power and short-range operations have to be ensured. The ITU document [3] contains a preliminary analysis on the possible interference of indoor UWB terminals onto an outdoor Fixed Wireless Access (FWA) system. However results in [3] are based on assumptions leading to pessimistic conclusions about the impact of UWB on FWA systems. Main misleading assumptions deal with the selection of the free space channel model for both indoor and outdoor propagation and to the procedure used to evaluate UWB interference on the FWA receiver. In particular in [3] it is assumed that each UWB terminal continuously transmits at its maximum power without accounting for (possible) power control. In this paper we analyze the coexistence problems between indoor UWB system and an outdoor FWA system through computer simulation. In order to compare our results with those already presented in the current literature we keep many of the original assumptions in [3]. However we account for additional UWB system features such as: the UWB system architecture, more realistic propagation models, UWB terminal activity factor. We demonstrate that in many practical cases UWB system can coexist with FWA without dangerous interference. The paper is organized as follows. In Section 2 we summarize the main characteristics of the considered FWA systems and we assess the FWA interference requirements. In Section 3 we describe the selected scenarios and we define the UWB system features introducing the main parameters describing its usage modes. In Section 4 we define the assessment methodology introduced in this work. Simulation results presented and discussed in Section 5. Finally conclusions are given in Section 6.

2 Interference Limits Requirements

To assess the limits of UWB interference on the existing FWA systems we start from the ITU-R requirements in [4] concerning the interference from unwanted emissions from sources other than fixed service (FS) or services sharing the same band on primary bases. As indicated in [4] the total interference to a fixed service link is partitioned as:

- -89% for the intra service interference;
- 10\% for the co-primary services interference;
- 1\% for the aggregation of the following interference sources:
 - Emissions from radio services which share frequency allocations on a non-primary basis;
 - Unwanted emissions (i.e. out-of-band and spurious emissions such as energy spread from radio systems, etc.) in non-shared bands;
 - Unwanted radiations (e.g. ISM applications);

As indicated in [5] and [6] the previous percentages apply to the performance objectives. In addition, this degradation allowance refers to the aggregation of the whole secondary services transmitters and unwanted signals. UWB systems

are commonly classified as secondary services therefore this criterion is applied. The ITU-R has defined the following long and short term interference criteria for not conflicting coexistence.

Long term criteria (20% of the time)

- For co-primary sharing a margin degradation of 0.5 dB, equivalent to a I/N = -10 dB, leads to a performance degradation of 10 %.
- For secondary service interference and unwanted emissions, the performance degradation shall not exceed 1%. For these services it was concluded that a I/N=-20 dB is the adequate criteria since it represents a margin degradation of 0.04dB.

The previous two statements indicate generic objectives and implicitly assume that interference has spectral characteristic similar to white noise. However in the UWB case, due to the pulsed characteristic of UWB signals separate considerations would be needed for both average (RMS) and peak interference objectives within the FS receiver bandwidth. It should be observed that the allocation of 99% of the interference margin to intra-service and/or co-primary services (89% + 10%) might also be too pessimistic with today's technology and in more realistic conditions higher margins than 1%, in particular I/N much closer to 0 dB, could be tolerated by fixed links for secondary services. In the rest of the paper we mention the limits and results both considering the long-term interference with the conservative I/N = -20 dB and with I/N = -10 dB.

Short term criteria (0.0001% of time)

Short-term criteria in [7] gives allowance for a positive (in dB) I/N ratio to happen for very short percentage of time. Positive I/N ratio can be related to peak interference. Similarly to [3] this criterion will not be considered in this paper.

The FWA system considered in this paper includes two communicating devices: a FWA Central station (CS) positioned on the roof of the building, and a FWA Terminal Station (TS) close to the building. The most relevant characteristic to our interference study is the FWA receiver noise parameter. This can be expressed as:

$$N_A = -144 + 10\log_{10}(RX_{BW}) + NF \tag{1}$$

where RX_{BW} is the 3dB receiver bandwidth (in MHz) and NF is the receiver noise figure (dB).

Considering a FWA receiver operating at 3.5GHz, we assume NF=5dB, and using (1) we obtain $N_{A50}=-122dBW$ for $RX_{BW}=50$ MHz and $N_{A14}=-127.5$ dBW for $RX_{BW}=14$ MHz. Considering FWA systems operating in the $3.6\div4.2$ GHz and $4.4\div5.0$ GHz we assume NF=6dB and for $RX_{BW}=50$ MHz we obtain $N_{A50}=-121$ dBW.

