







On Structure-Preserving Cryptography and Lattices

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Abstract. The Groth-Sahai proof system is a highly efficient pairing-based proof system for a specific class of group-based languages. Cryptographic primitives that are compatible with these languages (such that we can express, e.g., that a ciphertext contains a valid signature for a given message) are called “structure-preserving”. The combination of structure-preserving primitives with Groth-Sahai proofs allows to prove complex statements that involve encryptions and signatures, and has proved useful in a variety of applications. However, so far, the concept of structure-preserving cryptography has been confined to the pairing setting.

In this work, we propose the first framework for structure-preserving cryptography in the lattice setting. Concretely, we

- define “structure-preserving sets” as an abstraction of (typically noisy) lattice-based languages,
- formalize a notion of generalized structure-preserving encryption and signature schemes (capturing a number of existing lattice-based encryption and signature schemes),
- construct a compatible zero-knowledge argument system that allows to argue about lattice-based structure-preserving primitives,
- offer a lattice-based construction of verifiably encrypted signatures in our framework.

Along the way, we also discover a new and efficient *strongly* secure lattice-based signature scheme. This scheme combines Rückert’s lattice-based signature scheme with the lattice delegation strategy of Agrawal et al., which yields more compact and efficient signatures.

We hope that our framework provides a first step towards a modular and versatile treatment of cryptographic primitives in the lattice setting.

Keywords: Structure-preserving cryptography · lattice-based cryptography · public-key cryptography

1 Introduction

Structure-Preserving Cryptography. Groth-Sahai (GS) proofs [34] are practical non-interactive zero-knowledge (NIZK) proof systems for a very general class of group-based languages. Essentially, GS proofs allow to argue in zero-knowledge about the

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satisfiability of systems of equations over groups that may involve exponentiation, of course group operations, and even pairing operations. When used in conjunction with “suitably algebraic” group-based cryptographic primitives (like encryption or signature schemes), GS proofs allow to efficiently prove complex statements like “This ciphertext contains an electronic passport for John Smith that is certified by a government authority.”¹ In comparison to a generic approach (with, say, a generic NIZK system for NP [26]), such a “native” approach is significantly more practical.

“Suitably algebraic” cryptographic primitives are called *structure-preserving* [2, 32] (or, in a slightly different formulation, *automorphic* [28]). Numerous examples of structure-preserving signature (e.g., [1–3, 19, 20, 33]) and public-key encryption schemes (e.g., [15, 23, 25, 37]), as well as other primitives (e.g., [12, 50]) are known, based on different computational assumptions, and having different efficiency and security features.

All of these building blocks can be combined, and GS proofs can be used to argue about such combinations efficiently. However, so far, the paradigm of structure-preserving relies on a particular algebraic setting (of pairing-friendly cyclic groups), and it is unclear whether a similar modular combination of cryptographic primitives is also possible over other domains.²

This Work: Structure-Preserving Cryptography over Lattices. In this work, we initiate the study of structure-preserving cryptography over lattices. We put forward suitable definitions of structure-preserving signature and encryption schemes, and present a suitable NIZK system for proving statements about combinations of these primitives. Hence, in short, our core contributions are

- a suitable definition of lattice-based structure-preserving cryptographic primitives (including the modeling of a number of existing signature and encryption schemes according to this definition),
- a suitable zero-knowledge argument system that allows to show statements about lattice-based structure-preserving primitives,
- as an application (and to demonstrate the usefulness of our approach), a modular lattice-based protocol for verifiably encrypted signatures.

As we will explain, our notion of lattice-based structure-preserving primitives is not quite as universal as in the GS setting. This allows us to model a large class of primitives, but also asks for some degree of compatibility among the used primitives. We still believe that our abstract framework is a step towards plug-and-play lattice-based cryptography. Indeed, one benefit of our approach is modularity: It is true that the security

¹ Such a combination has been suggested before (e.g., [10, 11, 13]), but GS proofs allow a much more general treatment, and a broader class of languages and potential applications.

² Of course, dedicated protocols for concrete tasks (such as identity escrow [35] or verifiable encryption [16]) exist also based on other assumptions. Also, very efficient lattice-based commit-and-prove protocols for general classes of languages exist in the random oracle model [40]. However, nothing comparable to the full “structure-preserving cryptography” paradigm (that ensures a non-interactive and conceptually simple plug-and-play combination of different primitives) exists in other algebraic settings.

analysis for each lattice-based component (i.e., signature or encryption scheme) needs to keep track of noise growth and failure probabilities. However, due to our interface, this analysis needs to be done only once *per component*, not once for every possible *combination of components*.

Contribution 1: A Definition of Lattice-Based Structure-Preserving Primitives. First, we cannot use or easily adapt existing (group-based) definitions of structure-preserving primitives: with computations over lattices, there is no equivalent of “exponentiation” or “pairing”. Besides, typically lattice-based ciphertexts or signatures often feature a “noise term”, which may grow with operations on these values. Once the noise term becomes too large, decryption or verification becomes unreliable. Hence, operations on these values are limited in a quantitative way, and this limitation should be reflected in a definition of structure-preserving cryptography.

Since lattice-based cryptographic constructions usually work over the ring \mathbb{Z}_q (for a suitable integer q), it is tempting to call the solutions to arbitrary systems of linear equations over \mathbb{Z}_q , possibly with boundaries on norms (to accommodate noise terms), structure-preserving. Unfortunately, we do not know how to instantiate a proof system for such general sets in the standard model.³

So instead of trying to match the group-based definition, we start from scratch with a relatively simple definition of “structure-preserving sets” modelling exactly the noise terms of lattice-based cryptography. We present a standard-model non-interactive proof system for these sets, and aim to interpret signatures and ciphertexts (or, rather, the randomness of ciphertexts) as structure-preserving sets. To express more powerful statements in terms of structure-preserving sets, we additionally require our structure-preserving signature and encryption schemes to allow for suitable homomorphic operations (that, e.g., allow to verify a signature inside an encryption scheme).

Fortunately, we discover that several existing signature and encryption schemes satisfy our definitions. Examples include Regev encryption [45] and its dual variant [30], the GSW leveled homomorphic encryption scheme [31], and the signature schemes of Boyen [14] and Rückert [46].⁴

At this point, the mentioned required compatibility among used primitives is crucial: we unfortunately cannot combine arbitrary lattice-based structure-preserving encryption and signature schemes. Essentially, we require that the encryption scheme allows to homomorphically verify an encrypted signature. This allows to combine, e.g., the GSW FHE scheme with all of the mentioned signature schemes; alternatively, we can combine any additively homomorphic scheme (such as Regev’s scheme or its dual variant) with Rückert’s scheme or its mentioned new and more compact variant, but *not* with Boyen’s scheme.

Contribution 2: A Compatible NIZK Argument System. To allow arguing about combinations of encryption and signature schemes, we also introduce an analogue of GS

³ We note that in the random oracle model, very efficient such proof systems exist [24, 42].

⁴ Rückert’s scheme uses the “Bonsai trees” lattice delegation method of [18]. As an aside, we also make explicit a vastly more compact version of Rückert’s scheme that uses the more compact lattice delegation strategy of [5]. While this modification entails no significant technical complications, it may be worthwhile to point out.

proofs. In our case, we use the LWE-based NIZK system of Libert et al. [36] as a basis. This proof system is based upon a Σ -protocol [21] for proving that an LWE encryption contains a certain value. (That Σ -protocol is later converted to a NIZK system by applying the Fiat-Shamir transform [27] in the standard model, with a correlation-intractable hash function). To suit our needs, however, we need to generalize this proof system to structure-preserving sets (i.e., to statements that are valid “up to noise”). This requires a more careful analysis, and in particular a liberal use of rejection sampling [38].

We should emphasize that we are interested in a standard-model proof system. Indeed, while our application does not require this, we would like to be able to argue about encrypted *proofs* (and thus achieve the “nestable” property of Groth-Sahai proofs). If proof verification involves random oracle queries, this is not possible transparently. We should note, however, that our proof system supports only linear languages, while its verification itself is not linear. Hence, nesting proofs of our proof system is only possible when using leveled homomorphic encryption schemes (that allow to verify even a nonlinear encrypted proof through homomorphic evaluation). We leave open the construction of a lattice-based proof system for a language that includes its own verification.

Contribution 3: Lattice-Based Verifiably Encrypted Signatures. Finally, we demonstrate the usefulness of our approach using the setting of verifiably encrypted signatures [7, 13, 29, 47]. Concretely, we show how to combine lattice-based structure-preserving signature and an encryption schemes to obtain a scheme that allows to prove that a given ciphertext contains an encryption of a valid signature for given (publicly known) message. While generic constructions (e.g., using lattice-based zero-knowledge for NP [43]) for this task are possible, and very efficient techniques for related problems exist in the random oracle world [24, 42], it appears that our protocol is the first non-generic (i.e., at least somewhat efficient) lattice-based verifiably encrypted signature scheme in the standard model.

More Related Work. As already mentioned, there is a very successful line of work [8, 24, 40, 41] that aims at practical (non-interactive) zero-knowledge proofs from lattices in the random oracle model. The supported languages are very general and include typical “noisy linear” languages, as crucial for many lattice-based schemes. Conceptually, these schemes are commit-and-prove schemes, much like Groth-Sahai proofs.

On the other hand, the use of random oracles appears inherent. For instance, the scheme from [40] is obtained by using the Fiat-Shamir transform on a suitable Σ -protocol. Unlike in our setting, these Σ -protocols do not appear to satisfy the requirements for the use of correlation-intractable hash functions as replacements for random oracles. Still, when one is not interested in nesting proofs (and if one accepts random oracles), then these protocols appear to be excellent replacements for our proof system.

1.1 Technical Overview

We now take a closer look at our framework. Our first step will be to define *structure-preserving sets*, an abstraction of “noise terms” that are omnipresent in lattice-based cryptography.

Structure-Preserving Sets. We call a set $S \subseteq \mathbb{Z}_q^d$ *structure-preserving* if there is a (“noise”) distribution \mathcal{D} such that

- \mathcal{D} “smudges” elements from S in the sense that for any $s, s' \in S$ and $\mathbf{d} \leftarrow \mathcal{D}$, the values $s + \mathbf{d}$ and $s' + \mathbf{d}$ are statistically close.⁵
- Smudging with \mathcal{D} preserves (non-)membership in S , in the sense that for $\bar{S} = \mathbb{Z}_q^d \setminus S$, we have that $S + \text{supp}(\mathcal{D})$ and $\bar{S} + \text{supp}(\mathcal{D})$ are disjoint.⁶ This condition guarantees that the smudging process is non-trivial.

The set of short-norm vectors is structure-preserving according to (the non-oversimplified version of) this definition. But structure-preserving sets also cover more complex cases, such as the set of vectors close to a given vector, (the union of) intervals, or the cartesian product of structure-preserving sets. In essence, we only require that a structure-preserving set is “non-trivially smudgeable”.

Jumping ahead, structure-preserving sets will be used to model, e.g., the “raw” (i.e., un-rounded) verification output of signature schemes. This verification output only encodes a bit (the verification verdict), but may need to be smudged for further processing to avoid leakage about the signature. In fact, we now proceed to (informally) define structure-preserving signature and encryption schemes.

Structure-Preserving Signatures. A (lattice-based) signature scheme is called structure-preserving for a family \mathcal{F} of functions if each verification key vk and message msg defines an $f \in \mathcal{F}$ such that a given signature σ is valid if and only if $f(\sigma) \in S$ for a (fixed) structure-preserving set S .⁷ We will be particularly interested in families \mathcal{F} of *linear* functions, since such \mathcal{F} will allow for (non-generic) zero-knowledge proofs. This is also the reason for the need to smudge f ’s output: existing lattice-based signature schemes usually postprocess the result of a linear operation with a rounding step obtain the verification verdict bit. Instead of this rounding step, we require that $f(\sigma) \in S$.

We show that Rückert’s signature scheme [46] is structure-preserving for a linear \mathcal{F} , and that Boyen’s signature scheme [14] is structure-preserving for an \mathcal{F} that contains linear functions and functions computed by low-depth Boolean circuits. Additionally, we present a more compact variant of Rückert’s scheme (that is also strongly secure and structure-preserving for a linear \mathcal{F}). This new scheme is retrieved by replacing the “Bonsai trees” lattice delegation method of [18] with the more compact lattice delegation strategy of [5].

Structure-Preserving Encryption. We say that a (lattice-based) encryption scheme is structure-preserving if ciphertexts are of the form

$$ct = \mathbf{B}r + g(msg)$$

⁵ This is an oversimplification. In particular, for, e.g., the set of short vectors S to be structure-preserving, we need a slightly more relaxed definition. Our actual definition involves rejection sampling and actually only requires “closeness in a significant portion of cases”.

⁶ Again, this oversimplifies. We really only require this for almost all vectors of \bar{S} and a large enough subset of $\text{supp}(\mathcal{D})$.

⁷ Our actual definition also considers signatures which carry “tags” which can be used to pre-process messages prior to verifying (but whose publication does not harm security).

for a matrix $\mathbf{B} \in \mathbb{Z}_q^{d \times r}$, $\mathbf{r} \in S$ for a structure-preserving set S , and an invertible and additively homomorphic “message encoding function” g .⁸ Intuitively, we require that $\mathbf{r} \in S$ to be able to argue about “valid encryptions” (for which the encrypted message is uniquely determined).

For our applications, it will also be beneficial if the scheme is \mathcal{F} -homomorphic, in the sense that $\text{ct} = \mathbf{B}\mathbf{r} + g(\text{msg})$ allows to efficiently compute $\text{ct}' = \mathbf{B}\mathbf{r}' + g(f(\text{msg}))$ for any $f \in \mathcal{F}$ (possibly at the price of a larger noise).

We observe that Regev’s encryption scheme [45], its dual variant [30], and the GSW leveled homomorphic encryption scheme [31] fit our framework (for linear functions, resp. low-depth circuits). While itself not technically involved, this provides a helpful uniform way to reason about these schemes.

A Zero-Knowledge Protocol for Encrypted Structure-Preserving Sets. Our last ingredient is a suitable (lattice-based, non-interactive) zero-knowledge proof system that allows to argue about structure-preserving primitives (and in particular structure-preserving sets). More concretely, we start with a Σ -protocol that shows that a given ciphertext (from an arbitrary structure-preserving encryption scheme) encrypts an element $\text{msg} \in S$ from a structure-preserving set S .

