ORIGINAL PAPER



The Influence of Aluminium–Silicon Alloy on ZDDP Tribofilm Formation on the Counter-Surface

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Received: 5 April 2017/Accepted: 22 August 2017/Published online: 8 September 2017 © The Author(s) 2017. This article is an open access publication

Abstract In order to reduce vehicle weight and thus improve fuel economy, aluminium (Al) alloys have been increasingly adopted as contact surfaces such as piston skirts and cylinder liners in current engines. In general, hypereutectic Al-Si alloys are used, in which hard silicon grains are embedded in a softer Al-Si single phase matrix. It is reported that after rubbing, the matrix is removed to leave silicon grains protruding from the surface. However, the response of the counter-surface by these silicon grains is rarely investigated. In this study, mini traction machinespace layer imaging (MTM-SLIM) has been used to monitor tribofilm formation in situ and investigate the evolution of both surfaces in the contact of a steel ball on an Al-Si disc lubricated by ZDDP solution. In low-load conditions, the top layer of aluminium on the Al-Si disc is removed physically to leave silicon grains protruding from the surface, while ZDDP tribofilm pads are formed mainly on the grains. On the counter-surface (steel ball), ZDDP tribofilms are formed and build up with no wear scars. In high-load conditions, deep gaps are observed to form initially round the silicon grains on the disc. During rubbing, these become shallower, while the silicon grains start to protrude. On the steel ball, ZDDP tribofilm is generated initially over the whole rubbing track, but then the tribofilm in the middle of the track is almost completely removed by

rubbing against the protruding silicon grains. Wear of the underlying steel surface then ensues.

Keywords Zinc dialkyldithiophosphate \cdot ZDDP \cdot ZnDTP \cdot Tribofilm \cdot Wear \cdot Antiwear \cdot Aluminium alloy \cdot MTM \cdot SLIM

1 Introduction

Despite considerable improvements in recent years, there is still great concern to further reduce the fuel consumption of internal combustion engines in passenger vehicles. In engine development, the compression ratio and the specific power of engines have been progressively increased [1, 2] resulting in higher cylinder liner temperatures near the combustion chamber, especially at top dead centre. This produces conditions that are more likely to produce wear and scuffing of piston ring-cylinder liner contacts [3]. In addition, non-ferrous materials have been introduced in both engines and transmissions to reduce vehicle weight and thus improve fuel economy. In some cases, these materials are used as one of the rubbing components in tribological contacts, for example in piston rings and in cylinder liners, and in the latter case, hypereutectic aluminium-silicon alloys (Al-Si alloys), which provide reasonable antiwear performance, have been increasingly adopted [4-6]. Therefore, engine oil formulations are required to show good antiwear and antiseizure performance under these more severe conditions with Al-Si alloys as well as with ferrous materials.

Since zinc dialkyldithiophosphate (ZDDP) is the most widely used antiwear additive in engine oils, many researchers have studied the antiwear and antiseizure performance of Al–Si alloys with ZDDP-containing oils and

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investigated tribofilms formed on their surfaces. Nicholls et al. [7, 8] investigated ZDDP tribofilm formation on Al-Si alloy (A390). They reported that after rubbing, silicon grains protruded up to 150 nm above the aluminium surface. Using atomic force microscopy (AFM), they found that these silicon grains were covered by antiwear pads of thickness between 30 and 100 nm. The ZDDP tribofilm morphology on the silicon grains was very similar to that found on steel surfaces [9]. X-ray photoelectron emission spectroscopy (X-PEEM) and X-ray absorption near edge structure (XANES) analyses showed that polyphosphate film as zinc polyphosphate existed on both the Al matrix and the Si grains. However, on the surrounding aluminium matrix, no antiwear pads were observed though polyphosphate glass spectra indicative of ZDDP tribofilms were obtained. The authors suggested that during rubbing, the top layer of aluminium is removed physically while silicon grains remain in the surface, and ZDDP tribofilm pads are built up on the grains. Neville et al. also investigated the ZDDP tribofilm formation on Al-Si alloy with lubricants containing both molybdenum dialkyldithiocarbamate (MoDTC) and ZDDP [10]. From their research, different tribofilm formation schemes were found depending on the MoDTC concentration. One result using a MoDTC content lubricant (0.22 wt% Mo) showed similar behaviour to the findings of Nicholls et al. [7]; ZDDP tribofilms formed mainly on the protruded silicon grains after aluminium was removed.

