

## Tooth loss and alveolar remodeling in *Sinosaurus triassicus* (Dinosauria: Theropoda) from the Lower Jurassic strata of the Lufeng Basin, China

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Pathological or traumatic loss of teeth often results in the resorption and remodeling of the affected alveoli in mammals. However, instances of alveolar remodeling in reptiles are rare. A remodeled alveolus in the maxilla of the Chinese theropod *Sinosaurus* (Lower Jurassic Lower Lufeng Formation) is the first confirmed example of such dental pathology in a dinosaur. Given the known relationship between feeding behavior and tooth damage in theropods (teeth with spalled enamel, tooth crowns embedded in bone) and the absence of dentary, maxillary, and premaxillary osteomyelitis, traumatic loss of a tooth is most likely the cause of alveolar remodeling. Based on the extent of remodeling, the injury and subsequent tooth loss were non-fatal in this individual.

**alveolar remodeling, paleopathology, *Sinosaurus*, Lufeng Basin, China**

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Paleopathology is the study of disease and other abnormalities in the fossil record. Paleopathological investigations can reveal unique insights into the behavior, biology, and development of extinct animals. The main (actualistic) assumption in paleopathology is that modern processes that dictate an osseous response to trauma can be extrapolated to fossil taxa. Among theropod dinosaurs, injury-related trauma (bites, exostoses, fractures, infection, stress fractures) is the overriding cause of osteopathy; however, congenital abnormalities and arthritis (gout) have also been documented [1,2].

Few records of osseous abnormalities in Chinese dinosaurs have been documented. Formal reports include possible bacterial infection in the fibula of the basal ceratopsian *Psittacosaurus* [3], osteoarthritis in the theropods *Caudipteryx*, *Confuciusornis* and *Microraptor* [4], healed bite marks in *Sinraptor* [5], and a healed fracture in the theropod *Yangchuanosaurus* [6]. Possible palaeopathological phenomena have also been reported in the sauropods *Fusuisaurus* and *Mamenchisaurus*, although these were not described in detail [6].

*Sinosaurus triassicus* (=“*Dilophosaurus sinensis*”) is an Early Jurassic theropod characterized by twin hatchet-shaped crests similar to its North American relative *Dilophosaurus* [7,8]. In 2007, the Lufeng Dinosaurian Museum recovered

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an incomplete skull, and several postcranial fragments of a new specimen of *Sinosaurus* (ZLJT01) from the Lufeng Basin in Yunnan Province, China [9]. A detailed anatomical description and a phylogenetic analysis of ZLJT01 allow a critical assessment for the first time. A new phylogenetic analysis shows that *Sinosaurus triassicus* (=“*Dilophosaurus sinensis*”) is not the most basal dilophosaurid as was previously concluded by Smith et al. [10]; in contrast, *Sinosaurus* and the *Cryolophosaurus* emerge as more derived theropods; they were recovered as more closely related to *Averostra* than to *Coelophysis bauri* and *Dilophosaurus wetherilli* [9]. The right maxilla includes an abnormal, closed alveolus, the significance and possible etiology of which are considered in this paper.

ZLJT01 was discovered near Hewanzi Village, Gan-chong Village Committees, Konglongshan Township, Lufeng County, Chuxiong Yi Autonomous Prefecture, Yunnan Province, China. The specimen includes parts of both premaxillae, both maxillae, dentary, nasal crests, occiput, and fragments of the postcranial skeleton. A detailed description of ZLJT01 and of *Sinosaurus* more broadly will be presented elsewhere. This paper details the palaeopathological features of the specimen.

## 1 Geological setting

The Lower Lufeng Formation of Yunnan Province, China preserves a diverse dinosaur assemblage, including ornithischians, prosauropods, sauropods, and theropods in a variety of fluvial, overbank, and lacustrine sediments [11]. The age of the Lower Lufeng Formation [12] was originally thought to be Late Triassic [12,13]. However, biostratigraphic correlations (both vertebrate and invertebrate) indicate an