This Σ -protocol is derived from a Σ -protocol due to Libert et al. [36] for proving equality of encrypted messages (where the used encryption scheme is a variant [6] of Regev encryption). The basic protocol of [36] (following Schnorr’s blueprint [49]) proceeds as follows. Say that we want to show that a given ciphertext ct is an encryption of 0.⁹ The prover P then starts by sending a fresh 0-encryption ct_0 to the verifier V . Then V chooses to either open ct_0 or $\text{ct}_0 + \text{ct}$ (by sending the random coins of that ciphertext).

Soundness follows from the fact that if ct is not a 0-encryption, then at least one of the two ciphertexts ct_0 and $\text{ct}_0 + \text{ct}$ encrypts a nonzero value. (Of course, to obtain a negligible soundness error, the above protocol will have to be repeated). Zero-knowledge follows from the fact that if one knows in advance which ciphertext is opened, one can program ct_0 such that the to-be-opened ciphertext surely encrypts 0.

In our setting, we want to prove that ct encrypts some $s \in S$ (without revealing s). Since S is a structure-preserving set, we can smudge s with a suitable smudging vector $\mathbf{d} \leftarrow \mathcal{D}$. When we set up ct_0 as an encryption of such a \mathbf{d} , we obtain that

- opening ct_0 reveals only a smudging value \mathbf{d} , and
- opening $\text{ct}_0 + \text{ct}$ reveals a smudged value $s + \mathbf{d}$, which is (almost) statistically independent of s .

Hence, using a similar strategy as in [36], we obtain zero-knowledge. Moreover, since smudging preserves (non-)membership in S , we obtain soundness (after sufficiently many repetitions). The actual proof is more involved than this overview, of course, largely because of the already mentioned rejection sampling necessary for statistical closeness.

⁸ We also define the notion of a “noise level” of a ciphertext which we ignore in this overview.

⁹ Since the used homomorphic encryption scheme is homomorphic, we can reduce proving equality of ciphertexts to proving 0-encryptions.

We only briefly mention that our protocol is compatible with recent standard-model techniques [17, 43] to transform Σ -protocols in the lattice setting into non-interactive zero-knowledge (NIZK) proofs. We use a sophisticated variant [36] of this approach¹⁰ that even achieves unbounded simulation-soundness for specific classes of Σ -protocols. In the end, we obtain a NIZK argument system for encrypted structure-preserving sets.

From Structure-Preserving sets to Structure-Preserving Primitives. As an application (and to demonstrate the usefulness of our proof system), we construct a verifiably encrypted signature (VES [7, 13, 29, 47]) scheme. Intuitively, in a VES scheme, a dedicated signer hands out *encrypted signatures* (i.e., signatures generated using the signer’s secret key, and encrypted under the public key of a designated “adjudicator”). Such encrypted signatures also contain a NIZK proof of validity (i.e., of the fact that the given ciphertext really contains a valid signature for a given message). In case of a conflict, however, the adjudicator can extract (by decrypting) a “proper” (i.e., non-simulatable) signature from a given encrypted signature. VES schemes are useful, e.g., in contract signing applications [7, 13].

Using our framework, a lattice-based VES scheme can be obtained generically from a structure-preserving signature scheme, a structure-preserving encryption scheme with compatible message space (and such that it allows to homomorphically verify signatures), and our zero-knowledge proof system for (encrypted) structure-preserving sets. These primitives are combined in a straightforward way. Perhaps the most interesting part of this construction is the fact that it suffices to prove that an encrypted value comes from a structure-preserving set. Indeed, to prove that a given encryption contains a valid signature, we (a) first homomorphically verify that signature inside the encryption, and (b) then prove that the result corresponds to an “accept”. Recall that by our definition of structure-preserving signatures, this means proving membership in a structure-preserving set.

Our formal proof is similar to a proof for an existing VES scheme by Fuchs-bauer [29] that uses pairing-based structure-preserving cryptography.

1.2 Roadmap

After recalling some notation and standard building blocks in Sect. 2, we present our definition of structure-preserving sets in Sect. 3. Building on this definition, we proceed with our notions of structure-preserving signatures (Sect. 4) and structure-preserving encryption schemes (Sect. 5). We identify and construct example schemes in Sect. 4.1 and 5.1 and more in the full version. Our Σ -protocol for (encrypted) structure-preserving sets appears in Sect. 6, followed by its conversion to a NIZK proof system in Section 7. The VES application follows in Section 8 where we also discuss its efficiency.

¹⁰ One important advantage of [36] is that it only requires the homomorphic evaluation of a low-depth circuit in the computation of the CI-Hash function from [43].

2 Preliminaries

2.1 Notation

A function f is *negligible* if for every polynomial $p(\cdot)$, there exists an $n_0 \in \mathbb{N}$ such that for every $n > n_0$ it holds that $f(n) < \frac{1}{p(n)}$. We write negl to denote an arbitrary negligible function. Let X and Y be two probability distributions over a domain Ω . The *statistical distance* between X and Y is defined as $\Delta(X, Y) := \frac{1}{2} \sum_{\omega \in \Omega} |\Pr[X = \omega] - \Pr[Y = \omega]|$. We say that two ensembles $\{X_n\}_{n \in \mathbb{N}}$ and $\{Y_n\}_{n \in \mathbb{N}}$ of distributions are *statistically indistinguishable*, denoted as $\{X_n\}_{n \in \mathbb{N}} \approx_s \{Y_n\}_{n \in \mathbb{N}}$, if $\Delta(X_n, Y_n) = \text{negl}(n)$. We say that two ensembles $\{X_n\}_{n \in \mathbb{N}}$ and $\{Y_n\}_{n \in \mathbb{N}}$ of distributions are *computationally indistinguishable*, denoted as $\{X_n\}_{n \in \mathbb{N}} \approx_c \{Y_n\}_{n \in \mathbb{N}}$, if for every probabilistic polynomial time (PPT) adversary \mathcal{A} , we have $|\Pr[\mathcal{A}(X_n) = 1] - \Pr[\mathcal{A}(Y_n) = 1]| = \text{negl}(n)$.

Let S be a finite set. Then by $x \leftarrow_R S$ we mean that x was sampled from the uniform distribution over S . For a probability distribution \mathcal{D} on S we denoted the support by $\text{supp}(\mathcal{D}) \subseteq S$.

Let $\mathbf{x} \in \mathbb{R}^n$ be a column vector. The x_i , for $i \in \{1, \dots, n\}$ denotes the i -th coordinate of \mathbf{x} . The ℓ_2 -norm of \mathbf{x} is defined as $\|\mathbf{x}\| := \sqrt{\sum_{i=1}^n x_i^2}$. The ℓ_2 norm of a matrix $\mathbf{M} \in \mathbb{R}^{n \times m}$ is defined as $\|\mathbf{M}\| = \sup_{\mathbf{x} \in \mathbb{R}^m, \mathbf{x} \neq \mathbf{0}} \frac{\|\mathbf{M}\mathbf{x}\|}{\|\mathbf{x}\|}$. We denote $\overline{\mathbf{M}}$ the Gram-Schmidt orthogonalization of the matrix \mathbf{M} .

For two sets $A, B \subseteq \mathbb{Z}_q^n$, we define the sets $A \setminus B, A + B, A - B \subseteq \mathbb{Z}_q^n$ as follows:

$$\begin{aligned} A \setminus B &:= \{x \mid x \in A \wedge x \notin B\}, \\ A + B &:= \{(a_1 + b_1, \dots, a_n + b_n) \mid (a_1, \dots, a_n) \in A, (b_1, \dots, b_n) \in B\}, \\ A - B &:= \{(a_1 - b_1, \dots, a_n - b_n) \mid (a_1, \dots, a_n) \in A, (b_1, \dots, b_n) \in B\}. \end{aligned}$$

If $A = \emptyset$ or $B = \emptyset$, then we define $A + B := \emptyset$ and $A - B := \emptyset$.

We use $B_\delta(S) := \{\mathbf{v} \in \mathbb{Z}_q^n \mid (\min_{\mathbf{s} \in S, \mathbf{x} \in \mathbb{Z}^n} \|\mathbf{v} - \mathbf{s} + q\mathbf{x}\|) \leq \delta\}$ to denote the closed δ -ball around a set of vectors $S \subseteq \mathbb{Z}_q^n$.

We write $H \leq G$ to denote that H is a subgroup of a group G .

We say that a function $f: X \rightarrow Y$ is *invertible* if there exists a function $f^{-1}: Y \rightarrow X \cup \{\perp\}$ such that (i) f^{-1} is efficiently computable, (ii) for every $x \in X$ it holds $f^{-1}(f(x)) = x$, and (iii) for every $y \in Y \setminus \text{Img}(f)$ it holds $f^{-1}(y) = \perp$.

2.2 Lattices

Let us recall various basic lattice notions and hardness problems that we need in later sections of this work.

Let $\Sigma \in \mathbb{R}^{n \times n}$ be a symmetric positive-definite matrix, and $\mathbf{c} \in \mathbb{R}^n$. Then the *Gaussian function* on \mathbb{R}^n is defined as $\rho_\Sigma(\mathbf{x}) := \exp\{-\pi\mathbf{x}^\top \Sigma^{-1}\mathbf{x}\}$. The function extends to sets in the usual way. That is, for any countable set $A \subset \mathbb{R}^n$, $\rho_\Sigma(A) := \sum_{\mathbf{x} \in A} \rho_\Sigma(\mathbf{x})$. Moreover, for every countable set $A \subset \mathbb{R}^n$ and any $\mathbf{x} \in A$, the *discrete Gaussian function* is defined by $\rho_{A, \Sigma}(\mathbf{x}) := \frac{\rho_\Sigma(\mathbf{x})}{\rho_\Sigma(A)}$ and we denote the corresponding *discrete Gaussian distribution* as $\mathcal{D}_{A, \Sigma}$. If $\Sigma = \sigma^2 \cdot \mathbf{I}_n$, where \mathbf{I}_n is the $n \times n$ identity matrix,

we denote the Gaussian function as ρ_σ , the discrete Gaussian function as $\rho_{A,\sigma}$ and the discrete Gaussian distribution as $\mathcal{D}_{A,\sigma}$ for short. We will make use of the following tail bound for the discrete Gaussian distribution for \mathbb{Z}^n .

Lemma 2.1 ([39, Lemma 4.4]). *For any $k > 1$ we have $\Pr_{\mathbf{x} \leftarrow \mathcal{D}_{\mathbb{Z}^n, \sigma}}[\|\mathbf{x}\| > k\sigma\sqrt{n}] < k^n e^{\frac{n}{2}(1-k^2)}$.*

Let $\mathbf{B} \in \mathbb{R}^{m \times n}$ be a matrix with linearly independent columns $\mathbf{b}_1, \dots, \mathbf{b}_n \in \mathbb{R}^m$ for $m \geq n$. The m -dimensional lattice Λ with lattice basis \mathbf{B} is defined as $\Lambda = \{\mathbf{y} \in \mathbb{R}^m \mid \exists \mathbf{s} \in \mathbb{Z}^n, \mathbf{y} = \mathbf{B}\mathbf{s}\}$. The dual lattice of Λ is defined as $\Lambda^* := \{\mathbf{z} \in \mathbb{R}^m \mid \forall \mathbf{y} \in \Lambda, \mathbf{z}^\top \mathbf{y} \in \mathbb{Z}\}$. For $q \geq 2$ and a matrix $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ we define two m -dimensional integer lattices $\Lambda^\perp(\mathbf{A}) := \{\mathbf{x} \in \mathbb{Z}^m \mid \mathbf{A}\mathbf{x} = \mathbf{0} \pmod q\}$ and $\Lambda(\mathbf{A}) = \{\mathbf{y} \in \mathbb{Z}^m \mid \exists \mathbf{s} \in \mathbb{Z}^n, \mathbf{A}^\top \mathbf{s} = \mathbf{y} \pmod q\}$.

Definition 2.2 (Learning With Errors). *Let q, m, n be positive integers and χ be a probability distribution on \mathbb{Z} . The $\text{LWE}_{m,n,q,\chi}$ problem is to distinguish the following two distributions: $\{(\mathbf{A}, \mathbf{b}) \mid (\mathbf{A}, \mathbf{b}) \leftarrow_{\mathbb{R}} \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^m\}$ and $\{(\mathbf{A}, \mathbf{b}) \mid \mathbf{A} \leftarrow_{\mathbb{R}} \mathbb{Z}_q^{n \times m}, \mathbf{s} \leftarrow_{\mathbb{R}} \mathbb{Z}_q^n, \mathbf{e} \leftarrow \chi^m, \mathbf{b} := \mathbf{A}^\top \mathbf{s} + \mathbf{e}\}$.*

Definition 2.3 (LWE with short secrets). *Let q, m, n be positive integers and χ be a probability distribution on \mathbb{Z} . The $\text{SSLWE}_{m,n,q,\chi}$ problem is to distinguish the following two distributions: $\{(\mathbf{A}, \mathbf{b}) \mid (\mathbf{A}, \mathbf{b}) \leftarrow_{\mathbb{R}} \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^m\}$ and $\{(\mathbf{A}, \mathbf{b}) \mid \mathbf{A} \leftarrow_{\mathbb{R}} \mathbb{Z}_q^{n \times m}, \mathbf{s} \leftarrow \chi^n, \mathbf{e} \leftarrow \chi^m, \mathbf{b} := \mathbf{A}^\top \mathbf{s} + \mathbf{e}\}$.*

Definition 2.4 (Short Integer Solution). *Let q, m, n be positive integers, $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ and $\beta \in \mathbb{R}$. The $\text{SIS}_{m,n,q,\beta}$ problem in ℓ_2 norm is to find a non-zero vector $\mathbf{x} \in \mathbb{Z}^m$ such that $\mathbf{A}\mathbf{x} = \mathbf{0} \pmod q$ and $\|\mathbf{x}\| \leq \beta$.*

Definition 2.5 (Inhomogeneous Short Integer Solution). *Let q, m, n be positive integers, $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$, $\mathbf{y} \in \mathbb{Z}_q^n$ and $\beta \in \mathbb{R}$. The $\text{ISIS}_{m,n,q,\beta}$ problem in ℓ_2 norm is to find a non-zero vector $\mathbf{x} \in \mathbb{Z}^m$ such that $\mathbf{A}\mathbf{x} = \mathbf{y} \pmod q$ and $\|\mathbf{x}\| \leq \beta$.*

Remark 2.6. When the $\text{SIS}_{m,n,q,\beta}$ problem is hard, the $\text{ISIS}_{m,n,q,\beta'}$ problem is hard as well where β' is only slightly larger than β .

