

## Relational domains and the interpretation of reciprocals

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Published online: 21 August 2012

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**Abstract** We argue that a comprehensive theory of reciprocals must rely on a general taxonomy of restrictions on the interpretation of relational expressions. Developing such a taxonomy, we propose a new principle for interpreting reciprocals that relies on the interpretation of the relation in their scope. This principle, the Maximal Interpretation Hypothesis (MIH), analyzes reciprocals as partial polyadic quantifiers. According to the MIH, the partial quantifier denoted by a reciprocal requires the relational expression REL in its scope to denote a maximal relation in REL's interpretation domain. In this way the MIH avoids a priori assumptions on the available readings of reciprocal expressions, which are necessary in previous accounts. Relying extensively on the work of Dalrymple et al. (Ling Philos 21:159–210, 1998), we show that the MIH also exhibits some observational improvements over Dalrymple et al.'s Strongest Meaning Hypothesis (SMH). In addition to deriving some attested reciprocal interpretations that are not expected by the SMH, the MIH offers a more restrictive account of the way context affects the interpretation of reciprocals through its influence on relational domains. Further, the MIH generates a reciprocal interpretation at the predicate level, which is argued to be advantageous to Dalrymple et al.'s propositional selection of reciprocal meanings. More generally, we argue that by focusing on restrictions on relational domains, the MIH opens the way for a more systematic study of the ways in which lexical meaning, world knowledge and contextual information interact with the interpretation of quantificational expressions.

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**Keywords** Reciprocals · Relational domains · Quantifiers

## 1 Introduction

Reciprocal expressions like *each other* and *one another* introduce some well-known challenges for logical semantic theories. One central problem concerns the variety of interpretations that reciprocals exhibit. Consider for instance the contrast between the following sentences.

- (1) Mary, Sue and Jane know each other.
- (2) Mary, Sue and Jane are standing on each other.

Expressions like *know* and *stand on* are standardly analyzed as denoting binary relations between entities. Sentence (1) can be paraphrased by requiring that every element of the set  $\{Mary, Sue, Jane\}$  is in the *know* relation with every other element of this set. By contrast, in sentence (2) an analogous interpretation is highly unlikely. We describe the contrast in Fig. 1, modeling binary relations using *directed graphs* (Tutte 2001). In sentence (1) the *know* relation is required to constitute a *complete directed graph* (possibly with loops) over the three entities for *Mary, Sue* and *Jane*. Sentence (2) is true when the graph described by the *stand on* relation is not complete but constitutes a *directed path*. Similar variations in the interpretation of reciprocal sentences have repeatedly been demonstrated in the literature.<sup>1</sup>

Many theories analyze the semantic variability of reciprocals by assuming that they are ambiguous between different quantifiers and postulating additional semantic/pragmatic principles that regulate the ambiguity.<sup>2</sup> In this paper we take a different route. Developing proposals in Winter (1996, 2001b), Gardent and Konrad (2000) and Sabato and Winter (2005), we treat reciprocals unambiguously using a quantifier that takes semantic and pragmatic properties of binary relations as a parameter. For example, the difference between sentences (1) and (2) is analyzed as stemming directly from the different properties of the expressions *know* and *stand on*. In the proposed analysis, these different properties lead to different parameter values that the reciprocal quantifier receives in the two cases, and consequently, to the different interpretations of the sentences. The parameter values that relational expressions like *know* or *stand on* contribute are analyzed as *interpretation domains* that specify their possible denotations. We study some central logical properties of such relational domains and their effects on the interpretation of reciprocal sentences. For example, we analyze

**Fig. 1** A complete graph (possibly with loops) versus a directed path



<sup>1</sup> See Fiengo and Lasnik (1973), Dougherty (1974), Langendoen (1978), Higginbotham (1980), Kański (1987), Dalrymple et al. (1994, 1998), Sternefeld (1997), Beck (2001), Filip and Carlson (2001), Kerem et al. (2009) and Struikma et al. (2012), among others.

<sup>2</sup> See especially Langendoen (1978), Sternefeld (1997), Beck (2001) and Dalrymple et al. (1994, 1998).

the difference between the interpretation of sentences (1) and (2) as following from the fact that the relational expression *stand on* is preferably interpreted as an *acyclic* binary relation, i.e. a relation that only describes graphs that do not contain any circles between entities. By contrast, the denotation of the verb *know* is not so restricted. We argue that a comprehensive theory of reciprocals must rely on a general taxonomy of restrictions on the interpretation of relational expressions. Developing such a taxonomy, we propose a new principle for interpreting reciprocals that relies on the interpretation of the relation in their scope. This principle, the *Maximal Interpretation Hypothesis* (MIH), analyzes reciprocals as partial polyadic quantifiers. According to the MIH, this quantifier requires the relational expression REL in its scope to denote a *maximal* relation in REL's interpretation domain. In this way the MIH avoids a priori assumptions on the available readings of reciprocal expressions, which are necessary in previous accounts. Relying extensively on the work of Dalrymple et al. (1998), we use the MIH in a way that exhibits some observational improvements over Dalrymple et al.'s Strongest Meaning Hypothesis (SMH). In addition to deriving some attested reciprocal interpretations that are not expected by the SMH, this use of the MIH offers a more restrictive account of the way context affects the interpretation of reciprocals through its influence on relational domains. Further, the MIH generates a reciprocal interpretation at the predicate level, which is argued to be advantageous to Dalrymple et al.'s propositional selection of reciprocal meanings. More generally, we argue that by focusing on restrictions on relational domains, the MIH opens the way for a more systematic study of the ways in which lexical meaning, world knowledge and contextual information interact with the interpretation of quantificational expressions. Under our analysis, reciprocals are primarily sensitive to lexical properties of the relational expression with which they compose, which is affected by world knowledge. In this view, contextual information only indirectly affects reciprocal interpretation, by interacting with the lexical and world-knowledge properties of the relation in their scope.

The paper is structured as follows. Section 2 introduces and illustrates our distinction between reciprocal meanings and reciprocal interpretations, in relation to the distinction between total/partial  $\langle 1, 2 \rangle$  quantifiers, respectively. Section 3 introduces the formal details in the definition of Dalrymple et al.'s SMH and the proposed MIH, and lays out one central empirical caveat on the application of these principles to "partitioned" readings of plurals. Section 4 analyzes and exemplifies the results of applying the MIH to various interpretation domains of relational expressions, and empirically compares them to the results of the SMH. Section 5 briefly overviews some developments in the analysis of reciprocals in relation to typicality phenomena with relational concepts, quantificational noun phrases and collective predicates. Section 6 concludes, and Appendix A summarizes some further internet data concerning asymmetric relational expressions and their occurrences with reciprocals.

## 2 Reciprocal meanings and reciprocal interpretations

Simple reciprocal sentences like (1) and (2) above are standardly analyzed using generalized quantifiers of type  $\langle 1, 2 \rangle$ . One way of describing such quantifiers is as relations between sets and binary relations. For instance, Peters and Westerståhl (2006,

p. 367) analyze the reciprocal expression *each other* in sentence (1) as a relation between the set denotation of the subject *Mary, Sue and Jane* and the binary relation denoted by the verb *know*. Equivalently, we here view reciprocals as denoting *characteristic functions* of relations between sets and binary relations. Accordingly, we model  $\langle 1, 2 \rangle$  quantifiers as functions from pairs of sets and binary relations to truth-values.

In the case of sentence (1), the relevant  $\langle 1, 2 \rangle$  quantifier is commonly assumed to be the function SR of *strong reciprocity* that is defined in (3) below.<sup>3</sup> In this definition and henceforth, we standardly assume a non-empty domain  $E$  of entities and a domain  $\mathbf{2} = \{0, 1\}$  of truth-values. The latter is ordered by the partial order  $\leq$ , which corresponds to material implication between truth-values.

- (3) The  $\langle 1, 2 \rangle$  quantifier SR is the function in  $(\wp(E) \times \wp(E^2)) \rightarrow \mathbf{2}$ , s.t. for every set  $A \subseteq E$  and binary relation  $R \subseteq E^2$ :
- $$\text{SR}(A, R) = 1 \Leftrightarrow \forall x, y \in A [x \neq y \rightarrow R(x, y)].$$

In words:  $R$  describes a *complete graph* over  $A$ , possibly with loops.

In such cases, where each pair of different elements of the set  $A$  is in the relation  $R$ , we say that  $R$  *satisfies strong reciprocity* over  $A$ .

In sentences like (1), or the similar sentence (4) below, the SR function is commonly assumed to be the proper denotation of the reciprocal expression.

- (4) The girls know each other.

For logical purposes, we can safely assume that the subject of sentence (4) may denote any set of entities with at least two members. Similarly, we assume that the verb *know* may denote any binary relation. The latter assumption reflects the intuition that there are no logically significant restrictions on the denotation of the verb *know*. For the purposes of this paper, we assume that any entity may in principle stand in the *know* relation to any entity, or to no entities at all.<sup>4</sup> This assumption about the free interpretation of verbs like *know* in reciprocal sentences like (4) means that the reciprocal expression *each other* in such sentences must denote a *total*  $\langle 1, 2 \rangle$  quantifier on sets and binary relations. The SR operator is of course a natural candidate for such a total function. However, the situation is quite different in sentence (2), repeated below.

- (5) Mary, Sue and Jane are standing on each other. (=2))

Unlike the verb *know*, in most contexts the expression *stand on* has obvious restrictions on its interpretation. Most commonly, our world knowledge tells us that this expression should denote an *acyclic* relation: a relation that describes directed graphs

<sup>3</sup> Some works assume that reciprocal meanings should also include a requirement that the set of entities argument contains at least two elements. In this paper we ignore this requirement. The complex relationships between plurality, reciprocity and cardinality of set arguments merit special attention. See Heim et al. (1991), Schwarzschild (1996), Winter (2002) and Zweig (2009) for relevant details.

<sup>4</sup> More accurately, we should note that the verb *know* requires an animate entity as its subject argument. However, for the logical analysis what is important is that the verb *know* may denote any of the subsets of some given cartesian product  $A \times B \subseteq E^2$ . For our purposes here we avoid this complication, and ignore the need to specify  $A$  and  $B$  using the selectional restrictions of binary predicates.

without any circles.<sup>5</sup> Therefore, in cases like (5), unlike (1) or (4), the reciprocal expression does not have to be analyzed using a total function on all sets and binary relations. The reciprocal can also be analyzed as a *partial* function that is only defined for acyclic binary relations. Furthermore, since the *stand on* relation in sentence (5) is acyclic, any analysis of this sentence using strong reciprocity would lead to a patently false interpretation, contrary to facts. Whatever the interpretation of the reciprocal expression in (5) may be, it must be logically weaker than strong reciprocity.

One of the main claims of this paper is that this “weakening” of reciprocal interpretations is inseparable from their partiality. Definition 1 below standardly defines the partial  $\langle 1, 2 \rangle$  quantifiers. Since these partial quantifiers are assumed to constitute the domain in which reciprocals are interpreted, we refer to them as *reciprocal functions*.

**Definition 1** Let  $\Theta \subseteq \wp(E^2)$  be a set of binary relations over  $E$ . A partial  $\langle 1, 2 \rangle$  quantifier  $f : (\wp(E) \times \Theta) \rightarrow \mathbf{2}$ , from subsets of  $E$  and binary relations in  $\Theta$  to truth-values, is called a RECIPROCAL FUNCTION over  $\Theta$ . When  $f(A, R) = 1$  we say that  $R$  SATISFIES  $f$ -RECIPROCITY over  $A$ .

A total  $\langle 1, 2 \rangle$  quantifier such as the quantifier SR is a reciprocal function over the domain  $\Theta = \wp(E^2)$  of all binary relations over  $E$ .

What are the reciprocal functions that may be realized as interpretations of natural language reciprocal expressions? Two familiar constraints on the denotation of reciprocals are *conservativity* and *neutrality to identities* (Dalrymple et al. 1998; Peters and Westerståhl 2006). To exemplify these facts, let us consider the following sentence.

(6) Mary, Sue and Jane are pinching each other.

The conservativity of the reciprocal in sentence (6) is illustrated by the fact that the truth of (6) does not depend on pairs in the *pinch* relation which are outside the set of Mary, Sue and Jane.<sup>6</sup> Neutrality to identities is illustrated in (6) by the fact that the truth of the sentence does not depend on whether or not any of the three girls is pinching herself. In addition, all reciprocal interpretations known to us are also *upward-monotonic* on their relation argument. For example, suppose that sentence (6) is true in a situation where the *pinch* relation describes a directed cyclic graph on the three girls. Adding another pair to this cycle by letting one of the girls pinch the two other girls

<sup>5</sup> Some Escher paintings may come to mind as contradicting such world knowledge. More generally, the interpretation of relational expressions, like that of other lexical entries, may undergo contextual ‘coercions’ (Tabossi and Johnson-Laird 1980; Pustejovsky 1995; Pykkänen 2008; Blutner 2009). Thus, even relatively strong restrictions like the acyclicity of *stand on*, may be relaxed in some highly atypical contexts. For the sake of this study we ignore such exceptional scenarios, which may require a theory that models the relevant aspects of interpretation as defeasible. However, we insist (Sect. 3.3) that any variation in the *reciprocal’s* interpretation must result from a variation in the interpretation of the relational expression in its scope. The latter may in turn involve contextual coercion. See Kerem et al. (2009) and Struiksmá et al. (2012) for recent experimental work on conceptual typicality and its effects on reciprocals, as well as some further remarks in Sect. 5.1.

<sup>6</sup> This conservativity of reciprocals as  $\langle 1, 2 \rangle$  quantifiers is similar to the more familiar conservativity of  $\langle 1, 1 \rangle$  quantifiers in natural language (Peters and Westerståhl 2006, p. 138). See also Sect. 5.2.

simultaneously cannot make sentence (6) false. We call this property *R-monotonicity*.<sup>7</sup> When a reciprocal function satisfies the three properties of conservativity, neutrality to identities and *R-monotonicity*, we call it an *admissible reciprocal interpretation*, or in short, a *reciprocal interpretation*. Using this term we aim to indicate that such (possibly partial) functions are a priori possible interpretations of reciprocals in natural language sentences.

The three logical properties of reciprocal interpretations are formally summarized in Definition 2, using the notation *I* for the identity relation  $\{\langle x, x \rangle : x \in E\}$  over *E*.