Although these authors found that silicon grains alone remain in the surface after aluminium is removed physically during rubbing, the effect of these protruding silicon grains on the ferrous counter-surface has rarely been considered. This is important since clearly a protective tribofilm is required on at least one of the pair of rubbing surfaces in order to provide protection against wear and seizure.

The mini traction machine–space layer imaging (MTM-SLIM), PCS Instrument is a well-known and useful method to monitor ZDDP tribofilm growth in situ, and its use to study the kinetics of ZDDP tribofilm growth has been reported widely [11–22]. In this paper, MTM–SLIM has been used to monitor ZDDP tribofilm formation and wear on the ferrous counter-surface rubbed against Al–Si alloys. This has been accompanied by optical and analytical investigation of both surfaces after rubbing.

2 Test Methods

The experiments in this work are conducted in a MTM– SLIM ball on disc test rig as shown in Fig. 1. In the normal operation of this rig, a 19.05-mm-diameter ball made of AISI 52100 (Ra = 0.02μ m) is loaded against a flat surface



Fig. 1 a Schematic image of MTM–SLIM during a friction test, b schematic image of MTM–SLIM while capturing an image

of a 46-mm-diameter steel disc or Al–Si disc (AISI 52100, Ra = 0.01 μ m or A390, Ra = 0.05 μ m) which is immersed in the oil sample. The ball and the disc are continuously driven by separate electric motors, and tribofilms can form on both rubbing surfaces. At set intervals, motion is halted and the stationary ball is raised and loaded upward against a coated glass window (Fig. 1b). An interference image of the contact between the ball and the glass window is captured by a camera in order to record tribofilm formation on the ball.

Table 1 shows the main test conditions in this study. The load, the mean speed and the oil temperature were kept constant during all tests, at 5 or 20 N, 160 mm/s and 130 °C, respectively. The maximum contact pressure at 5 N between a steel ball and a steel disc is almost same as the one at 20 N between a steel ball and an Al–Si disc. Two SRR conditions were studied, 100% SRR and 201% SRR, where SRR is defined by Eq. 1.

$$SRR = |u_{\rm D} - u_{\rm B}|/U \tag{1}$$

where $u_{\rm B}$ and $u_{\rm D}$ are the speeds of the ball and disc surfaces relative to the contact and U is the mean or entrainment speed, $(u_{\rm B} + u_{\rm D})/2$. When the SRR is 100%, tests are performed under mixed rolling-sliding conditions with the

Table 1 Test conditions

Ball	AISI 52100								
Disc	AISI 52100				A390				
Load (N)	5		20		5		20		
Maximum Hertzian pressure (GPa)	0.52		0.83		0.34		0.55		
SRR (%)	100	201	100	201	100	201	100	201	
Ball speed (mm/s)	80.0	-0.8	80.0	-0.8	80.0	-0.8	80.0	-0.8	
Disc speed (mm/s)	240.0	320.8	240.0	320.8	240.0	320.8	240.0	320.8	
Mean speed (mm/s)	Always 160								
Temperature (°C)	Alway	s 130							
Temperature (°C)	Always	s 130							

ball and the disc rotating in the same direction (co-rotating mixed sliding-rolling), the ball surface moving more slowly than the disc surface. An SRR of 200% corresponds to pure sliding (one surface stationary). When the SRR is 201%, the ball and the disc are rotating in opposite directions (contra-rotating mixed sliding-rolling) with the ball surface moving very slowly.

After rubbing, the steel ball and Al-Si alloy discs were subjected to various methods of surface analysis. Before the surface investigation, the specimens were rinsed and cleaned with analytical reagent toluene in an ultrasonic bath for 3 min.