Starting from the calculated FWA receiver noise characteristics, we obtained the limits on the allowed UWB inter-system interference indicated in Table 1.

Table 1. Summary of UWB aggregate interference objectives for coexistence with FS-ITU-R objectives

FWA features	Interference limits		
FWA CS ($B_W = 14 \text{ MHz}$)	\leq - 159dBW/MHz @ I/N= -20dB		
FWA CS ($B_W = 50 \text{ MHz}$)	 ≤ - 149dBW/MHz @ I/N= -10dB ≤ - 159dBW/MHz @ I/N= -20dB 		
	\leq - 149dBW/MHz @ I/N= -10dB		

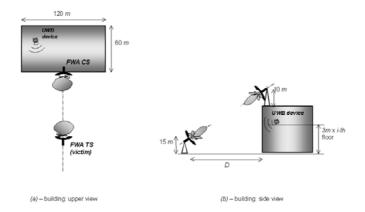


Fig. 1. Hot spot reference scenario for FWA - UWB system coexistence.

Also the corresponding values for the less conservative, but still very safe, protection levels I/N = -10 dB were included. To study the effects of UWB on the FWA we first evaluate the power of the UWB interference at the FWA receiver $I_{UWB \to FWA}$. The power spectral density of the UWB interference is obtained by dividing $I_{UWB \to FWA}$ for the bandwidth of the UWB signal. The result is then compared with the values in Table 1.

The interference $I_{UWB\to FWA}$ is evaluated as:

$$I_{UWB\to FWA} = \sum_{n} P_{T_n} L(d_n), \tag{2}$$

where P_{T_n} is the power transmitted by the *n*-th UWB terminal and $L(d_n)$ is the path loss between the *n*-th UWB terminal and the FWA receiver located at relative distance d_n . The path loss L(d) accounts for both indoor and outdoor propagation and antenna gains.

3 Selected Scenario

To evaluate (2) we consider an UWB system located in a commercial/industrial building (hot-spot scenario). We assume that UWB system interferes with the

Simulator feature	Alternatives
Outdoor Propagation Model	Free Space, ITU-R P.1411-1
	Cost231-Hata model/3GPP
Indoor Propagation Model	Free space, ITU-R P.1238-2,
	Cassioli, Molish Win, (CMW)[8]
Power Control	Used for every UWB device
Activity Factor	1%, 2%, 5%, 10%
Bit Rates	2, 25, 110 Mb/s

Table 2. Main parameters of the considered UWB system

FWA system in the proximity of the considered building. The FWA-TS antenna is steered in order to optimally point to the FWA-CS. We assume the building has 10 isolated floors and we neglect the UWB intra-floor interference. The reference scenario is plotted in Figure 1. The UWB system contains a mixed population of devices characterized by different bit rates. UWB devices are located inside building and communicate among them according to a master-slave architecture. To evaluate UWB interference on FWA we introduced more accurate models for indoor and outdoor propagation, power control, terminals activity factors less than unity. The antenna radiation patterns and other geometrical parameters such as the FWA distance from the building, floor dimensions were assumed as in [3]. The parameters and models used for analysis are summarized in Table 2. The number of possible scenarios obtained from the combinations of the parameters in Table 2 and that should be explored is very large. However many scenarios could result as unrealistic. As an example it could be noted that using 100% activity factors contrasts with the typical deployment scenarios envisaged for UWB devices where they will be active only for a fraction of time. In particular it is estimated that in any case the aggregate percentage of time that any single device transmits is to be considered not exceeding 10% of the time. For brevity, in the following we restrict our analysis to some important and realistic cases.

