

Frequency Tuning of the Efferent Effect on Cochlear Gain in Humans

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Abstract Cochlear gain reduction via efferent feedback from the medial olivocochlear bundle is frequency specific (Guinan, *Curr Opin Otolaryngol Head Neck Surg* 18:447–453, 2010). The present study with humans used the Fixed Duration Masking Curve psychoacoustical method (Yasin et al., *J Acoust Soc Am* 133:4145–4155, 2013a; Yasin et al., *Basic aspects of hearing: physiology and perception*, pp 39–46, 2013b; Yasin et al., *J Neurosci* 34:15319–15326, 2014) to estimate the frequency specificity of the efferent effect at the cochlear level. The combined duration of the masker-plus-signal stimulus was 25 ms, within the efferent onset delay of about 31–43 ms (James et al., *Clin Otolaryngol* 27:106–112, 2002). Masker level (4.0 or 1.8 kHz) at threshold was obtained for a 4-kHz signal in the absence or presence of an ipsilateral 60 dB SPL, 160-ms precursor (200-Hz bandwidth) centred at frequencies between 2.5 and 5.5 kHz. Efferent-mediated cochlear gain reduction was greatest for precursors with frequencies the same as, or close to that of, the signal (gain was reduced by about 20 dB), and least for precursors with frequencies well removed from that of the signal (gain remained at around 40 dB). The tuning of the efferent effect filter (tuning extending 0.5–0.7 octaves above and below the signal frequency) is within the range obtained in humans using otoacoustic emissions (Lilaonitkul and Guinan, *J Assoc Res Otolaryngol* 10:459–470, 2009; Zhao and Dhar, *J Neurophysiol* 108:25–30, 2012). The 10 dB bandwidth of the efferent-effect filter at 4000 Hz was about 1300 Hz (Q_{10} of 3.1). The FDMC method can be used to provide an unbiased measure of the bandwidth of the efferent effect filter using ipsilateral efferent stimulation.

Keywords Auditory · Fixed-duration masking curves · Forward masking · Compression · Gain · Efferent · Precursor · Frequency-range

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1 Introduction

In addition to ascending (afferent) neural pathways, the mammalian auditory system contains descending (efferent) neural projections from higher to lower levels of the auditory system [ipsilateral and contralateral efferent systems of cat (Lieberman 1988; Huffman and Henson 1990) and human (Guinan 2006)]. The mammalian auditory system includes a brainstem-mediated efferent pathway from the superior olivary complex by way of the medial olivocochlear bundle (MOCB) which reduces the cochlear gain applied over time to the basilar membrane (BM) response to sound [electrical stimulation of the olivocochlear bundle (OCB) in guinea-pigs (Murugasu and Russell 1996)]. The human MOCB response (as measured using otoacoustic emissions: OAEs) has an onset delay of between 25 and 40 ms and rise and decay time constants in the region of 280 and 160 ms, respectively (Backus and Guinan 2006). Recordings from guinea pigs show the greatest reduction in BM vibration due to efferent stimulation when the stimulating tone is close to or above the characteristic frequency (CF) associated with the recording site (Russell and Murugasu 1997). Similarly, suppression of human otoacoustic emissions via efferent activation is more effective for stimulating frequencies slightly above and below the test probe frequency (Maison et al. 2000).

The advantage of psychoacoustical methods to infer the efferent effect is that they can be used in cases of both normal and mildly impaired hearing (unlike OAEs which can be eliminated by a mild hearing loss). Psychoacoustical studies suggest the efferent effect appears to decrease as the precursor frequency is set higher or lower in frequency than the subsequently presented masker (Bacon and Viemeister 1985; Bacon and Moore 1986). The present study uses a psychoacoustical forward-masking technique [fixed-duration masking curve (FDMC) method (Yasin et al. 2013a, b, 2014)] in which a precursor sound is presented to elicit the efferent response. The FDMC method avoids the confounds of previous forward-masking methods used to measure the efferent effect by using a combined duration of the masker-plus-signal stimulus of 25 ms, which is within the efferent onset delay. Hence, the representation of the signal should be unaffected by efferent activity elicited by the masker. The effect of efferent activation on cochlear gain can then be separately studied by presenting a precursor sound (to activate the efferent system) prior to the onset of the combined FDMC masker-signal stimulus. The present study used the FDMC method to estimate the frequency range of efferent-mediated cochlear gain reduction in humans.