**Definition 2** *Let  $\Theta \subseteq \wp(E^2)$  be a set of binary relations over *E*, and let *f* be a reciprocal function from  $\wp(E) \times \Theta$  to  $\mathbf{2}$ .*

*f* is CONSERVATIVE if for every set  $A \subseteq E$ , for all relations  $R_1, R_2 \in \Theta$ :

$$A^2 \cap R_1 = A^2 \cap R_2 \Rightarrow f(A, R_1) = f(A, R_2).$$

*f* is NEUTRAL TO IDENTITIES if for every set  $A \subseteq E$ , for all relations  $R_1, R_2 \in \Theta$ :

$$R_1 - I = R_2 - I \Rightarrow f(A, R_1) = f(A, R_2).$$

*f* is R-MONOTONIC if for every set  $A \subseteq E$ , for all relations  $R_1, R_2 \in \Theta$ :

$$R_1 \subseteq R_2 \Rightarrow f(A, R_1) \leq f(A, R_2).$$

If the reciprocal function *f* is conservative, neutral to identities and *R-monotonic*, we call it an ADMISSIBLE RECIPROCAL INTERPRETATION.

Most logical semantic work on reciprocity has concentrated on total  $\langle 1, 2 \rangle$  quantifiers. In this paper we use the more general notion of partial  $\langle 1, 2 \rangle$  quantifiers, which we have called ‘reciprocal functions’. Definition 2 classifies some of these functions as admissible reciprocal interpretations. The total quantifiers among these interpretations are referred to as *admissible reciprocal meanings*, or in short, *reciprocal meanings*. Using this term we aim to indicate that such total functions generalize over possible interpretations of reciprocals in natural language sentences.

Below we give some examples of reciprocal sentences and reciprocal meanings that have been proposed in their semantic analysis. Most of these examples are from Dalrymple et al. (1998), which is henceforth referred to as ‘DKKMP’.

(7) “The captain”, said the pirates, staring at each other in surprise (DKKMP).

*One-way Weak Reciprocity:*

$$OWR(A, R) = 1 \Leftrightarrow \forall x \in A \exists y \in A [x \neq y \wedge R(x, y)]$$

<sup>7</sup> A potential counter-example to *R-monotonicity* is mentioned by Kafiński (1987):

(i) The students followed each other (into the room).

It is impossible to add a pair of students to the linear graph described by the *follow* relation in (i). However, as Dalrymple et al. (1998) mention, and will be clarified below, this and similar facts may result from the restricted interpretation of the predicate *follow*, which does not bear on the monotonicity of the reciprocal function.

In words: every node in the graph that  $R$  describes on  $A$  has at least one (non-loop) outgoing edge.

- (8) Five Boston pitchers sat alongside each other (DKKMP).

*Intermediate Reciprocity:*

$$\text{IR}(A, R) = 1 \Leftrightarrow$$

$$\forall x, y \in A [x \neq y \rightarrow \exists m \exists z_0, \dots, z_m \in A [x = z_0 \wedge y = z_m \wedge R(z_0, z_1) \wedge \dots \wedge R(z_{m-1}, z_m)]]$$

In words:  $R$  describes a *strongly connected graph* on  $A$ —a graph that has a path from any node to any other node.<sup>8</sup>

- (9) The third-grade students in Mrs. Smith’s class gave each other measles (DKKMP).

*Intermediate Alternative Reciprocity:*

$$\text{IAR}(A, R) = 1 \Leftrightarrow$$

$$\forall x, y \in A [x \neq y \rightarrow \exists m \exists z_0, \dots, z_m \in A [x = z_0 \wedge y = z_m \wedge (R(z_0, z_1) \vee R(z_1, z_0)) \wedge \dots \wedge (R(z_{m-1}, z_m) \vee R(z_m, z_{m-1}))]]$$

In words:  $R$  describes a *weakly connected graph* on  $A$ —a graph that has an undirected path between any two different nodes.

- (10) He and scores of other inmates slept on foot-wide wooden planks stacked atop each other (Kański 1987, DKKMP).

*Inclusive Alternative Ordering:*

$$\text{IAO}(A, R) = 1 \Leftrightarrow \forall x \in A \exists y \in A [x \neq y \wedge (R(x, y) \vee R(y, x))]$$

In words: every node in the graph that  $R$  describes on  $A$  has at least one (non-loop) outgoing or incoming edge.

- (11) John, Bill, Tom, Jane and Mary had relations with each other (Dougherty 1974; Langendoen 1978).

*Symmetric Reciprocity:*

$$\text{SmR}(A, R) = 1 \Leftrightarrow \forall x \in A \exists y \in A [x \neq y \wedge R(x, y) \wedge R(y, x)]$$

In words: every node in the graph that  $R$  describes on  $A$  has at least one (non-loop) bi-directional edge.

The total (1, 2) quantifiers in (7)–(11) have all been proposed as the meanings of the reciprocal expressions in the respective sentences. As we shall see, it is not always easy to support such proposals. One of the complicating factors is that restrictions on the denotation of relational expressions often leave some possibilities open regarding the meaning of the reciprocal expression. For example, DKKMP doubt the usefulness of the SmR quantifier for analyzing sentence (11), pointing out that, given the symmetry of the binary relation *had relations with*, both the SmR and the IAO meanings lead to identical truth-conditions. Formally: for every set  $A \subseteq E$  and *symmetric* binary relation  $R \subseteq E^2$ ,  $\text{SmR}(A, R) = \text{IAO}(A, R)$ . Using our terminology, we say that when the total

<sup>8</sup> The formula above, like many other formulas in this paper, is not first-order, due to the quantification over the indices of variables within it.

quantifiers SmR and IA0 are restricted to the domain of symmetric binary relations, they yield the same reciprocal interpretation. This example shows a general difficulty for deciding between different candidate meanings in the theory of reciprocals using truth-conditional evidence about natural language sentences. We will avoid this problem by concentrating on reciprocal interpretations rather than reciprocal meanings. Reciprocal meanings will only be used here in order to compare our results to previous ones. This leaves us with our main question: what are the origins of variability in the interpretation of reciprocal sentences?

### 3 Accounting for reciprocal interpretations

As we saw above, different reciprocal meanings have been proposed for analyzing reciprocal interpretations in different sentences and contexts. DKKMP analyze reciprocals as ambiguous quantificational expressions and propose a principle, the Strongest Meaning Hypothesis (SMH), for selecting between their different meanings. Given an utterance of a reciprocal sentence, the SMH selects a reciprocal meaning based on some contextual information that is postulated for the utterance. In this section we review the SMH and some of its general properties: the assumed ambiguity of reciprocals, their context-sensitivity and the sentential nature of the selection process. We argue that despite the SMH's value for deepening our understanding of reciprocals, these characteristics lead to theoretical inelegance, to some empirical inadequacies, and to some unclarity surrounding the SMH's compositional application and interactions with context. We propose an alternative analysis of the quantificational variability of reciprocals, replacing the SMH by a principle that we call the Maximal Interpretation Hypothesis. Unlike the SMH, the Maximal Interpretation Hypothesis (MIH) does not presuppose ambiguity of reciprocals between different meanings. Rather, under the MIH all reciprocals denote one operator that takes the interpretation domain of relational expressions as a parameter. Our definition of reciprocity derives a maximal interpretation with respect to this domain. Also in distinction to the SMH, the MIH is syntactically local (predicate-internal), and its context-sensitivity is indirect and only due to the context-sensitivity of relational interpretations. After introducing and discussing the SMH and the MIH, we will also present our assumptions about a related empirical problem—"partitioning" effects with plural NPs. This will complete our analysis of reciprocals, which Sect. 4 will use for developing a taxonomy of relational expressions and comparing the empirical results of the SMH and the MIH.

#### 3.1 Dalrymple et al.'s Strongest Meaning Hypothesis

DKKMP's theory is based on six reciprocal meanings: SR, 0WR, IR, IAR and IA0, which were defined above, and an additional meaning, *Strong Alternative Reciprocity*, which is defined below.

(12) *Strong Alternative Reciprocity*:

$$\text{SAR}(A, R) = 1 \Leftrightarrow \forall x, y \in A [x \neq y \rightarrow (R(x, y) \vee R(y, x))]$$

In words: the graph that  $R$  describes on  $A$  has a complete underlying (undirected) graph, possibly with loops.<sup>9</sup>

Having assumed this six-way ambiguity,<sup>10</sup> DKKMP further propose a disambiguation strategy that governs it. The denotation of a reciprocal expression in a given sentence is selected using a principle that DKKMP call the *SMH*, and which is quoted below.

**Strongest Meaning Hypothesis (SMH, Dalrymple et al. 1998):** *A reciprocal sentence  $S$  can be used felicitously in a context  $C$ , which supplies non-linguistic information  $I$  relevant to the reciprocal's interpretation, provided the set  $\zeta_C$  has a member that entails every other one:*

$$\zeta_C = \{ \mathbf{p} : \mathbf{p} \text{ is consistent with } I \text{ and } \mathbf{p} \text{ is an interpretation of } S \text{ obtained by} \\ \text{interpreting the reciprocal as one of the six quantifiers in} \\ \{ \text{SR, OWR, IR, IAR, IAO, SAR} \} \}$$

*In that case, the use of  $S$  in  $C$  expresses the logically strongest proposition in  $\zeta_C$ .*

Let us see how DKKMP use the SMH for analyzing the meaning of sentence (9), reproduced below.

(13) The third-grade students in Mrs. Smith's class gave each other measles (=9).

As DKKMP point out, according to common world knowledge, people can only be given measles once. In addition, giving measles is only possible after getting it. We may reasonably assume that this information  $I$  about the contagiousity of measles is relevant for the reciprocal's interpretation in sentence (13), and is supplied by the context  $C$  of (13), e.g. by the speaker's knowledge about measles. From these assumptions it follows that the set  $\zeta_C$  only contains the interpretations that are derived for (13) using the quantifiers IAR and IAO. To see why, consider the interpretations of (13) that would be expected by the other four reciprocal meanings proposed by DKKMP: SR would derive for (13) the analysis according to which every student gave measles directly to each of the other students; OWR would mean that each student gave measles directly to another student; IR would furthermore mean that every student, directly or indirectly, gave measles to any other student; SAR would mean that every student gave measles to or got measles from any other student. Each of these four interpretations is clearly inconsistent with the information in  $I$ . By contrast, the interpretation derived for (13) by the IAR meaning claims that the transmission of measles creates an undirected path between each student and any other student. The IAO meaning requires that each student gave measles to or got measles from at least one other student. Both interpretations are consistent with  $I$ . Among the two, the sentence's IAR-induced interpretation entails its IAO-induced interpretation. The SMH accordingly expects IAR to

<sup>9</sup> For further discussion of the SAR meaning see Sabato and Winter (2005), where we argued that this meaning is unlikely to be attested as a reading of natural language reciprocals. See also footnote 22.

<sup>10</sup> DKKMP argue for these six meanings as the a priori available denotations of reciprocals by showing that they are all derived using three basic meanings. Each of these basic meanings is applied either of the denotation  $R$  of the relational expression in the sentence, or to its symmetric closure  $R^\vee$ . For more details on this analysis see Dalrymple et al. (1998, pp. 187–188).

lead to the correct interpretation of sentence (13), and DKKMP argue that this expectation is empirically borne out. Later on in this paper we will critically discuss this and similar empirical claims about reciprocals. However, before moving on to a systematic empirical study of reciprocal sentences and their interpretations, let us first address some general features of the SMH, as illustrated by its analysis of sentence (13).

**Reciprocal ambiguity** Following Langendoen (1978) and others, DKKMP analyze reciprocal expressions using total binary quantifiers. Assuming these reciprocal meanings as possible readings of reciprocal expressions allows DKKMP to state the SMH at the sentential level. Dalrymple et al. (1998, pp. 185–186) criticize a previous proposal by Roberts (1987), which attempts to treat reciprocals by iterating one unary, context-sensitive quantifier ENOUGH (as in *enough students saw enough teachers*). DKKMP illustrate some possible usages of five of the six meanings they argue for.<sup>11</sup>

**Context-sensitivity of reciprocal expressions** In most of the reciprocal sentences analyzed by DKKMP, the information relevant for the SMH-based analysis comes from the interpretation of the relational expression in the scope of the reciprocal. For instance, in sentence (13) the knowledge appealed to is about the properties of the relation *give measles*. However, according to DKKMP's SMH, contextual information outside the relational expression can also directly affect the selection of the meaning for the reciprocal. Consider for instance the following example by Dalrymple et al. (1998, p. 194).

(14) The children followed each other.

As DKKMP point out, the interpretation of the reciprocal sentence (14) depends on what its context permits. One possible context mentioned by DKKMP is when the children entered a church through different doors. In such a context, the SMH selects IA0 as the meaning of the reciprocal in (14). However, when the children entered a church through one door, or entered a treehouse (which normally only has one door), the IAR meaning, which is stronger than IA0, is selected for (14). Further, when the context requires a circular path, e.g. when the children were dancing around a Maypole, the SMH selects for (14) yet a stronger reading, by using the reciprocal meaning IR. In all these examples, the relevant contextual information—in this case about the children's activity—directly affects the selection of the reciprocal meaning by the SMH.

**Sentential disambiguation** The SMH's selection of a reciprocal meaning applies at the sentence level. DKKMP's motivation for their sentential treatment comes from the behavior of reciprocal sentences with non-upward-monotone quantificational subjects. Consider for instance sentence (15) below from Dalrymple et al. (1998, p. 207).

(15) Its members are so class conscious that *few have spoken to each other*, lest they accidentally commit a social faux pas.

Under DKKMP's analysis, (15) means that few members were involved in any speaking activity, as either agents or patients. This interpretation is derived in (15) using the

<sup>11</sup> As mentioned above, the SAR meaning is not empirically attested.

IA0 meaning that is selected by the SMH (see Sect. 5.2). Importantly, the SMH selects IA0 in this example because of applying at the sentence level, and thus taking the downward-monotone subject *few* into account when comparing the strength of propositions derived by different reciprocal meanings. Selecting IA0 would be impossible here if the SMH applied locally within the VP. In general, there is of course nothing inherently wrong in selecting Strong Reciprocity (SR) as the reading of the reciprocal in VPs like *spoke to each other*. For instance, according to the SMH, as well as other theories of reciprocals, the interpretation of sentence (16) below is derived by SR, and consequently (16) means that each of the three people spoke to both other persons.

(16) Mary, Sue and John spoke to each other.

Applying the SMH at the sentential level allows DKKMP to make a difference between simple reciprocal sentences like (16) and sentences like (15), where the subject is not upward-monotone.

### 3.2 On some problems of the SMH

DKKMP's criteria for selecting the specific meanings in their proposal are based on elegance and logical symmetry (see footnote 10 above). However, with many reciprocal sentences there are also alternative reciprocal meanings outside DKKMP's six meanings that could be used for deriving the correct reciprocal interpretation. For some examples of such cases see the discussion surrounding sentence (11) above and in Sect. 3.5. Furthermore, in other cases there is no meaning in DKKMP's proposal that correctly describes the attested reciprocal interpretation. See examples for such cases in Sects. 4.2 and 4.4. These are problems for DKKMP's specific assumptions about available reciprocal meanings. However, there are some more general concerns about the SMH. As mentioned above, DKKMP's version of the SMH assumes that relevant contextual information is the direct trigger for selecting a reciprocal meaning. However, much contextual information is clearly irrelevant for interpreting reciprocals. Consider for instance sentence (17b) below, uttered in the context of (17a).