4 Interference Calculation

To evaluate $I_{UWB\to FWA}$ in (2) we used the software simulator developed within the IST ULTRAWAVES European project [9]. We consider an UWB system based on a Code Division Multiple Access (CDMA) technique with multiple parallel radio paths for upstream (slave to master) and downstream (master to slave) communications. The simulated area is assumed to be rectangular and UWB masters are located on a regular grid. Slaves can be arbitrarily and uniformly located within each floor according to a uniform distribution. The simulator is snapshot based and its operating principle is illustrated in the flowchart of Fig.2. In each *outer loop* iteration a new random scenario is generated. Assuming the positions of the masters scenario generation consists in randomly placing the slaves in the service area. The *inner loop* is used to simulate the power control procedure. For each inner iteration the power of each transmitter is increased or

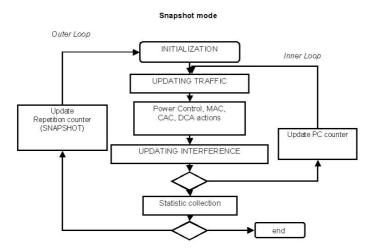


Fig. 2. Simulator flowchart

decreased in accordance to the comparison of the carrier-to-interference ratio, C/I, calculated for each slave by the master device to which the slave is connected to, and the reference carrier-to-interference ratio, $(C/I)_{Target}$. The interference I measured for each master receiver is calculated in each inner iteration accounting for the active UWB transmitters in the area and the propagation losses. The inner procedure is halted when the power transmitted by each slave remains constant or has reached the maximum. After reaching this steady condition all the relevant parameters such as the power level transmitted by each user, the users in outage etc., are collected. More than 10000 snapshots were generated and the statistical behavior of the collected parameters was analyzed. The mobility of the UWB devices was not considered in this paper.

The simulator parameters are now discussed in detail.

- Source Traffic Model

We consider traffic sources characterized by different bit rates and activity factors. The simulation results presented in the next Section will consider different combinations of source traffic models.

- Transmitted Power and Interference

The maximum transmitting power for each UWB terminal is -6.2dBm and can be easily calculated starting from the maximum allowable power spectral density (i.e. -41dBm/MHz), assuming a UWB signal bandwidth (W_{UWB}) of 3GHz and a flat UWB spectrum. The total interference I in each master receiver is:

$$I = I_{Intra} + I_{FWA \to UWB} + \eta \tag{3}$$

where I_{Intra} is the intra-system interference caused by the UWB devices; η is the thermal noise power and $I_{FWA \to UWB}$ is the inter-system interference

due to FWA on the UWB system. The $I_{FWA \to UWB}$ is accounted for by increasing the noise figure in the UWB receiver by 5dB. The $(C/I)_{Target}$ used in the power control procedure for each UWB source was evaluated as

$$\left(\frac{C}{I}\right)_{\text{Target}} = \left(\frac{E_b}{N_0}\right)_{\text{Target}} - PG$$
 (4)

where $PG = W_{UWB}/R_b$ and R_b is the source bit rate. The UWB reference $(E_b/N_0)_{Target}$ was always set to 4dB in each scenario and for each bit rate.

- Pathloss models: indoor propagation

To analyze the dependence of the UWB interference from the selected channel model, we repeated the simulations assuming two different path-loss models: the simple free space model and the Cassioli-Molish-Win (CMW) model [8] repeated below:

• Free space propagation model

$$A_{FS}(d) = c_0 + c_1 \log_{10}(d) \quad [dB]$$
 (5)

where c_0 = pathloss at 1m = 20 · log₁₀($\frac{4\pi}{\lambda}$)= 43.32dB at 3.5GHz; c_1 = 20 dB/m

• CMW model

$$A_{CMW}(d) = \begin{cases} 0 & d \in [0, 1] \\ c_0 \cdot \log_{10}(d) & d \in [1, d_{break}] \\ c_1 + c_2 \cdot \log_{10}\left(\frac{d}{d_{break}}\right) & d > d_{break} \end{cases}$$
(6)

it is a dual slope model where $c_0=20.4 \mathrm{dB/m}, \, c_1=20.4 \log_{10}{(d_{break})}, \, c_2=74 \mathrm{dB/m}$ and $d_{break}=11 m.$

The selected path loss models represent two extremes among those indicated in Table 1. In fact, neglecting possible tunnel effects, the path loss exponents of the two selected models vary between 2 and 7.4 thus accounting for many intermediate values of the path loss exponents of other models.

- Antenna models

We assumed that any UWB device has an omnidirectional antenna on the horizontal plane with gain 0dBi. For the FWA-TS, we use the antenna model indicated in [10]. It is a sectorial antenna with sectors of 90° and a main lobe gain of 16dBi. Its radiation pattern envelopes are reported in [10].

Call admission control strategies (CAC) strategies enhance performance and allow to reduce the interference both in the UWB system and other narrowband systems. For example an UWB slave could be stopped from transmitting when its power level requirements are judged too high by the master. The beneficial effects of CAC strategies were not considered in this paper.