For the Al-Si alloy discs, optical images were captured using a digital microscope (Hirox RH-2000). AFM was then used to analyse worn tracks on the discs. The apparatus was a WITec alpha 300A, and the cantilever was a WITec AFM arrow cantilever reflex-coated. Scanning electron microscope and energy-dispersive X-ray spectroscopy (SEM-EDX) were used to analyse the tribofilms formed on the surface of the steel balls. An SEM, HITA-CHI S-3400N, was used to capture high-resolution images of tribofilm surface topography and EDX; an Oxford X-ray System, INCA, was used to analyse the chemical composition of the tribofilms. In this study, the accelerating voltage was 5 keV and the working distance was 10 mm \pm 1 mm. After that, in order to measure the ZDDP tribofilm thickness, the AFM-EDTA (ethylenediaminetetraacetic acid, disodium salt) method was employed [16]. For the steel balls, SEM-EDX was used after capturing optical images using the digital microscope.

3 Test Materials

All lubricant test samples were solutions of a ZDDP in polyalphaolefin base oil at a concentration that corresponded to 0.08 wt% of phosphorus. No other additives were present. The ZDDP employed was a C8 primary type, and the base oil had a kinematic viscosity of 4.1 mm^2/s at 100 °C, 2.6 mm²/s at 130 °C and a viscosity index of 124.

The steel ball and disc were both AISI 52100 steel (1% Cr) with hardness 750-770 and 800-920 HV, respectively.

They were provided by PCS Instruments. The Al-Si disc was A390 alloy consisting of 16.0-18.0% silicon, 4.0-5.0% copper and 0.45-0.65% magnesium etc., with the hypereutectoid silicon distributed as individual Si particles (Fig. 2). Hardness measurements on the Al matrix and Si grains indicated 170 and 190 HV, respectively (measured with Mitutoyo Autovick). The former is somewhat higher than the hardness value of the Al matrix measured by Chen and Alpas of about 85 HV for Si 18.5% alloy [23-25]. It is recognised that this value for Si grains is probably not the latter's intrinsic hardness and may reflect in part the supporting matrix. It was noted that measurements on grains in regions of high grain density gave rather higher values than those of isolated grains. Nanoindentation measurements in the literature suggest hardness values for silicon particles in Al-Si alloys of around 1000 HV [26, 27], which is similar to value for bulk silicon.

4 Test Results

4.1 MTM-SLIM Results

The ZDDP tribofilms formed on steel balls after rubbing against Al-Si alloy discs and steel discs were compared. (Some results were obtained in previous research [21].) Figures 3 and 4 show interference images on the MTM steel balls at 5 and 20 N, respectively, while Fig. 5 shows mean film thicknesses at the middle of the worn area on the balls which were calculated from these interference images.

At 5 N using both steel discs and Al-Si discs, it can be seen that the colour of the images gradually changes with rubbing time (Figs. 4, 5a). This indicates that ZDDP tribofilms are formed and build up progressively on the rubbed tracks on the steel balls during rubbing although tribofilm formation speeds are different. This tribofilm forming process is also observed at 20 N using steel discs in Fig. 4. However, when using an Al-Si disc, the tribofilm develops over the first 15 min of rubbing but then diminishes. This is clearly seen in the microscope image (Fig. 6)



Fig. 2 SEM-EDX results from an unrubbed Al-Si disc

and in Fig. 5b where the mean tribofilm thickness over the central region of the track is plotted against rubbing times.

At 5 N load after rubbing for 90 min, the width of the track on the ball for the steel/steel combination (Fig. 3) was 126 μ m, while at 20 N as shown in Fig. 4, it was 199 μ m. These compare with the theoretical Hertz diameters based on supplied elastic modulus data of 134 and 214 μ m, respectively. The results confirm that no wear occurs on the ball and disc surfaces since the track widths were slightly below the theoretical Hertz values. For the Al–Si disc on steel ball, the width of the track on the ball at 5 N after rubbing for 90 min was 168 μ m, and it was also almost same as the theoretical diameter 167 μ m. However, at 20 N, it exceeded the width of the SLIM image and

reached to 360 μ m, which is about 1.4 times larger than the theoretical diameter of 264 μ m (Fig. 6) indicative of wear on one or both of the surfaces. Figure 7 shows 2D profiles obtained using SWLI (scanning white-light interferometry, Wyko NT9100) of the steel balls rubbed against Al–Si and steel discs after tribofilm removal by EDTA at the end of 90 min rubbing tests at 20 N. For the ball rubbed against the steel disc, no wear is observed on the track, but for the ball rubbed against the Al–Si disc, although this contact pressure was lower than the one between a steel ball and a steel disc, a wear track, 1.8 μ m in depth, is observed in the central region of the track corresponding to the region where tribofilm has been removed during rubbing.