- (17) a. John doesn't like Mary.  
 b. Mary and John like each other.

The context (17a) contradicts SR, OWR and IR as possible meanings of the reciprocal in sentence (17b). By contrast, this context is consistent with SAR, IAR and IA0. Therefore, using the SMH we may expect sentence (17b) to be true in context (17a), with an interpretation of the reciprocal expression in (17b) according to which only one of the people likes the other one. This proposition is derived for (17b) by each of the three meanings SAR, IAR and IA0. In DKKMP's account, this is the strongest interpretation of (17b) that is consistent with the context (17a). Hence, the SMH may expect (17a) and (17b) to be consistent, and license together the conclusion that Mary likes John. However, as a matter of fact the context (17a) flatly contradicts sentence (17b). To account for this, DKKMP's analysis would require the contextual information that (17a) conveys to be defined as irrelevant for the interpretation of sentence (17b). Given

the general statement of the SMH, it is not clear to us how this irrelevance should be defined. A similar problem is illustrated by the unacceptability of the following example (cf. Levin 1993, p. 37).

(18) #The drunk and the lamppost hugged each other.

Given the background information that lampposts cannot hug people, the SMH incorrectly expects sentence (18) to make the acceptable statement that the drunk hugged the lamppost.

The examples above illustrate that the interpretation of reciprocals may ignore some contextual information that may reasonably be classified as “relevant”: in sentence (17b) the reciprocal is interpreted with no regards to the contextual information expressed by (17a); in (18) the reciprocal interpretation is neutral to common world knowledge about the noun *lamppost*. We propose that the information in (17) and (18) does not affect the reciprocal’s interpretation because it does not affect our understanding of the verbs *know* and *hug*, respectively. As we will see below, it is easy to state the SMH as a principle that analyzes the reciprocal as only *locally* sensitive to semantic/pragmatic information about the relational expression it combines with. Once this local sensitivity is assumed, the unacceptability of sentences (17b) and (18) in the relevant context will be better analyzed by the SMH.

Also the evidence that DKKMP suggest as support for their sentential strategy are inconclusive. Let us reconsider DKKMP’s example (15). The problem that DKKMP point out for the interpretation of (15) surfaces when there are different groups of people, where most of the groups consist of exactly one speaker and some quiet addressee(s). As DKKMP argue, in such a case it may be strange to assert that few people are speaking to each other, just because there are few acts of mutual speaking events. However, a complicating factor for DKKMP’s analysis is that in such a situation, each of the many ‘one-way speaking groups’ may be considered to be engaged in a speaking activity, even though one or more of its members is quiet. Considering the ‘partitioning’ effects discussed in Sect. 3.5 below, we note that sentence (15) may be analyzed as false just because many groups are classified as “speaking groups”. While this is not conclusive evidence against DKKMP’s analysis, it is better to avoid such confounding effects when testing it. Let us consider sentence (19) below, as a further test for DKKMP’s use of the SMH in downward-entailing environments.

(19) Mary and John are not speaking to each other.

For the purposes of evaluating the SMH’s sentential strategy, sentence (19) is similar to DKKMP’s example (15), but it is simpler in terms of avoiding the complications of plural quantifiers like *few* (Scha 1981; van der Does 1992, 1993; van den Berg 1996; Winter 2001a; Ben-Avi and Winter 2003), as well as eliminating the possibility of ‘partitioning’ a big set into smaller ones.<sup>12</sup> Similarly to (15), the sentential strategy of the SMH expects sentence (19) to be interpreted using the IA0 meaning. As formalized

<sup>12</sup> As another example that avoids partitioning, an *L&P* reviewer suggests *none of the boys in that group knew each other*. This example may show evidence for DKKMP’s sentential weakening, but on top of the more local strategy that is required to deal with our examples below.

below, this means that for sentence (19) to be true, the SMH requires that Mary does not speak to John and John does not speak to Mary.

$$(20) \quad \neg\text{IAO}(\{m, j\}, R) \\ \Leftrightarrow \neg R(m, j) \wedge \neg R(j, m)$$

Proposition (20) undoubtedly entails any possible interpretation of (19). However, because of its sentential strategy the SMH expects (20) to be the only reading of (19). This expectation is questionable. Consider for instance the following discourse.

(21) *Mary and John are certainly not speaking to each other:* Mary is indeed speaking to John, but John is avoiding any conversation and is not speaking back to her.

In this example, especially with stress on *each other*, the reciprocal sentence involves a weaker interpretation than what is expected by the SMH. As mentioned above with respect to sentence (16), this weaker interpretation can be derived by selecting the reciprocal meaning locally within the VP, in the scope of the negation, rather than sententially as in DKKMP’s version of the SMH. In this case the selected reciprocal meaning would be SR, which is consistent with the weak interpretation of (21).

As another case where a simple downward-entailing environment interacts with the SMH consider the following example.

(22) Context: In a sociobiological lab experiment, zoologists have tested interactions between a tiger and a cougar. To do that, they examined the reactions of the animals when one of them sees the other one. Some zoologists showed the tiger to the cougar and observed the cougar’s reactions, while other zoologists showed the cougar to the tiger and observed the tiger’s reactions. In a meeting discussing the results, a colleague zoologist is criticizing the experiment by saying:  
*No one of my colleagues has shown the tiger and the cougar to each other.*  
Therefore, the real-time interactions between the animals have not been tested.

In the italicized reciprocal sentence in (22), as in (21), the reciprocal expression is in the scope of a downward-entailing operator. Also here, we see that the intended interpretation is incompatible with the IAO-based analysis, which would be false in the given scenario. However, the reciprocal sentence in (22) is compatible with SR, since the reciprocal sentence would be true if no zoologist showed the cougar to the tiger and the tiger to the cougar. As in (21), the weaker, SR-compatible, interpretation of the reciprocal is unexpected by DKKMP’s sentential version of the SMH, but is expected by a local application of the SMH within the reciprocal VP.<sup>13</sup>

<sup>13</sup> A further problem for the SMH appears when considering the following variation on (22), with a non-monotone quantifier:

(i) Exactly one zoologist has shown the tiger and the cougar to each other.

The sentential version of the SMH does not analyze (i) to begin with, since no reciprocal meaning of the six meanings proposed by DKKMP’s derives a proposition for (i) that entails the other propositions. In (i) there are only two propositions derived by DKKMP’s six meanings, and these two propositions (IAO-consistent and SR-consistent respectively) are logically independent. We take it to be an additional weakness of the SMH,

A related point is relevant for analyzing the following example, where the reciprocal statement is embedded in another downward-entailing environment—the antecedent of a conditional.

- (23) Context: Jean X is a leading French mafioso. James Y is a leading British mafioso.  
 If Jean X and James Y kill each other, the local police would have to involve the Interpol in the investigation.

Suppose that Jean X kills James Y and stays alive. Sentence (23) can still be true if the Interpol is not involved. The sentence only requires that the Interpol be involved if each of the two mafiosi kills the other one. This interpretation can simply be derived by the SR analysis of the reciprocal. According to the SMH, the selection of the reciprocal meaning is performed at the level of the “reciprocal sentence”. While DKKMP did not syntactically define this term, we assume that their intended analysis would apply the selection of the reciprocal meaning in (23) within the antecedent of the conditional. This “local” sentential analysis would correctly select the SR meaning in (23), but at a cost: a syntactic mechanism would have to make sure that the selection is performed at the lower sentential level and not within the matrix clause that contains it. Information about the need to apply the SMH would have to percolate from the reciprocal to the lowest clause containing it. Selection within the reciprocal predicate *kill each other* would lead to equivalent results. Such “VP-internal” analysis does not need to assume additional syntactic mechanisms on top of the interpretation process.

### 3.3 The SMH as a predicate-internal principle

Following the observations made above, we propose that the main advantages of the SMH can be preserved when implementing it locally, within the complex predicate where the reciprocal expression applies.<sup>14</sup> We further propose that all the contextual information relevant for interpreting reciprocals can be locally represented, as restrictions on the interpretation of the relational expression in the scope of the reciprocal. Reconsider DKKMP’s example *the children followed each other* in (14) above. DKKMP point out that the interpretation of this sentence may change depending on the activity of the children. This illustrates the way context affects the interpretation of reciprocal sentences. Unlike DKKMP, we propose that contextual parameters do not directly affect

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Footnote 13 continued

which (unrealistically, we believe) presupposes that one candidate proposition must be stronger than the other ones in order for a reciprocal sentence to be interpretable (we thank Lev Beklemishev for suggesting this point to us).

<sup>14</sup> In simple transitive reciprocal sentences without auxiliary verbs, this predicate is the lowest VP containing the reciprocal. Also in the case of (19), we saw reason to apply the reciprocal in the scope of the negation. In addition, it may also be useful to allow an alternative analysis, where the reciprocal takes negated transitive verbs (as well as transitive verbs composed with auxiliary verbs) in its scope. In (19) this would allow deriving the stronger analysis (20) of DKKMP as a separate reading of the sentence, with SR taking scope over negation. Here we will not further address such questions about the scopal interactions of reciprocals and their effects on the selection of reciprocal interpretations, which is a subject that deserves a separate study.

the interpretation of the *reciprocal* expression, but rather the interpretation of the *relational* expression in its scope: in this example the verb *follow*. Thus, in our proposal, contextual information still affects the interpretation of reciprocal *sentences*. However, reciprocal expressions are only semantically/pragmatically sensitive to their *local* syntactic “context”—the interpretation of the relational expression in their scope. For instance, in sentence (14) we assume:

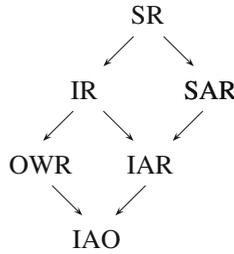
- In case the children are entering a building, the interpretation of the relation *follow* is likely to obey the restriction of *acyclicity*: in such contexts we do not expect children who have entered the building to get out of it and then form a circle of followers by following children who are still in the process of entering the building.
- In case that furthermore, the building (or treehouse) in question only has one entrance, we assume (see Sect. 3.5) that the interpretation of the relation *follow* also obeys the restriction of *connectivity*: in such a case any two children must be directly or indirectly connected using the *follow* relation.

Our two “localistic” assumptions—the predicate-internal selection of the SMH, and the encoding of contextual effects within the interpretation of relational expressions—do not dramatically change the empirical predictions of DKKMP’s SMH. At the same time, they make it easier to concentrate on the aspect of the SMH that is most relevant for the purposes of this paper: DKKMP’s assumed ambiguity of reciprocals, and their formulation of the SMH as a disambiguation strategy. Furthermore, concentrating on the relational expression as the locus of world knowledge effects on reciprocity gives us some insight into the puzzle we pointed out above regarding (17) and (18). In our view, the contextual information that affects reciprocal interpretation must involve some context-*independent* assumptions about the possible senses of the relational expression. For instance, in (14) we assume that the context helps selecting one of the foregrounded senses of the polysemous expression *to follow*, which may express movement in a circle or movement in a line. In (17) and (18), the predicates *like* and *hug* are not polysemous in this way, and as a result the additional contextual information does not disambiguate the predicate. In these cases the context only contributes “accidental” facts about the predicate’s extension or the extension of one of its arguments, and hence it does not help in selecting a more restricted sense of the predicate as we assume it does in (14).<sup>15</sup>

Let us officially state a revised version of the SMH that implements our proposed predicate-internal selection of reciprocal meanings, but leaves DKKMP’s ambiguity-based analysis intact. For convenience, when referring to the *SMH*, we henceforth only refer to the revised version below of DKKMP’s proposal.

**Strongest Meaning Hypothesis** (predicate-internal version): *Let  $P$  be a complex predicate with a reciprocal expression RECIP that has a relational expression REL in its scope. The interpretation of  $P$  is obtained by letting RECIP denote the strongest meaning  $\Pi \in \{SR, OWR, IR, IAR, IA0, SAR\}$  that is consistent with the interpretation of REL.*

<sup>15</sup> This intuitive distinction between “permanent” properties and “accidental” properties also underlies Mari’s (2006) account of reciprocals with asymmetric relations. See also footnote 30 below.



**Fig. 2** DKKMP’s six reciprocal meanings and their logical ordering

Here we standardly say that a reciprocal meaning  $\Pi_1$  is *stronger than* a meaning  $\Pi_2$  if for every  $A \subseteq E$  and  $R \subseteq E^2$ :  $\Pi_1(A, R) \leq \Pi_2(A, R)$ . For the logical ordering of the six meanings proposed by DKKMP, see Fig. 2.

As an example for this revised version of the SMH, let us consider again sentence (13), restated below.

(24) The third-grade students in Mrs. Smith’s class *gave* each other *measles* (= (13)).

The common world knowledge that people can only be given measles once is now represented by assuming that if the expression *give measles* in (24) denotes a relation  $R$ , then its inverse relation  $R^{-1}$  is a function. The function that  $R^{-1}$  describes may be partial, since some people may not get measles at all. The knowledge that giving measles is only possible after getting it is encoded by the assumption that the graph described by the relation  $R$  does not contain circles. Again we say that the relation  $R$  has to be *acyclic*.

Formally, we define the following sets of binary relations over a domain  $E$ :

(25)  $\text{FUN}^{-1} = \{R \subseteq E^2 : \forall x, y_1, y_2 \in E [(R(y_1, x) \wedge R(y_2, x)) \rightarrow y_1 = y_2]\}$   
 In words:  $\text{FUN}^{-1}$  is the set of relations over  $E$  whose *inverse* is a *function*, possibly a partial one.

(26)  $\text{ACYC} = \{R \subseteq E^2 : \forall n \forall x_1, \dots, x_n \in E \neg [R(x_1, x_2) \wedge R(x_2, x_3) \wedge \dots \wedge R(x_{n-1}, x_n) \wedge R(x_n, x_1)]\}$   
 In words:  $\text{ACYC}$  is the set of *acyclic* relations over  $E$ .

We rephrase DKKMP’s assumption about the contextual information relevant for sentence (24) by requiring that the binary relation  $R$  denoted by the expression *give measles* must be in the set  $\text{ACYC} \cap \text{FUN}^{-1}$ . Conversely, any relation in  $\text{ACYC} \cap \text{FUN}^{-1}$  is a possible denotation for the relational expression *give measles*.<sup>16</sup> We refer to the set  $\text{ACYC} \cap \text{FUN}^{-1}$  as the *domain* for interpreting the relational expression *give measles*.