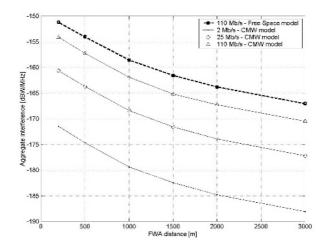


Fig. 3. UWB aggregate interference vs FWA distance - Single Service Case - Free Space and CMW channel models - 32 masters per floor, activity factor 1

5 Simulations Results

Simulations results were obtained considering different combinations of the system parameters indicated in Table 2. In this paper only upstream (slave-to-master) transmissions were considered. To validate our simulator we first reimplemented the scenario in [3] and we considered an UWB terminal density of 450 users per floor. The floor dimensions where $120 \times 60 \text{ m}^2$) and the vertical distance between floors is 3m (see Fig.1). Every UWB device is unrealistically set to transmit 100% of the time at its maximum power level (i.e. -6.2 dBm for $W_{UWB} = 3\text{GHz}$). The UWB devices were positioned over a grid at a relative distance of 4m. We used the free space path loss model for both indoor and outdoor links. The results obtained are in very good agreement with those presented in [3] and for brevity are not reported. In the following subsections we illustrate the simulation results in more realistic scenarios considering both single and multiple bit rate services.

5.1 Single Service Cases

We assume that UWB devices transmit at the same bit rate: 2, 25 or 110 Mb/s. The density of the UWB terminals is varied and both free space and CMW models have been considered. The building penetration loss is neglected ¹.

¹ For a correct evaluation of interference at least 10 dB should be considered bringing the target aggregate interference to -139 dBW/MHz @ I/N = -10 dB (or -149 dBW/MHz @ I/N = -20 dB)

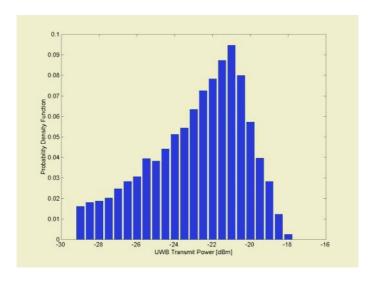


Fig. 4. Power distribution for (power controlled) devices transmitting at 110 Mb/s

In Fig. 3 we plot the UWB aggregate interference density vs the distance of the FWA-TS from the building. We considered the free space and the CMW channel models for indoor propagation while we always adopted the free space channel model for outdoor. A density of 500 UWB devices per floor was considered. From the results in Fig.3 it can be noticed that due to power control action the aggregate interference (dBW/MHz) is lower than the ITU requirements in Table 1 in any conditions and for practical FWA distances from the building. Including 10 dB due to building penetration loss, the target aggregate interference is fully respected, even at these extreme high densities.

In Fig. 4 we plot the histogram of the power transmitted by slaves operating at 110Mb/s. It can be observed that no UWB users are in outage and that due to power control action the transmitted power is always well below than the FCC limit of -6.2dBm.

Having proved the compatibility between the UWB and the FWA systems with different service classes and for densities higher than those indicated in [3], we now vary the activity factor to the maximum levels foreseeable for an UWB terminal from 1% up to 10%.

In Fig.5 and Fig.6 we plot the aggregate interference density for different FWA receiver distance with transmitters at 110 Mb/s and for different activity factors. As expected, results in Fig.5 and Fig.6 show the raise in the aggregate interference levels with the increase of activity from 1% to 5% and then to the maximum expected of 10%. Always from the results in Fig.5 and Fig.6 even in the unrealistic case of 500 UWB devices per floor and even increasing the activity factor to 10%, in most practical cases the effects on the FWA system are not harmful.