Time (min)			1	2	F	10	15	20	60	00	
Ball	Disc	Load	SRR	1	3	3	10	12	30	00	90
Steel	Steel	5 N	201 %								
Steel	Steel	5 N	100 %							8	8
Steel	Al-Si	5 N	201 %								
Steel	Al-Si	5 N	100 %								

Fig. 3 Series of SLIM images of the steel ball under 5 N



Fig. 4 Series of SLIM images of the steel ball under 20 N



As mentioned in the test conditions section (and also indicated by the similar track widths in Figs. 3, 4), the maximum contact pressure at 5 N between a steel ball and a steel disc is similar to the one at 20 N between a steel ball

and an Al–Si disc. However, since tribofilm removal was observed only when Al–Si discs were used, this suggests that silicon grains are responsible the tribofilm removal from the steel ball and also wear of the steel.



Fig. 6 Microscope image on the ball rubbed against an Al–Si disc at 90 min in 20 N, 201% SRR



Fig. 7 Profiles of steel balls rubbed against a steel disc and an Al–Si disc for 90 min at 20 N, 201% SRR

4.2 Surface Analysis on Steel Balls

Figure 8 shows the results of SEM–EDX of steel balls after at 1 and 15 min tests in which the ball was rubbed against an Al–Si disc at 201% SRR and 20 N. For the spectra obtained using EDX, Zn and P, which are key elements of ZDDP tribofilms, are observed across the whole rubbed track in both tests. Interestingly, Al is also detected. Figure 9 shows the results of SEM–EDX after a 90-min test. This is from the ball whose optical image is shown in Fig. 6 and compares with EDX intensities near the edge (a) where SLIM shows the presence of a tribofilm with the middle of the worn track (b) where the film is largely removed. For the result of the darker area at the edge, Al is



Fig. 8 SEM–EDX results of the steel ball rubbed with Al–Si disc for 1 and 15 min in 20 N, 201% SRR, *a* is at edge of track, *b* is at middle of track



Fig. 9 SEM–EDX results of the steel ball rubbed with Al–Si disc for 90 min at 20 N, 201% SRR, *a* is at edge of track, *b* is at the middle of track

observed as well as Zn, P and S. In contrast to the results in Fig. 8, no elements from ZDDP and Al–Si alloy are observed in the middle of the track.

From the results obtained using MTM–SLIM and SEM– EDX, it can be concluded that when a steel ball is rubbed against an Al–Si disc at 20 N load, ZDDP tribofilm is created on the whole rubbing track on the steel ball at the beginning of the test, but the tribofilm in the middle of the track is then removed, although the tribofilm near the edges remains during further rubbing. In addition, aluminium is transferred from the Al–Si disc to the steel ball during the test.

4.3 Surface Analysis of Al–Si Discs

Figure 10 shows a microscope image and EDX analysis of the Al–Si disc after 90 min rubbing at 5 N and 100% SRR, a condition that showed tribofilm build-up (Fig. 3). An AFM topography image is also shown in Fig. 10 from a grain inside the rubbed track. It is observed that the ZDDP tribofilm pads exist on the silicon grains, as reported by Nicholls et al. [7]. From 2D line profiles before and after tribofilm removal by EDTA solution (Fig. 11), the ZDDP film thickness on the Si grain shown can be determined to be a maximum of about 150 nm (Fig. 12). Also, after the tribofilm removal, it can be seen that the surface of the silicon grain protrudes 90 nm above the aluminium surface.

No significant difference in the topography on the Al matrix between before and after tribofilm removed by EDTA solution was observed, suggesting that little if any ZDDP tribofilm is formed on the Al matrix. In order to confirm this, the rubbed and non-rubbed areas were compared (Fig. 13). Tribofilm up to 80 nm thickness is present on the rubbed Si grain, but no clear film was observed on Al matrix within the rubbed track. Figure 14 shows a microscope image and EDX and AFM results after 90 min rubbing at 20 N. The surface of the silicon grain including ZDDP tribofilm protrudes 150 nm from the aluminium surface. No significant change of the grain surface was observed after tribofilm removed by EDTA solution, suggesting that although Zn is detected in EDX, very little, if any, of this film was present on the protruding silicon grains and Al matrix after testing at high load.