<sup>16</sup> Although DKKMP do not explicitly state this assumption, it seems to directly follow from their informal notion of “relevant context”: if the denotation of *give measles* were contextually restricted to be a proper subset of  $\text{ACYC} \cap \text{FUN}^{-1}$ , this would have to be taken into account when using the SMH. As we shall see below, the SMH might have derived absurd results if only some of the relations in  $\text{ACYC} \cap \text{FUN}^{-1}$  were used as possible denotations of the relational expression.

More generally, we assume that denotations of relational expressions are restricted to a given domain, which is determined by a variety of factors including lexical meaning, world knowledge and contextual information. Without spelling out these factors, we introduce the following convention.

**Convention:** Let  $REL$  be a relational expression, and let  $\Theta \subseteq \wp(E^2)$  be a set of binary relations over  $E$ . If every relation in  $\Theta$  is a possible denotation of  $REL$  over  $E$ , and any possible denotation of  $REL$  over  $E$  is in  $\Theta$ , we say that  $\Theta$  is  $REL$ 's INTERPRETATION DOMAIN over  $E$ , and denote  $\Theta_{REL} = \Theta$ .

Abbreviating, we express DKKMP's assumption on the relational expression *give measles* by denoting:

$$\Theta_{give\ measles} = ACYC \cap FUN^{-1}.$$

Let  $A \subseteq E$  be the set of entities denoted by the plural subject of sentence (24), where  $|A| \geq 2$ . And let  $R \in ACYC \cap FUN^{-1}$  be a denotation of the relational expression *give measles*. Given our assumptions, it is easy to verify that IAR is the strongest reciprocal meaning  $\Pi \in \{SR, OWR, IR, IAR, IA0, SAR\}$  that is consistent with  $\Pi(A, R) = 1$ . To see that, note that since  $R$  is acyclic,  $SR(A, R) = 0$  and  $IR(A, R) = 0$ . Since  $R$  is also in  $FUN^{-1}$ , we have  $OWR(A, R) = 0$ , and further  $SAR(A, R) = 0$  for any  $A$  s.t.  $|A| \geq 3$ . Assuming that the expression *give measles* can denote any relation in  $ACYC \cap FUN^{-1}$ , we are left with two reciprocal meanings  $\Pi$  in DKKMP's account that are consistent with  $\Pi(A, R) = 1$ : IAR and IA0.<sup>17</sup> The IAR meaning is stronger than IA0. Hence, the SMH selects IAR as the denotation of the reciprocal expression in sentence (24). This meaning, together with the acyclicity and  $FUN^{-1}$  properties of the predicate, entail that the relation *give measles* in (24) describes a *directed tree* on the third-graders.<sup>18</sup> Ignoring at this stage some empirical complications,<sup>19</sup> we note that this result basically agrees with speaker intuitions about the truth conditions of sentence (24).

<sup>17</sup> As mentioned in footnote 16, the assumption  $ACYC \cap FUN^{-1} \subseteq \Theta_{give\ measles}$  is crucial for DKKMP's analysis. Without this (plausible) assumption, it would not be guaranteed that even IAR and IA0 are consistent with  $\Pi(A, R) = 1$ . As an extreme example, note that all analyses of (24) using the SMH must make sure that the domain for the expression *give measles* is not empty, i.e. that somebody *could* have given somebody measles.

<sup>18</sup> A relation  $R$  describes a directed tree, or an *arborescence* (Tutte 2001, p. 126), if the undirected version of  $R$  (its symmetric closure) is a tree (a connected acyclic undirected graph) and in addition, there is a node  $r$  (root) such that for each other node  $x$ , there is a directed path in  $R$  from  $r$  to  $x$ . To see that an acyclic and weakly connected graph that has the  $FUN^{-1}$  property is an arborescence, consider the following procedure. Select any node, and follow the edge that points to it if there is such an edge (there is at most one such edge because of  $FUN^{-1}$ ). Repeat this process until reaching a node  $r$  that has no edges pointing to it (such a node exists because of acyclicity). The node  $r$  has a directed path to any other node because: (i)  $r$  has an undirected path with any other node (weak connectivity), and (ii) no node  $x$  in such an undirected path has more than two incoming edges ( $FUN^{-1}$ ).

<sup>19</sup> As DKKMP mention, sentence (24) can also be true if the relation *give measles* describes a *collection* of directed trees on the third-graders. In this case there is more than one third grader who got measles from outside the group of third grades. See Sect. 3.5 below.

### 3.4 The Maximal Interpretation Hypothesis

In the predicate-internal presentation of the SMH, we have treated the relational domain of interpretation as the only parameter that affects the selection of a reciprocal meaning. This modification makes it possible to avoid altogether the ambiguity of reciprocals as assumed by the SMH, and replace it by a more direct method of deriving reciprocal interpretations. Instead of assuming a priori possible meanings of reciprocal expressions, we directly derive a reciprocal interpretation using the domain in which the relational expression is interpreted.<sup>20</sup> This method makes some different empirical predictions than the SMH, and it develops previous work in Winter (1996, 2001b), Gardent and Konrad (2000) and Sabato and Winter (2005). The general principle, which we call the *MIH*, is informally stated below.

**Maximal Interpretation Hypothesis (MIH):** *Let  $P$  be a complex predicate with a reciprocal expression RECIP that has a relational expression REL in its scope. Reciprocity requires REL to denote a relation in REL’s domain of interpretation  $\Theta_{REL}$  that is not properly contained in any other relation in  $\Theta_{REL}$ . In this case we say that REL denotes a maximal relation in  $\Theta_{REL}$ .*

When formally stating the MIH, we adopt the following notation for restricting binary relations  $R \subseteq E^2$  and relational domains  $\Theta \subseteq \wp(E^2)$  using a set  $A \subseteq E$ :

$$\begin{aligned}
 R|_A &= R \cap A^2 && - R \text{ restricted to } A \\
 \Theta|_A &= \{R|_A : R \in \Theta\} && - \Theta \text{ restricted to } A
 \end{aligned}$$

For disregarding identities in relations and relational domains, we use the notation:

$$\begin{aligned}
 R \downarrow &= R - I && - R, \text{ disregarding identities} \\
 \Theta \downarrow &= \{R \downarrow : R \in \Theta\} && - \Theta, \text{ disregarding identities}
 \end{aligned}$$

Combining the two notations we get:

$$\begin{aligned}
 R \downarrow_A &= R|_A - I && - R \text{ restricted to } A, \text{ disregarding identities} \\
 \Theta \downarrow_A &= \{R \downarrow_A : R \in \Theta\} && - \Theta \text{ restricted to } A, \text{ disregarding identities}
 \end{aligned}$$

Using this notation, we define *MIH-based reciprocal functions* as follows.

**Definition 3** *Let  $\Theta \subseteq \wp(E^2)$  be a set of binary relations over  $E$ . The MIH-BASED reciprocal function  $\text{RECIP}_{\Theta}^{\text{MIH}}$  is defined for all sets  $A \subseteq E$  and relations  $R \in \Theta$  by:*

$$\text{RECIP}_{\Theta}^{\text{MIH}}(A, R) = 1 \text{ iff for all } R' \in \Theta \downarrow_A: R \downarrow_A \subseteq R' \Rightarrow R \downarrow_A = R'.$$

In words: a relation  $R \in \Theta$  satisfies MIH-based reciprocity over a set  $A \subseteq E$  with respect to  $\Theta$  if  $R \downarrow_A$  is maximal on  $\Theta \downarrow_A$ .

<sup>20</sup> The admissibility of reciprocal interpretations (cf. Definition 2) follows as a direct corollary of our account, rather than being a separate assumption. However, in Sect. 3.5 we will see that a *connectivity* assumption on reciprocal interpretations must be added in order to make our approach empirically coherent.

Note that by definition, the reciprocal function  $\text{RECIP}_{\Theta}^{\text{MIH}}$  is conservative, neutral to identities and  $R$ -monotonic for every set  $\Theta$  of binary relations. Thus, in our terminology, every reciprocal function  $\text{RECIP}_{\Theta}^{\text{MIH}}$  is an admissible reciprocal interpretation, independently of  $\Theta$ .

Let us reconsider example (24) above. For the expression *give measles*, we have assumed  $\Theta_{\text{give measles}} = \text{ACYC} \cap \text{FUN}^{-1}$ . For this set  $\Theta$  and a relation  $R$  in  $\Theta$ , we observe that the reciprocal function  $\text{RECIP}_{\Theta}^{\text{MIH}}$  satisfies  $\text{RECIP}_{\Theta}^{\text{MIH}}(A, R) = 1$  if and only if  $R$  describes a weakly connected graph on  $A$ .<sup>21</sup> Thus, we note the following fact.

**Fact 1** *Let  $\Theta$  be the set of binary relations  $\text{ACYC} \cap \text{FUN}^{-1} \subseteq E^2$ . For every set  $A \subseteq E$  and relation  $R \in \Theta : \text{RECIP}_{\Theta}^{\text{MIH}}(A, R) = 1 \Leftrightarrow \text{IAR}(A, R) = 1$ .*

We see here that for the domain  $\Theta = \text{ACYC} \cap \text{FUN}^{-1}$  of binary relations, the reciprocal interpretation  $\text{RECIP}_{\Theta}^{\text{MIH}}$  and the SMH-based reciprocal meaning IAR agree with one another. Thus, as in the SMH-based analysis above, the MIH analyzes the relation *give measles* in sentence (24) as describing a *directed tree* on the third-graders. However, our reliance on the notion of ‘reciprocal interpretation’ gives no special status to the IAR meaning in the analysis of sentence (24). The IAR meaning is one admissible reciprocal meaning that agrees with the interpretation that the MIH derives, but it is not the only one. Consider the following reciprocal meaning R00T, which is stronger than IAR and requires that, on top of weak connectivity, the graph described by the relation contains at least one node that has a directed path to any other node.

$$(27) \quad \text{R00T}(A, R) = 1 \Leftrightarrow \exists r \in A \forall x \in A [x \neq r \rightarrow \exists m \exists z_0, \dots, z_m \in A [r = z_0 \wedge x = z_m \wedge R(z_0, z_1) \wedge \dots \wedge R(z_{m-1}, z_m)]]$$

In words:  $R$  describes a graph on  $A$  with at least one root  $r$ —a node that has a directed path to every other node.

In the example above, we have seen that the MIH-based interpretation of the reciprocal in (9) agrees with both IAR and R00T. The following standard definition of *consistency* between a partial function and a total function formalizes this notion of ‘agreement’ between reciprocal functions and reciprocal meanings.

**Definition 4** *Let  $\Theta \subseteq \wp(E^2)$  be a set of binary relations over  $E \neq \emptyset$ , and let  $f : (\wp(E) \times \Theta) \rightarrow \mathbf{2}$  be a reciprocal function. Let  $\Pi : \wp(E) \times \wp(E^2)$  be a reciprocal meaning over  $E$ . We say that  $f$  is CONSISTENT with  $\Pi$  on  $E$  if for every set  $A \subseteq E$  and relation  $R \in \Theta : f(A, R) = \Pi(A, R)$ .*

<sup>21</sup> *Proof ‘only if’*: assume that  $R \downarrow_A$  is maximal on  $\Theta \downarrow_A$ , and assume for contradiction that  $R \downarrow_A$  is not weakly connected. Then there are two non-empty weakly connected components  $C_1 \subseteq A$  and  $C_2 \subseteq A - C_1$ . The acyclicity and  $\text{FUN}^{-1}$  properties of  $R$  entail that  $C_1$  and  $C_2$  are both directed trees (cf. footnote 18). Thus, we can add an edge to  $R$ , connecting the trees  $C_1$  and  $C_2$  and leaving the acyclicity and  $\text{FUN}^{-1}$  properties of  $R$  intact. This contradicts to  $R \downarrow_A$ ’s maximality on  $\Theta \downarrow_A$ . *Proof ‘if’*: if  $R \downarrow_A$  is weakly connected, then  $R \in \text{ACYC} \cap \text{FUN}^{-1}$  entails that  $R \downarrow_A$  is a directed tree (cf. footnote 18). By definition of directed trees, adding any edge to  $R \downarrow_A$  would create either a non-acyclic or a non- $\text{FUN}^{-1}$  relation. Hence  $R \downarrow_A$  is maximal on  $\Theta \downarrow_A$ .

Consistency will prove useful when analyzing concrete examples in Sect. 4 and comparing the results of the SMH to those of the MIH.<sup>22</sup>

### 3.5 MIH-based connectivity and partitioning

When analyzing reciprocal sentences we should be careful to distinguish general plurality phenomena from the quantificational semantics of reciprocals. One especially relevant property of plurals concerns their *partitioning* effects (Schwarzschild 1996; Winter 2000; Beck and Sauerland 2001). These are cases where a plural argument is interpreted by dividing its denotation into two or more sets. Consider the simple example (28a).

- (28) a. The Indians and the Chinese are numerous.  
 b. **numerous**(*I*)  $\wedge$  **numerous**(*C*)

A likely interpretation of sentence (28a), formalized in (28b), claims that there are many Indian people as well as many Chinese people. Thus, while the surface argument of the predicate *be numerous* in sentence (28a) is one plural subject, the sentence can be interpreted as involving predication over two sets. A similar effect also appears with plural sentences containing reciprocal expressions. Consider the following simple example of such “partitioned reciprocity”.

- (29) a. Mary and John and Sue and Bill are married to each other.  
 b. **married**(**{mary, john}**)  $\wedge$  **married**(**{sue, bill}**)

The likely interpretation of sentence (29a) involves *two* sets (of married couples), as formalized in (29b).

The reason we have dubbed examples (28a) and (29a) “simple” is because semantic theory has a ready explanation for their partitioning effects. As stressed in Winter (2001a), the boolean analysis of the conjunction *and* in complex noun phrases directly derives the partitioning effects in cases like (28) and (29). Of course, boolean conjunction of noun phrases does not require any partitioning mechanism in the semantics of

<sup>22</sup> In Sabato and Winter (2005) we introduced a notion of *congruence* between reciprocal functions and reciprocal meanings. A reciprocal meaning  $\Pi$  is congruent with a reciprocal function  $f$  if  $\Pi$  is consistent with  $f$ , and furthermore  $\Pi$  is the strongest reciprocal meaning consistent with  $f$ . We consider congruence as a formal correlate to the intuition that a certain reciprocal meaning is “attested” in a given sentence: when a sentence interpretation is congruent with a meaning  $\Pi$ , we may reasonably claim that  $\Pi$  is attested. As shown in Sabato and Winter (2005), the SAR meaning is only congruent with the reciprocal interpretation  $\text{RECIP}_{\text{ASYM}}^{\text{MIH}}$ , where ASYM is the set of asymmetric relations. As will be mentioned in Sect. 4.3 below, we are not aware of any relational expression in natural language whose domain contains all and only the asymmetric relations. As a result we expect the SAR meaning not to be easily attested. Another meaning that was proposed in the literature for reciprocals is *weak reciprocity* (WR, see Langendoen 1978):

$$\text{WR}(A, R) = 1 \Leftrightarrow \forall x \in A \exists y, z \in A [x \neq y \wedge x \neq z \wedge R(x, y) \wedge R(z, x)].$$

In words: each node in the graph described by  $R$  over  $A$  has a (non-loop) incoming edge as well as a (non-loop) outgoing edge. In Sabato and Winter (2005) we show that for every set  $E$  s.t.  $|E| \geq 6$ , there is no relational domain  $\Theta$  over  $E$  s.t. WR is congruent with the reciprocal interpretation  $\text{RECIP}_{\Theta}^{\text{MIH}}$ . For empirical arguments against WR as an “unattested” reciprocal meaning, see DKKMP (p. 176).

collective predicates, reciprocal expressions or plural predicates in general. Therefore, one likely source of the partitioning in sentences (28a) and (29a) is *external* to the predicate.

