#### THE REACHABILITY PROBLEM FOR GROUND TRS AND SOME EXTENSIONS

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## ABSTRACT

The reachability problem for term rewriting systems (TRS) is the problem of deciding, for a given TRS S and two terms M and N, whether M can reduce to N by applying the rules of S.

We show in this paper by some new methods based on algebraical tools of tree automata, the decidability of this problem for ground TRS's and, for every ground TRS S, we built a decision algorithm. In the order to obtain it, we compile the system S and the compiled algorithm works in a real time (as a fonction of the size of M and N).

We establish too some new results for ground TRS modulo different sets of equations : modulo commutativity of an operator  $\sigma$ , the reachability problem is shown decidable with technics of finite tree automata; modulo associativity, the problem is undecidable; modulo commutativity and associativity, it is decidable with complexity of reachability problem for vector addition systems.

#### **INTRODUCTION**

The reachability problem for term rewriting systems (TRS) is the problem of deciding, for given TRS S and two terms M and N, whether M can reduce to N by applying the rules of S. It is well-known that this problem is undecidable for general TRS's .In a first part we study this problem for more simple systems, more specifically in the case of ground term rewriting systems.

A TRS is said to be ground if its set of rewriting rules  $R=\{ li > ri \mid i \in I \}$  (where I is finite) is such that li and ri are ground terms(no variable occurs in these terms). The decidability of the reachability problem for ground TRS was studied by Dauchet M. [4],[5] as a consequence of decidability of confluence for ground TRS. Oyamaguchi [15] and Togushi-Noguchi have shown this result too for ground TRS and in the same way for quasi-ground TRS.We take again this study with two innovator aspects:

- the modulary aspect of the decision algorithm which use all algebraical tools of tree automata, that permits to clearly describe it.

- the exchange between time and space aspect which have permitted to obtain some time complexities more and more reduced.

Therefore we have proceeded in three steps:

1- We begin with the TRS S not modified which gives the answer to the problem with a time complexity not bounded.

supported by "Greco programmation" and "PRC mathematique et informatique"

2- We transform the system S in a GTT (ground tree transducer) which simulates it, we will call this system, S'. Then the decision algorithm will have a quadratic time complexity. The memory space of S' will be in  $O((number of rules of S)^2)$ .

3- Then, we obtain, after a compilation of S' which could be realised in an exponential time (reduction of nondeterminism), a real time decision algorithm (linear complexity). The necessary memory space, after the compilation of S', will be in O(exp(number of rules of S)).

If we make a comparison with the result of Oyamaguchi M.[15] we can have the next figure:



S = rewriting system S'= our system S after compilation S'det = our system S' after the reduction of nondeterminism t,t' = the given trees ||S|| = size of the rewriting system S ||t|| = size of the tree t

A program, which is called VALERIANN, written in PROLOG realizes at the present time this algorithm.(on SUN machine)

In a second part, we consider the case of a ground TRS RG modulo different sets of equations in the next three cases:

E<sub>C</sub> :commutativity of an operator  $\sigma$ 

EA : associativity of an operator  $\sigma$ 

EAC associativity and commutativity of an operator  $\sigma$ 

RC,RA,RAC denote the TRS obtained by orientation of equations into rules.We look at the two next problems:

For a TRS S equal to RG U RC, RG U RA, RG U RAC and with conditions on the configuration of terms (i) if F is a recognizable forest, is the class of F modulo S recognizable ?(ii)decidability of the reachability problem We have different results for each case:

For RGC, we have a positive answer for (i) and henceforth for (ii)

For RGA, we have a negative answer for the problems (i) and (ii)

For RGAC, we have a negative answer for (i) and a positive answer for (ii) with the complexity of the reachability problem for vector addition systems.

# I-PRELIMINARIES

Let us recall some classical definitions and some usefull results: <u>1- tree automata and recognizable forests.</u>

Let  $\Sigma$  be a finite ranked alphabet.

 $T_{\Sigma}$  is the set of terms (or trees) over  $\Sigma$ .

<u>Definition1</u>: A frontier-to-root (bottom-up) tree automaton is a quadruplet  $M=(\Sigma, Q, F, R)$  where

\*  $\Sigma$  is a finite ranked alphabet.

\* Q is a finite set of states.

\* F is the set of final states, with F Q

\* R is a finite set of transition rules, these rules have the next

configuration:  $c(qin[x1], ..., qin[xn]) \rightarrow q[c(x1, ..., xn)]$ 

if n=0, the rule is c'  $\rightarrow$  q[c']

We can dually define root-to-frontier(top-down) tree automata.

For more development see Gecseg F. & Steinby M.[7].

<u>Definition2</u>: A forest F is said to be recognizable if and only if there is a frontier-to-root tree automaton which accepts it.

properties: the class REC of recognizable forests is closed under union, intersection, and complementary.

2-algorithm of decision on tree automata

# notation:

we note ||m|| the number of rules of the automaton m and  $|m_q|$  the number of states of the automaton m.

we note  $\underline{m}$  the automaton which accepts the complementary of the language accepted by  $\underline{m}$ 

a-Decision of the emptiness  $(M = \emptyset)$ 

Let M an automaton. The time complexity to answer to the next problem:

Is the language which is accepted by M empty?

is:

\* linear, for word languages, if we have direct access to rules and if we use a naive algorithm.

\* in  $O(||M|| \times |Mq|)$ , for tree languages.

b-Intersection of two automata M and M', and decision of the emptiness of this intersection. ( $M \cap M' \neq \emptyset$ )

\* for word languages, the time complexity to answer to this problem is in  $O(||M|| \times ||M'||)$ .