We will use the following variant of the Rejection Sampling Lemma by Lyubashevsky to “smudge” small noise – despite working with a polynomial modulus – by rejection sampling.

Lemma 2.7 ([39, Theorem 4.6]). *For all $T \in \mathbb{N}$ and $\sigma \geq T\sqrt{n}$ there exists a constant M such that for all $\mathbf{v} \in \mathbb{Z}^n$ with $\|\mathbf{v}\| \leq T$ the distribution*

$$\mathbf{d} \leftarrow \mathcal{D}_{\mathbb{Z}^n, \sigma}, \mathbf{z} := \mathbf{v} + \mathbf{d}, \text{ Output: } \begin{cases} \mathbf{z} & \text{with prob. } \min\left(\frac{\rho_{\mathbb{Z}^n, \sigma}(\mathbf{z})}{M\rho_{\mathbb{Z}^n, \sigma}(\mathbf{d})}, 1\right) \\ \perp & \text{otherwise} \end{cases}$$

is within statistical distance $1/(M2^n)$ of

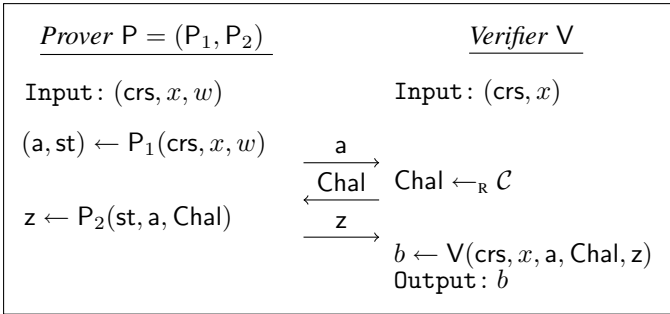
$$\mathbf{d} \leftarrow \mathcal{D}_{\mathbb{Z}^n, \sigma}, \text{ Output: } \begin{cases} \mathbf{d} & \text{with prob. } 1/M \\ \perp & \text{otherwise} \end{cases}.$$

2.3 Cryptographic Primitives

We first recall the definition of a gap Σ -protocol and a trapdoor gap Σ -protocol. Our definitions are adapted from the work of Libert et al. [36] which in turn closely follow the definitions put forward by Canetti et al. [17].

Definition 2.8 (Gap Σ -protocol). Let $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$ be a language associated with two NP relations $\mathcal{R}_{\text{zk}}, \mathcal{R}_{\text{sound}}$ s.t. $\mathcal{L}_{\text{zk}} \subseteq \mathcal{L}_{\text{sound}}$ (i.e., \mathcal{L} is a gap language).

Let $\text{Setup}(1^\lambda, \mathcal{L})$ be an algorithm that takes an unary encoded security parameter $\lambda \in \mathbb{N}$ and a language description \mathcal{L} as input and outputs a common reference string crs . An interactive proof system $\Pi = (\text{Setup}, \text{P}, \text{V})$ in the common reference string model is a Gap Σ -protocol for \mathcal{L} if it has the following 3-move form, where $\text{crs} \leftarrow \text{Setup}(1^\lambda, \mathcal{L})$, x is a statement and w is a witness:



and the following properties holds:

Completeness: If $(x, w) \in \mathcal{R}_{\text{zk}}$ and both P and V follow the protocol, then V accepts with probability $1 - \text{negl}(\lambda)$. Formally, for every $(x, w) \in \mathcal{R}_{\text{zk}}$, we have

$$\Pr \left[V(\text{crs}, x, a, \text{Chal}, z) = 1 \mid \begin{array}{l} \text{crs} \leftarrow \text{Setup}(1^\lambda, \mathcal{L}), \\ (a, \text{st}) \leftarrow P_1(\text{crs}, x, w), \\ \text{Chal} \leftarrow_{\mathcal{R}} \mathcal{C}, z \leftarrow P_2(\text{st}, a, \text{Chal}) \end{array} \right] \geq 1 - \text{negl}(\lambda).$$

Special zero-knowledge: There exists a PPT simulator ZKSim such that for any $\text{crs} \in \text{Setup}(1^\lambda, \mathcal{L})$, any $(x, w) \in \mathcal{R}_{\text{zk}}$ and any challenge $\text{Chal} \in \mathcal{C}$, the following distributions are computationally indistinguishable:

$$\{(a, \text{Chal}, z) \mid (a, z) \leftarrow \text{ZKSim}(\text{crs}, x, \text{Chal})\} \approx_c \{(a, \text{Chal}, z) \mid (a, \text{st}) \leftarrow P_1(\text{crs}, x, w), z \leftarrow P_2(\text{st}, a, \text{Chal})\}.$$

Special soundness: For any CRS $\text{crs} \in \text{Setup}(1^\lambda, \mathcal{L})$, any $x \notin \mathcal{L}_{\text{sound}}$, and any first prover’s message a , there exists at most one challenge $\text{Chal} = f(\text{crs}, x, a) \in \mathcal{C}$ for which there exists a valid prover’s reply z , i.e., $V(\text{crs}, x, a, \text{Chal}, z) = 1$. The function f is called the bad challenge function of Π .

Definition 2.9 (Trapdoor gap Σ -protocol). Let $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$ be a language associated with two NP relations $\mathcal{R}_{\text{zk}}, \mathcal{R}_{\text{sound}}$, s.t. $\mathcal{L}_{\text{zk}} \subseteq \mathcal{L}_{\text{sound}}$. A gap Σ -protocol $\Pi = (\text{Setup}, \text{P}, \text{V})$ for \mathcal{L} with a bad challenge function f is a trapdoor gap Σ -protocol if there exist PPT algorithms $(\text{TrapSetup}, \text{BadChallenge})$ with the following syntax:

$\text{TrapSetup}(1^\lambda, \mathcal{L}, \tau_{\mathcal{L}})$: Given public parameters par , language \mathcal{L} and a membership trapdoor $\tau_{\mathcal{L}}$ for the language $\mathcal{L}_{\text{sound}}$ as input, it outputs a CRS crs and a trapdoor $\tau_{\Sigma} \in \{0, 1\}^{\ell_{\tau}}$ for some $\ell_{\tau}(\lambda)$;

$\text{BadChallenge}(\tau_{\Sigma}, \text{crs}, x, a)$: Given a trapdoor τ_{Σ} , a CRS crs , a statement x and a first prover message a as input, it outputs a challenge Chal ;

and satisfying the following properties:

CRS indistinguishability: For any trapdoor $\tau_{\mathcal{L}}$ for the language $\mathcal{L}_{\text{sound}}$, the following distributions are computationally indistinguishable

$$\{\text{crs} \mid \text{crs} \leftarrow \text{Setup}(1^\lambda, \mathcal{L})\} \approx_c \{\text{crs} \mid \text{crs} \leftarrow \text{TrapSetup}(1^\lambda, \mathcal{L}, \tau_{\mathcal{L}})\}.$$

Correctness: There exists a language-specific trapdoor $\tau_{\mathcal{L}}$ s.t. for any instance $x \notin \mathcal{L}_{\text{sound}}$, all pairs $(\text{crs}, \tau_{\Sigma}) \in \text{TrapSetup}(1^\lambda, \mathcal{L}, \tau_{\mathcal{L}})$ and any first prover message a , we have $\text{BadChallenge}(\tau_{\Sigma}, \text{crs}, x, a) = f(\text{crs}, x, a)$.

Let us now recall the definition of a Non-Interactive Zero Knowledge (NIZK) proof. We closely follow the definition given by Libert et al. [36].

Definition 2.10 (NIZK). Let $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$ be a language associated with two NP relations $\mathcal{R}_{\text{zk}}, \mathcal{R}_{\text{sound}}$, such that $\mathcal{L}_{\text{zk}} \subseteq \mathcal{L}_{\text{sound}}$ and statements are of bit-length N . A non-interactive zero-knowledge (NIZK) argument system Π for a language \mathcal{L} consists of three PPT algorithms $(\text{Setup}, \text{P}, \text{V})$ with the following syntax:

$\text{Setup}(1^\lambda, \mathcal{L}, \tau_{\mathcal{L}})$: Given an unary encoded security parameter λ , a language \mathcal{L} and a membership testing trapdoor $\tau_{\mathcal{L}}$ for \mathcal{L} as input, it outputs a CRS crs .

$\text{P}(\text{crs}, x, w)$: Given a CRS crs , a statement $x \in \{0, 1\}^N$, and a witness w as input, the proving algorithm outputs a proof π .

$\text{V}(\text{crs}, x, \pi)$: Given a CRS crs , a statement $x \in \{0, 1\}^N$, and a proof π as input, the verification algorithm outputs a decision bit.

Moreover, Π should satisfy the following properties.

Completeness: For any $(x, w) \in \mathcal{R}_{\text{zk}}$, any $\text{lbl} \in \{0, 1\}^*$ and any membership testing trapdoor $\tau_{\mathcal{L}}$ for \mathcal{L} , we have

$$\Pr[\text{V}(\text{crs}, x, \pi) = 1 \mid \text{crs} \leftarrow \text{Setup}(1^\lambda, \mathcal{L}, \tau_{\mathcal{L}}), \pi \leftarrow \text{P}(\text{crs}, x, w)] \geq 1 - \text{negl}(\lambda).$$

Soundness: For any $x \in \{0, 1\}^N \setminus \mathcal{L}_{\text{sound}}$, any membership testing trapdoor $\tau_{\mathcal{L}}$ for \mathcal{L} and any PPT prover P^* , we have

$$\Pr[\text{V}(\text{crs}, x, \pi) = 1 \mid \text{crs} \leftarrow \text{Setup}(1^\lambda, \mathcal{L}, \tau_{\mathcal{L}}), \pi \leftarrow \text{P}^*(\text{crs}, x)] \leq \text{negl}(\lambda).$$

Zero-Knowledge: There is a PPT simulator $(\text{Sim}_0, \text{Sim}_1)$ such that for any PPT adversary \mathcal{A} , we have that for all trapdoors $\tau_{\mathcal{L}}$:

$$\begin{aligned} & \left| \Pr[1 \leftarrow \mathcal{A}^{\mathcal{O}_{\text{P}}(\text{crs}, \cdot, \cdot)}(\text{crs}) \mid \text{crs} \leftarrow \text{Setup}(1^\lambda, \mathcal{L}, \tau_{\mathcal{L}})] \right. \\ & \quad \left. - \Pr[1 \leftarrow \mathcal{A}^{\mathcal{O}_{\text{Sim}}(\text{crs}, \tau_{\text{zk}}, \cdot, \cdot)}(\text{crs}) \mid (\text{crs}, \tau_{\text{zk}}) \leftarrow \text{Sim}_0(1^\lambda, \mathcal{L})] \right| \leq \text{negl}(\lambda), \end{aligned}$$

where $\mathcal{O}_{\text{P}}(\text{crs}, x, w)$ outputs \perp if $(x, w) \notin \mathcal{R}_{\text{zk}}$ and $\pi \leftarrow \text{P}(\text{crs}, x, w)$ otherwise, and $\mathcal{O}_{\text{Sim}}(\text{crs}, \tau_{\text{zk}}, x, w)$ outputs \perp if $(x, w) \notin \mathcal{R}_{\text{zk}}$ and $\text{Sim}_1(\text{crs}, \tau_{\text{zk}}, x)$ otherwise.

Finally we recall the standard definition for digital signature and a public key encryption scheme.

Definition 2.11 (Digital Signature). A digital signature scheme Σ for a message space \mathcal{M} and signature space \mathbb{S} consist of three PPT algorithms (KeyGen, Sign, Ver) with the following syntax

KeyGen(1^λ): Given an unary encoded security parameter λ as input, it outputs a verification key vk and a signing key sk .

Sign(sk, msg): Given a signing key sk and a message $msg \in \mathcal{M}$ as input, it outputs a signature $sig \in \mathbb{S}$.

Ver(vk, msg, sig): Given a verification key vk , a message $msg \in \mathcal{M}$ and a signature $sig \in \mathbb{S}$ as input, it outputs 1 (indicating a valid signature) or 0 (indicating an invalid signature).

A digital signature scheme $\Sigma = (\text{KeyGen}, \text{Sign}, \text{Ver})$ is correct, if for every message $msg \in \mathcal{M}$, we have

$$\begin{aligned} |\Pr[\text{Ver}(vk, msg, sig) = 1 \mid (vk, sk) \leftarrow \text{KeyGen}(1^\lambda), sig \leftarrow \text{Sign}(sk, msg)]| \\ \geq 1 - \text{negl}(\lambda). \end{aligned}$$

Definition 2.12 (Public-Key Encryption). A public key encryption scheme Π for a message space \mathcal{M} consist of three PPT algorithms (KeyGen, Enc, Dec) with the following syntax

KeyGen(1^λ): Given an unary encoded security parameter λ as input, it outputs a public key pk and a secret key sk .

Enc(pk, msg): Given a public key pk and a message $msg \in \mathcal{M}$ as input, it outputs a ciphertext ct .

Dec(sk, ct): Given a secret key sk and a ciphertext ct as input, it outputs a message $msg \in \mathcal{M}$ or \perp (indicating a failure).

A PKE scheme $\Pi = (\text{KeyGen}, \text{Enc}, \text{Dec})$ is correct, if for every $msg \in \mathcal{M}$, we have

$$|\Pr[\text{Dec}(sk, ct) = msg \mid (pk, sk) \leftarrow \text{KeyGen}(1^\lambda), ct \leftarrow \text{Enc}(pk, msg)]| \geq 1 - \text{negl}(\lambda).$$

3 Structure-Preserving Sets

The first building block in our framework is the notion of a structure-preserving set, which is a crucial tool in capturing the defining characteristics of a specific family of lattice-based signatures, encryption schemes and NIZKs which are compatible with each other. The properties that lead to such structure-preserving cryptographic primitives are described in later sections.