In other examples, however, it is less clear that partitioning can be a predicate-external process. Consider for instance the following familiar example by Gillon (1987).

(30) Rodgers, Hammerstein and Hart wrote musicals together.

This sentence may be true even though the three writers never collaborated as a trio. As things were, the sentence is true, but only due to the collaborative work of the two duos *Rodgers & Hammerstein* and *Rodgers & Hart*. This example shows that we need some semantic/pragmatic principles on top of NP structure to account for partitioning effects. An on-going debate in the semantic study of plurals concerns these principles, their account and their theoretical implications.

This debate on partitioning effects with plurals is highly relevant for our understanding of reciprocity. To see that, let us first reconsider DKKMP's *measles* example, which is repeated below.

(31) The third-grade students in Mrs. Smith's class *gave* each other *measles* (=24)).

As mentioned by DKKMP, this sentence can be true if a few third graders got measles from people outside Mrs. Smith's class. In this case, there were different origins for the disease in the class, and the *give measles* relation describes a *collection* of directed trees on the third-graders. This interpretation illustrates a partitioning of the class into mutually disjoint sets, which is consistent with the IA0 meaning, but not with the IAR meaning that the SMH derives for (31) (Sect. 3.1). DKKMP suggest that the reciprocal in (31) indeed means IAR, and that the partitioning effect is a result of "vagueness in the meaning" of this sentence (Dalrymple et al. 1998, p. 192). Thus, DKKMP take partitioning to be a reciprocal-independent effect. This assumption is consistent with many accounts of partitioning effects (e.g. (30)) in the literature on plurality (Schwarzschild 1996; Winter 2000; Beck and Sauerland 2001).

However, in their analysis of sentence (10), DKKMP adopt a different approach to the choice between IAR and IA0. Sentence (10) is restated below, in the context provided by DKKMP.

(32) He and scores of other inmates slept on foot-wide wooden planks stacked atop each other in garage-sized holes in the ground.

Dalrymple et al. (1998, p. 195) claim that it would be impossible for IAR to hold in (32), since "it would not be possible for scores of sleeping inmates to fit in a single stack of wooden planks in a hole described as 'garage-sized'". Accordingly, DKKMP conclude that the SMH selects IA0 as the meaning of the reciprocal in (32).

We see that DKKMP consider the partitioning effect in (31) to be a vagueness effect on top of the IAR meaning of the reciprocal. Also with some other examples with reciprocals, DKKMP propose that vagueness plays a role in allowing partitions (Dalrymple et al. 1998, pp. 177–179). However, when analyzing the partitioning effect in (32), DKKMP do not appeal to vagueness but base their account on the IA0 reciprocal meaning, which allows partitioning. We are not sure what the justification for this analytic

discrepancy may be: reasonably, the same principles that allow partitioning through vagueness in the measles example (31) may allow it in the plank example (32) as well. We thus propose that partitioned interpretations uniformly follow from mechanisms that are external to the interpretation of the reciprocal expression. Accordingly, we adopt the following unifying principle (Sabato and Winter 2010).

**Connectivity Principle:** *The graph that a reciprocal interpretation describes on a set must be weakly connected (i.e. consistent with IAR).*

According to this principle, the IAR meaning, defined in (9), is the weakest possible meaning that is consistent with reciprocal functions in natural language. Implementing this connectivity requirement must be done on top of Definition 3 of MIH-based reciprocal functions. Thus, we adopt the following definition of *MIH-based connected reciprocity*.

**Definition 5** *Let  $\Theta \subseteq \wp(E^2)$  be a set of binary relations over  $E$ . The MIH-BASED connected reciprocal function  $\text{RECIP}_{\Theta}^{\text{MIH-C}}$  is defined as follows for all sets  $A \subseteq E$  and relations  $R \in \Theta$ :*

$$\text{RECIP}_{\Theta}^{\text{MIH-C}}(A, R) = 1 \text{ iff } \text{RECIP}_{\Theta}^{\text{MIH}}(A, R) = 1 \text{ and } \text{IAR}(A, R) = 1.$$

In words: a relation  $R \in \Theta$  satisfies MIH-based connected reciprocity over a set  $A \subseteq E$  with respect to  $\Theta$  if  $R \downarrow_A$  is maximal on  $\Theta \downarrow_A$  and  $R|_A$  is weakly connected.

When reciprocal sentences show partitioning effects, we propose that the partitioning follows from the general semantics of plurality, as implied by DKKMP’s informal discussion of sentence (31) and other cases in Dalrymple et al. (1998, pp. 177–179). We retain a connected interpretation of the reciprocal expression in (31), but assume that the set argument of the reciprocal function may be different than the denotation of the subject due to a partitioning mechanism independent of the reciprocal quantifier. For instance, consider the following analysis of sentence (31).

- (33)  $\forall A \in \text{PART}(S) [\text{RECIP}_{\Theta}^{\text{MIH-C}}(A, R)]$ , where:
  - $S$  = the set of students in  $E$
  - $\text{PART}(S)$  = a set of subsets of  $S$ , s.t.  $\bigcup \text{PART}(S) = S$
  - $\Theta$  =  $\Theta_{\text{give measles}} = \text{ACYC} \cap \text{FUN}^{-1}$
  - $R$  = the binary *give measles* relation in  $\Theta$

In words: for each set  $A$  in a given partitioning of the students, the *give measles* relation describes a connected graph on  $A$  that satisfies the acyclicity and  $\text{FUN}^{-1}$  properties, and which is a maximal graph on  $A$  that satisfies those properties.

This analysis of sentence (31) is consistent with the IA0 meaning. However, the proposition  $\text{RECIP}_{\Theta}^{\text{MIH-C}}(A, R)$  within it is consistent with IAR for each set  $A$  in the collection  $\text{PART}(S)$ . Similarly, but unlike DKKMP’s account, our analysis of the reciprocal expression in (32) is consistent with IAR, but the sentence itself is analyzed as involving an external partitioning mechanism (see Sect. 4.3).

As DKKMP remark, when the number of elements in the subject denotation is small, partitioning of the subject becomes pragmatically unlikely.<sup>23</sup> For instance, DKKMP mention that in the example *those six children gave each other measles*, the sentence prefers a connected interpretation. In agreement with this empirical caveat, we summarize our informal assumptions on partitioning below.

**Partitioning:** *Partitioned predication over a plural argument must be pragmatically triggered. It is more likely to occur when the set that the argument denotes is relatively big.*

This approach to partitioning is shared by many works, although the exact way of implementing it remains controversial. The choice between the available semantic accounts of partitioning is not trivial and will not be addressed here. At the same time, we note that our assumption on the connectivity of reciprocal interpretations is an integral part of our MIH-based proposal. Consider for instance the following unacceptable sentence.

(34) #Mary, Sue and Bill are married to each other.

Assuming a ban on polygamy, a person can only be married to one other person at a time. Thus, consider a situation where Bill is married to one of the two women in (34). Such a situation describes a maximal non-polygamous marriage relation among the three individuals. Therefore, without the connectivity principle, the MIH would expect sentence (34) to be true in this situation. This expectation is problematic, since sentence (34) is clearly unacceptable in this situation. With the addition of the connectivity principle, our analysis requires that all three individuals partake in the relation, and thus expects sentence (34) to be necessarily false. This accounts for the infelicity of (34) in monogamous contexts.<sup>24</sup> Similarly, the connectivity principle rules out any acceptable interpretation of the following sentence.

(35) #Mary, Sue, Bill and John are married to each other.

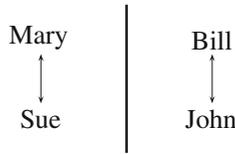
The unacceptability judgement in (35) is similar to the one in (34). Here again, the MIH without the connectivity principle would expect an acceptable interpretation. Furthermore, also the SMH might incorrectly expect a similarly coherent reading, using the OWR meaning of the reciprocal. We conclude that DKKMP's postulation of the reciprocal meaning OWR, and the weaker IA0 meaning, which allow partitioned interpretations, is not empirically supported.

Let us reconsider sentence (7), restated below.

(36) "The captain", said the pirates, staring at each other in surprise (= (7)).

<sup>23</sup> This claim may seem to be contradicted by sentence (30), which gives the impression of partitioning with a subject that denotes a small set. However, as claimed by Winter (2000), the partitioning impression in (30) is misleading, and appears due to the plurality of the object *musicals*. When this object is replaced by a singular object like *a musical*, the partitioning effect vanishes. See Winter (2000) for further discussion of this empirical point.

<sup>24</sup> An L&P reviewer mentions that to rule out a felicitous interpretation of (34), we may also need to rule out singletons as elements of a partition when a reciprocal predicate is involved. As mentioned in footnote 3, in this paper we do not deal with the 'singularity' requirement of reciprocals, which may involve the general semantics of plural number.



**Fig. 3** Two *staring at* pairs separated by a wall

Sentence (36) underspecifies the number of the pirates, and therefore readily allows partitioning effects. For instance, it is possible that with eight pirates, the *stare at* relation in (36) forms two circles of four pirates each. However, this kind of partitioning is no longer readily possible in the following sentence.

(37) Mary, Sue, Bill and John are staring at each other.

The preferred interpretation of sentence (37) requires connectivity. To see that, consider sentence (37) in a partitioned situation as in Fig. 3, where Mary and Sue are staring at each other, and so do Bill and John, but there is an opaque wall separating between the two pairs. In this situation the speakers we consulted hesitate to consider sentence (37) as true. As argued by Winter (2000), conjunctions as in the subject of (37) do not easily license external partitioning. As a result, our connectivity principle about reciprocals expects the marked status of sentence (37) in Fig. 3. For an elaborate analysis of contrasts between definite plurals and conjunctive NPs, see Winter (2000, 2001a).

#### 4 MIH and the logical typology of relational expressions

In Sect. 3 we introduced the MIH as an alternative principle to the SMH, which takes the interpretation domains of relational expressions as its only parameter when specifying the semantics of reciprocals. In this section we take a closer look on the logical typology of domains for relational expressions and its implications for reciprocal expressions.<sup>25</sup>

##### 4.1 Strong reciprocity with unrestricted and symmetric relations

Reconsider sentence (4), which is reproduced in (38) below.

(38) The girls *know* each other (= (4)).

We noted that the interpretation of (38) is consistent with strong reciprocity. The same holds for the following sentences, with symmetric predicates.

(39) John, Bill and Tom are *similar* to each other.

(40) a. These three paintings are *identical* to each other.

<sup>25</sup> Despite their importance, we are not aware of systematic linguistic studies of interpretation domains for relational expressions, but see the preliminary account by Rubinstein (1996).

- b. These three lines *run parallel* to one another.

These facts are expected by both the SMH and the MIH using natural assumptions on the relevant domains for the relational expressions in these sentences. Let us illustrate this point and elaborate on it.

As noted above, the predicate *know* in (38) has no logical restrictions on its interpretation. This is described by assuming that the domain  $\Theta_{know}$  for this predicate is the whole domain  $\wp(E^2)$  of binary relations. The situation is similar with many other relational expressions, some of which are illustrated below.

- (41) Relational expressions with  $\Theta = \wp(E^2)$ :  
*to know, to like, to admire, to see, to refer to, to mention, to hear, to hate, to remember, to forget, to praise, to understand, to listen to, to compliment*

We say that relational expressions as in (41) have an *unrestricted* interpretation, and denote it by the assumption  $\Theta = \wp(E^2)$ .

Symmetry of relational expressions like *be similar to* in sentence (39) is standardly defined in (42) below using the domain SYM.

- (42)  $SYM = \{R \subseteq E^2 : \forall x, y \in E [R(x, y) \rightarrow R(y, x)]\}$   
 In words: SYM is the set of *symmetric* relations over  $E$ .

When saying that a relational expression REL is ‘symmetric’, we assume that the domain  $\Theta_{REL}$  for its interpretation is contained in SYM. Normally this containment is proper: most symmetric relational expressions that we considered have further restrictions on their denotations besides symmetry. For instance, consider the relational expression *be far from*. In addition to its symmetry, this expression is also *irreflexive*. Therefore the domain for its interpretation is a proper subset of SYM. However, reflexivity/irreflexivity restrictions on the domains of relational expressions do not affect the SMH-based and the MIH-based analyses of reciprocals. Following the basic observation about the neutrality of reciprocal interpretations to identities (Definition 2), both the SMH-based and the MIH-based approaches properly ignore identities in denotations of relational expressions. Considering this point, we may consistently ignore identities, and hence possible (ir)reflexivity restrictions, when classifying the domains of relational expressions for the sake of studying reciprocity. For instance, instead of characterizing the domain of the expression *be far from* as the domain of all *irreflexive symmetric* relations, we only stress that this predicate satisfies  $\Theta_{be\ far\ from} \downarrow = SYM \downarrow$ . In words: when identity pairs are subtracted from the relations in the domain of the expression *be far from* and the domain of all symmetric relations, we get the same set of relations. Some more examples of symmetric relational expressions of this sort are given below.

- (43) Relational expressions with  $\Theta \downarrow = SYM \downarrow$ :  
*to be dis/similar to, to be adjacent to, to be far from, to overlap, be outside of, to be a neighbor/cousin/relative of, to have relations/contact/an affair with.*

Some of these predicates, like the predicate *be far from*, are irreflexive. Others, like *be similar to*, may be reflexive. Whether any symmetric relational expressions are ‘purely

symmetric' with no reflexivity or irreflexivity restriction, is a question that we ignore for the purposes of this paper.<sup>26</sup>

The symmetric reflexive expression *be identical to* in sentence (40a) is of course also transitive, as standardly defined below.

$$(44) \text{ TR} = \{R \subseteq E^2 : \forall x, y, z \in E [(R(x, y) \wedge R(y, z)) \rightarrow R(x, z)]\}$$

In words: TR is the set of *transitive* relations over  $E$ .

More examples for symmetric transitive relational expressions are summarized below.