Fig. 10 Optical, EDX and AFM results from the Al–Si disc at the end of test. Test duration: 90 min; Load: 5 N; SRR: 100%: on the worn track

Tribofilms removed by EDTA



Fig. 11 AFM results on the worn track from the Al–Si disc after tribofilm removal by EDTA. Test duration: 90 min; Load: 5 N; SRR: 100%



Fig. 12 Comparison of AFM results before (Fig. 10) and after (Fig. 11) tribofilm removal by EDTA. Test duration: 90 min; Load: 5 N; SRR: 100%

Figure 15 compares AFM images of Al–Si discs after different rubbing times at 20 N and 201% SRR, which condition showed tribofilm build-up and then removal with rubbing time (Fig. 3). No tribofilm pads are observed on the silicon grains. This suggests that the test conditions are too severe to build up tribofilm pads.

Figure 15 also shows 2D profiles across an individual Si grain. After only 1 min rubbing at 201% SRR, deep gaps develop between the aluminium matrix and the silicon grains. These have maximum depth 600 nm below the surfaces of the aluminium, which, after this short rubbing time is almost level with the top of the silicon grains. After 15 min rubbing, these grooves are shallower, at 190 nm, while the silicon grain protrudes 210 nm above the aluminium surface. After 90 min rubbing, the grooves have

Fig. 13 Optical and AFM results across the edge of the worn track from the Al–Si disc at the end of test. Test duration: 90 min; Load: 5 N; SRR: 100%





Fig. 14 Optical, EDX and AFM results from the Al–Si disc at the end of test. Test duration: 90 min; Load: 20 N; SRR: 100%

disappeared and the grains stand ca. 230 nm above the Al matrix. At 15 and 90 min, the microscope images show some cracks on the silicon grains. From the changes of groove depth, it is suggested that aluminium matrix near the silicon grain may be transferred and fill the gaps developed between grain and matrix during initial sliding.

Figure 16 shows EDX results at different test times in 20 N and 201% SRR from the same specimens as shown in Fig. 15. After 1 min of rubbing, MTM–SLIM shows that ZDDP antiwear tribofilm is formed on the steel ball. However, no tribofilm is observed on the silicon grains and little on the surrounding aluminium matrix. However, after 15 min, ZDDP tribofilm is observed on the silicon grains as well as on aluminium matrix and the steel ball. After 90 min rubbing, Zn is still detected on both silicon and aluminium, but the intensity of Zn on silicon is weaker than

that on aluminium although the intensities on both silicon and aluminium are almost equal to the ones at 15 min.

In order to support these results, the AFM-EDTA method was employed. Figures 17 and 18 show the AFM topography measurements of the Al-Si disc after 15 and 90 min rubbing, respectively. After 15 min, the ZDDP tribofilm thickness on the silicon grain is about 40 nm based on comparison with the 2D line results before and after tribofilm removal by EDTA. After 90 min, it is about 10 nm on the worn track (Fig. 18a) and shows similarity to the result at 90 min in 20 N, 100% SRR (Fig. 14). For the result on the Al matrix near the edge of the worn area (Fig. 18b), no significant change of the surface is observed after tribofilm removal by EDTA. Less ZDDP tribofilm builds up on both Si grains and Al matrix although Zn is detected in EDX. It can be inferred that the ZDDP tribofilms on both silicon grain and steel ball are removed during further rubbing. It should be noted that this and the previously described AFM measurements are based on individual Si grains so the actual film thicknesses obtained are representative rather than statistical averages. Many grains would have to be analysed to derive accurate mean values.

From the results of the analysis for the Al–Si discs, it can be concluded that ZDDP tribofilm pads form only on silicon grains under low-load condition; on the other hand, under high-load conditions, tribofilms are formed on the disc at the beginning of the test but these are removed during the further rubbing. Over the same period, ZDDP tribofilms on the steel ball are also removed by rubbing with the protruded silicon grains.