\* for tree languages, the time complexity is more important, it is in  $O(||M|| \times ||M'|| \times ||Mq| \times ||Mq| \times ||Mq| \cdot ||)$ .

c- Equivalence of M and M' (M=M')

 $M=M' \iff M \cap \underline{M}' = \emptyset \qquad \text{and} \quad \underline{M} \cap M' = \emptyset$ 

\*deterministic case:

we can transform M in  $\underline{M}$  by exchanging final states and the other states. Then we return to the same case than b-.

\* nondeterministic case:

the time complexity contains the time of reduction of nondeterminism which is exponential.

3- Ground TRS and GTT.

\*A tree rewriting system (TRS) S on  $T_{\Sigma}$  is a set of directed rewriting rules  $R = \{I_{i->} r_i \mid i \in I\}$ . Here, we only consider finite TRS (where I is finite), For more development see Huet G. & Oppen D.[8]. I--- is the extension of --> according to tree substitutions. The reduction relation i-\*- on T<sub> $\Sigma$ </sub> is the reflexive and transitive closure of -->. S is a ground TRS if and only if no variable occurs in rules. \*A ground tree transducer on  $T_{\Sigma}$  (a GTT in short) is the relation T or (G,D) associated with two tree automata G and D and defined as follows: Т t -><- t' iff there exist  $u \in T_{\Sigma \cup Eg \cup Ed}$  such that t -> u <- t'. G D where  $\Sigma$  is a finite ranked alphabet. Eg and Ed are sets of states. In order to produce actual pairs of terms, the set Eg and Ed are supposed non disjoint. Eg  $\cap$  Ed is called the interface. \*Dauchet M. and Tison S., and Dauchet, Heuillart, Lescanne and Tison have proved the next results:

<u>Proposition1:</u> There is an algorithm which associates to each ground TRS S a GTT Ts such that S = Ts where:

 $S = \{ (t,t') \mid t \mid -*-t' \} \text{ and } Ts = \{ (t,t') \mid t --> u < --t' \}.$   $S \qquad G \qquad D$ 

<u>Proposition2</u>: The confluence of ground TRS is decidable.

<u>Proposition3:</u> The reachability problem for ground TRS is decidable.

**Proposition4:** 

If F is recognizable then  $[F]S = \{ t' | \exists t \in F, t \mid -*-t' \}$  is recognizable.

# II- COMPILATION OF A GROUND TRS S AND DECISION ALGORITHM FOR THE REACHABILITY PROBLEM

We will construct systems S' and S", from the ground rewriting system S, so as to reduce more and more the time of answer to the reachability problem .

To do that, we use the next tools: Automata, Recognizable forest and ground tree transducer.

#### 1-Creation of the system S'.

All along of the different steps, we will use the same example, so as to easily follow the different transformations which are realized. Let us write the next ground rewriting system:  $\Sigma = \{ b1, q, q1', p1, b, q', p, a, c \}$ 

rules = 1- $b(b1) \rightarrow b1$ 2- $a(b1, q) \rightarrow q$ 3- $q1' \rightarrow q'(q1')$ 4- $q(q1') \rightarrow q1'$ 5- $q1' \rightarrow a(q1', q1')$ 6- $b(q'(q'1)) \rightarrow c(p1, p(p1), p1)$ 7-p1  $\rightarrow p(p1)$ 8- $a(b1, a(q, b1)) \rightarrow b(q1')$ 

First step:

In this part, we have to construct a GTT, from the system S, its frontier-to-root automaton will accept left hand sides of rules of S, and its root-to-frontier automaton will generate right hand sides of rules of S. Its interface states will make the connexion between left hand sides and right hand sides. for example we built for the rule 8 a frontier-to-root automaton which accepts the left hand side, where the terminal state is i8, and the other states are e14, e15, e16, e17. Consider again our last system, then we will have the next rules:

frontier-to-root automaton G		root-to-frontier automaton D
1-	b1 -> e1 b(e1) -> i1	i1-> b1
2-	b1-> e2 q-> e3 a(e2, e3) -> i2	i2 -> q
3-	q1'-> i3	i3 -> q'(e4)
4-	q1' -> e5 q'(e5) -> i4	e4 -> q1' i4 -> q1'
5-	q1' -> i5	i5 -> a(e6, e6) e6 -> q1'
6-	q1'-> e7 q'(e7) -> e8 b(e8) -> i6	$i6 \rightarrow c(e9, e10, e11)$ $e9 \rightarrow p1 e12 \rightarrow p1$ $e11 \rightarrow p1 e10 \rightarrow p(e12)$
7-	p1 -> i7	i7 -> p(e13) e13 -> p1
8-	$q \rightarrow e14$ $b1 \rightarrow e15$ $b1 \rightarrow e17$ $a(e14, e15) \rightarrow e16$ $a(e17, e16) \rightarrow i8$	i8 -> b(e18) e18 -> q1' Interface states are: I={ i1.i2.i3.i4 i5.i6.i7.i8}
	,	

#### Second step:

Creation of the GTT,  $G^*$ , which simulates the ground rewriting system S. The principle is:

" it's not good generating, to nible" To do that , we create some  $\epsilon\text{-transitions},$  with the next induction rules:

 $e \rightarrow f(e1, \ldots, en)$   $e1 \rightarrow e1', \ldots, en \rightarrow en'$   $f(e1', \ldots, en') \rightarrow e'$  $e \rightarrow e'$ 

The algorithm is :

1- we take a rule of the root-to-frontier automaton D

2- We examine, if we can find the right hand-side of this rule in the left handside of one rule of the frontier-to-root automaton G.