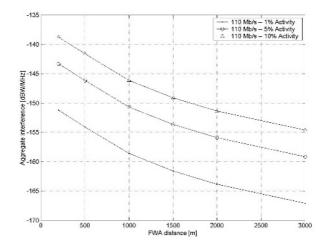


Fig. 5. Aggregate interference density for different FWA receiver distance with transmitters at 110 Mb/s, free space indoor model, Activity factors of 1%, 5% and 10%

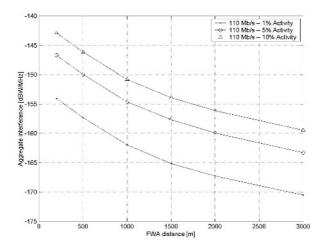


Fig. 6. Aggregate interference density for different FWA receiver distance with transmitters at 110 Mb/s, CMW indoor model, Activity factors of 1%, 5% and 10%

5.2 Multi-service Cases

In this Section we consider a scenario where UWB terminals simultaneously operate at different bit rates. The first set of simulations considered slaves with two different bit rates: 2 Mb/s (50% of slaves) and 110 Mb/s (50% of slaves - possibly unrealistic). The system parameters are unchanged but the devices

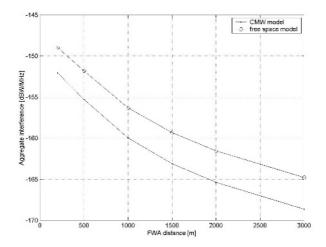


Fig. 7. Aggregate interference density vs FWA receiver distance - UWB services 110 Mb/s (50%)+2 Mbit/s (50%)

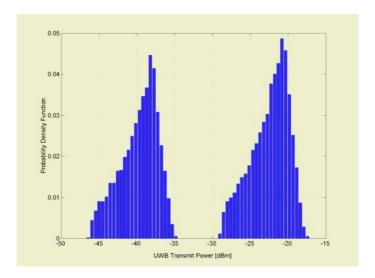


Fig. 8. Power distribution for power controlled devices transmitting at 110 Mb/s and 2 Mbit/s (50%)- indoor free space channel model

density is reduced to 300 UWB terminals per floor to obtain a more realistic scenario. Slaves are power controlled and have an activity factor of 5%.

In Fig.7 we plot the aggregate interference density vs the FWA receiver distance from the building. Different indoor propagation models were considered. From the results in Fig.7 it can be observed that full compatibility between

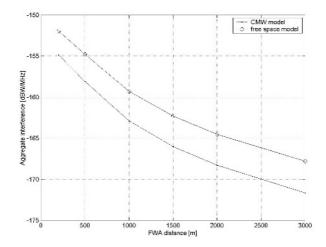


Fig. 9. Aggregate interference density vs FWA receiver distance - UWB services $110+{\rm Mb/s}(20\%)+25~{\rm Mb/s}(30\%)+2~{\rm Mbit/s}(50\%)$ -Power control ON - free space and CMW indoor propagation model

Table 3. Traffic Parameters for mixed bit rate simulation: 2+25+110 Mb/s.

Population [% of the total]	Activity Factor	Data Rate
20	2%	110 Mb/s
30	5%	25 Mb/s
50	10%	2 Mb/s

UWB and FWA services is ensured both for free space and CMW models. In fact, for practical FWA distances the aggregate interference is well below the targets in Table 1 that however are met even at very close FWA distances.

In Fig.8 we plot the histogram on the UWB transmitted power in the case of terminals operating at 2 Mb/s and 110Mb/s.

Thanks to power control action the transmitted power is always well below than the FCC limit of -6.2dBm. As expected the average power transmitted by the 110 Mb/s terminals is larger than the 2 Mb/s. This is due to the lower coding gain available for the higher bit rate services.

Finally in Fig.9 we plot the aggregate interference by considering a UWB mixed bit rate scenario as a function of the FWA receiver distance. We considered 300 UWB users per floor and 32 UWB master devices. Traffic parameters used to obtain the results in Fig.9 are indicated in Table 3. From the results in Fig.9 it can be observed that full compatibility between UWB and FWA services is ensured both for free space and CMW models.

6 Conclusions

The assessment of the potential interference caused by UWB devices is a fundamental research topic to ensure not conflicting coexistence between UWB devices and any other existing system. Any study needs to go beyond simplistic models and should consider realistic scenarios. As many other wireless local area network systems, UWB terminals will be in sleep mode for the large majority of times, will not run continuously and will not emit constantly at the maximum allowed power. More accurate path loss models were considered to evaluate the UWB aggregate interference on FWA. The results presented in this paper show that considering basic system features such as the UWB system architecture, the activity factor, the power control and including realistic propagation models, even in the extreme densities proposed there is no risk for the FWA operations. Further margins not even considered in this paper still exist such as admission control techniques, antenna polarization mismatches, deep NLOS and multiple trough-wall indoor losses. The analysis procedure introduced in this paper can be easily extended to other significative scenarios.

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