Let q be a large prime. A structure-preserving set S is a special subset of \mathbb{Z}_q^d that can be rerandomized to obtain a rerandomized set $S' = S + D$ (where D is a set which contains the rerandomizing terms). Given a vector $s \in S$, we can rerandomize s to obtain $s' \in S + D$. The structure-preserving property of S ensures that given s' , one is able to check whether the original vector $s \in \mathbb{Z}_q^d$ belonged to S or whether it lied outside of S . In particular, vector s' allows to check membership of the original s , but it hides its original value.

Definition 3.1 (Uniformly Structure-Preserving Set). We say that a set $S \subseteq \mathbb{Z}_q^d$ is uniformly structure-preserving if (i) there exists a subset $D \subseteq \mathbb{Z}_q^d$ such that for all messages $\mathbf{s}, \mathbf{s}' \in S$

$$\boxed{\mathbf{d} \leftarrow_{\mathbf{R}} D, \quad \text{Output: } \mathbf{s} + \mathbf{d}} \approx_s \boxed{\mathbf{d} \leftarrow_{\mathbf{R}} D, \quad \text{Output: } \mathbf{s}' + \mathbf{d}}$$

(ii) for $\bar{S} := \mathbb{Z}_q^d \setminus S$ it holds that $(S + D) \cap (\bar{S} + D) = \emptyset$, and the membership problem for D and $S + D$ are easy and we can efficiently sample uniformly at random from D . We call the maximal statistical distance between the first two boxed distributions the structure-preserving error.

To provide some intuition about the introduced notion, let us demonstrate the definition of a concrete example that we use later in the paper. Namely, we show that cosets of subgroups are uniformly structure-preserving.

Example 3.2 (Cosets of subgroups). Every coset S of an additive subgroup $G \leq \mathbb{Z}_q^d$ is uniformly structure-preserving.

Proof. By definition of a coset, all the sets $S_{\mathbf{s}} = \{\mathbf{s} + \mathbf{d} \mid \mathbf{d} \in G\}$ (for $\mathbf{s} \in S$) are the same set S again. Thus by picking $D := G$, we get that for all $\mathbf{s}, \mathbf{s}' \in S$, $\mathbf{s} + \mathbf{d}$ and $\mathbf{s}' + \mathbf{d}$ for $\mathbf{d} \leftarrow_{\mathbf{R}} D$ are identically distributed. Hence the first part of the definition is satisfied and the structure-preserving error is 0.

For $\mathbf{x} \in \mathbb{Z}_q^d \setminus S$, we know that $\mathbf{x} \in S'$ for $S' \neq S$ being another coset of G . Thus for every $\mathbf{d} \in G$, we have $\mathbf{x} + \mathbf{d} \in S'$. Since different cosets are disjoint, the second part of the definition is satisfied as well. \square

Remark 3.3. The above example, in particular, implies that

1. all additive subgroups of \mathbb{Z}_q^d are uniformly structure-preserving; and
2. all singleton sets are uniformly structure-preserving, because they are cosets of the trivial subgroup $\{\mathbf{0}\}$.

In order to define lattice-based structure-preserving signatures and encryptions, we will need a more generic definition of a structure-preserving set. Namely, we do not want to restrict ourselves to \mathbf{d} being sampled uniformly at random, but from any distribution on \mathbb{Z}_q^d . Looking ahead, since we work with lattice-based primitives, we are particularly interested in Gaussian distributions. Along with the change of distribution for \mathbf{d} , we generalize the definition by loosening some of its condition. At a high level, in both the first and the second part of the definition, we allow for small errors with some probability.

Definition 3.4 (Structure-Preserving Set). We say that a set $S \subseteq \mathbb{Z}_q^d$ is structure-preserving with noise growth δ if there exists an efficiently sampleable probability distribution \mathcal{D} on \mathbb{Z}_q^d , a constant $\alpha \in (0, 1]$, that we will call the no-abort constant, and a function $\text{success} : S \times S \times \text{supp}(\mathcal{D}) \rightarrow (0, 1]$, that we will call the no-abort function, such that (i) for all messages $\mathbf{s}, \mathbf{s}' \in S$

$$\boxed{\begin{array}{l} \mathbf{d} \leftarrow \mathcal{D} \\ \text{Output: } \begin{cases} \mathbf{s} + \mathbf{d} & \text{with prob.} \\ & \text{success}(\mathbf{s}, \mathbf{s}', \mathbf{d}) \\ \perp & \text{otherwise} \end{cases} \end{array}} \approx_s \boxed{\begin{array}{l} \mathbf{d} \leftarrow \mathcal{D} \\ \text{Output: } \begin{cases} \mathbf{s}' + \mathbf{d} & \text{with prob. } \alpha \\ \perp & \text{otherwise} \end{cases} \end{array}}$$

and (ii) there exists a set $D' \subseteq \mathbb{Z}_q^d$, that we will call the smudging set, such that $\Pr_{\mathbf{d} \leftarrow \mathcal{D}}[\mathbf{d} \in D'] \geq 1 - \text{negl}(\lambda)$ for a negligible function negl , and for $\overline{S}_\delta := \mathbb{Z}_q^d \setminus B_\delta(S)$, it holds that $(S + D') \cap (\overline{S}_\delta + D') = \emptyset$. Moreover, the membership problem for D' and $(S + D')$ are easy.¹¹ We call negl the soundness error.

It is easy to see that uniformly structure-preserving sets are special cases of structure-preserving sets.

Lemma 3.5. *Let S be an uniformly structure-preserving set. Then S is a structure-preserving set with noise growth 0 and soundness error 0.*

Proof. By setting \mathcal{D} to be the uniform distribution on D , success to be the constant function 1, $\alpha := 1$ and $D' = D$, we directly obtain that S is a structure-preserving with noise growth 0 and soundness error 0. \square

Let us provide an example of a structure-preserving set which is not uniformly structure-preserving.

Example 3.6 (Close vectors). Every set $S \subseteq \mathbb{Z}_q^d$ where $S - S$ is T -bounded (i.e., $S - S \subseteq B_T(\{\mathbf{0}\})$) is structure-preserving with noise growth $4Td + 1$, when d grows polynomially with the security parameter.

Proof. Pick $\mathcal{D} := \mathcal{D}_{\mathbb{Z}^d, \sigma}$ with $\sigma := T\sqrt{d}$. For all $\mathbf{s}, \mathbf{s}' \in S$, by Lemma 2.7, the distribution that outputs $\mathbf{s} - \mathbf{s}' + \mathbf{d}$ for $\mathbf{d} \leftarrow \mathcal{D}_{\mathbb{Z}^d, \sigma}$ with probability $\text{success}(\mathbf{s}, \mathbf{s}', \mathbf{d}) := \min\left(\frac{\rho_{\mathbb{Z}^d, \sigma}(\mathbf{s} - \mathbf{s}' + \mathbf{d})}{M\rho_{\mathbb{Z}^d, \sigma}(\mathbf{d})}, 1\right)$ is statistically close to outputting $\mathbf{d} \leftarrow \mathcal{D}_{\mathbb{Z}^d, \sigma}$ with probability $\alpha := 1/M$ for a constant M . By adding \mathbf{s}' to the output of these two distributions, we get that the first condition for a structure-preserving set is satisfied.

Pick $D' := B_{2Td}(\{\mathbf{0}\})$ as smudging set. By the tail bound for Gaussian distributions (Lemma 2.1) we have $\Pr_{\mathbf{d} \leftarrow \mathcal{D}_{\mathbb{Z}^d, \sigma}}[\|\mathbf{d}\| > 2Td] < 2^d e^{-\frac{3d}{2}} = (2e^{-3/2})^d < \frac{1}{2^d}$, which shows that this choice is valid. For $\mathbf{x} \in \overline{S}_\delta := \mathbb{Z}_q^d \setminus B_{4Td+1}(S)$ and $\mathbf{d} \in D'$ we have $\mathbf{x} + \mathbf{d} \in \mathbb{Z}_q^d \setminus B_{2Td}(S)$. On the other hand, for $\mathbf{s} \in S$ we have $\mathbf{s} + \mathbf{d} \in B_{2Td}(S)$. This implies that $(S + D') \cap (\overline{S}_\delta + D') = \emptyset$ which is the second condition for a structure-preserving set. \square

Remark 3.7. This example, in particular, implies that sets of small vectors are structure-preserving. Namely, let $S \subseteq \mathbb{Z}_q^d$ be a T -bounded set. Then by triangular inequality, $S - S$ is $2T$ -bounded and hence S structure-preserving with noise growth $8Td + 1$.

Next, we show that structure-preserving sets are closed under the cartesian product.

¹¹ The membership problem for S does not need to be easy.

Example 3.8. When $S_1 \subseteq \mathbb{Z}_q^{d_1}$ is a structure-preserving set with noise growth δ_1 and $S_2 \subseteq \mathbb{Z}_q^{d_2}$ is a structure-preserving set with noise growth δ_2 , then $S_1 \times S_2 \subseteq \mathbb{Z}_q^{d_1+d_2}$ is structure-preserving with noise $\max\{\delta_1, \delta_2\}$.

Proof. Let $\mathcal{D}_1, \text{success}_1, \alpha_1$ be the distribution, abort function and abort constant that make S_1 a structure-preserving set with noise δ_1 and $\mathcal{D}_2, \text{success}_2, \alpha_2$ be the distribution, abort function and abort constant that make S_2 a structure-preserving set with noise δ_2 . Then the distribution $\mathcal{D}_1 \times \mathcal{D}_2$ with the success function

$$\text{success}((\mathbf{m}_1, \mathbf{m}_2), (\mathbf{m}'_1, \mathbf{m}'_2), \mathbf{d}) := \text{success}_1(\mathbf{m}_1, \mathbf{m}'_1, \mathbf{d}) \cdot \text{success}_2(\mathbf{m}_2, \mathbf{m}'_2, \mathbf{d})$$

and success probability constant $\alpha := \alpha_1 \alpha_2$ makes the set $S_1 \times S_2$ structure-preserving with noise $\max\{\delta_1, \delta_2\}$. \square

We complete this section with an alternative formulation of the structure-preserving set property that is easier to use in some of the proofs.

Lemma 3.9. *For a structure-preserving set S with noise growth δ and smudging set D' we have $S + D' - D' \subseteq B_\delta(S)$.*

Proof. We prove this Lemma by contradiction. Suppose there exist $\mathbf{s} \in S$ and $\mathbf{d}, \mathbf{d}' \in D'$ such that $\mathbf{x} := \mathbf{s} + \mathbf{d} - \mathbf{d}' \notin B_\delta(S)$, i.e. $\mathbf{x} \in \overline{S}_\delta := \mathbb{Z}_q^d \setminus B_\delta(S)$. But then

$$S + D' \ni \mathbf{s} + \mathbf{d} = \mathbf{x} + \mathbf{d}' \in \overline{S}_\delta + D',$$

which is in contradiction to part (ii) of Definition 3.4. \square

4 Lattice-Based Structure-Preserving Signatures

A lattice-based structure-preserving signature (SPS) scheme Σ expresses its verification algorithm in the framework of structure-preserving sets. Namely, a signature σ can be split into two separate parts $\sigma = (\text{core}, \text{tag})$. In order to verify that σ is valid, the Σ verification algorithm checks whether $f(\text{core})$ belongs to a structure-preserving set S . The function f is publicly computable from tag, along with public verification key vk and the message m .

The requirement to use tag arises from specific properties of known lattice-based SPS schemes. The tag is publicly samplable and, for example, it could be a random string. At a technical level, the tag is usually required in all known lattice-based signatures that satisfy strong-unforgeability, and can remain unused in some schemes that are only existentially-unforgeable.

Definition 4.1 (Lattice SPS). *A lattice-based \mathcal{F} -structure-preserving signature Σ for a family \mathcal{F} of functions $f : \mathbb{S} \rightarrow \mathbb{Z}_q^{d'}$ is a digital signature with signature space $\mathbb{S} \times \mathbb{T}$ where for every verification key vk , every message msg and every signature $(\text{core}, \text{tag}) \in \mathbb{S} \times \mathbb{T}$*

$$\text{Ver}(\text{vk}, \text{msg}, (\text{core}, \text{tag})) = 1 \iff f(\text{core}) \in S$$

where $f \in \mathcal{F}$ and $S \subseteq \mathbb{Z}_q^{d'}$ are derived from vk , msg and tag . Furthermore, S is a structure-preserving set. Finally, we require that tags are publicly samplable. That is, there exists an algorithm TagGen that, given the verification key vk and a message m generates a tag tag that has the same distribution as the tag part of the signatures generate with the signing algorithm.

$(\text{vk}, \text{sk}) \leftarrow_{\mathcal{R}} \text{KeyGen}(1^\lambda)$ $Q := \emptyset$ $(m^*, \text{sig}^*) \leftarrow_{\mathcal{R}} \mathcal{A}^{\text{O}_{\text{sign}}(\text{vk})}$ $b \leftarrow \text{Ver}'(\text{vk}, m^*, \text{sig}^*)$ <div style="border: 1px solid black; padding: 2px; display: inline-block; margin-bottom: 5px;">return $b \wedge m^* \notin Q$</div> <div style="background-color: #f0f0f0; padding: 2px; display: inline-block;">return $b \wedge (m^*, \text{sig}^*) \notin Q$</div>	$\text{O}_{\text{sign}}(m):$ $\text{sig} \leftarrow_{\mathcal{R}} \text{Sign}(\text{sk}, \text{msg})$ <div style="border: 1px solid black; padding: 2px; display: inline-block; margin-bottom: 5px;">$Q \leftarrow Q \cup \{m\}$</div> <div style="background-color: #f0f0f0; padding: 2px; display: inline-block;">$Q \leftarrow Q \cup \{(m, \text{sig})\}$</div> return sig $\text{Ver}'(\text{vk}, m, \text{sig} = (\text{core}, \text{tag})):$ return $(f(\text{core}) \in B_{\delta_S}(S))$
--	---

Fig. 1. Security experiment for SPS-EUF-CMA and SPS-sEUF-CMA security of lattice-based structure-preserving signatures.

Remark 4.2. Since we do not require the membership problem for the sets S to be easy, this definition does not give immediately rise to an alternative verification procedure.