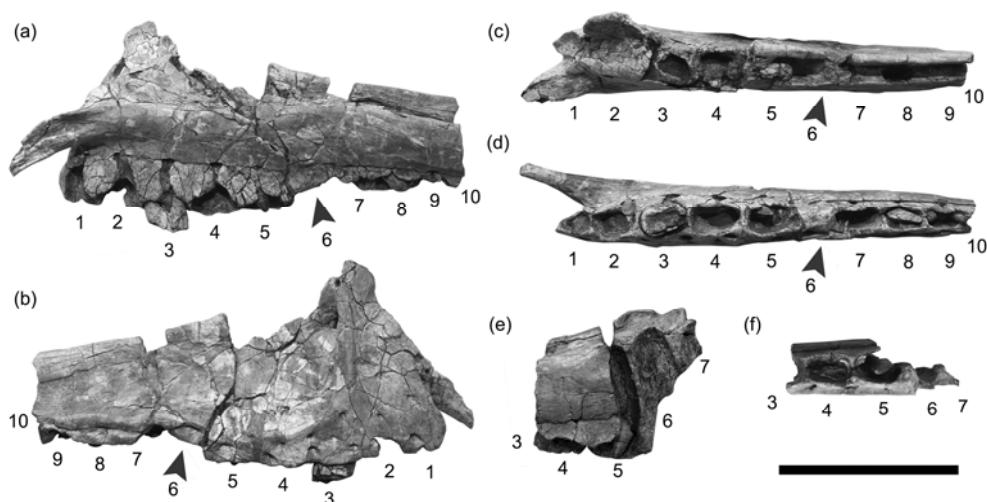
Early Jurassic (Hettangian-Sinemurian) age [11,14,15]. The name “Lufeng Formation” has been restricted to what previously was the Lower Lufeng Formation, and the unit further subdivided into the Shawan (Hettangian) and Zhangjia’ao (Sinemurian) members [16]. However, this nomenclature has not been widely accepted [17]. ZLJT01 (*Sinosaurus*) comes from the lowermost (Shawan Member) portion of the Lower Lufeng Formation. Associated faunal elements include basal sauropodomorphs (*Lufengosaurus*, *Yunnanosaurus*), theropods (*Lukousaurus*), and the purported thyreophoran *Bienosaurus*.

Institutional abbreviations: KMV, Kunming City Museum, Kunming, Yunnan, China; LDM, Lufeng Dinosaurian Museum, Lufeng, Yunnan, China; SMNS, Staatliches Museum für Naturkunde Stuttgart, Stuttgart, Germany; USNM, National Museum of Natural History, Washington, USA; ZLJ, Lufeng World Dinosaur Valley Park, Yunnan, China.

## 2 Description

The right maxilla of ZLJT01 is incomplete, lacking most of the posterodorsal process and the dorsal end of the jugal process (Figure 1(a)–(d)). Maxillary alveoli one to ten (mx1 to mx10) are preserved in ZLJT01; however, the 10th alveolus is broken. Based on other *Sinosaurus* specimens, the complete maxilla likely had 13 (KMV 8701) or 14 (LDM–L10) tooth positions. Two broken teeth are preserved in the alveoli mx3 and mx8, and an incompletely erupted tooth crown is present in alveolus mx5. The remaining alveoli lack teeth, undoubtedly as a result of post-mortem tooth loss.

In contrast with the other alveoli, alveolus mx6 is entirely closed by a layer of secondary bone (Figure 1(a)–(d)). In



**Figure 1** Palaeopathological characters of the right maxilla of ZLJT01 (*Sinosaurus triassicus*), in (a) medial; (b) lateral; (c) dorsal; (d) ventral views. Position of the abnormal alveolus mx6 is indicated by an arrowhead. Incomplete, normal left maxilla of ZLJT01 in (e) medial and (f) ventral aspects showing non-pathological alveolus mx6. Numerals in (a)–(f) refer to alveoli. Scale bar = 10 cm.

medial and lateral aspects, the rim of the alveolus lies dorsal to the horizontal plane formed by the other alveoli indicative of significant bone remodeling. This remodeling gives the jaw a distinct notch in mediolateral view at the sixth alveolus. The external surface of the new bone is smooth and the two interdental plates on either side of mx6 are fused to one another. An X-ray of the maxilla (Figure 2) clearly shows the outline of the original alveolus; however, the alveolar space is less radiolucent than the adjacent alveoli, which is indicative of secondary bone filling the alveolar space. This is further evidenced by the near total absence of pyrite growth (“pyrite rot”) that pervades all other alveolar spaces (Figure 2). It is also noted that mx7 contains very little pyrite growth. No additional evidence of damage or remodeling to the bone surrounding mx6 was observed. The incomplete left maxilla from the same specimen, which includes alveoli mx3–7 (Figure 1(e)–(f)) has a normal alveolus for mx6, indicating tooth loss in the right maxilla was abnormal.