Fig. 15 AFM results of Al–Si disc at 1, 15 and 90 min in 20 N, 201% SRR



Fig. 16 EDX results of Al–Si disc at 1, 15 and 90 min in 20 N, 201% SRR



Fig. 17 AFM results of Al–Si disc at 15 min in 20 N, 201% SRR

Fig. 18 AFM results of Al–Si disc at 90 min in 20 N, 201% SRR; **a** on the worn track, **b** across the edge of the worn track



4.4 Proposed Model of Tribofilm Build Up on the Al–Si Alloy and the Counter-Surface

The theoretical minimum EHD film thickness can be estimated from the Dowson-Hamrock elastohydrodynamic lubrication (EHL) equation to be ca 25 nm at 20 N load and an entrainment speed of 160 mm/s. This implies an initial lambda ratio of ca 0.35. During the rubbing test, the surfaces become rougher, because of both the formation of a ZDDP film and, for Al-Si, the removal of Al matrix. Therefore, the tests conducted in this study were always carried out under boundary lubrication conditions.

Based on the results, the following two hypotheses of how tribofilms build up can be suggested in different test conditions (Figs. 19, 20).

At low-load conditions (Fig. 19), the top layer of aluminium on the Al–Si disc is removed physically while silicon grains remain in the surface. ZDDP tribofilm pads are built up on the resulting, protruding silicon grains; this finding is similar to that reported by Nicholls et al. [7]. On steel balls which are counter-surfaces to the Al–Si material, ZDDP tribofilms are formed and build up with the rubbing time and no wear scars are observed on the surface.

At high loads, at the beginning of the test (Fig. 20-2), ZDDP tribofilms are mainly built up on aluminium on the Al–Si disc, and some gaps appear around the silicon grains, presumably due to lateral forces on the grains causing their displacement and consequent deformation of the surrounding Al matrix. Initially, the surface of the aluminium matrix is almost level with the top of the silicon grains and while this is the case, the ZDDP tribofilms are also built up on the counter-surface (steel ball) and there is also transfer of aluminium from the Al–Si disc to the steel ball. During further rubbing (Fig. 20-3), ZDDP tribofilms form on both

1. Before the test



Fig. 19 Hypothesis of how tribofilms build up in low-load conditions

1. Before the test



2. Beginning of the test



3. Further rubbing test (1)



4. Further rubbing test (2)



Fig. 20 Hypothesis of how tribofilms build up in high-load conditions

the silicon and aluminium surface; however, the aluminium is removed with rubbing and also some cracks appear on silicon grains. On the steel ball, the ZDDP tribofilm becomes thicker. Finally, after further rubbing test (Fig. 20-4), silicon grains protrude from the aluminium surface because of physical removal of the aluminium and these protruded silicon grains remove the tribofilm from the opposing steel ball, presumably by abrasion. Once the protective tribofilm is removed, wear of the steel ball ensues.

5 Conclusion

The authors have investigated the influence of Al–Si alloy on ZDDP tribofilm formation in a steel ball on hypereutectic Al–Si alloy disc in sliding–rolling contact, using the

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MTM-SLIM method and the surface analyses. Key conclusions are as follows.

- 1. In low-load conditions, the top layer of aluminium on the Al–Si disc is removed physically during rubbing so that silicon grains protrude the surface, and ZDDP tribofilm pads are mainly formed on these grains; this result is consistent with the previous research [7]. On the steel ball counter-surface, ZDDP tribofilms are formed and build up with the rubbing time and appear to fully protect the steel surface against wear.
- 2. In high-load conditions, deep gaps form initially around the silicon grains of the Al–Si disc due to rubbing. After further rubbing time, the depths of these grooves become shallower and the silicon grains start to protrude from the surrounding matrix. On the steel ball, a ZDDP tribofilm is created initially over the whole rubbing track, but as the silicon grains protrude from the counter-surface, they remove the ZDDP film on the steel ball in the middle of the rubbing track where the pressure is highest. Wear of the steel ball is then observed in this region.

Tribofilm formation on Al–Si alloys has been previously researched, and it has been reported that silicon grains protrude from the surface as aluminium is removed physically by rubbing. This study using MTM–SLIM method has shown that these protruded silicon grains can cause the wear on the counter-surface. Recently, new coatings for piston rings have been employed in combination with Al– Si alloy cylinder liners. This study may suggest ways to investigate the antiwear performance of novel coatings materials against Al–Si.

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