We are particularly interested in the cases where \mathcal{F} is the set of linear functions or the set of functions that can be computed by bounded-depth Boolean circuits after encoding the signature as a binary string.

For structure-preserving signatures we require a slightly stronger security notion (defined below) than standard (strong) existential unforgeability under chosen message attacks ((s)EUF-CMA). Compared to (s)EUF-CMA, we relax the verification of the forged signature as follows: Instead of requiring that the forged signature $\text{sig} = (\text{core}, \text{tag})$ satisfies $f(\text{core}) \in S$, we only require $f(\text{core}) \in B_{\delta_S}(S)$.

Definition 4.3 (SPS-(s)EUF-CMA). *We call a structure-preserving signature scheme $(\text{KeyGen}, \text{Sign}, \text{Ver})$ SPS-EUF-CMA or SPS-sEUF-CMA-secure, if every PPT adversary can win the respective game in Fig. 1 with at most negligible probability.*

4.1 SPS Instantiation

Examples of structure-preserving signatures are Boyen’s signature scheme [14], Rückert’s signature scheme [46] and a new scheme, that combines the advantages of these two schemes. Namely, it achieves strong unforgeability and has a simpler verification (because it does not need the non-zero signature check). Furthermore, it is more efficient (due to shorter signatures) than Rückert’s scheme. We only show that the new scheme satisfies Definition 4.1 here and present the remaining details in the full version.

As a prerequisite, we state some facts that are needed in the signature scheme description, and define and construct chameleon hash functions.

Fact 1 ([14, Fact 5]). *There is a PPT algorithm TrapGen that, on input the security parameter λ , an odd prime $q = \text{poly}(\lambda)$, and two integers $n = \Theta(\lambda)$ and $m \geq 6n \log q$, outputs a matrix $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ statistically close to uniform, and a basis $\mathbf{T}_\mathbf{A}$ for $\Lambda^\perp(\mathbf{A})$ such that $\|\widetilde{\mathbf{T}}_\mathbf{A}\| \leq \widetilde{\Theta}(\sqrt{m}) \leq L$ with overwhelming probability. We assume $L = \widetilde{\Omega}(\sqrt{m})$.*

Fact 2 ([14, Lemma 22]). *For a security parameter λ , let $q = \text{poly}(\lambda)$ be an odd prime, $n = \Theta(\lambda)$, $m \geq 6n \log q$, $L = \widetilde{\Omega}(\sqrt{m})$ and $\sigma \geq L\omega(\sqrt{\log m})$. Then there exist a PPT algorithm SamplePre that on input a Gaussian parameter σ , a modulus q , a matrix $\mathbf{F} := [\mathbf{A}|\mathbf{B}] \leftarrow_{\mathbb{R}} \mathbb{Z}_q^{n \times 2m}$, and a basis $\mathbf{T}_\mathbf{A} \subset \Lambda^\perp(\mathbf{A})$ of norm $\|\widetilde{\mathbf{T}}_\mathbf{A}\| \leq L$, and a vector \mathbf{u} , outputs $\mathbf{d} \in \Lambda^\perp(\mathbf{F})$ from the distribution $\mathcal{D}_{\mathbb{Z}^m, \sigma}$ conditioned on $\mathbf{F}\mathbf{d} = \mathbf{u}$.*

Fact 3 ([4, Section 4.2]). *Given matrices $\mathbf{A}, \mathbf{B} \in \mathbb{Z}_q^{n \times m}$, \mathbf{B} needs to have rank n , a short basis $\mathbf{T}_\mathbf{B}$ for \mathbf{B} and a short matrix $\mathbf{R} \in \mathbb{Z}_q^{m \times m}$, one can compute efficiently a short basis $\mathbf{T}_\mathbf{F}$ for $\mathbf{F} := (\mathbf{A}|\mathbf{A}\mathbf{R} + \mathbf{B})$ with $\|\widetilde{\mathbf{T}}_\mathbf{F}\| \leq \|\widetilde{\mathbf{T}}_\mathbf{B}\|(\|\mathbf{R}\| + 1)$.*

Definition 4.4 (Chameleon hash function). *A chameleon hash function with message space \mathcal{M} and hash space \mathcal{N} consists of an efficiently sampable distribution \mathcal{R} on some randomness space R and two PPT algorithms (GenCH, TrapColl) with the following syntax*

GenCH(1^λ): *Given an unary encoded security parameter λ as input, it outputs an efficiently computable chameleon hash function $\text{ch} : \mathcal{M} \times R \rightarrow \mathcal{N}$ and a trapdoor τ .*

TrapColl($\tau, m \in \mathcal{M}, r \in R, m^* \in \mathcal{M}$): *Given the trapdoor τ for a chameleon hash function ch , two messages m, m^* and one randomness r this algorithm outputs r^* such that $\text{ch}(m, r) = \text{ch}(m^*, r^*)$ and r^* is distributed according to \mathcal{R} .*

The security property we require for chameleon hash functions is *collision resistance*. That is, for every PPT adversary \mathcal{A} , the following probability is negligible

$$\Pr[(\text{ch}, \tau) \leftarrow_{\mathbb{R}} \text{GenCH}, (m, r, m^*, r^*) \leftarrow_{\mathbb{R}} \mathcal{A}(1^\lambda, \text{ch}) : \text{ch}(m, r) = \text{ch}(m^*, r^*) \wedge (m, r) \neq (m^*, r^*)].$$

An example of a chameleon hash function based on the SIS assumption is by [18]. It has message space $\mathcal{M} := \{0, 1\}^k$ and randomness space $R := \{\mathbf{r} \in \mathbb{Z}^m \mid \|\mathbf{r}\| < s\sqrt{m}\}$ with a tail-truncated discrete Gaussian distribution $\mathcal{D}_{R,s}$ where $s = L \cdot \omega(\sqrt{\log m})$ and n, m , and L are as in Fact 1. It works as follows:

GenCH(1^λ) samples $\mathbf{A}_0 \leftarrow_{\mathbb{R}} \mathbb{Z}_q^{n \times k}$ and $\mathbf{A}_1 \in \mathbb{Z}_q^{n \times m}$ with short basis \mathbf{S} using TrapGen. Output $\mathbf{A} := (\mathbf{A}_0|\mathbf{A}_1)$ to describe the chameleon hash function

$$\begin{aligned} \text{ch}_\mathbf{A} : \{0, 1\}^k \times R &\rightarrow \mathbb{Z}_q^n \\ (\mathbf{m}, \mathbf{r}) &\mapsto \mathbf{A} \cdot \begin{pmatrix} \mathbf{m} \\ \mathbf{r} \end{pmatrix} \end{aligned}$$

TrapColl($\tau, \mathbf{m} \in \mathcal{M}, \mathbf{r} \in R, \mathbf{m}^* \in \mathcal{M}$) samples and outputs a vector \mathbf{r}^* according to (a distribution statistically close to) $\mathcal{D}_{R,s}$ condition on $\text{ch}_\mathbf{A}(\mathbf{m}^*, \mathbf{r}^*) = \text{ch}_\mathbf{A}(\mathbf{m}, \mathbf{r})$ using Fact 2.

Lemma 4.5 ([18, Lemma 4.1]). *The above chameleon hash function is collision-resistant under the $\text{SIS}_{m,n,q,\beta}$ problem where $\beta := \sqrt{k + 4s^2m}$.*

The ISIS-based signature scheme requires a chameleon hash function (GenCH, TrapColl) with message space \mathcal{M} , randomness space R and hash space $\mathcal{N} = \{0, 1\}^\ell$ and is described as follows:

KeyGen(1^λ): Given unary encoded security parameter λ as input, proceed as follows:

1. Execute the TrapGen algorithm to obtain a matrix $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ and a basis $\mathbf{T}_\mathbf{A} \in \Lambda^\top(\mathbf{A})$ such that $\|\bar{\mathbf{T}}_\mathbf{A}\| \leq L$.
2. Sample $\mathbf{y} \leftarrow_R \mathbb{Z}_q^n$, $(\mathbf{C}_0, \dots, \mathbf{C}_\ell) \leftarrow_R \mathbb{Z}_q^{n \times m} \times \dots \times \mathbb{Z}_q^{n \times m}$.
3. Sample $(\text{ch}, \tau) \leftarrow_R \text{GenCH}(1^\lambda)$.
4. Output $\text{vk} := (\mathbf{A}, \mathbf{C}_0, \dots, \mathbf{C}_\ell, \mathbf{y}, \text{ch})$ and $\text{sk} := \mathbf{T}_\mathbf{A}$.

Sign(sk, msg): Given a signing key $\text{sk} = \mathbf{T}_\mathbf{A}$ and a message $\text{msg} \in \mathcal{M}$ as input proceed as follows:

1. Sample $r \leftarrow \mathcal{R}$ and set $\text{msg}' := \text{ch}(\text{msg}, r)$.
2. Compute $\mathbf{C}_{\text{msg}} := \mathbf{C}_0 + \sum_{i=1}^\ell \text{msg}'_i \mathbf{C}_i$ and set $\mathbf{F}_{\text{msg}} := [\mathbf{A} \mid \mathbf{C}_{\text{msg}}] \in \mathbb{Z}_q^{n \times 2m}$.
3. Execute the algorithm SamplePre on \mathbf{F}_{msg} , $\mathbf{T}_\mathbf{A}$ and $\sigma \geq 2L\omega(\sqrt{\log m})$ to obtain a short non-zero random point \mathbf{d} with $\mathbf{F}_{\text{msg}}\mathbf{d} = \mathbf{y}$.
4. Output the signature $\text{sig} := (\text{core} = \mathbf{d}, \text{tag} = r)$.

Ver(vk, msg, sig): Given a verification key $\text{vk} = (\mathbf{A}, \mathbf{C}_0, \dots, \mathbf{C}_\ell, \mathbf{y}, \text{ch})$, a message $\text{msg} \in \mathcal{M}$ and signature $\text{sig} = (\mathbf{d} \in \mathbb{Z}_q^{2m}, r)$ as input, set $\text{msg}' := \text{ch}(\text{msg}, r)$ and output 1 if (1) $\|\mathbf{d}\| \leq \sqrt{2m} \cdot \sigma$ and (2) $[\mathbf{A} \mid \mathbf{C}_0 + \sum_{i=1}^\ell \text{msg}'_i \mathbf{C}_i]\mathbf{d} = \mathbf{y} \pmod q$. Otherwise, output 0.

Lemma 4.6. *The ISIS-based signature scheme from above is a SPS scheme.*

Proof. A signature sig is of the form $(\text{core}, \text{tag}) = (\mathbf{d}, r)$. Clearly, these tags are publicly samplable.

According to definition Definition 4.1, what remains to show is that the signature verification can be expressed as $f(\text{core}) \in S$ for some function $f : \mathbb{Z}_q^{2m} \rightarrow \mathbb{Z}_q^{d'}$ and some set $S \subseteq \mathbb{Z}_q^{d'}$ which is structure-preserving. Both the function f and the set S might depend on the message being signed, the verification key and the public parameters of the scheme. We show that the signature verification can be expressed as two checks of the type $f_i(\text{core}) \in S_i$ ($i \in \{1, 2\}$). These check can then be combined to a single check by setting $f(\text{core}) := (f_1(\text{core}), f_2(\text{core}))$ and $S := S_1 \times S_2$. The set S is structure-preserving when S_1 and S_2 are structure-preserving by Example 3.8.

The first check is $\|\text{core}\| \leq \sqrt{2m} \cdot \sigma$, i.e., that core is a small vector. For this, we can set $n'_1 := 2m$ and

$$f_1(\text{core}) := \text{core}, \quad \text{and} \quad S_1 := \{\mathbf{x} \in \mathbb{Z}_q^{2m} \mid \|\mathbf{x}\| \leq \sqrt{2m} \cdot \sigma\} = B_{\sqrt{2m} \cdot \sigma}(\{0\}).$$

By triangular inequality, we have that $S_1 - S_1 \subseteq B_{2\sqrt{2m} \cdot \sigma}(\{0\})$. By Remark 3.7, we can conclude that S_1 is structure-preserving with noise growth $16m\sigma + 1$.

For the second check, we can set $n'_2 := n$ and

$$f_2(\text{core}) := \left[\mathbf{A} \mid \mathbf{C}_0 + \sum_{i=1}^\ell \text{msg}'_i \mathbf{C}_i \right] \text{core} \quad \text{and} \quad S_2 := \{\mathbf{y}\} \subset \mathbb{Z}_q^n.$$

Note that the function f_2 is defined by the message and the verification key. Moreover, S_2 is a singleton set and hence by Remark 3.3 and Lemma 3.5, we know that it is structure-preserving with noise growth 0. \square

We prove SPS-sEUF-CMA-security of our scheme in the full version.

5 Lattice-Based Structure-Preserving Encryption

Our notion of a structure-preserving encryption (SPE) captures the common properties of known lattice-based encryption schemes which are compatible with efficient lattice-based sigma protocols and NIZKs that prove statements about ciphertexts. In particular, the randomness space needs to be a structure-preserving set (Definition 3.4) and ciphertexts are of the form $\text{ct} = \mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg})$, where \mathbf{B}_α is a public matrix depending on the message dimension α , and g_α is an invertible encoding function.

In addition, SPE needs to satisfy a series of technical properties on the noise, which provides bounds on the noise levels. This is a crucial property that allows for compatibility with the sigma protocols in later sections.