2 Method

2.1 Listeners

Three normal-hearing listeners participated (L1 (author), L2 and L3). All listeners had absolute thresholds better than 20 dB HL for sound frequencies between 0.25

and 8 kHz (ANSI 1996) and were practised in related psychoacoustical experiments. Listeners undertook between 4 and 10 h of training on subsets of the stimuli used for the main experiment. L2 and L3 were paid £6 /h for participation.

2.2 Stimuli

The signal was a 4-kHz sinusoid and the sinusoidal masker was either on-frequency (4 kHz) or off-frequency (1.8 kHz); both signal and masker always began in sine phase (Yasin et al. 2013a, b, 2014). The signal had a total duration of 6-ms (3-ms onset and offset ramps, 0-ms steady-state). Absolute thresholds for this signal, presented to the left ear, were 18.2, 20.1 and 23.5 dB SPL for listeners L1, L2 and L3. The masker had a total duration of 19 ms (2-ms onset and offset ramps, 15-ms steady-state). The frequency range of the efferent effect on cochlear gain was studied by presenting a bandlimited precursor sound centred at different frequencies prior to the onset of the combined FDMC masker-signal stimulus (see Fig. 1). The ipsilateral precursor, when present, was a 160-ms (5-ms onset and offset ramps, 150-ms steady-state), 200-Hz-wide (brickwall filtered) noiseband presented at 60 dB SPL. The precursor was presented at centre frequencies of 2.5, 3.0, 3.5, 3.75, 4.0, 4.25, 4.5, 5.0 or 5.5 kHz at a precursor offset-masker onset silent interval of 10 ms. A silent interval of 10 ms was chosen to reduce potential confusion effects when the precursor frequency was close to the signal frequency. Stimuli were presented via the left channel of a pair of Sennheiser HD 600 headphones.

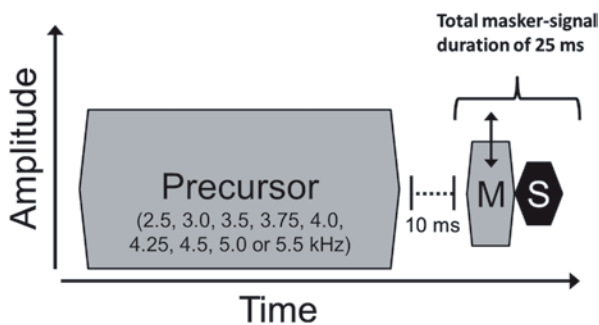


Fig. 1 Schematic of the stimuli used in phase 2 of the study. Masker (M) level at threshold for the signal (S) was measured using the FDMC method. Off-frequency or on-frequency masker-plus-signal total duration was 25 ms and masker-signal temporal interval was 0 ms. A precursor was presented prior to the combined masker-signal stimulus at frequencies of 2.5, 3.0, 3.5, 3.75, 4.0, 4.25, 4.5, 5.0 or 5.5 kHz at a level of 60 dB SPL. The silent temporal interval between precursor offset and masker onset was 10 ms. Double-headed arrow indicates that the masker level was adaptively varied in each stimulus trial