\* if it is the case, we create an  $\varepsilon$ -transition with in left hand-side, the left hand side of the rule of D which is choosen (a state of D), and in right hand side, the state in which we arrive when we apply the rule of G which was found. Then we choose the next rule of D and we start again in 2.

\* if it is not the case, we choose a new rule of D and we start again in 2. Such a transformation can be illustrated with the diagram of the figure 2. This operation is realized in a polynomial time of n where  $n=||G|| \times ||D||$ .





Example: Consider the rules 3 and 4 of the system S The rule 3 was decomposed as follows: a1'->i3 i3 -> a'(e4)e4->q1' And the rule 4 was decomposed in this way: q1' ->e5 i4->q1' q'(e5) -> i4 Consider the state e4, we get: e4 -> q1' and q1' ->e5, q1' ->i3 so we get  $e4 \rightarrow e5$  and  $e4 \rightarrow i3$ Consider now the state i3 we get:  $i3 \rightarrow q'(e4)$ and by the last step we get e4 ->e5 so i3-> q'(e5) And we find  $q'(e_5) \rightarrow i_4$  in the decomposition of the rule 4 So we deduce the next  $\varepsilon$ -transition i3 -> i4 So, instead of doing the next rewritings:

i3 -> q'(c4) -> q'(q1') -> q'(c5)-> i4the GTT, G\*, will directly pass by i3 to i4 So we have constructed in two steps, a GTT, denoted by G\*, which simulates the system S, we call G\*, the system S'. The answer to our problem will be given with S' in a quadratic time.

### 2-Creation of the system S".

In first time, we modify again the system S', so as to construct a frontier-to-root automaton. This one will accept a forest, which symbolizes all transformations that we can realize with the system S.

We can depict a tree which belongs to this forest like that:



Inside this tree, we can bring to light, two trees t and t', with two morphisms  $\phi$  and  $\phi'$ .



φ erases the right son of each	φ' erases the left son of
node #	each node #

Like that, the tree A means that we can transform t in t' with the system S'. So, all transformations according to the system S', are coded in a recognizable forest F.

with  $F = \{ t \# t' | t | -*-t' \}$ 

To create a frontier-to-root automaton which will accept this forest, we proceed in three steps:

1- We keep nible rules of the sytem S'

2- We reverse generation rules of the system S' so as to convert them in bottomup rules (by reversing the arrows)

3- For interface states we add next rules:

if i1=i2 with i1 a state of G and i2 a state of D #(i1, i2) -> ok and #(i1, i2) -> (i1,i2) and (i1,i2) -> ok

and then, for the other pairs of states, rules which have the next configuration:

if  $e1 \neq e2$  with e1 a state of G and e2 a state of D

$$#(e1, e2) \rightarrow (e1, e2)$$

for all letters 'a' of  $\Sigma$ , we add, when it is possible, rules as follows:

$$a((e1,e1'), (e2,e2'), \ldots, (en,en')) \rightarrow (e,e')$$

Finally, when we know that the 'ok' state allows to climb up to the root of the tree, we add, for all letter 'a' of the alphabet, rules as follows:

 $a(ok, ok, \ldots, ok) \rightarrow ok$ 

but, now, in order to improve the time complexity, we obtain the automaton S'', by transforming F and by reducing the nondeterminism.

1- Suppression of a hidden difficulty:

We bring down, into F, # nodes, the lower as possible, so that descendant letters of the # node would be always different.

ie: The next tree t:

t=	#	will be replace by	b	
1	Ν.		1	<u>\</u>
b	b		#	#
/ \	$1 \times 1$		$/ \mathbb{N}$	/

in order that our automaton could accept F with this modification. we must bring it some new changes:

a- We keep in states the last letter which is accepted. b- We will not create rules as follows:

letter.

#(i1, i2) -> ok if i1 and i2 had accepted the same

2- Reduction of nondeterminism.

We do that in an exponential time, in the worst cases.

When we have made all these different steps, we obtain S". This one have a number of rules which is running to exp(number of rules of S) (not very readable), which are those of a frontier-to-root deterministic automaton S". The answer to the question: "can t be transformed into t'?" by the system S, is made in real time, because:

 $t \vdash t' \Leftrightarrow t\#t'$  is accepted by S" S Remark:

But the reduction of the nondeterminism stands some very important problems, all at once of memory space and of time of answer.

To avoid this problem, we can consider another method. We will see this method in the next paragraph.

3-Resolution of the reachability problem by using the system S' (G\*)

Let us take G and D, which are automata of the GTT  $G^*$  (see p6), and let us take Mt and Mt', which are automata which accept t and t'.

We call  $D_{inv}$ , the automaton obtained from D by reversing its arrows. So  $D_{inv}$  is a frontier-to-root automaton.

To solve our problem we can study two cases:

a- When G and Diny are nondeterministic

We can answer to t I-\*- t'? by using F S

In fact  $t \models -t' \iff \phi - 1(t) \cap \phi' - 1(t') \cap F$ 

 $\varphi$  and  $\varphi'$  are morphisms which are defined above (p7). These one are independent of t, t' and S.

So we have a complexity equal to  $K(S) \ge || Mt || \ge || Mt'||$  by omitting the access time.