### 3 Discussion and conclusions

Dental abnormalities in theropods are infrequently reported in the literature. Broken teeth [18–20], and congenitally deformed teeth (such as the split carinae [1,2,18,21]) are among the most commonly documented abnormalities. Other potential congenital dental abnormalities include a “twisted” dentary in a hatchling *Troodon* [1,22]. Bite-marks and related traumas are also relatively common on the jaws and faces of a range of theropod taxa [1,5,23–26].

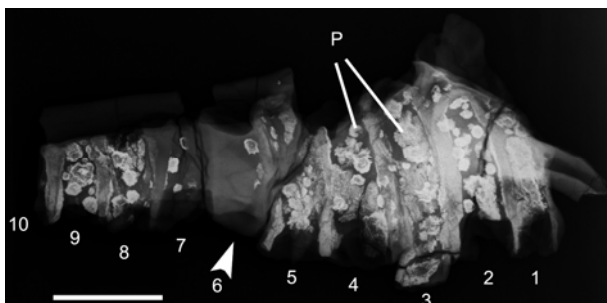
Dental abscesses, such as those reported in an unidentified hadrosaurid jaw [27] and in the captorhinid *Labiodosaurus* [28], have yet to be identified in theropods. Abscesses form as a result of deep bacterial infections, resulting in osseous erosions associated with alveolar bone destruction and tooth loss.

When teeth are lost or removed *in vivo*, the bony socket remodels over time so that there is no longer an alveolus [29]. X-ray evidence demonstrates the presence of an osseous

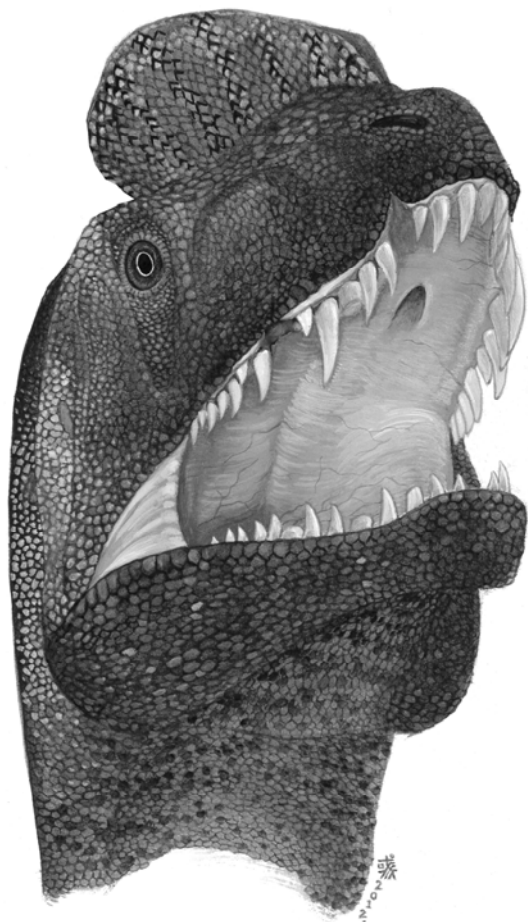
infilling in the sixth alveolus of ZLJT01 typical of alveolar remodeling. This type of healing process following antemortem tooth loss is the first recorded for a dinosaur. Alveolus closure is extremely rare among living and fossil reptiles, having only been observed elsewhere in the fossil record in a specimen (SMNS 4379) of the phytosaur *Nicrosaurus kapffi* that has a single, closed alveolus in the right maxilla [30], which may have resulted from injury or failed replacement [31]. In contrast, alveolar remodeling is relatively common in extant primates, where tooth loss may be a result of feeding behavior [32–35]. Moreover, differences between the frequency of alveolar remodeling between mammalian and reptilian counterparts is likely also due to tooth replacement patterns; mammals have only a single set of replacement teeth, leaving alveolar closure as the only biological “option” following traumatic tooth avulsion. In contrast, reptiles (and dinosaurs) have continuous tooth replacement patterns, whereby a vacant alveolus is immediately supplied with a replacement tooth. Therefore alveolar remodeling would only appear to follow significant trauma and/or disruption of the normal tooth replacement patterns.