2.3 Procedure

The experiment consisted of two phases (Yasin et al. 2014). In phase 1, for each listener, signal level at threshold was measured per precursor frequency in the presence of a precursor and absence of any tonal masker, to take the forward masking of the precursor into account. In phase 2, the signal level was set at 15 dB SL (based on phase 1 results), a tonal masker was presented as well as the precursor, and masker level at threshold was measured for each precursor frequency and masker frequency. Phase 1 and phase 2 thresholds were also measured for a no-precursor condition. Thresholds were measured using a two-interval, two-alternative forced choice adaptive tracking procedure using a 2-down 1-up rule (phase 1), or a using a 2-up 1-down rule (phase 2) (Levitt 1971). Trial-by-trial feedback was provided. Per block of trials, the initial adaptive track step size was 5 dB, which reduced to 2 dB after four reversals. Threshold was obtained by averaging stimulus levels for the next eight reversals, but the block was rerun if the standard deviation was greater than 6 dB, or the nominal masker level reached 108 dB SPL or higher (due to soundcard output clipping). Listeners ran in 2 h sessions, taking breaks as needed. Condition order was randomized within sessions. Reported listener threshold values for phase 2 are the mean of 3–6 separate threshold estimates.

3 Results

The mean data obtained in the presence of a precursor are shown in Fig. 3. Masker levels at threshold obtained using a 1.8-kHz masker range from about 60 to 80 dB SPL, and remain roughly constant within this range as precursor frequency is increased from 2.5 to 5.5 kHz. Variability in data from listener L3 accounts for most of the dip seen in this data series. Masker levels at threshold obtained using a 4-kHz masker range from about 25 to 45 dB SPL, with the greatest masker level at threshold (about 45 dB SPL) associated with the presence of a precursor with a frequency close to that of the signal. Comparing masker levels at threshold for on- and off-frequency maskers in the presence of a precursor (elicits efferent activation) with masker levels obtained in the absence of precursor (absence of efferent activation), it can be seen that the greatest change in masker level at threshold (of about 20 dB) occurs when there is an on-frequency masker, rather than an off-frequency masker, and this change is greatest when the precursor frequency is the same as, or just below, the 4-kHz signal.

An estimate of inferred basilar-membrane gain can be obtained from the data as the difference between on- and off-frequency masker level values. The mean gain estimated in the absence of a precursor is 41 dB. The estimated gain (as difference between on- and off-frequency masker levels) in the presence of a precursor of different frequencies is shown in Fig. 3. Maximum gain estimates of about 40 dB indicate little effect of any efferent-mediated cochlear gain reduction, and are asso-

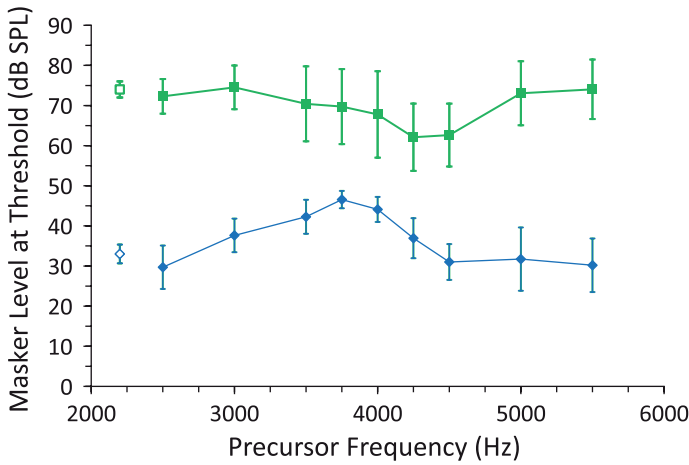


Fig. 2 Mean masker level at threshold (across three listeners) as a function of precursor frequency for on-frequency maskers (4 kHz, lower series) or off-frequency maskers (1.8 kHz, upper series). Open symbols at *left* show masker level at threshold in the absence of a precursor. Error bars show ± 1 standard error.

ciated with precursor frequencies well above and below that of the signal frequency of 4 kHz. Minimum gain estimates of about 20 dB indicate maximal effect of efferent-mediated cochlear gain reduction, and are associated with precursor frequencies close to that of signal frequency of 4 kHz. The estimated 10 dB bandwidth of the efferent-effect filter is 1300 Hz (Q_{10} of 3.1).