Besides, we can proceed in the same way to express the set of all transformations of t, that we will call S(t), because:

 $S(t) = \varphi'(\varphi - 1(t) \cap F)$ 

The creation of the automaton which accepts S(t) is made with the next algorithm; 1-We make the intersection between the automaton Mt and the frontier-to-root automaton G of the GTT G\*, but this thing by keeping all rules which accept t. 2-We search inside this automaton, rules which conduct to a couple of states (q,i) where i is an interface state of the GTT and q is any state, and we add all rules of the root-to-frontier automaton D of the GTT which start from this interface state i (this by reversing the arrows so as to always have a frontier-to-root automaton). Such an algorithm is realized with time a complexity in  $O((||Mt|| \times ||G|| \times |Mt_q| \times |G_q|) + ||D||)$ . We will call this new automaton  $M_{st}$ to answer to t 1-\*- t', we make the intersection between the automata Mt' and  $M_{st}$ . So as to know if  $S(t) \cap t' \neq \emptyset$ 

The answer is given after a time in  $O(||M_{st}|| \times ||Mt'|| \times |M_{stq}| \times |Mt'_q|)$ 

# b- When G and Dinv are deterministic

As G is deterministic, it can accept the tree t, likewise for  $D_{inv}$  and t'. So we can, by recognition of t by G (resp of t' by  $D_{inv}$ ), mark all subtrees of t which could be accepted by G (resp subtrees of t' accepted by  $D_{inv}$ ). Our aim, is to have two new automata which will accept all at once t(or t') and trees which have the next configuration:



figure 3

where i1 and i2 are final states of the subtrees accepted by G (in fact, they are interface states), here, #(i1) and #(i2) replace these subtrees, they are leafs of the tree.

This operation is called, the "marking" operation. Here, the algorithm used:

marking(x,l,y,e)x: node I: list of sons of the node x y: state in which we arrive when we have accepted the node x (with rules of the automaton Mt or Mt') e: state in which we arrive when we have accepted the node x (with rules of the automaton G or Dinv) begin if it exists a rule of G (or Dinv) accepting the node x with the list I then - We keep the state e of G (or of Dinv) in which we arrive after having made the recognition. - We search if this state is an interface state : if yes then we add the next rule #(e) -> state(y) in front of the list of rules of the automaton Mt (or Mt'). else nothing endif else We keep a fictitious state 'p' so as to continue the exploration of the tree (remark: fathers of the node x ,couldn't be accepted by  $G(\text{or } D_{inv}))$ endif end study-node(x,l,y,e) <u>begin</u> if the letter x is a leaf then marking(x,l,y,e) else if the letter x is a node then for each son fi of x do -Take the rules of Mt (or Mt') which conducts to this son : < xi,li>-> state(fi) -study-node(xi,li,fi,ei) -keep each ei in the list l' end marking(x,l',y,e) endif endif end

main program begin Take the rule which accepts the root node of t or t' <x,l>->state(y) x: root node l:list of sons study-node(x,l,y,e) / \*exploration of the tree t (or t') with "marking" operation\*/ end

The two automata obtained, after having applied this algorithm on t and t', are called  $Mt_m$  and  $Mt_m'$ .

we can remark that we make one and only one "marking" operation for each node of the considered tree (ie: for each rule of the associated automaton).

Besides, the "marking" operation of a node is made in a linear time, so we can deduce that the creation of automata  $Mt_m$  and  $Mt_m'$  is made with a time complexity in O(||Mt||+||Mt'||).

Now, we only have to compare these automata so as to find a tree common to the forest accepted by  $Mt_m$  and  $Mt_m$ , this tree will have the next configuration:

part common to t and t'



figure 4

il, ..., in are interface states which represent all transformations made when we go from t to t'.

this operation is made in a very short time by using the next algorithm: main program

<u>begin</u>

each automaton have only one final state, so we search the rules which conduct to these states:

i.e.: y-> state(ft) ft and ft' are final states of Mtm and

y1->state(ft') Mtm '

compare-node(y,y1,ft,ft') /\* comparison of nodes y and y1\*/

<u>end</u>

```
compare-node(y,y1,e,e')
  <u>begin</u>
     if y = \langle x, L \rangle and y = \langle x, 1, L \rangle then
            if x=x1 then consider each list
                            L=e1,e2,...,en and
                           L1= e1',e2',...,en'
                              (ei and ei' are states)
                             for each couple of states (ei,ei') do
                                    -search rules which conduct to these two
                              states
                                i.e.: yi->state(ei)
                                     yi'->state(ei')
                                   -compare-node(yi,yi',ei,ei')
                           endfor
                    else fail
            endif
```

```
else if y=#(e1) and y1=<x1,L1> then
                                            - take the rule so that y=<x,L>
                                                  (this rule always exists)
                                            - compare-node(\langle x, L \rangle, \langle x1, L1 \rangle, e, e')
        else if y=<x,L> and y1=#(e1') then
                                             -take the rule so that
                                           v1 = < x1, L1 >
                                                 (this rule always exists)
                                            -compare-node(<x,L>,<x1,L1>,e,e')
        else if y=#(e1) and y1=#(e1) then OK
             else take rules so that y = \langle x, L \rangle and y = \langle x1, L1 \rangle
                      compare-node(<x,L>,<x1,L1>,e.e')
              endif
         endif
        endif
    <u>endif</u>
end
```

We can see that in this case too, we only consider one and only one time, each rule of automata  $Mt_m$  and  $Mt_m'$ . So this algorithm is executed in a linear time, and the answer to our problem will be given after a time complexity in the order of  $||Mt_m||+||Mt_m'||$ .