Definition 5.1 (Lattice SPE). *A PKE scheme (KeyGen, Enc, Dec) is a lattice-based structure-preserving encryption scheme if it satisfies the following properties:*

- *It has message space \mathcal{M}^* for some base set \mathcal{M} . That is, we can encrypt arbitrary dimensional vectors of some base set \mathcal{M} . The ciphertexts will reveal the dimensions of the vectors.*
- *Public key: The public key implicitly defines matrices $(\mathbf{B}_\alpha \in \mathbb{Z}_q^{d(\alpha) \times r(\alpha)})_{\alpha \in \mathbb{N}_+}$ and efficiently sampleable distribution $(\mathcal{R}_\alpha)_{\alpha \in \mathbb{N}_+}$ such that $\mathbf{r} \leftarrow \mathcal{R}_\alpha$ lies with overwhelming probability in a structure-preserving set $R_\alpha \subseteq \mathbb{Z}_q^r$. The parameter α denotes the dimension of the message, i.e. to encrypt a message $\text{msg} \in \mathcal{M}^\alpha$ we will use \mathbf{B}_α and \mathcal{R}_α .*
- *Message encoding: The public key implicitly defines for every $\alpha \in \mathbb{N}_+$ an additively homomorphic invertible function $g_\alpha: \mathcal{M}^\alpha \rightarrow \mathbb{Z}_q^{d(\alpha)}$ such that Enc is equivalent to an algorithm that samples a vector $\mathbf{r} \leftarrow \mathcal{R}_\alpha$ and outputs $\text{ct} = \mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg})$.*
- *Noise Levels: There exists a polynomial time algorithm $\text{NoiseLevel}(\text{sk}, \text{ct})$ that computes a noise level $\nu \in \mathbb{N}_0$ for each ciphertext and satisfies the following:*
 - *Initial noise level: For every security parameter λ there is a constant $\nu_{\text{init}} \in \mathbb{N}_0$ such that for every key pair (pk, sk) in the range of $\text{KeyGen}(1^\lambda)$ and every ciphertext ct in the range of $\text{Enc}(\text{pk}, \text{msg})$ for a message $\text{msg} \in \mathcal{M}^\alpha$ we have $\text{NoiseLevel}(\text{sk}, \text{ct}) \leq \nu_{\text{init}}$.*
 - *Maximum noise level: For every security parameter λ there is a constant $\nu_{\text{max}} \geq 2\nu_{\text{init}}$ such that for every key pair (pk, sk) in the range of $\text{KeyGen}(1^\lambda)$ and every ciphertext $\text{ct} = \mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg})$ with $\text{NoiseLevel}(\text{sk}, \text{ct}) \leq \nu_{\text{max}}$ we have $\text{Dec}(\text{sk}, \text{ct}) = \text{msg}$.*
 - *Symmetry: For every secret key sk and ciphertext ct*

$$\text{NoiseLevel}(\text{sk}, \text{ct}) = \text{NoiseLevel}(\text{sk}, -\text{ct}).$$

- *Subadditivity:* For every secret key sk and any two ciphertexts ct_1, ct_2 with $\text{NoiseLevel}(sk, ct_1), \text{NoiseLevel}(sk, ct_2) \leq \nu_{\max}/2$ satisfy

$$\text{NoiseLevel}(sk, ct_1 + ct_2) \leq \text{NoiseLevel}(sk, ct_1) + \text{NoiseLevel}(sk, ct_2).$$

- *Boundedness:* For every security parameter λ there exists an efficiently computable function $\text{MaxNoiseLevel} : \mathbb{N}_0 \rightarrow \mathbb{N}_0$ such that for every message dimension α and vector \mathbf{r} of suitable length

$$\|\mathbf{r}\| < \delta \rightarrow \text{NoiseLevel}(sk, \mathbf{B}_\alpha \mathbf{r} + g_\alpha(\mathbf{0})) \leq \text{MaxNoiseLevel}(\delta)$$

holds with overwhelming probability over the choice of the secret key sk . We will later require in Sect. 6 that MaxNoiseLevel is small for small inputs.

Definition 5.2. We say that a lattice-based SPE scheme is \mathcal{F} -homomorphic for a family of functions \mathcal{F} if for all $f \in \mathcal{F}$, $f : \mathcal{M}^{\alpha_{\text{in}}} \rightarrow \mathcal{M}^{\alpha_{\text{out}}}$ when there exists a maximum noise level $\nu_{\text{in}} \geq \nu_{\text{init}}$ and a deterministic polynomial time algorithm Eval_f that takes pk and a ciphertext $ct = \mathbf{B}_{\alpha_{\text{in}}} \mathbf{r} + g_{\alpha_{\text{in}}}(\text{msg})$ that encrypts a α_{in} -dimensional message msg under pk with noise level $\text{NoiseLevel}(sk, ct) \leq \nu_{\text{in}}$. It outputs a new ciphertext $\mathbf{B}_{\alpha_{\text{out}}} \mathbf{r}_f + g_{\alpha_{\text{out}}}(f(\text{msg}))$ with $\mathbf{r}_f \in R_f$, where R_f is a structure-preserving set with noise growth δ_{R_f} such that every ciphertext $ct = \mathbf{B}_{\alpha_{\text{out}}} \mathbf{r} + g_{\alpha_{\text{out}}}(\text{msg})$ with $\mathbf{r} \in B_{\delta_{R_f}}(R_f)$ and $\text{msg} \in \mathcal{M}^{\alpha_{\text{out}}}$ has $\text{NoiseLevel}(sk, ct) \leq \nu_{\max}$.

We further require that there is a deterministic polynomial time algorithm $\text{Eval}_f^{\text{rand}}$ that takes the public key pk and $\mathbf{r} \in R$ and outputs \mathbf{r}_f such that

$$\mathbf{B}_{\alpha_{\text{out}}} \mathbf{r}_f + g(f(\text{msg})) = \text{Eval}_f(pk, \mathbf{B}_{\alpha_{\text{in}}} \mathbf{r} + g(\text{msg}))$$

Note that every SPE scheme is linearly homomorphic. In more detail, given two ciphertexts $ct_1 = \mathbf{B}_\alpha \mathbf{r}_1 + g_\alpha(\text{msg}_1)$ and $ct_2 = \mathbf{B}_\alpha \mathbf{r}_2 + g_\alpha(\text{msg}_2)$ with $\text{NoiseLevel}(sk, ct_1), \text{NoiseLevel}(sk, ct_2) \leq \nu_{\max}/2$, the ciphertext $\text{Eval}_+(pk, ct_1, ct_2) := ct_1 + ct_2$ is a valid ciphertext for $\text{msg}_1 + \text{msg}_2$ with randomness $\text{Eval}_f^{\text{rand}}(pk, \mathbf{r}_1, \mathbf{r}_2) := \mathbf{r}_1 + \mathbf{r}_2$, since g_α is additively homomorphic. This can be extended to linear functions (with sufficiently small coefficients) of multiple ciphertexts.

5.1 SPE Instantiation

Examples of SPE schemes are Regev’s encryption scheme, the Dual Regev encryption scheme and the GSW encryption scheme. We only prove that Regev’s scheme is a SPE scheme here and present the proof for the remaining two schemes in the full version.

As Regev’s original scheme [45] allows to encrypt a single bit only, we recall its variant, put forward by Peikert et al. [44], that allows to encrypt messages from the message space $\mathcal{M} = \mathbb{Z}_p$ for p s.t. $\frac{q}{p}$ is sufficiently large. We assume that $q = p^k$, for a sufficiently large $k \in \mathbb{N}$, and we denote $c := \frac{q}{p} = p^{k-1}$. In addition to the LWE modulus q , the scheme is parametrized by a dimension n , number of samples $m \geq n \log q$ and an error distribution $\chi = \mathcal{D}_{\mathbb{Z}, \sigma}$. We recall this scheme with $\alpha = 1$. To encrypt a higher-dimensional message $(\text{msg}_1, \dots, \text{msg}_\alpha)^\top \in \mathcal{M}^\alpha$, we encrypt each component individually, i.e. generate $ct_i = \text{Enc}(pk, \text{msg}_i)$ for $i \in \{1, \dots, \alpha\}$ and chain the ciphertext together, i.e. $ct^\top = (ct_1^\top, \dots, ct_\alpha^\top)$.

KeyGen(1^λ): Sample $\mathbf{A} \leftarrow_{\mathcal{R}} \mathbb{Z}_q^{n \times m}$, $\mathbf{s} \leftarrow_{\mathcal{R}} \mathbb{Z}_q^n$ and $\mathbf{e} \leftarrow \chi^m$. Output the secret key $\text{sk} := \mathbf{s}$ and the public key $\text{pk} = (\mathbf{A}, \mathbf{s}^\top \mathbf{A} + \mathbf{e}^\top) \in \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^{1 \times m}$.
Enc(pk, msg): Parse pk as (\mathbf{A}, \mathbf{x}) . Sample $\mathbf{z} \leftarrow_{\mathcal{R}} \{-1, 0, 1\}^m$ and compute $\mathbf{c}_0 := \mathbf{A}\mathbf{z} \in \mathbb{Z}_q^n$ and $c_1 := \mathbf{x}\mathbf{z} + c \cdot \text{msg} \in \mathbb{Z}_q$. Then output the ciphertext $\text{ct} := (\mathbf{c}_0, c_1) \in \mathbb{Z}_q^n \times \mathbb{Z}_q$.
Dec(sk, ct): Parse ct as (\mathbf{c}_0, c_1) and set $\mathbf{s} := \text{sk}$. Compute $d := c_1 - \mathbf{s}^\top \mathbf{c}_0 \in \mathbb{Z}_q$ and output $x \in \mathbb{Z}_p$, such that $d - c \cdot x \pmod q$ is closest to 0.

Lemma 5.3. *Regev's encryption scheme is a lattice-based SPE scheme.*

Proof. For a public key $\text{pk} = (\mathbf{A}, \mathbf{x}) \in \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^{1 \times m}$, dimension α , and a message $\text{msg} \in \mathcal{M}^\alpha$, let us define the matrix $\mathbf{B} \in \mathbb{Z}_q^{\alpha(n+1) \times \alpha m}$ and the function $g_\alpha: \mathcal{M}^\alpha \rightarrow \mathbb{Z}_q^{\alpha(n+1)}$ as follows :

$$\mathbf{B} := \mathbf{I}_\alpha \otimes \begin{pmatrix} \mathbf{A} \\ \mathbf{x} \end{pmatrix} = \begin{pmatrix} \mathbf{A} & & & \\ & \ddots & & \\ & & \mathbf{A} & \\ & & & \mathbf{x} \end{pmatrix}, \quad g_\alpha \begin{pmatrix} \text{msg}_1 \\ \vdots \\ \text{msg}_\alpha \end{pmatrix} := \begin{pmatrix} \mathbf{0} \\ c \cdot \text{msg}_1 \\ \vdots \\ \mathbf{0} \\ c \cdot \text{msg}_\alpha \end{pmatrix}.$$

Let \mathcal{R} be the uniform distribution over $R := \{-1, 0, 1\}^{\alpha m}$. Clearly, $\mathbf{r} \leftarrow \mathcal{R}$ lies in R with probability 1. We need to show that R is a structure-preserving set. $R = \{-1, 0, 1\}^{\alpha m} \subseteq \mathbb{Z}_q^{\alpha m}$ is a $\sqrt{\alpha m}$ -bounded set which, by Remark 3.7, implies that R is structure-preserving with noise growth $\delta_R := 8m + 1$.

As a next step, we need to argue that g is invertible and additively homomorphic. Let $g_\alpha^{-1}: \text{Img}(g_\alpha) \rightarrow \mathbb{Z}_p^\alpha$ be a function that on input $\mathbf{y} = (\mathbf{0}^\top, y_1, \dots, \mathbf{0}^\top, y_\alpha)^\top \in \text{Img}(g_\alpha)$, outputs $\mathbf{x} \in \mathbb{Z}_p^\alpha$, such that $y_i - c x_i \pmod q = 0$ for all $i \in \{1, \dots, \alpha\}$. It is easy to see that g^{-1} is the inverse of g . It is easy to see that g_α is additively homomorphic, because it is composed of additively homomorphic functions.

Furthermore, we need to prove that the encryption algorithm is equivalent to sampling $\mathbf{r} \leftarrow \mathcal{R}_\alpha$ and computing $\mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg})$. For $\text{msg} \in \mathbb{Z}_p^\alpha$ and $\mathbf{r} \leftarrow \mathcal{R}_\alpha$, we have, for $\mathbf{r}^\top = (\mathbf{r}_1^\top, \dots, \mathbf{r}_\alpha^\top)$ with $\mathbf{r}_i \in \mathbb{Z}_q^m$,

$$\mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg}) = \begin{pmatrix} \mathbf{A}\mathbf{r}_1 \\ \mathbf{x}\mathbf{r}_1 + c \cdot \text{msg}_1 \\ \vdots \\ \mathbf{A}\mathbf{r}_\alpha \\ \mathbf{x}\mathbf{r}_\alpha + c \cdot \text{msg}_\alpha \end{pmatrix} = \begin{pmatrix} \text{ct}_1 \\ \vdots \\ \text{ct}_\alpha \end{pmatrix} = \text{ct}$$

which shows that this procedure indeed gives us a well-distributed ciphertext.

Finally, we need to prove that the existence of the NoiseLevel(sk, ct) algorithm. Let us define NoiseLevel(sk, ct) as follows: Parse ct as $(\text{ct}_1, \dots, \text{ct}_\alpha)$ and each ct_i as $(\mathbf{c}_{i,0}, c_{i,1})$ and set $\mathbf{s} := \text{sk}$. Compute $d_i := c_{i,1} - \mathbf{s}^\top \mathbf{c}_{i,0} \in \mathbb{Z}_q$ and $\nu_i := |d_i - c \cdot \text{Dec}(\text{sk}, \text{ct}_i)|$. Output $\max_{1 \leq i \leq \alpha} \nu_i$.

To show that this definition satisfies the desired properties, it suffices to prove it for dimension $\alpha = 1$, because all these properties only talk about upper bounds¹² of the noise level and the noise level of a ciphertext for $\alpha > 1$ is simply the maximum of the noise levels of the ciphertexts for each component of the message.

To show boundedness, define $\text{MaxNoiseLevel}(\delta) := 2\sigma\sqrt{m}\delta$. Then, for $\|\mathbf{z}\| < \delta$, we have

$$\text{NoiseLevel}(\text{sk}, \text{ct} = (\mathbf{A}\mathbf{z}, ((\mathbf{s}^\top \mathbf{A} + \mathbf{e}^\top)\mathbf{z} + \text{cmsg})) = |\mathbf{e}^\top \mathbf{z}| \stackrel{(1)}{\leq} \|\mathbf{e}\| \|\mathbf{z}\| \stackrel{(2)}{\leq} 2\sigma\sqrt{m}\delta,$$

where inequality (1) follows from the Cauchy-Schwartz inequality and inequality (2) follows from the Gaussian tail bound (Lemma 2.1).

The maximal initial noise level is $\nu_{\text{init}} := 2\sigma m$: An honestly generated ciphertext has randomness $\mathbf{z} \in \{0, 1\}^m$ and thus $\|\mathbf{z}\| \leq \sqrt{m}$. Plugging this in the MaxNoiseLevel function yields the desired bound.