- (45) Relational expressions with  $\Theta \downarrow = (\text{SYM} \cap \text{TR}) \downarrow$ :
- a. Sameness predicates: *be identical/equal to, be the same as*
  - b. Equality comparatives: *be as tall/smart as, be equally tall/smart as*
  - c. Kinship terms: *be sibling, brother, sister of*
  - d. Other predicates: *be equivalent to, run parallel to*

The predicates in (45a–b) are clearly reflexive; the kinship terms in (45c) are clearly irreflexive. The reflexivity properties, if any, of the predicates in (45d) are unclear to us.

As we saw in (38)–(40), the three types of predicates illustrated in (41), (43) and (45) are consistent with strong reciprocity.<sup>27</sup> The MIH captures this fact, as formally stated below.

**Fact 2** *Let  $\Theta \subseteq \wp(E^2)$  be a set of binary relations over  $E$  that satisfies  $\Theta = E^2$ ,  $\Theta \downarrow = \text{SYM} \downarrow$  or  $\Theta \downarrow = (\text{SYM} \cap \text{TR}) \downarrow$ . The MIH-based reciprocal function  $\text{RECIP}_{\Theta}^{\text{MIH}}$  is consistent with the SR meaning over  $E$ .*

When  $\Theta = E^2$ , the interpretation  $\text{RECIP}_{\Theta}^{\text{MIH}}$  is a total function. Hence, in such cases it is furthermore identical to the meaning SR.

A property similar to Fact 2 also holds for the SMH. Since  $\text{SR}(A, R)$  is contingent for the three  $\Theta$  domains in Fact 2, the SMH also expects SR to be the realized reciprocal meaning in sentences like (38)–(40). We conclude that for the most common types of strong reciprocity, the MIH and the SMH agree with each other and with the facts.<sup>28</sup>

<sup>26</sup> The relational expressions *have relations with* or *have contact with* may be examples for such purely symmetric relations. It is possible that sentences like *John has relations with himself* is contingent. For some other intricacies concerning the possibly collective interpretation of sentences like (11), which contains this relation, see some remarks in Sect. 5.2.

<sup>27</sup> Another class of symmetric relational expressions that lead to SR readings of reciprocals are expressions like *unequal to, different than, inequivalent to* or *unparallel to*, which are further restricted in having a transitive complement (cf. (45)).

<sup>28</sup> In one case the speaker judgements we got on reciprocity with symmetric predicates were mixed. This involves sentences like *Mary, Sue and Jane are cousins of each other*. Some speakers consider this sentence as possibly true if Mary and Sue, as well as Sue and Jane, are first cousins, but Mary and Jane are only second cousins. We believe that this possibility reflects strong reciprocity with some vagueness of the relation *cousin*. First, as far as we were able to check, the sentence *Mary, Sue and Jane are first cousins of each other* is false in this situation. This is as expected by the SR interpretation. Second, as we shall see in Sect. 4.3, many other kinship terms clearly do not allow reciprocal interpretations that are weaker than SR.

### 4.2 Functional relational expressions

A simple distinction between the SMH and the MIH is observed in the analysis of DKKMP’s example (36), restated below.

(46) “The captain”, said the pirates, staring at each other in surprise (=36)).

The relational expression *stare at* is quite special among the natural language predicates that we have examined, in having the set of *partial functions* as its entire interpretation domain. As DKKMP mention, a person is likely to stare at only one object at a time.<sup>29</sup> The definition of this relational domain follows.

(47)  $\text{FUN} = \{R \subseteq E^2 : \forall x, y_1, y_2 \in E [(R(x, y_1) \wedge R(x, y_2)) \rightarrow y_1 = y_2]\}$

In words: FUN is the set of relations over *E* that describe a *function* on their first argument, possibly a partial one.

Dalrymple et al. (1998, p. 196) note that, given the FUN restriction on the domain of the relation *stare at*, the SMH expects the meaning of the reciprocal in (46) to be IR (intermediate reciprocity). This reciprocal meaning requires that the *stare at* graph is strongly connected, i.e. there is a directed path between any two different pirates in (46). Such strong connectivity can only be realized with a functional relation if the graph that it describes is a directed circle. This interpretation is stronger than what is intuitively required in sentence (46), which is true as long as each pirate stares at some pirate or another. Thus, interpreting sentence (46) is an open challenge for the SMH.

The MIH-based analysis does not face this problem. According to our analysis, any functional relation denoted by the expression *stare at* that is maximal on the set of pirates, is expected to lead to an acceptable interpretation of sentence (46). Such maximal interpretations agree with DKKMP’s claim that sentence (46) is consistent with the OWR meaning, which requires an outgoing edge from each node. This is stated in the following fact.

**Fact 3** *Let FUN be the set of functional binary relations over E. The MIH-based reciprocal function  $\text{RECIP}_{\text{FUN}}^{\text{MIH}}$  is consistent with the OWR meaning over E.*

As we proposed in Sect. 3.5, reciprocals require weak connectivity. This is also expected to be the case in sentences like (37) or (46) (=36)). With the connectivity principle, the MIH (definition 5) expects the reciprocal interpretation with functional relations to be consistent with the reciprocal meaning  $\text{OWR} \cap \text{IAR}$ . This meaning is stronger than both OWR and IAR, but weaker than the strong connectivity meaning IR that is expected by the SMH. As mentioned above (cf. (37)), this prediction about connectivity is borne out once considering external partitioning mechanisms with definite subjects as in sentence (46).

DKKMP give another example for a functional relational expression, using the following example.<sup>30</sup>

<sup>29</sup> The object that is stared at may be composed of smaller objects. As a result, one may also stare at a group of people. This brings up some of the issues discussed in Sect. 3.5, but it does not affect too much the relevant interpretation of sentence (46).

<sup>30</sup> As mentioned above, and by DKKMP (p. 194), the predicate *follow* in sentence (48) is quite hard to classify semantically when appearing without modifier or a very specific context. Specifically, it is often unclear

(48) The children followed each other around the Maypole.

The relation *follow around the Maypole* is likely to be interpreted functionally, because it is hard to directly follow two or more people around a Maypole.<sup>31</sup> Similarly, *follow around* is likely to have the  $\text{FUN}^{-1}$  property: it is hard for two or more people to directly follow another person around the Maypole, unless they act as a group (see footnote 31). Another transitive verb that behaves similarly to *follow* in this respect is the verb *chase*. The net result of the two requirements  $\text{FUN}$  and  $\text{FUN}^{-1}$  is that the MIH expects the relation in sentence (48) to describe a circular graph over the children, which is consistent with the IR reciprocal meaning. With external partitioning, sentence (48) can be true if the children were divided into some subgroups, where each subgroup forms a circle of children around the Maypole.

#### 4.3 Asymmetry (1): intransitive relational expressions

In Sect. 3.5 we analyzed sentence (31), with the acyclic relational expression *give measles*. Logically, the class of acyclic relations is a proper subset of the larger class of *asymmetric* relations, as standardly defined below.

(49)  $\text{ASYM} = \{R \subseteq E^2 : \forall x, y \in E [R(x, y) \rightarrow \neg R(y, x)]\}$   
 In words: ASYM is the set of *asymmetric* relations over  $E$ .

Many of the asymmetric relations in natural language are also transitive. By definition of asymmetry and transitivity, these relations are also acyclic. By contrast, due to its  $\text{FUN}^{-1}$  property, the acyclic relational meaning of the expression *give measles* is *intransitive* in the following sense.

(50)  $\text{INTR} = \{R \subseteq E^2 : \forall x, y, z \in E [(R(x, y) \wedge R(y, z)) \rightarrow \neg R(x, z)]\}$   
 In words: INTR is the set of *intransitive* relations over  $E$ .

All asymmetric relational expressions that we are aware of are either transitive or intransitive. Before moving on to the big class of transitive asymmetric relations in natural language, which will be discussed in Sect. 4.4, let us first consider some more intransitive relations like *give measles to*, and their interactions with reciprocity. All intransitive asymmetric relational expressions known to us satisfy both acyclicity and the  $\text{FUN}$  or  $\text{FUN}^{-1}$  properties.<sup>32</sup> In (51) below we summarize the three classes of asymmetric intransitive relational expressions that we found. Note that by asymmetry, all

Footnote 30 continued

if specific uses of *follow* are interpreted in the transitive sense of *indirectly follow*, or whether they mean *directly follow*. And similarly for possible acyclic/non-acyclic senses of *follow*. For this reason we only concentrate in this paper on modified occurrences of this verb, as in sentences (48) and (56) below. Other relational expressions similar to the verb *follow* in this respect are *to precede*, *be predecessor of*, *to succeed* and *be successor of*. As said in Sect. 3.3, we assume that such relational expressions are polysemous, but disambiguated in any utterance where the context specifies one of their possible senses.

<sup>31</sup> The children in (48) may have been following each other in pairs, for instance. This sort of “group partitioning” involves collective individuals (e.g. pairs) as the units of predication, which is rather independent of the problem of reciprocity.

<sup>32</sup> In the sentence *the bricks are laid on top of each other*, the acyclic relation *be laid on top of* seems an exception to this rule. This relational expression does not seem to satisfy either  $\text{FUN}$  or  $\text{FUN}^{-1}$ , since a brick

these relations are irreflexive, hence their domain  $\Theta$  is characterized without our habit of ignoring identities.

(51) Intransitive asymmetric relational expressions:

- a.  $\Theta = \text{ACYC} \cap \text{FUN}^{-1}$ :  
*give measles to, bury, be mother of, give birth to, procreate*
- b.  $\Theta = \text{ACYC} \cap \text{FUN}$ :  
*get measles from, be buried by, be born to*
- c.  $\Theta = \text{ACYC} \cap \text{FUN} \cap \text{FUN}^{-1}$ :  
*be stacked atop, follow into the treehouse, inherit the shop from, bequeath the shop to*

Let us now consider the behavior of these relational expressions with reciprocals. Beck (2001) mentions the following reciprocal sentence, with the verb *bury*.

(52) The settlers have buried each other on this hillside for centuries.

Like the predicate *give measles*, the verb *bury* is acyclic and has the  $\text{FUN}^{-1}$  property, since a person is only likely to be buried once. Indeed, similarly to sentence (31), sentence (52) can be interpreted as true when the relation *bury* describes a collection of directed trees on the set of settlers, which is analyzed in (33) using the MIH and external partitioning.

The relational expressions *get measles from/be given measles by* and *be buried by* are the inverse relations of *give measles to* and *bury*. Therefore they are acyclic and functional. As a result, when they combine with a reciprocal expression, the MIH expects these relations to describe a directed graph with a unique ‘sink’: a node that has a unique directed path from any other root. This requirement is symmetric to the requirement of path from the root with  $\text{FUN}^{-1}$  acyclic relations. Thus, MIH-based interpretations with acyclic functional relations are inverse relations of directed trees (arborescences, see footnote 18). Such interpretations are consistent with the following meaning, which is the correlate of the meaning ROOT in (27).

(53)  $\text{SINK}(A, R) = 1 \Leftrightarrow$

$$\exists s \in A \forall x \in A [x \neq s \rightarrow \exists m \exists z_0, \dots, z_m \in A [x = z_0 \wedge s = z_m \wedge R(z_0, z_1) \wedge \dots \wedge R(z_{m-1}, z_m)]]$$

In words: *R* describes a graph on *A* with at least one sink *s*—a node that has a directed path from every other node.

Together with our assumptions on external partitioning (Sect. 3.5), the MIH expects acyclic functional relations to lead to reciprocal interpretations describing collections of “arborescence inverses”. This expectation agrees with speaker intuitions on reciprocal sentences with the relational expressions *get measles from/be given measles by* and *be buried by*.

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Footnote 32 continued

may have more than one brick laid on top or below it. However, the collective interpretation of the predicate complicates the analysis in this case (cf. Sect. 5.2).

Other acyclic relational concepts that have the  $\text{FUN}^{-1}$  property are the kinship relations *be mother of*, *give birth to* and *procreate*. The kinship relations *be given birth by* or *be born to*, which are inverses of *give birth to*, are therefore acyclic and functional. With most kinship relations of this kind, reciprocals are unacceptable, as in the following sentences.

- (54) #These women are each other's mother(s).  
 #These women are mothers of one another.  
 #These women gave birth to each other.  
 #These women were born to one another.

Both the SMH and the MIH incorrectly expect sentences as in (54) to be acceptable. We have no general explanation to offer here for their unacceptability, but see Sect. 4.4 for some more remarks on this problem and attempts to solve it within current theories of reciprocity.

Consider next the predicates *stacked atop* and *follow into the treehouse*, as they appear below in DKKMP's examples (55) and (56).

- (55) He and scores of other inmates slept on foot-wide wooden planks stacked atop each other (= (32)).
- (56) The children followed each other into the treehouse.

Like *give measles to*, these two relational expressions are clearly acyclic.<sup>33</sup> These relations are also likely to be interpreted as having the  $\text{FUN}^{-1}$  property: it is hard to directly stack more than one wooden-plank atop another one or to have two or more people directly following another person into a treehouse (entrances of treehouses are normally too small for that). In addition, these relations are often interpreted as functional: it is hard to directly stack a wooden plank atop more than one other wooden plank, or to directly follow two or more people into a treehouse (cf. footnote 31). Because of their acyclicity,  $\text{FUN}$  and  $\text{FUN}^{-1}$  properties, the MIH expects the graphs in sentences (55) and (56) to describe simple directed paths. This interpretation is in agreement with speaker intuitions, and consistent with the IAR meaning of weak connectivity. In addition, speakers can also interpret the sentence as supported by a collection of such path graphs, which is consistent with our assumptions in Sect. 3.5 on the partitioning mechanism with plurals. Consider for instance the following partitioned analysis of sentence (55).

- (57)  $\forall A \in \text{PART}(S) [\text{RECIP}_{\Theta}^{\text{MIH-C}}(A, R)]$ , where:
- $S$  = the set of students in  $E$
  - $\text{PART}(S)$  = a set of subsets of  $S$ , s.t.  $\bigcup \text{PART}(S) = S$
  - $\Theta$  =  $\Theta_{\text{stacked atop}} = \text{FUN} \cap \text{ACYC} \cap \text{FUN}^{-1}$
  - $R$  = the *stacked atop* relation in  $\Theta$

<sup>33</sup> Note that acyclicity is a property of the complex relational expression *follow into NP*. As we saw in Sect. 4.2, in other cases with the verb *follow*, acyclicity is not guaranteed.

By definition, the relation  $\text{RECIP}_{\ominus}^{\text{MH-C}}(A, R)$  requires  $R$  to describe a directed path on each set  $A$  in the partition of the set  $S$ . This interpretation of the sentence is consistent with DKKMP’s IA0 meaning, similarly to our analysis (33) of sentence (31) above.

The following reciprocal sentence, with the asymmetric verb *inherit from*, is another example from Beck (2001).

- (58) The members of this family have inherited the shop from each other for generations.