We can compare this result with the result of complexity obtained in the paper of Oyamaguchi M.[15]. Their algorithm operates in a polynomial time of n where n= $||M_t||+||M_t'||+||S||$ , where ||S|| is the size of the given rewriting system. In our case, the complexity is began linear and the size of S is not consider. This fact can be explained because we have made a first operation of compilation on our rewriting system (this operation is made only once). So after this operation, we can ask as many questions as we want without making it again, that is why we earn much time.

## Remark:

If G and  $D_{inv}$  are nondeterminist, the reduction of the nondeterminism on them is more realizable than on S" (the automaton which accepts all transformations that we can make with S), because, they have a smaller number of rules than S", so the time of execution of this operation is reduced.

Let  $R=\{l_{i}\rightarrow r_{i}/l_{i}, r_{i}\in T_{\Sigma}\}$  be a ground TRS on  $T_{\Sigma}$  and  $R_{\sigma}=\{l_{i}\rightarrow r_{i}/l_{i}, r_{i}\in T_{\sigma}(\Sigma), l_{i}\notin T_{\Sigma}\}$  be a ground TRS on  $T_{\Delta}$ , the condition  $l_{i}\notin T_{\Sigma}$  is necessary because we consider terms in  $T_{\sigma}(\Sigma)$  and recognizable forests included in  $T_{\sigma}(\Sigma)$ . Let  $R_{G}=R\cup R_{\sigma}$ Let  $E_{C}=\{\sigma(x, y) = \sigma(y, x)\}$  and  $R_{C}=\{\sigma(x, y) \rightarrow \sigma(y, x)\}$ Let  $E_{A}=\{\sigma(\sigma(x, y), z) = \sigma(x, \sigma(y, z))\}$ and  $R_{A}=\{\sigma(\sigma(x, y), z) \rightarrow \sigma(x, \sigma(y, z)); \sigma(x, \sigma(y, z)) \rightarrow \sigma(\sigma(x, y), z)\}$ and  $E_{A}C=E_{A}\cup E_{C}$  and  $R_{A}C=R_{A}\cup R_{C}$ . 1.Commutativity  $R_{GC}=R_{G}\cup R_{C}=R\cup R_{\sigma}\cup R_{C}$  is the union of two ground TRS R and  $R_{\sigma}$  and of R<sub>C</sub> TRS associated with commutativity of the operator  $\sigma$ Example:  $RGC=\{1:f(a)\rightarrow a ; 2: g(a, a) \rightarrow b; 3: \sigma(a, b) \rightarrow b; 4: \sigma(x, y) \rightarrow \sigma(y, x) \}$  $R=\{1;2\}, R_{\sigma}=\{3\}, R_{G}=\{1;2;3\}, R_{C}=\{4\}$ <u>1.1:Recognizability of  $[F]_{RGC}$ </u> <u>Lemma 1.1:</u>There exists a TRS  $S_{\sigma}$  verifying:  $\forall t,t' \in T_{\sigma}(\Sigma)$  $\overbrace{t \mid -*- t' }^{(t \mid --*- t')} \Leftrightarrow (\exists t_1, t_2 \in T_{\sigma}(\Sigma), t \mid -*- t_1 \mid ---*- t_2 \mid -*- t') \\ \underset{R_{GC}}{R_{GC}} R$ RGC <u>Proof</u> : - Construction of  $S_{\sigma}$ We add to  $R_{\sigma}$  new rules to simulate rewritings by R on terms of T<sub>2</sub> which appear in rules of  $R_{\sigma}$ .  $R_{\sigma} = \{l_{i} = l_{i\sigma}.(l_{i1},...,l_{ini}) \rightarrow r_{i} = r_{i\sigma}.(r_{i1},...,r_{ipi})/i \in I, n_{i} > 1; l_{i\sigma}, r_{i\sigma} \in T_{\sigma}(X)\}$  $\forall i, j, k, l_{ij}, r_{ik} \in T_{\Sigma}$  } Let  $G = \bigcup \{l_{i1}, \dots, l_{ini}\}$  and  $D = \bigcup \{r_{i1}, \dots, r_{ipi}\}$  $i \in I$   $i \in I$ Let  $S = \{ (r,l) \in DxG / r \mid -\frac{n}{2} - 1 \}$ DxG is finite and for every r of D the set  $[r]R = \{t'/r \mid -*-t'\}$  is recognizable ([4]) so we can construct S we can construct S. Let  $R' = \{ r \rightarrow 1 / (r, l) \in S \}$  and  $S_{\sigma} = R_{\sigma} \cup R'$ - ⇔  $\Leftarrow$  is obvious and  $\Rightarrow$  is proved by induction on the number n of utilisations of rules of  $R_{\sigma}$ . It is based on the two results: -Each rule of R can commute with each rule of RC. -Each rule of R' simulate the rewriting of a term of D in a term of G by R So we obtain the decomposition of lemma 1.1, moreover we use a rule of R' only to transform a term of D in a term of G. <u>Lemma 1.2</u>: There exists a TRS  $V_{\sigma}$  verifying:  $\forall$  t,t'  $\in$   $T_{\sigma}(\Sigma)$ ,  $\begin{array}{c} \underbrace{(t \mid -* - t') \Leftrightarrow (\exists t_1, t_2, t_3, t_4 \in T_{\sigma}(\Sigma),}_{R \ GC} & (t \mid -* - t_1 \mid -* - t_2 \mid -* - t_3 \mid -* - t_4 \mid -* - t')}_{R \ R_C} V_{\sigma} \ R_C \ R \end{array}$ <u>**Proof**</u> : To obtain  $V_{\sigma}$ , we just add to S all the rules obtained by using commutativity of the operator  $\sigma$  on left-hand-side of rules of  $R_{\sigma}$ . <u>Proposition.1.3</u>: F is a forest included in  $T_{\sigma}(\Sigma)$ (F recognizable)  $\Rightarrow$  ([F]<sub>RGC</sub> recognizable)  $\underline{Proof} : [F]_{RGC} = \{t' \mid \exists t \in F, t \mid -*-t'\}$ RGC For every recognizable forest F and every ground TRS S, the forest [F]S is recognizable([4]). For every recognizable forest F, the forest  $[F]_{RC}$  is recognizable (obvious with the bottom-up automaton recognizing F). So with the decomposition of lemma 1.2 we have [F]RGC recognizable (R and V $_{\sigma}$  are ground TRS).