The maximum noise level is $\nu_{\text{max}} := \lceil c/2 \rceil$, because then for a ciphertext $\text{ct} = (c_0, c_1)$ for msg , the value $d := c_1 - \mathbf{s}^\top \mathbf{c}_0$ deviates at most by $\lceil c/2 \rceil$ from cmsg and so the Dec algorithm will round to msg .

The Symmetry property of NoiseLevel follows immediately from the definition and the subadditivity property follows immediately from the triangle inequality. \square

6 Σ -Protocol Constructions

In this section, we describe a generalization of the sigma protocols in [36] that, at a high level, allow to prove that the value encrypted in an SPE scheme belongs to a structure-preserving set S (up to an additional inherent error that comes from the noises of the encryption scheme and the structure-preserving set S).

More formally, we construct a trapdoor gap Σ -protocol that can prove for a lattice-based SPE scheme $\Pi = (\text{KeyGen}, \text{Enc}, \text{Dec}^*)$ that a ciphertext encrypts a message $\text{msg} \in S$ where S is a structure-preserving set with noise growth δ and $B_\delta(S) \subseteq \mathcal{M}^\alpha$. Let:

- α be the dimension of the message in the ciphertext
- $\mathbf{B}_\alpha \in \mathbb{Z}_q^{d(\alpha) \times r(\alpha)}$ be the matrix defined by the public key for messages of length α ,
- g_α be the message encoding function for messages of length α ,
- R_α be the randomness space with maximum noise level ν_R (i.e. for all $\mathbf{r} \in R_\alpha$ and messages msg we have $\text{NoiseLevel}(\text{sk}, \mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg})) \leq \nu_R$). We also require R_α to be structure-preserving with noise growth δ_R using the distribution \mathcal{D}_R , smudging set D'_R , no-abort function success_R and no-abort constant α_R .
- S be a structure-preserving set with noise growth δ using distribution \mathcal{D} , smudging set D'_S with $S, D'_S, S + D'_S \subseteq \mathcal{M}$, no-abort function success and no-abort constant α ,
- $\mathbf{r}' \in R_\alpha$ be an arbitrary fixed element of R_α ,
- and $\text{msg}' \in S$ be an arbitrary fixed element of S .

¹² Note that the symmetry property is equivalent to $\text{NoiseLevel}(\text{sk}, \text{ct}) \leq \text{NoiseLevel}(\text{sk}, -\text{ct})$.

– And assume that the parameters of the SPE scheme are selected such that

$$\nu_{\text{init}} + \nu_R + \text{MaxNoiseLevel}(\delta_R) < \nu_{\text{max}}/2. \quad (1)$$

We construct a gap Σ -protocol for:

$$\begin{aligned} \mathcal{L}_{\text{zk}} &= \{\mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg}) \mid \mathbf{r} \in R_\alpha, \text{msg} \in S\} \\ \mathcal{L}_{\text{sound}} &= \{\text{ct} \mid \text{NoiseLevel}(\text{sk}, \text{ct}) \leq 2 \cdot \nu_{\text{init}} + \nu_R + 2 \cdot \text{MaxNoiseLevel}(\delta_R), \\ &\quad \text{Dec}(\text{sk}, \text{ct}) \in B_\delta(S)\} \end{aligned}$$

From the SPE definition we get $\mathcal{L}_{\text{zk}} \subseteq \mathcal{L}_{\text{sound}}$.

The language is described by the modulus q , the matrix \mathbf{B}_α and the structure-preserving sets R_α and S and the message encoding function g_α . The Setup algorithm will output as crs simply the language description, i.e. $\text{crs} = (q, \mathbf{B}_\alpha, R_\alpha, S, g_\alpha)$. The membership testing trapdoor for the language is the secret key sk of the structure-preserving encryption scheme and TrapSetup will simply output as trapdoor this secret key, i.e. $\tau_\Sigma = \text{sk}$. The definition of the prover and verifier can be found in Fig. 2.

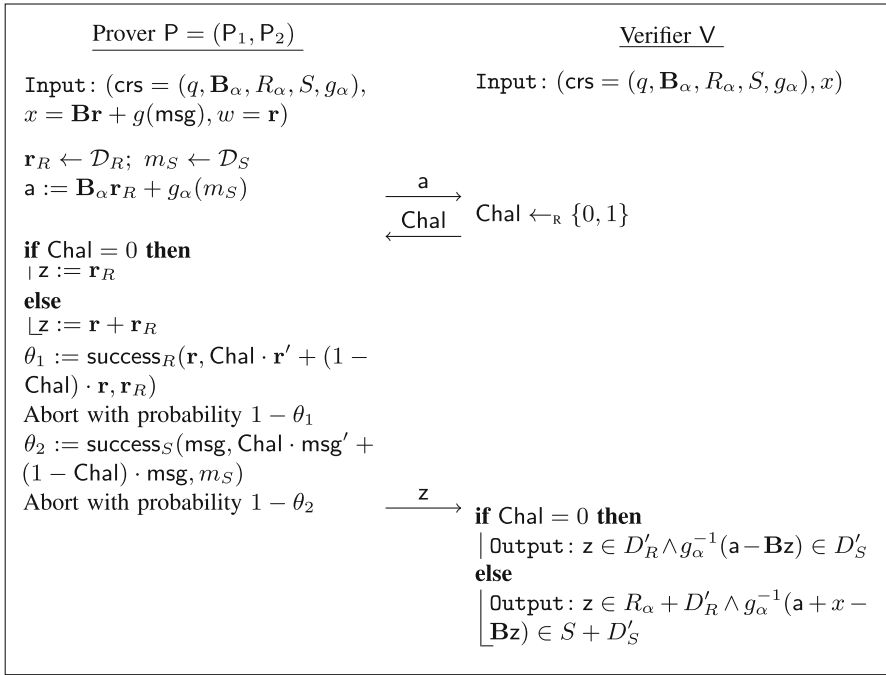


Fig. 2. The interaction between Prover and Verifier in our Σ -protocol.

Theorem 6.1. *The above construction is a trapdoor gap Σ -protocol for $(\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$.*

Proof. Completeness: Suppose that $\mathbf{r}_R \in D'_R$ and $m_S \in D'_S$. Both of these events happens with overwhelming probability by the second part of the structure-preserving set definition. Given this, it is easy to verify that the protocol accepts for both $\text{Chal} = 0$ and $\text{Chal} = 1$ when $x \in \mathcal{L}_{zk}$.

Special Soundness: Suppose that for a statement x and a first flow message \mathbf{a} there exist responses \mathbf{z}_0 and \mathbf{z}_1 that an honest verifier accepts for challenge $\text{Chal} = 0$ resp. $\text{Chal} = 1$. Then

$$\mathbf{z}_0 \in D'_R \quad (2)$$

$$\mathbf{z}_1 \in D'_R + R_\alpha \quad (3)$$

$$g_\alpha^{-1}(\mathbf{a} - \mathbf{B}_\alpha \mathbf{z}_0) \in D'_S \quad (4)$$

$$g_\alpha^{-1}(x + \mathbf{a} - \mathbf{B}_\alpha \mathbf{z}_1) \in D'_S + S \quad (5)$$

holds. By subtracting Eq. (4) from Eq. (5) and using the additive homomorphism of g_α , we get

$$g_\alpha^{-1}(x + \mathbf{a} - \mathbf{B}_\alpha \mathbf{z}_1 - (\mathbf{a} - \mathbf{B}_\alpha \mathbf{z}_0)) = g_\alpha^{-1}(x - \mathbf{B}_\alpha (\mathbf{z}_1 - \mathbf{z}_0)) \in S + D'_S - D'_S \subseteq B_\delta(S),$$

where the last relation follows using Lemma 3.9. Since we also have $\mathbf{z}_1 - \mathbf{z}_0 \in R_\alpha + D'_R - D'_R \subseteq B_{\delta_R}(R_\alpha)$ (again using Lemma 3.9) this proves $x \in \{\mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg}) \mid \mathbf{r} \in B_{\delta_R}(R_\alpha), \text{msg} \in B_\delta(S)\} \subseteq \{\text{ct} \mid \text{NoiseLevel}(\text{sk}, \text{ct}) \leq \nu_R + \text{MaxNoiseLevel}(\delta_R), \text{Dec}(\text{sk}, \text{ct}) \in B_\delta(S)\} \subseteq \mathcal{L}_{\text{sound}}$. For the first subset relationship we use that we can write $\mathbf{r} = \mathbf{r}' + \mathbf{y}$ with $\mathbf{r}' \in R_\alpha$ and $\|\mathbf{y}\| \leq \delta_R$ since $\mathbf{r} \in B_{\delta_R}(R_\alpha)$. The statement then follows from using $\text{NoiseLevel}(\text{sk}, \mathbf{B}_\alpha \mathbf{r}' + g_\alpha(\text{msg})) \leq \nu_R$, $\text{NoiseLevel}(\text{sk}, \mathbf{B}_\alpha \mathbf{y}) \leq \text{MaxNoiseLevel}(\delta_R)$ (boundedness property of the NoiseLevel function) and combining this with the subadditivity property of the NoiseLevel function, which we can use due to Eq. (1).

Special Zero-Knowledge: We show that there exists a zero-knowledge simulator, that outputs statistically close transcripts and has statistically close aborting behavior as the real protocol. The simulator ZKSim works as follows on input $(\text{crs} = (q, \mathbf{B}_\alpha, R_\alpha, S, g_\alpha), x \in \mathcal{L}_{zk}, \text{Chal}^* \in \{0, 1\})$:

1. Sample $\mathbf{r}_R^* \leftarrow \mathcal{D}_R$; $m_S^* \leftarrow \mathcal{D}$.
2. Compute $\mathbf{a}^* := \mathbf{B}_\alpha \mathbf{r}_R^* + \text{Chal}^*(\mathbf{B}_\alpha \mathbf{r}' + g_\alpha(\text{msg}') - x) + g_\alpha(m_S^*)$.
3. Compute $\mathbf{z}^* := \mathbf{r}_R^* + \text{Chal}^* \cdot \mathbf{r}'$.
4. Abort with probability $1 - \alpha_R$.
5. Abort with probability $1 - \alpha$.
6. Output $(\mathbf{a}^*, \mathbf{z}^*)$.

For $x \in \mathcal{L}_{zk}$, we have $x = \mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg})$ for $\mathbf{r} \in R_\alpha$ and $\text{msg} \in S$.

First, we will focus on the case $\text{Chal}^* = 0$. In the real protocol, the randomness \mathbf{r}_R of the first flow \mathbf{a} is sampled from \mathcal{D}_R and the protocol continues with probability $\theta_1 := \text{success}_R(\mathbf{r}, \mathbf{r}, \mathbf{r}_R)$. The zero-knowledge simulator samples the first flow randomness from the same distribution, but continues with probability α_R . We use now that R_α is a structure-preserving set. By plugging in \mathbf{r} and \mathbf{r} (in the role of \mathbf{s} and \mathbf{s}') in the first part

of the structure-preserving set definition, we get that the distribution of the first flow randomness in the real and the simulated protocol is statistically close.

Similarly, the distribution of the message part of the first flow is \mathcal{D} both in the real protocol and the simulated one, but the real protocol continues with probability $\theta_2 := \text{success}(\text{msg}, \text{msg}, m_S)$ while the simulated one continues with probability α . By using that S is a structure-preserving and plugging in msg and msg (in the role of s and s') in the first part of the definition, it follows that the distribution of the first flow message in real and the simulated protocol is statistically close.

Next, we will discuss the remaining case $\text{Chal}^* = 1$. In the real protocol, the randomness part \mathbf{r}_R of the first flow a is sampled again from \mathcal{D}_R and the protocol continues with probability $\theta_1 := \text{success}_R(\mathbf{r}, \mathbf{r}', \mathbf{r}_R)$. The simulated protocol samples $\mathbf{r}_R^* \leftarrow \mathcal{D}_R$ and uses $\mathbf{r}_R^* + \mathbf{r}' - \mathbf{r}$ as randomness and continues with probability α_R . We use again that R_α is a structure-preserving set, but plug in \mathbf{r} and \mathbf{r}' in the first part of the structure-preserving set definition. This gives us that outputting $\mathbf{r} + \mathbf{r}_R$ with probability $\text{success}_R(\mathbf{r}, \mathbf{r}', \mathbf{r}_R)$ is statistically close to outputting $\mathbf{r}_R^* + \mathbf{r}'$ with probability α_R .

The message part of the first flow is m_S , sampled from \mathcal{D} in the real protocol and the protocol aborts with probability $\text{success}(\text{msg}, \text{msg}', m_S)$. The simulator samples $m_S^* \leftarrow \mathcal{D}$ and uses $\text{msg}' - \text{msg} + m_S^*$ as message part of the first flow. Furthermore, the simulator aborts with probability α . Using that S is a structure-preserving set and plugging in msg and msg' in the first part of the definition, we get that these two distributions are also statistically close.

Putting this together, we see that the simulated first flow is statistically close to an honest first flow. And the third flow outputted by ZKSim is always the correct third flow with respect to the first flow and challenge, so ZKSim is a correct simulator. Furthermore, the zero knowledge simulator only aborts with a constant probability, so the real protocol also aborts only with constant probability.

Correctness of BadChallenge: We show that the following BadChallenge algorithm outputs for any $x \notin \mathcal{L}_{\text{sound}}$ a bad challenge. The BadChallenge algorithm proceeds on input $(\tau_\Sigma = \text{sk}, \text{crs}, x, a)$ as follows:

1. If $\text{NoiseLevel}(\text{sk}, a) > \nu_{\text{init}} + \text{MaxNoiseLevel}(\delta_R) \vee \text{Dec}(\text{sk}, a) \notin D'_S$, output $\text{Chal} = 1$ (indicating that the prover cannot finish the protocol for $\text{Chal} = 0$).
2. Otherwise, if $\text{NoiseLevel}(\text{sk}, x + a) > \nu_{\text{init}} + \nu_R + \text{MaxNoiseLevel}(\delta_R) \vee \text{Dec}(\text{sk}, x + a) \notin S + D'_S$, output $\text{Chal} = 0$.
3. Otherwise, output \perp .