The relation *inherit the shop from* is acyclic. In addition it is likely to be interpreted as both FUN and  $\text{FUN}^{-1}$ , since a shop can only be inherited from one person, or one group of people, and the inherited shop can only go to one person or one group of people. Indeed, sentence (58), similarly to sentences (55) and (56), is interpreted as true when the inheritance relation forms a directed path on the family members or groups thereof. This is a relatively simple way in which reciprocals can apply with potentially collective predicates like *inherit from*. For more complex cases of collectivity and reciprocity, see Sect. 5.2.<sup>34</sup>

#### 4.4 Asymmetry (2): transitive relational expressions

As we mentioned above, many of the asymmetric relations in natural language are also transitive. Thus, such predicates denote *strict partial orderings* (SPOs).<sup>35</sup> Due to their transitivity, such asymmetric orders are acyclic. Some of the SPO relational expressions are clearly *not total*.<sup>36</sup> For instance, consider the asymmetric transitive relation *be ancestor of*, which obviously does not hold of many pairs of non-identical entities. Similarly, the prepositions *in* and *inside* and the verb *contain* (in its spatial sense) denote SPOs that are not total on their domains. Another important subclass of SPO relations are *comparative expressions*, most notably comparative adjectival constructions such as *be taller than* and verbs of comparison like *outrate* or *exceed*. These SPO relations are not total as well.<sup>37</sup> For instance, there may be many pairs of distinct

<sup>34</sup> Beck (2001) also considers the unacceptability of the following sentences.

- (i) #These three settlers have buried each other on this hillside.
- (ii) #These three members of the family have inherited the shop from each other.

We do not have an account of the contrasts (52)–(i) and (58)–(ii), and we refer the reader to Beck (2001) and Mari (2006) for relevant discussion.

<sup>35</sup> A relation  $R$  is *antisymmetric* iff  $R(x, y)$  and  $R(y, x)$  entail  $x = y$ . An antisymmetric, transitive and reflexive relation is a (non-strict) PO. If  $R$  is a (non-strict) PO then  $R - I$  is a SPO. Conversely, if  $R$  is an SPO and  $I' \subseteq I$  is a (non-empty) set of identity pairs, then  $R \cup I'$  is a (non-strict) PO. As mentioned below, some of the SPO (hence asymmetric) relational expressions have non-strict (hence non-asymmetric) correlates.

<sup>36</sup> A (non-strict) PO is *total* if for all  $x$  and  $y$ :  $R(x, y)$  or  $R(y, x)$  (or both) hold. An SPO  $R$  is total if for all  $x$  and  $y$ :  $R(x, y)$ ,  $R(y, x)$  or  $x = y$ . Thus, similarly to footnote 35, we can move back and forth between a total SPO and a total (non-strict) PO by subtracting/unioning the identity pairs. The notion of *total relation* should not be confused with the notion of *total function* that we used above.

<sup>37</sup> In certain usages of comparatives they may not even seem asymmetric, as in *John outrates Mary (in swimming)* and *Mary outrates John (in running)* or *John is quicker than Mary (in swimming)* and *Mary*

entities  $x$  and  $y$  of the same height, so that neither  $x$  is taller than  $y$  nor  $y$  is taller than  $x$  hold. However, such comparative relational expressions are “almost total”, because they do not distinguish entities that they render incomparable. For instance, if John is not taller than Mary and Mary is not taller than John, there can be no entity that is taller than John but not taller than Mary, and vice versa (van Rooij 2010). The domain of “almost total” relations is defined below.

- (59)  $ATOT = \{R \subseteq E^2 :$   
 $\forall x, y \in E [(\neg R(x, y) \wedge \neg R(y, x)) \rightarrow$   
 $\forall z \in E ((R(x, z) \leftrightarrow R(y, z)) \wedge (R(z, x) \leftrightarrow R(z, y)))]\}$   
 In words: ATOT is the set of relations over  $E$  that do not distinguish between elements that they leave incomparable.

The ATOT property follows from the natural assumption that dimensional adjectives like *tall* and their comparative forms are associated with a totally ordered set of *degrees*, in this case height degrees. We refer to SPOs that have the ATOT property as *strict weak orderings* (SWOs).<sup>38</sup> In addition to comparative expressions, some spatial and temporal prepositions like *be above*, *below*, *before* and *after* also behave in many contexts as “almost total”, similarly to comparatives.<sup>39</sup>

The two order-based classes of relational expressions are summarized below.

- (60) Strict partial-order (SPO) relational expressions— $\ominus = ASYM \cap TR$ :
- Kinship relations: *be ancestor/descendant of*, *descend from*
  - Some spatial relations: *be in/inside*, *to contain*, *to be contained in*
- (61) Strict weak-order (SWO) relational expressions— $\ominus = ASYM \cap TR \cap ATOT$ :
- Inequality comparative adjectives: *be taller/smarter than*, *be less tall/less smart than*
  - Comparative verbs: *outdo*, *outperform*, *outrank*, *outrate*, *outreach*, *outnumber*, *outrun*, *excel*, *exceed*, *surpass*

Footnote 37 continued

*is quicker than John (in running)*. For the sake of our discussion here, we ignore such qualified uses of comparatives, and tentatively assume their asymmetry. For other relevant examples see Appendix A.

<sup>38</sup> For an SPO  $R$ , a requirement equivalent to the ATOT property is the requirement that  $R$  be *almost connected*:  $\forall x, y[R(x, y) \rightarrow \forall z(R(x, z) \vee R(z, y))]$ . Still equivalently, an SPO  $R$  is an SWO if the relation  $\neg R(x, y) \wedge \neg R(y, x)$  is transitive. These equivalent definitions all boil down to assuming an order-preserving mapping from the set of entities to a totally ordered set. Thus, for any non-empty set  $E$  and function  $f : E \rightarrow D$ , we assume  $x <_E y$  iff  $f(x) <_D f(y)$ . If  $<_D$  is a total SPO on  $D$ , then  $<_E$  is an SWO on  $E$ . Conversely, if  $<_E$  is an SWO on  $E$ , then there is a set  $D$  (of cardinality  $|D| \leq |E|$ ) and a function  $f : E \rightarrow D$ , s.t.  $D$  is totally ordered by  $<_D$ . Thus, by using a totally ordered set of degrees, we can define the domain of comparative relations over entities without appealing to the ATOT property or to SWOs. See Kennedy (1999) and references therein for degree-based works on the semantics of adjectives and their comparative forms. Degrees are only implicitly assumed in *vagueness-based* approaches to comparatives such as Klein (1980). Here we remain neutral between these theoretical assumptions on adjectives, as the characterization of comparatives as SWOs is sufficient for our purposes.

<sup>39</sup> In some contexts totality is relaxed with these four prepositions. For instance, a bird  $B$  that is flying alongside a plane  $P$  may fail to be either *above* or *below*  $P$ , but it may be questioned whether the altitudes of  $B$  and  $P$  are indistinguishable: some other bird  $B'$  may fly above or below  $B$ , but, just like  $B$ , fail to be in either the *above* or *below* relation to  $P$ . Still, in many contexts these prepositions treat the spatial or temporal location of objects as *points* (Zwarts and Winter 2000), in which case they behave like comparatives.

- c. Comparative nouns: *be senior/junior of*
- d. “Pointal” usages of some spatial and temporal terms:  
*be above/below/before/after, antecede, be antecedent of*

Some of these relational expressions give rise to odd sentences when appearing with reciprocals, like the following examples from Mari (2006) (see also Beck and von Stechow 2007).

- (62) #The two trees are taller than each other.
- (63) #The two sets outnumber each other.

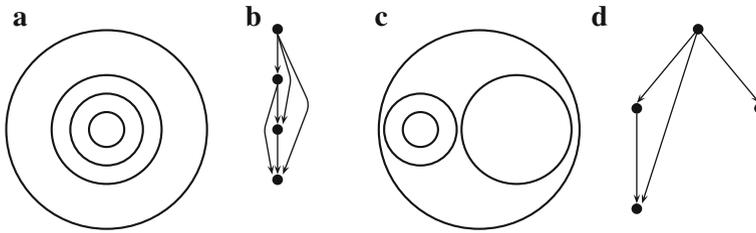
These examples involve SWO relations and are clearly unacceptable. However, it would be too hasty to conclude that all SPO and SWO predicates resist appearance in reciprocal sentences. Quote (64) from a book by Charles Darwin uses a reciprocal with the SPO relation *descend from* to describe an evolutionary hypothesis. The text in (65) describes behaviors of stock exchanges using a reciprocal sentence with the SWO verb *outperform*, or perhaps the compound *outperform as expected*, which in the given context is reasonably an SPO.

- (64) The simplest answer seemed to be that the inhabitants of the several islands *had descended from each other*, undergoing modification in the course of their descent.  
Charles Darwin, *The Variation of Animals and Plants Under Domestication*, Vol. 1. Kessinger Publishing, 2009, page 10
- (65) To counter this theory, Greenblatt divided the stock universe (in his study) into deciles. He found that the deciles *outperformed each other* exactly as expected. In other words, the 4th ranked decile outperformed the 5th ranked decile, the 5th ranked decile outperformed the 6th ranked decile etc.  
<http://seekingalpha.com/article/167120-the-little-book-that-beats-the-market-chapters-1-7> (retrieved January 2011)

Appendix A shows more data retrieved from the internet concerning SPO and SWO predicates that appear in reciprocal sentences.

The variation in acceptability between cases like (62)–(63) and cases like (64)–(65) does not exhaust the interpretational effects in reciprocal sentences containing asymmetric predicates. In many cases, reciprocals sanction a non-asymmetric interpretation of the predicate, which overrides its usual asymmetric meaning. Consider for instance the following example.

- (66) As usual our politicians have *outperformed each other* with facts and figures about what a marvellous country we live in (or lack thereof) and how they are going to make Sri Lanka an even better place to live in.  
<http://perambara.org/featured/2010/05/putting-entrepreneurship-at-the-heart-of-economic-revival-in-the-north-east-and-beyond> (retrieved January 2011)



**Fig. 4** Containment in transitively closed directed path and tree

In sentence (66), unlike sentence (65), the verb *outperform* is interpreted as non-asymmetric, and the reciprocal is interpreted as consistent with strong reciprocity, entailing that *every politician outperforms every other politician*.

Let us summarize the three effects that we have seen when reciprocals appear with asymmetric predicates:

- A. The sentence is interpreted using SR and the predicate retains its asymmetry, which leads to semantic/pragmatic infelicity: (54), (62), (63), footnote 34.
- B. The sentence receives an interpretation weaker than SR, consistent with the asymmetry of the predicate: (31), (58), (52), (55), (56), (64), (65).
- C. The sentence is interpreted using SR but the predicate's interpretation is weaker than its standard asymmetric meaning: (66).

Both the SMH and the MIH are specifically designed to account for strategy B, in which the interpretation of the reciprocal is weaker than SR. Cases of unresolved interpretational conflicts (A) or where the predicate “ironically” changes its normal meaning (C) are not treated here, and require further study. We refer the reader to Beck (2001), Beck and von Stechow (2007), Mari (2006) and Dotlačil and Nilsen (2008) for works that attempt to account for this variation.

When SPO relational expressions are standardly interpreted with reciprocals, some differences appear between the expectations of the SMH and the MIH. Consider for instance the following example with the SPO verb *contain*.

(67) The four circles contain each other.

Sentence (67), when acceptable, most readily describes a linear containment situation as in Fig. 4a, similarly to the situation described in example (65), with the SWO verb *outperform*.<sup>40</sup> Because of the transitivity of the *contain* relation, the graph is described by the containment. Figure 4a is a *transitive closure of a path*, as described in Fig. 4b. A situation as in Fig. 4c, where the *contain* relation does not describe such a graph (cf. Fig. 4d), is hardly acceptable for sentence (67).

This difference between the acceptability of sentence (67) in Figs. 4a,c is not accounted for by the SMH. The IAR meaning (weak connectivity) is the strongest reciprocal meaning in DKKMP's proposal that is consistent with SPO relations like *contain*, and this meaning leads to a true interpretation of (67) in both Fig. 4a,c. By

<sup>40</sup> For some reciprocal examples from the internet with the verb *contain*, see Appendix A.

contrast, the MIH expects a difference between these two situations for sentence (67). This is because the graph in Fig. 4b is a maximal situation for an SPO relation whereas the graph in Fig. 4d is not. As a result, the MIH rules out the situation in Fig. 4c for sentence (67), but accepts the situation in Fig. 4a. A reciprocal meaning consistent with this interpretation of sentence (67) is the following meaning, which we call TPR, for *transitive path reciprocity*.

(68) *Transitive Path Reciprocity*:

$$TPR(A, R) = 1 \Leftrightarrow$$

there is an indexing  $\{x_1, \dots, x_n\}$  of  $A$  s.t.  $\forall i, j \in [1..n] [i < j \rightarrow R(x_i, x_j)]$

In words: the graph that  $R$  describes on  $A$  contains a transitive closure of a directed path passing through all of its nodes.

The fact that we have observed above is formally summarized as follows.

**Fact 4** *Let  $SPO = ASYM \cap TR$  be the set of strict partial orders over  $E$ . The MIH-based reciprocal function  $RECIP_{SPO}^{MIH}$  is consistent with the TPR meaning over  $E$ .*

Among the five classes of asymmetric relations that we have considered in (51), (60) and (61), only SPO relations like *contain* show a distinction between the interpretations expected by the SMH and the MIH. For acyclic relations with one of the properties  $FUN^{-1}$  or  $FUN$ , like the relations *give measles to* and *get measles from*, both the SMH and MIH expect a *directed tree* interpretation, consistent with IAR. For acyclic relations with both properties  $FUN^{-1}$  and  $FUN$ , like the relation *be stacked atop*, both the SMH and MIH expect a *directed path* interpretation, which for those predicates is consistent with IAR. For SWO relations like *outperform*, both the SMH and the MIH expect an interpretation that describes a transitive closure of a directed path, which for such SWO predicates is consistent with both IAR and TPR. See Table 1 for a summary of these facts.

*Concluding remarks on asymmetry.* Asymmetric relational expressions introduce a remarkable challenge for theories of reciprocity. On the one hand, as we have seen, asymmetric relational expressions may be compatible with reciprocal expressions and lead to reciprocal interpretations weaker than SR. This fact is expected by both the SMH analysis and the MIH analysis, which only differ in their treatment of SPO asymmetric relations. However, with many of the asymmetric relational expressions, reciprocals are unacceptable, which is not expected by either the SMH or the MIH. Below we summarize some of the factors that we believe affect this unacceptability.