1.2 :Reachability problem for RGC in  $T_{\sigma}(\Sigma)$ 

<u>Proof</u>: For every t in  $T_{\sigma}(\Sigma)$ , we have  $[t]_{RGC}$  recognizable (consequence of proposition 1.3) so we can decide if t' is in  $[t]_{RGC}$ .

<sup>&</sup>lt;u>Proposition.1.4</u>: For every t and t in  $T_{\sigma}(\Sigma)$ , we can decide whether t can reduce to t by applying rules of RGC i.e the reachability problem is decidable for RGC in  $T_{\sigma}(\Sigma)$ .

2.Associativity.

 $RGA=RG\cup RA=R\cup R_{\sigma}\cup RA$  is the union of two ground TRS R and  $R_{\sigma}$  and of RA TRS associated with associativity of the operator  $\sigma$ .

 $\begin{array}{l} \underline{\text{Example}}: \text{RGA} = \{1: \ f(a, \ a) \rightarrow a; 2: \ \sigma(\sigma(a, \ b), \ a) \rightarrow \sigma(b, \ b) \ ; 3: \ \sigma(\sigma(x, \ y), \ z) \rightarrow \sigma(x, \ \sigma(y, \ z)) \\ ; 4: \ \sigma(x, \ \sigma(y, \ z)) \rightarrow \ \sigma(\sigma(x, \ y), \ z) \ \} \\ \text{R} = \{1\} \ ; \ \text{R}_{\sigma} = \{2\} \ ; \ \text{RG} = \{1; 2\} \ ; \ \text{RA} = \{3; 4\} \end{array}$ 

2.1:Recognizability of [F]RGA

Example: Let  $R=R_{\sigma}=\emptyset$  and so RGA=RA and F be the recognizable forest generated by the regular grammar {  $A \rightarrow \sigma(a, \sigma(A, b))$  ;  $A \rightarrow \sigma(a, b)$  }

Then  $[F]_{RGA} = [F]_{RA} = \{t \in T_{\{\sigma;a;b\}}/\phi(t) = a^n b^n, n > 0\}$  where  $\phi(t)$  denotes the frontier of the term t and  $[F]_{RGA}$  is not recognizable so in general F recognizable does not imply  $[F]_{RGA}$  recognizable

## 2.2:Reachability problem for RGA in $T_{\sigma}(\Sigma)$

<u>Proposition 2.1:</u> The reachability problem for RGA in  $T_{\sigma}(\Sigma)$  is undecidable <u>Proof:</u> Let  $\Gamma$  be a finite alphabet and  $R_W$  be a word rewriting system on  $\Gamma^*$ , let  $\Delta = \Gamma \cup \{\sigma\}$  be a finite ranked alphabet (all letters of  $\Delta$  are of arity 0 except  $\sigma$  which arity is 2).

Let  $f: \Gamma^* \to T_\Delta$ 

 $m \mapsto f(m)$  defined by ( if |m|=1 then f(m)=m)

and(if |m|>1 and  $m=a_1a_2...a_n$  then  $f(m)=\sigma(a_1, \sigma(a_2, \sigma(a_3, ..., \sigma(a_{n-1}, a_n))))$ )

So we can associate to  $R_W = \{ l \rightarrow r / l, r \in \Gamma^* \}$  a TRS denoted RG defined by  $R_G = \{ f(l) \rightarrow f(r) / l \rightarrow r \in R_W \}$  and thus we can prove  $(t \mid -*-t') \Leftrightarrow (\Phi(t) \mid -*-\Phi(t')) R_{GA} R_W$ 

The reachability problem for  $R_W$  in  $\Gamma^*$  is known undecidable so the reachability problem for  $R_{GA}$  in  $T_{\sigma}(\Sigma)$  is undecidable.

## 3.Associativity and commutativity.

RGAC=RG $\cup$ RAC=(R $\cup$ R $\sigma$ ) $\cup$ (RA $\cup$ RC) is the union of the ground TRS RG and of RAC TRS associated with commutativity and associativity of the operator  $\sigma$ .RG is itself the union of the ground TRS R on T $\Sigma$  and of the TRS R $\sigma$  on T $\Delta$ (with  $\Delta = \Sigma \cup \{\sigma\}$  and conditions on the configuration of rules of R $\sigma$ ,see III Notations).

3.1: Recognizability of [F]RGAC

Example: With  $R=R_{\sigma}=\emptyset$  and so  $R_{GAC}=R_{AC}$ 

with the forest F of the example of the section III.2.1 we have  $[F]R_{GAC}=[F]R_{AC}=\{t \in T\{\sigma,a,b\}/|\Phi(t)|_a=|\Phi(t)|_b\}$  (where  $\Phi(t)$  is the frontier of the term t and  $|\Phi(t)|_a$  the number of occurences of a in the word  $\Phi(t)$ ) which is not recognizable. So generally F recognizable does not imply  $[F]R_{GAC}$  recognizable.