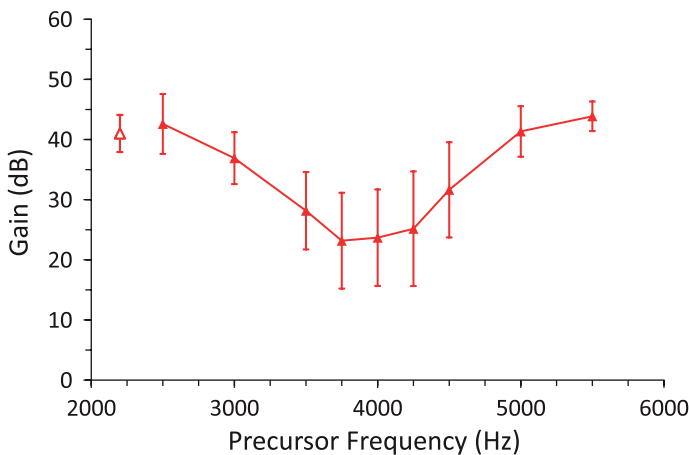


Fig. 3 Mean gain estimates (across three listeners) as a function of precursor frequency. Gain is calculated as the difference between masker levels at threshold obtained for on-frequency (4-kHz) and off-frequency (1.8-kHz) maskers (Fig. 2). Open symbol at *left* shows mean gain value in the absence of a precursor. Error bars show ± 1 standard error.

4 Discussion

The FDMC method was used to obtain an estimate of the efferent effect in the absence (without a precursor) and presence (with a precursor presented at different frequencies) of efferent activation to investigate the frequency specificity of the efferent effect on cochlear gain. There was minimum cochlear gain reduction via efferent-activation when the precursor frequency was well removed from that of the signal frequency of 4-kHz, and maximal cochlear gain reduction (about 20 dB) when the precursor frequency was the same as, or close to, that of the signal. The results suggest that the efferent effect extends for precursors up to about 0.5–0.7 octaves above and below the signal frequency. The frequency range of the efferent effect found in the present study is similar to that observed with the measurement of otoacoustic emissions in humans, using simultaneous or contralateral elicitors. The tuning of the efferent effect can extend 0.5–2 octaves above and below the frequency range of interest (spontaneous OAEs) or probe frequency (stimulus-frequency OAEs), as measured using simultaneous ipsilateral/contralateral tones (Lilaonitkul and Guinan 2009; Zhao and Dhar 2012). In most cases the tone/narrowband noise eliciting the efferent effect has maximal effect above a presentation level of 60 dB SPL, and in some cases the efferent effect has been shown to be more pronounced if the elicitor/precursor sound is about 0.5–1 octave below the probe frequency (e.g., Mott et al. 1989; Harrison and Burns 1993). However, the present study does not appear to show such a distinct asymmetry in the tuning of the efferent effect.

Overall, direct comparisons of gain estimates between OAE- and psychophysical-based measures of efferent activation are problematic, even if measuring SFOAEs with elicitors (analogous to a precursor in psychophysical studies) mainly due to constraints in stimulus design. However, once the effects of OAE stimulus constraints such as the use of a broadband elicitor (presented contralaterally to elicit the greatest efferent response), use of an ongoing long-duration probe-tone stimulus (required for pre- and post-elicitor measurement of SFOAEs), and the possibility of two-tone cochlear suppressive effects with ipsilateral elicitor presentation are taken into account, the reduction in SFOAEs with an elicitor (e.g., Guinan et al. 2003) show gain reduction trends that may be in some cases comparable to those found in the present study. The present findings suggest that the 10 dB bandwidth of the efferent-effect filter at 4000 Hz is about 1300 Hz (Q_{10} of 3.1).

5 Conclusions

1. The FDMC method can be used to provide a measure of the frequency tuning of the efferent effect in humans using narrowband ipsilateral precursors.
2. Cochlear gain reduction is greatest for precursors with frequencies close to that of the signal, and least for precursors with frequencies well removed from the signal frequency.

3. The tuning of the efferent effect filter (0.5–0.7 octaves above and below the signal frequency) is within the range obtained in humans using OAEs (Lilaonitkul and Guinan 2009; Zhao and Dhar 2012).
4. The 10-dB bandwidth of the efferent-effect filter centred at 4000 Hz is about 1300 Hz (Q_{10} of 3.1).

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