First, assume that $\text{NoiseLevel}(\text{sk}, a) > \nu_{\text{init}} + \text{MaxNoiseLevel}(\delta_R)$ or $\text{Dec}(a) \notin D'_S$ holds. Then a can not be written as $a = \mathbf{B}_\alpha \mathbf{r}_R + g_\alpha(m_S)$ with $\mathbf{r}_R \in D'_R, m_S \in D'_S$ because then it would have both of the above properties. In this scenario there is no third flow that would make the Verifier accept for $\text{Chal} = 0$, so the BadChallenge correctly returns 0.

Second, assume that $\text{NoiseLevel}(\text{sk}, x + a) > \nu_{\text{init}} + \nu_R + \text{MaxNoiseLevel}(\delta_R)$ or $\text{Dec}(x + a) \notin S + D'_S$ holds. Then $x + a$ can not be written as $x + a = \mathbf{B}_\alpha \mathbf{r} + g_\alpha(\text{msg})$ with $\mathbf{r} \in R_\alpha + D'_R, \text{msg} \in S + D'_S$ because then it would have both of the above properties. In this scenario there is no third flow that would make the Verifier

accept for $\text{Chal} = 1$, so the BadChallenge correctly returns 1 (if the first case does not apply as well).

Finally, assume that neither of the two cases above applies. Then

$$\begin{aligned} \text{NoiseLevel}(\text{sk}, x) &= \text{NoiseLevel}(\text{sk}, x + a - a) \\ &\leq \text{NoiseLevel}(\text{sk}, x + a) + \text{NoiseLevel}(\text{sk}, -a) \\ &= \text{NoiseLevel}(\text{sk}, x + a) + \text{NoiseLevel}(\text{sk}, a) \\ &\leq 2 \cdot \nu_{\text{init}} + \nu_R + 2 \cdot \text{MaxNoiseLevel}(\delta_R). \end{aligned}$$

The inequality follows from subadditivity of the NoiseLevel -function which we can use due to Eq. (1). This guarantees that

$$\text{Dec}(\text{sk}, x) = \text{Dec}(\text{sk}, x + a) - \text{Dec}(\text{sk}, a) \in S + D'_S - D'_S \subseteq B_\delta(S)$$

which shows that $x \in \mathcal{L}_{\text{sound}}$, in contradiction to our initial assumption. \square

7 Lattice-Based Structure-Preserving NIZK Arguments

Definition 7.1 (SPNIZK). Let S be a structure-preserving set with noise growth δ and SPE be a structure-preserving public key encryption scheme with message space \mathcal{M}^α and randomness distribution \mathcal{R}_α , where $\mathbf{r} \leftarrow_{\mathcal{R}} \mathcal{R}$ lies with overwhelming probability in a structure-preserving set $R_\alpha \subseteq \mathbb{Z}_q^r$ with noise growth δ_R . A NIZK argument system $(\text{Gen}_{\text{par}}, \text{Gen}_{\mathcal{L}}, \text{P}, \text{V})$ is a structure-preserving NIZK (SPNIZK) argument with respect to S and SPE if for any $(\text{pk}, \cdot) \leftarrow \text{SPE.Setup}(1^\lambda)$, encryption randomness $\mathbf{r} \leftarrow_{\mathcal{R}} \mathcal{R}$ and $m \in S$, SPNIZK supports the following functionality:

- $\text{ProveMembershipS}_S(\text{crs}, \text{pk}, m, \text{ct}, \mathbf{r})$ outputs a proof π that ct encrypts a message m which belongs to the structure-preserving set S .
- $\text{VerifyMembershipS}_S(\text{crs}, \text{pk}, \text{ct}, \pi)$ verifies that ct indeed encrypts a message m which belongs to the structure-preserving set S .

As in Definition 2.10, the SPNIZK must satisfy completeness, computational soundness, and zero-knowledge. Moreover, we require our SPNIZK argument system to satisfy unbounded simulation soundness [22, 48]. We refer the reader to the full version for the definition of these properties.

Due to lack of space, we defer to the full version an instantiation of Definition 7.1 with unbounded simulation soundness and multi-theorem zero-knowledge. Our instantiation is obtained by compiling the sigma protocol from Sect. 6 into an SPNIZK argument using the Fiat-Shamir transformation. As mentioned in Sect. 1, we implement the used hash function with a correlation-intractable hash function in this.

8 Verifiably Encrypted Signatures (VES)

Using a verifiably encrypted signature (VES), a signer can encrypt a signature under the public key of a trusted-third party (the *adjudicator*) and then generate a proof that the ciphertext encrypts a valid signature for a known message.

The main application of VES is online contract signing, in which two parties Alice and Bob agree on a contract by using the help of a trusted third party called an adjudicator. Alice and Bob start the protocol by producing a VES $\Omega_{\text{Alice}}, \Omega_{\text{Bob}}$ on the agreed contract m , using the public key apk of the adjudicator. Upon receipt of the VES $\Omega_{\text{Alice}}, \Omega_{\text{Bob}}$, both Alice and Bob reveal the unencrypted versions $\sigma_{\text{Alice}}, \sigma_{\text{Bob}}$ of their signatures, agreeing to the contract. If any one of the parties, for example Bob, refuses to release his signature σ_{Bob} , Alice can contact the adjudicator and ask them to extract σ_{Bob} from Ω_{Bob} . This prevents Bob from not completing the protocol and using σ_{Alice} to negotiate a better contract elsewhere.

We recall the formal definition of VES in the full version. We discuss it here only informally. A VES is a tuple of PPT algorithms $(\text{Kg}, \text{AdjKg}, \text{Sig}, \text{Vf}, \text{Create}, \text{VesVf})$, where Kg, Sig and Vf are defined similarly to a digital signature scheme. AdjKg generates a key pair (apk, ask) for the adjudicator, Create computes a VES on a given message, and VesVf allows to verify that a given VES is an encryption of a valid signature on a given message. In addition to completeness, VES is required to satisfy four security properties: unforgeability, abuse freeness, extractability and opacity.

Unforgeability guarantees that no PPT adversary given the public key and oracle access Create and Adj , is able to compute a VES Ω for a message m that they have never queried to its oracles. Abuse freeness requires that no malicious, PPT adjudicator with access to a Create oracle is able to output a valid VES for a message that they have never queried. Extractability requires that no malicious signer which can create their own vk and is granted oracle access to Adj is able to efficiently output a valid VES Ω , from which the Adj algorithm is unable to extract a valid signature. Opacity requires that no PPT adversary, given public keys vk and apk and oracle access to Create and Adj , can return a valid signature σ^* for some message m^* , provided it has not queried Adj on m^* .

8.1 The VES Construction

We are now ready to show how to use our notions of structure-preserving signatures, encryptions and NIZK arguments to obtain verifiably encrypted signatures. Our construction is given in Fig. 3 and informally discussed below.

The starting point of our construction is any structure-preserving SPS (see Definition 4.1), over a modulus q . Recall that signatures are tuples $\sigma = (\text{core}, \text{tag})$, which consist of a vector $\text{core} \in \mathbb{Z}_q^\gamma$ and a public string $\text{tag} \in \{0, 1\}^\zeta$. To compute a VES Ω , we encrypt the core part of the signature core and obtain a ciphertext ct^1 . The public tag is not encrypted, and is revealed together with ct^1 as part of Ω .

If we stop at this point, the verifier has no way of checking if core is valid, as it is only given in its encrypted form. Therefore, we now want to convince the verifier that the ciphertexts encrypt a vector core that is part of a valid signature. To this end, we first compute efficiently the structure-preserving set and function (S, f) that correspond to signature verification in the sense of Definition 4.1. Note that in our notation, f is a function that takes γ inputs and outputs a vector in \mathbb{Z}_q^τ . We then compute ciphertexts ct^2 that correspond to homomorphic evaluation using function f over ct^1 . Then, we use our SPNIZK argument to compute a proof π that ct^2 actually encrypts a vector that belongs to the structure-preserving set S . The resulting VES is hence $\Omega = (\text{ct}^1, \pi, \text{tag})$.

Table 1. The table indicates which of the SPE schemes can be combined with which SPS scheme to obtain VES.

	Our ISIS-based signature scheme	Rückert’s scheme	Boyen’s scheme
Regev	✓	✓	✗
Dual Regev	✓	✓	✗
GSW	✓	✓	✓

We can combine an SPE scheme with an SPS scheme if the SPE scheme is \mathcal{F} -homomorphic where \mathcal{F} is the set of all functions f that can appear in the signature verification procedure in the sense of Definition 4.1. Table 1 summarizes which SPE scheme can be combined with which SPS scheme.

Verification is now straightforward. Namely, we recompute (S, f) using vk, m and the public tag, and check that the SPNIZK proof π is indeed valid. Finally, adjudication is performed by simply decrypting ciphertexts ct^1 and revealing the vector core.

We refer the reader to the full version for the security proof and for a discussion on parameters. For the rest of this section, we discuss the efficiency considerations of our VES scheme.

8.2 Efficiency Considerations

Let λ be the security parameter. Then SPE has dimension $n' = \lambda$ and modulus $q' = \text{poly}(\lambda)$. The CI-Hash of [43] is implemented using GSW encryption. The decryption algorithm of SPE must be expressible as an NC_1 circuit of depth $\mathcal{O}(\log \lambda)$ —which is the case with the schemes analysed in this paper. Such an NC_1 circuit can be translated to a branching program of size $\mathcal{O}(\text{poly}(\lambda))$, and the GSW parameters are $q = \text{poly}(\lambda) = q'/\text{poly}(\lambda)$ and $n = \lambda^{c-o(1)}$, where c is a constant that depends on the SPE decryption circuit. The output of the CI hash function consists of m bits, where $m = n \lceil \log(q) \rceil$. In addition, the compiler for obtaining an unbounded simulation-sound NIZK also contains the ciphertexts of a generalised lossy encryption scheme—and the entire construction requires a $\theta(\lambda)$ number of parallel repetitions.

While this machinery might sound daunting relative to pairing-based NIZK systems, the NIZK presented here remains the most efficient lattice-based construction which is secure in the standard model (for proving membership to structure-preserving sets). There are several reasons for this:

1. The CI-Hash requires homomorphic encryption, but no bootstrapping is required since SPE decryption circuits have low depth $c_{\text{Dec}} \cdot \kappa_{\text{SPE}}$, where κ_{SPE} is the size of SPE ciphertexts and c_{Dec} is a small constant $c_{\text{Dec}} \leq 44$ (for example using the results of [9]).
2. It avoids expensive Karp reductions, which would be necessary if one used general purpose NIZKs such as the one of [43].

The standard model NIZK incurs a significant overhead when compared to the usage of lattice NIZKs in the ROM, which is why the proposed NIZK is only semi-efficient.

Generic Construction of a Verifiable Encrypted Signature Scheme VES based on any Structure-Preserving Signature SPS
VES.Kg(1^λ): Return $(vk, sk) \leftarrow_R \text{SPS.KeyGen}(1^\lambda)$.
VES.Sig(sk, m): Return $\sigma \leftarrow_R \text{SPS.Sign}(sk, m)$.
VES.Ver(vk, m, σ): Return $(\text{SPS.Ver}(vk, m, \sigma) \stackrel{?}{=} 1)$.
VES.AdjKg(1^λ): Return $(apk, ask) \leftarrow_R \text{SPE.KeyGen}(1^\lambda)$.
VES.Create(sk, apk, m): $\sigma = (\text{core}, \text{tag}) \leftarrow_R \text{SPS.Sig}(sk, m) \in \mathbb{Z}_q^\gamma \times \{0, 1\}^\zeta$ $\mathbf{r}^1 \leftarrow_R \mathcal{R}_\gamma$ $\text{ct}^1 \leftarrow \text{SPE.Enc}(apk, \text{core}; \mathbf{r}^1)$ $(S, f) \leftarrow \text{ComputeSPSetsAndFunctions}(vk, m, \text{tag})$ $\text{val} \leftarrow f(\text{core}) \in \mathbb{Z}_q^r$ $\text{ct}^2 \leftarrow \text{Eval}_f(apk, \text{ct}^1)$ $\mathbf{r}^2 \leftarrow \text{Eval}_f^{\text{rand}}(apk, \mathbf{r}^1)$ $\pi \leftarrow \text{SPNIZK.ProveMembership}_{S_S}(\text{crs}, apk, \text{val}, \text{ct}^2, \mathbf{r}^2)$ Return $\Omega \leftarrow (\text{ct}^1, \pi, \text{tag})$
VES.VesVf(apk, vk, Ω, m): Parse Ω as $(\text{ct}^1, \pi, \text{tag})$ $(S, f) \leftarrow \text{ComputeSPSetsAndFunctions}(vk, m, \text{tag})$ $\text{ct}^2 \leftarrow \text{Eval}_f(apk, \text{ct}^1)$ If $\text{SPNIZK.VerifyMembership}_{S_S}(\text{crs}, apk, \text{ct}^2, \pi) = 0$, then return 0 Else, return 1
VES.Adj(ask, apk, vk, Ω, m): Parse Ω as $(\text{ct}^1, \pi, \text{tag})$ $(S, f) \leftarrow \text{ComputeSPSetsAndFunctions}(vk, m, \text{tag})$ $\text{ct}^2 \leftarrow \text{Eval}_f(apk, \text{ct}^1)$ If $\text{SPNIZK.VerifyMembership}_{S_S}(\text{crs}, apk, \text{ct}^2, \pi) = 0$, then return \perp $\text{core}_i \leftarrow \text{SPE.Dec}(ask, \text{ct}_i^1)$ Return $\sigma = (\text{core}, \text{tag})$

Fig. 3. A verifiably-encrypted signature (VES) scheme (Kg, AdjKg, Sig, Vf, Create, VesVf). SPS denotes a structure-preserving signature scheme, while SPE is a lattice-based structure-preserving encryption. SPNIZK is a structure-preserving NIZK argument for SPE, allowing to prove that encryptions encode plaintexts that belong to a structure-preserving set S .

For this reason, we do not provide more detailed efficiency comparisons with random-oracle implementations. At the same time, we note that a gap can also be observed between the Groth-Sahai NIZK and Fiat-Shamir compilations of more restricted sigma protocols that only lead to secure NIZKs in the ROM. Nevertheless, such a gap in the group setting appears to be smaller than in the lattice case.

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