3.2: Reachability problem for RGAC in  $T_{\mathfrak{G}}(\Sigma)$ Lemma 3.1: There exists a TRS  $S_{\mathfrak{G}}$  such that :  $\forall t, t' \in T_{\mathfrak{G}}(\Sigma)$ ( $t \mid -.*- t'$ )  $\Leftrightarrow (\exists t_1, t_2 \in T_{\mathfrak{G}}(\Sigma), t \mid -*- t_1 \mid --.*- t_2 \mid -*- t')$ RGAC R Proof: Similar to lemma 1.1. With the notations of section III.1.1,let  $M=G\cup D=\{u_1,...,u_m\}$  be the set of all subterms of  $T_{\Sigma}$  which appear as subterms of rules of  $S_{\mathfrak{G}}$ . Example:  $S_{\mathfrak{G}}=\{\sigma(f(a, a), c) \rightarrow \sigma(f(a, a), d); 2: \sigma(a, f(a, a)) \rightarrow c; 3: \sigma(c, d) \rightarrow c \}$ then  $M=\{a, f(a, a), c, d\}$  Let  $X = \{x_1, ..., x_m\}$  be an alphabet one to one with M

on X\* we define the relation  $(m \equiv m') \Leftrightarrow (\forall x \in X, |m|_X = |m'|_X)$ 

Let  $f: T_{\sigma}(\Sigma) \rightarrow X^*/_{\equiv}$ 

 $t=t_{\sigma}.(t_1,...,t_n)| \rightarrow f(t)=x_1y_1...x_my_m$  where yi is the number of occurences of the term ui (which belongs to M) in  $\{t_1,...,t_n\}$ 

Thus to each tree t of  $T_{\sigma}(\Sigma)$ , we can associate f(t) in  $X^*/\equiv$  and g(t) the list (or multiset) of terms of  $\{t_1,...,t_n\}$  which are not in M (g(t) is the list of subterms of t which cannot be transformed by  $S_{\sigma}$ ).

Example:  $S_{\sigma} = \{1, 2, 3\}; M = \{a, f(a, a), c, d\}; X = \{x, y, z, t\}$ 

with t=  $\sigma(\sigma(\sigma(f(a, a), c), b), \sigma(c, b))$ 

We get  $f(t)=yz^2$  and g(t)=(b,b)

Moreover to each rule  $l_i \rightarrow r_i$  of  $S_{\sigma}$ , we can associate the rule  $f(l_i) \rightarrow f(r_i)$  on  $X^*/=$ and thus to  $S_{\sigma}$  is associated a TRS SX on  $X^*/=$ .

Example: With  $S_{\sigma}$ , M, X defined in the previous example

we get  $SX = \{ 1X : yz \rightarrow yt ; 2X : xy \rightarrow z ; 3X : zt \rightarrow z \}$ 

<u>Lemma 3.2</u>:  $\forall$  t1,t2  $\in$  T<sub> $\sigma$ </sub>( $\Sigma$ )

 $(t_1 \xrightarrow{|\dots + t_2|} t_2) \Leftrightarrow \tilde{(f(t_1))} \xrightarrow{| - + t_2|} f(t_2)$  and  $g(t_1)$  and  $g(t_2)$  contain  $S_{\sigma} \cup R_{AC} \xrightarrow{S_{\sigma}} f(t_2)$  same terms

exactly the same terms )

<u>**Proof:</u>** -SX is a TRS on  $X^*/\equiv$  and by definition of  $X^*/\equiv$  the rewritings are made</u> modulo commutativity and associativity so each rule of SX simulates commutativity, associativity and one rule of  $S_{\sigma}$ 

-the trees of  $g(t_1)$  cannot be rewritten by  $S_{\sigma}$  so we must have the second condition.

Example: With  $S_{\sigma}$ , M, X, t of the previous example we have

t |---  $\sigma(\sigma(\sigma(f(a, a), d), b), \sigma(c, b))$  |----\*--- t'=  $\sigma(\sigma(f(a, a), c), \sigma(b, b))$  $R_{AC} \cup \{3\}$  $\{1\}$ 

and  $f(t)=yz^2$ , f(t')=yz,  $yz^2 | --- yzt | --- yz$ g(t)=g(t')=(b,b). 1X 3Xg(t)=g(t')=(b,b).

<u>Lemma 3.3</u>: The reachability problem for SX in  $X^*/=$  is decidable.

<u>Proof</u>: To the TRS SX on  $X^*/=$ , we can associate the Petri net PSX defined as follow: -Set of places  $P=\{p_1,...,p_m\}$ , pi is associated with xi of X

-Set of transitions  $T = \{t_1, ..., t_n\}, t_i$  is associated with the rule  $l_i \rightarrow r_i$ .

-Pré and Post are defined by :

if  $x_1^{11}...x_m^{1m} \rightarrow x_1^{r1}...x_m^{r1m}$  is the rule  $l_i \rightarrow r_i$  of SX then for the transition ti we have Pré(pi,ti)=lii and Post(pi,ti)=rij

Moreover to each  $m=x_1y_1...x_my_m$  of  $X^*/=$  we associate the vector v(m) of N<sup>m</sup> such that  $v(m)(i)=y_i$ .

We can dually associate to a Petri net a TRS on  $P^*/=$  so the reachability problem for SX in  $X^*/=$  is equivalent to the reachability problem for Petri net indeed decide if m can reduce to m' by applying rules of Sx is decide if the vector v(m')is reachable for the Petri net  $SP_X$  with the initial marking v(m). The reachability problem in Petri nets is decidable (Kosaraju[11], Mayr[13]) and so the reachability problem for SX in  $X^*/=$  is decidable.