*In vivo* tooth loss can result from either pathological and/or traumatic mechanisms. Pathological changes, such as periodontal disease (including periodontitis, alveolar osteomyelitis, and abscesses), may be responsible for destruction of the tooth root, the periodontal ligament, and/or the tooth socket itself, resulting in avulsion of the affected tooth [36]. This is accompanied by an osseous response to infection, including pus-draining sinuses (fistulae) and rapid bone growth with a characteristic disorganized texture. Such evidence of osteomyelitis was not observed in ZLJT01. Therefore a trauma-related (not pathological) mechanism for alveolar remodeling in ZLJT01 appears likely.

Traumatic tooth loss typically occurs as a result of a forceful impact to the tooth crown but may also be initiated by trauma to the alveolar margin or jaw [29,37]. Trauma can occur with or without damage to the surrounding bone and soft-tissue [38]. Although obvious indicators of dental trauma, such as retained tooth fragments and alveolar or jaw fracture, were not observed in ZLJT01, theropods frequently damaged teeth antemortem, presumably as a result of feeding activity [39]. Shed theropod teeth commonly encountered in ornithischian-dominated bonebeds similarly reflect antemortem tooth loss due to feeding behavior [40–42]. These are usually tooth crowns shed during the normal process of tooth replacement. However, virtually all show some evidence of damage, such as spalled, chipped enamel and breakage or wear that occurred before the crowns were shed. Broken tips of teeth are sometimes also found in the bonebeds, and rare examples of broken theropod teeth still embedded in prey bone demonstrate a clear relationship between feeding and tooth avulsion [25,43–45]. Although direct evidence of trauma (retained tooth fragments, healed fractures) was not observed in ZLJT01, traumatic tooth loss does not necessarily involve the surrounding bone [38].



**Figure 2** X-ray scan of the pathological right maxilla in ZLJT01 (*Sinosauros triassicus*), in lateral aspect. Anterior is to the right. Arrowhead indicates pathological alveolus mx6. Pyrite growths (P) show up as white discs. Note the near absence of pyrite in mx6. Scale bar=5 cm.



**Figure 3** Life reconstruction of *Sinosaurus triassicus* with dental abnormality based on ZLJT01. Illustration by Liu Chenyu.

Given the known relationship between theropod feeding behavior and loss of replacement teeth, tooth loss in ZLJT01 was not likely a result of trauma to the tooth crown alone. In this case, the loss of the tooth may have been traumatic enough to damage the root of the tooth and the associated replacement (or germ) teeth. The changes associated with the dental plates are likely a result of resorption and remodeling following tooth loss, although traumatic loss to all or part of the dental plate cannot be discredited entirely. A similar condition was observed in a pathological *Allosaurus dentary* (USNM 2315; the holotype of “*Labrosaurus ferox*”) that lacks the anterior four or five teeth. The alveoli were resorbed, resulting in a concave oral margin in lateral view giving the false impression of an edentulous jaw [46,47]. A possible traumatic etiology for the lost teeth in this specimen has been proposed [1].

The total closure of the alveolus in ZLJT01 and remodeling of the alveolar space indicate the animal survived for a lengthy period of time following the loss of the tooth. In human subjects, remodeling of the alveolar cavity occurs after the third month following injury [29,48]; however, this cannot be postulated to accurately reflect recovery time in any other species, particularly in an extinct dinosaur. As

noted earlier, reptilian examples of alveolar remodeling are rare, and recovery times have not been studied in extant reptiles. Nevertheless, tooth loss in *Sinosaurus* clearly was non-fatal and ZLJT01 survived probably for months or even years (Figure 3). This finding adds to the known range of dental pathologies found in theropods and contributes to mounting evidence suggesting theropods were highly resilient to a broad spectrum of traumas and diseases [26,49,50].

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