Example: With  $S_{\sigma}$ , M, X={x,y,z,t}, SX={1X:yz \rightarrow yt; 2X:xy \rightarrow z; 3X:zt \rightarrow z} and t of the previous example we have  $P=\{p,q,r,s\}$ ,  $T=\{t,t',t''\}$  and

$$Pre = \begin{pmatrix} 010\\ 110\\ 101\\ 001 \end{pmatrix}; Post = \begin{pmatrix} 000\\ 100\\ 010\\ 101 \end{pmatrix}; Initial marking v(m) = \begin{pmatrix} 0\\ 1\\ 2\\ 0 \end{pmatrix}$$



Petri net SPX with the initial marking v(m)

<u>Proposition 3.4</u>: The reachability problem for  $R_{GAC}$  in  $T_{\sigma}(\Sigma)$  is decidable <u>Proof:</u>-If t and t' belong to  $T_{\Sigma}$  then  $R_{GAC}=R$  and the reachability problem for the ground TRS R is decidable

-If t belongs to  $T_{\Sigma}$  and t' does not belong to  $T_{\Sigma,t}$  cannot be rewrited in t' because of the condition  $l_{i} \notin T_{\Sigma}$  for rules of  $R_{\sigma}$  (we forbid the generation of  $\sigma$  from terms of  $T_{\Sigma}$ ).

-If  $t=t_{\sigma}.(t_1,...,t_n) \in T_{\sigma}(\Sigma)$  and  $t'=t'_{\sigma}.(t'_1,...,t'_p) \in T_{\sigma}(\Sigma)$ . We use the decomposition of lemma 3.1 so we first rewrite the terms  $t_i$  by the ground TRS R on  $T_{\Sigma}$  and so each term  $t_i$  can produce terms of  $M=G\cup D$  or not so we consider  $F(t)=\{t_{\sigma}.(u_1,...,u_n)/\text{ if } [t_i]_R \cap M=\emptyset$  then  $u_i=t_i$ ; if  $[t_i]_R \cap M=\{m_1,...,m_j\}$  then  $u_i=t_i$  or  $u_i=m_1$  or ... or  $u_i=m_j$  }. M is a finite set of terms and for each  $t_i$ , the forest  $[t_i]_R$  is recognizable so we can build the finite set F(t) for every t of  $T_{\sigma}(\Sigma)$ . Dually, using decomposition of lemma 3.1, we consider

 $\begin{array}{l} F^{-1}(t') = \{t'_{\sigma}.(u'_{1},...,u'_{p}) / \text{ if } [t'_{i}]^{-1}_{R} \cap M = \emptyset \text{ then } u'_{i} = t'_{i} \text{ ; if } [t'_{i}]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } u'_{i} = t'_{i} \text{ or } u'_{i} = m'_{1} \text{ or ... or } u'_{i} = m'_{k}\} \text{ with } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t\}^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t\}^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t\}^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t\}^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t\}^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t']^{-1}_{R} = \{t/t\}^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}\} \text{ then } \\ [t]^{-1}_{R} \cap M = \{m'_{1},...,m'_{k}$ 

Lemma3.5:(\_t |--\*-- t') 
$$\Leftrightarrow$$
 ( ( $\exists T \in F(t), \exists T' \in F^{-1}(t'), f(T) |-*-- f(T')$ ) and  $R_{GAC}$ 

(there exists a one to one correspondance h between g(T) and g(T') such that we have  $g(T) \ni u \mid -*-h(u) \in g(T')$ ))

<u>Proof:</u> We use in this proof the results of lemma 3.1, lemma 3.2 and the construction of the finite sets F(t) and  $F^{-1}(t') \Leftarrow$  is without difficulty using these results.For  $\Rightarrow$  we have to examine the rewriting of t in t' by RGAC using the decomposition of lemma 3.1 and build T of F(t) and T' of  $F^{-1}(t')$  verifying the two properties.

$$t= t_{\sigma}.(t_1,...,t_n) |_{R}^{+*-} t_{\sigma}.(v_1,...,v_n) \\ t_{\sigma}.(v_1,...,v_n) |_{S_{\sigma} \cup R_{AC}} \\ t_{\sigma}.(v'_1,...,v'_p) |_{R}^{-*--} t_{\sigma}'.(t'_1,...,t'_p) = t' \\ R$$

This construction is not difficult, we just have to look at every possible cases for the rewritings by R:  $t_i|_{-*-v_i \in M}$ ;  $t_i|_{-*-v_i \notin M}$  and then  $t_i \in M$  or  $t_i \notin M$ ; and dually  $M \ni v'_i|_{-*-t'_i}$ ;  $v'_i \notin M, v'_i|_{-*-t'_i}$  and then  $t'_i \in M$  or  $t'_i \notin M$ .

Moreover, F(t) and  $F^{-1}(t')$  are finite sets, for every (t,t') of  $F(t)xF^{-1}(t')$ , we can decide if the properties of lemma 3.5 are satisfied or not (lemma 3.4 and decidability of the reachability problem for the ground TRS R) and so the reachability problem for RGAC in  $T_{\sigma}(\Sigma)$  is decidable.

#### **CONCLUSION**

These works could permit to obtain some algebraical methods to realise the compilation of TRS, so as to have an execution of these sorts of systems in a real time.

Besides, these researches show the difficulty to have some good classes and make us researching some partial algorithms of decision of the reachability problem based on our methods for these classes.

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