1. *Temporal/modal effects.* Some examples, like (101) and (102) in Appendix A, require asymmetry in each given point in time, or in each given situation, but also strong reciprocity when considering the temporal/modal context as a whole. This interesting complex combination of strong reciprocity with temporality/modality and asymmetry has been extensively addressed by Alda Mari (Mari 2006 and further unpublished work). However, at present we are not sure that the restrictions on such effects are fully specified. See some remarks in Appendix A.5.
2. *Pragmatic weakening.* This is the possibility illustrated in (66), of “ironically” extending the domain of typically asymmetric relational expressions to also

include non-asymmetric relations. The pragmatic principles underlying such atypical interpretations may be related to the more general problem of contextual ‘coercion’ (footnote 5).

3. *The SPO/SWO distinction.* In some cases, such as (65) above, an SPO relation (*outperformed as expected*) seems more acceptable with reciprocals than a corresponding SWO (*outperformed*). One possible reason for this alternation may be that the combination of an SWO relation with a reciprocal should result, according to both the SMH and the MIH, in a statement that is “almost tautological”. For instance, according to the SMH and the MIH, a sentence like *Mary and John outperform each other* can only be true if Mary’s and John’s performances are not of equal excellence. The simplicity of this claim may be a pragmatic reason for blocking its complex semantic derivation and preferring an SR reading of the reciprocal with a ‘coercion’ relaxing the semantic restrictions on the predicate.

Given these complexities, we believe that the behavior of asymmetric relational expressions with reciprocal requires more in-depth research, with more general formal hypotheses on the factors that affect their interpretation.

#### 4.5 A note on total preorders

Many SWO comparative expressions have natural reflexive (hence not asymmetric) correlates. For instance, the equative comparative expression *be at most as tall as* denotes the complement of the SWO comparative *be taller than*, whereas the equative *be at least as tall as* denotes the complement of the SWO comparative *be less tall than*. These equative expressions (Rett 2011) denote reflexive transitive relations, or *preorders*. Furthermore they denote *total preorders*: for instance, for every two entities  $x, y$  that have any height,  $x$  is at least as tall as  $y$  or  $y$  is at least as tall as  $x$  (or both). In this paper we do not further discuss total preorder expressions because as far as we know, their behavior with reciprocals is as recalcitrant as that of their correlate comparative forms. For instance, we agree with Langendoen (1978) and DKKMP about the oddity of examples like *they are at least as heavy as one another*. As with other comparatives, accounting for this unacceptability is an open challenge for theories of reciprocals.

#### 4.6 Maximal patient/agent cardinality

In Sect. 4.2 we have seen a couple of relational expressions with the FUN and FUN<sup>-1</sup> properties. These properties require that the maximal number of patients per agent (FUN) or agents per patient (FUN<sup>-1</sup>) be one. These requirements are generalized in the following relational domains, which we call *maximal patient cardinality* (MPC) and *maximal agent cardinality* (MAC).

$$(69) \text{ MPC}_n = \{R \subseteq E^2 : \forall x \in E [|\{y \in E : R(x, y)\}| \leq n]\}$$

In words: MPC<sub>n</sub> is the set of relations over  $E$  that map each agent to at most  $n$  patients.

(70)  $MAC_n = \{R \subseteq E^2 : \forall y \in E [|\{x \in E : R(x, y)\}| \leq n]\}$

In words:  $MAC_n$  is the set of relations over  $E$  that map each patient to at most  $n$  agents.

For the set of relations  $FUN$  and  $FUN^{-1}$  we have:  $FUN = MPC_1$  and  $FUN^{-1} = MAC_1$ .

Symmetric predicates that have one of the properties  $MPC_n$  or  $MAC_n$ , also have the other property (with the same  $n$ ). In Sect. 3.5 we considered the behavior of the symmetric  $FUN$  and  $FUN^{-1}$  predicate *be married to* in reciprocal sentences. Whenever the denotation of a noun phrase  $NP$  includes more than two entities, the MIH expects reciprocal sentences of the form  $NP$  are married to each other to be interpreted using graphs that are not connected. When adding the connectivity requirement (IAR) to the MIH, this explains the unacceptability of such sentences in cases that do not allow external partitioning (cf. Sect. 3.5). A similar predicate is the relational expression *look into the eyes of*. Like the relation *stare at*, this relation is functional, and like the relation *be married to*, it is symmetric. Consequently, the expectations of the MIH is that the reciprocal sentences with the predicate *look into the eyes* behave similarly to sentences with the predicate *be married*. The expectation is borne out, as observed by comparing the following sentences to sentences (29a), (34) and (35) respectively.

(71) In this picture, Mary and John, and Sue and Bill, are looking into each other’s eyes.

(72) #In this picture, Mary, Sue and Bill are looking into each other’s eyes.

(73) #In this picture, Mary, Sue, Bill and John are looking into each other’s eyes.

Sentence (71) is acceptable, but relies on an partition of the subject denotations into two couples. This is much harder in (73). In sentence (72), furthermore, no external partitioning can make the sentence true. These facts are expected by the MIH and our connectivity and partitioning principles of Sect. 3.5.

A slightly more interesting class of symmetric predicates are relational expressions like *sit alongside* or *hold/shake hands with*. Because people have two sides and two hands, these symmetric expressions also have the  $MPC_2$  and  $MAC_2$  properties. Consider now the following reciprocal sentences.

(74) The five pitchers are sitting alongside each other. (cf. DKKMP’s (8))

(75) The five pitchers are holding hands with each other.

Sentence (74), like DKKMP’s example (8), is true when the pitchers are sitting in a circle, or when they are sitting in a line. Similarly, sentence (75) can be true when the pitchers’ hands close a circle, but also when they only form a line. DKKMP’s SMH allows both possibilities, since the IR meaning, which requires strong connectivity, is the strongest reading in DKKMP’s proposal that is consistent with the  $SYM$  and  $MPC_2$  (or  $MAC_2$ ) properties of the relational expressions. The IR meaning allows both linear and circular configurations. By contrast, the MIH only expects circular configurations to support sentences like (74) and (75), consistent with the following reciprocal meaning.

(76)  $CIRC(A, R) = 1 \Leftrightarrow$

there is an indexing  $\{x_1, \dots, x_n\}$  of  $A$  s.t.  $R(x_1, x_2) \wedge \dots \wedge R(x_{n-1}, x_n) \wedge$

$R(x_n, x_1)$

In words: the graph that  $R$  describes on  $A$  contains a circle passing through all of its nodes.

This behavior of the MIH appears because the circular configuration, but not the linear configuration, is maximal relative to SYM and MPC<sub>2</sub> (or MAC<sub>2</sub>). Thus, in this case the SMH describes the facts better than the MIH.<sup>41</sup>

Another class of relational expressions that put cardinality restrictions on patients or agents are asymmetric predicates like *tie up* or *handcuff*. A person tying up another person is normally not being tied up himself at the same time, nor can he be tying up another person simultaneously. Thus, each entity may be assumed to participate in the relation only once, as either agent or patient. Formally, this is the following requirement on a relation  $R$ .

$$(77) \quad \forall x \in E [ |\{y \in E : R(x, y)\}| + |\{y \in E : R(y, x)\}| \leq 1 ]$$

This requirement, similarly to the predicates *be married to* or *look into the eyes*, does not allow reciprocal sentences with more than two agents to be interpreted without partitioning. This is expected by both the SMH and the MIH. What is not expected (by both principles) is the unacceptability of sentences like *#the two policemen are handcuffing each other* (cf. footnote 34).

#### 4.7 Summary

Table 1 summarizes the main classes of relational expressions that we have characterized, with the expectations of the MIH regarding their (connected) interpretations. For each relational expression, the domain of interpretation is specified by the ‘+’ signs, marking sets of binary relations. The actual domain of the relational expression, ignoring identities, is the intersection of these sets. For instance, the domain  $\Theta$  for the relational expression *follow around the Maypole* (cf. Sect. 4.2) satisfies:  $\Theta \downarrow = (\text{FUN} \cap \text{FUN}^{-1}) \downarrow$ .

### 5 Further problems of reciprocity

In this section we briefly discuss further challenges to the theory of reciprocity, especially in connection to its behavior as analyzed by the SMH and the MIH.

<sup>41</sup> This problem for the MIH is currently studied experimentally, by checking subjects’ judgements on reciprocal sentences with various predicates in circular and linear configurations (E. Poortman, unpublished master thesis, Utrecht University). In this work it is hypothesized that background knowledge about a geometrical configuration may prime a proper subset of the reciprocal interpretations that the MIH considers. For instance, as DKKMP (p. 195) point out, the distances allowed between the locations in sentence (i) below may depend on contextual knowledge about the geometrical path that the inspector might have formed in his search.

(i) The inspector found peach fruit flies at four different locations within a mile of each other.

**Table 1** Reciprocal meanings and relational domains

Relational expression		Domain of interpretation <sup>a</sup>							MIH-C	Graph
		SYM	ASYM	TR	INTR	ACYC	FUN	FUN <sup>-1</sup>		
<i>know, like, see</i>	(41)	-	-	-	-	-	-	-	SR	complete
<i>similar to, cousin of</i>	(43)	+	-	-	-	-	-	-	SR	complete
<i>equal to, as tall as, sibling of</i>	(45)	+	-	+	-	-	-	-	SR	complete
<i>stare at</i>		-	-	-	-	-	+	-	OWR $\cap$ IAR <sup>b</sup>	con.+out.e. <sup>c</sup>
<i>follow around Maypole</i>		-	-	-	-	-	+	+	IR/CIRC	circular
<i>sit alongside, hold hands of</i>		+	-	-	-	-	-	+	CIRC <sup>e</sup>	circular
<i>give measles to</i>	(51a)	-	(+)	-	(+)	+	-	+	IAR/ROOT	dir.tree
<i>get measles from</i>	(51b)	-	(+)	-	(+)	+	+	-	IAR/SINK	dir.tree
<i>stacked atop, follow into house</i>	(51c)	-	(+)	-	(+)	+	+	+	IAR	dir.path
<i>descend from, contain</i>	(60)	-	+	+	-	(+)	-	-	TPR <sup>f</sup>	tr.clos.path
<i>taller than, outrank</i>	(61)	-	+	+	-	(+)	-	-	IAR/TPR	tr.clos.path
<i>be married to, look into eyes of</i>		+	-	-	-	-	+	(+)	IAR <sup>h</sup>	pairs

MIH-Cmeaning consistent with connected MIH-based interpretation; partitions external-only (+) property is entailed by other properties

<sup>a</sup> The specification of the domain ignores identities (see Sects. 4.1, 4.7)

<sup>b</sup> The SMH incorrectly expects the IR meaning in this case (see Sect. 4.2)

<sup>c</sup> A weakly connected graph where each node has an outgoing edge (see Sect. 4.2)

<sup>d</sup> These symmetric relations furthermore have the MPC<sub>2</sub> (MAC<sub>2</sub>) properties

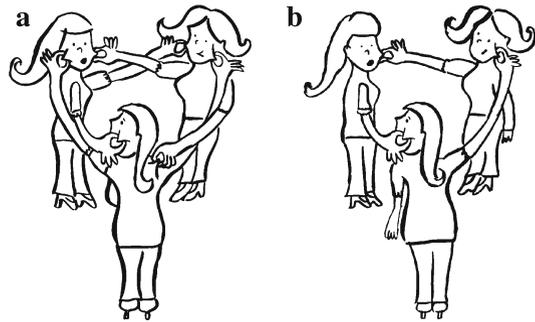
<sup>e</sup> Incorrectly, unlike the SMH, the MIH only expects circular interpretations (see Sect. 4.6)

<sup>f</sup> The SMH incorrectly expects the IAR meaning in this case (see Sect. 4.4)

<sup>g</sup> These strict partial orders are “almost total” (cf. (59)), and are thus strict *weak* orderings

<sup>h</sup> (External) partitioning is required for coherence with more than two entities

**Fig. 5** instances of *pinching* (drawings by R. Noy Shapira)



5.1 The Maximal Typicality Hypothesis

One challenge for both the SMH and the MIH comes from examples like the following.

(78) Mary, Sue and Jane are pinching each other (=6).

Sentence (78) can be interpreted as true if each girl is only pinching one other girl (Fig. 5b). However, it is also physically possible for each of the three girls to be pinching each of the other two (Fig. 5a).

Because of this physical possibility, both the SMH and the MIH expect strong reciprocity in sentence (78) and similar ones involving verbs of physical contact like *tickle*, *push*, *touch*, *paint* etc. As sentence (78) illustrates, these expectations are clearly not

borne out. To solve this problem for the SMH and MIH, Kerem et al. (2009), and more recently Struiksmma et al. (2012), experimentally study typicality effects of relational expressions, as well as their correlations with reciprocal interpretation, showing initial support for a revision of the MIH, which they call the *Maximal Typicality Hypothesis* (MTH). Kerem et al. propose that interpretation domains of relational expressions should be replaced by *typicality functions* (see e.g. Smith 1988; Smith et al. 1988; Kamp and Partee 1995): functions from binary relations to real numbers in  $[0, 1]$ . This captures the intuition that certain binary relations, e.g. ones in which people pinch two other people simultaneously, are not ruled out from the relational expression’s domain  $\Theta$ , but have low typicality relative to other relations in  $\Theta$ . When a relation  $R$  is outside the domain  $\Theta$  of a relational expression, we assume that  $R$ ’s typicality is zero. Using typicality functions, Kerem et al. generalize the MIH into the MTH as follows.

**Definition 6** Let  $\text{tp} : \wp(E^2) \rightarrow [0, 1]$  be a typicality function for the binary relations over  $E$ . The MTH-BASED reciprocal function  $\text{RECIP}_{\text{tp}}^{\text{MTH}}$  is defined for all sets  $A \subseteq E$  and relations  $R \subseteq E^2$  s.t.  $\text{tp}(R \downarrow_A) > 0$  by:

$$\text{RECIP}_{\text{tp}}^{\text{MTH}}(A, R) = 1 \text{ iff for all } R' \subseteq E^2 \downarrow_A: R \downarrow_A \subseteq R' \wedge \text{tp}(R \downarrow_A) \leq \text{tp}(R') \Rightarrow R \downarrow_A = R'.$$

In words: a relation  $R \subseteq E^2$  of non-zero typicality  $\text{tp}(R)$  (i.e.  $R$  is in the domain of the relational expression) satisfies MTH-based reciprocity over a set  $A \subseteq E$  with respect to the typicality function  $\text{tp}$ , if  $R \downarrow_A$  has maximal typicality among the supersets of  $R \downarrow_A$  contained in  $E^2 \downarrow_A (= A^2 \downarrow)$ .

For example, in sentence (78) let us assume that the binary relation  $R_0 = \{\langle a, b \rangle, \langle b, c \rangle, \langle c, a \rangle\}$  attains maximal typicality for the relational expression *pinch* over the set  $\{a, b, c\}$ . Formally:

$$(79) \text{ For all } R' \subseteq E^2 \downarrow_{\{a,b,c\}}: R_0 \subseteq R' \wedge \text{tp}_{\text{pinch}}(R_0) \leq \text{tp}_{\text{pinch}}(R') \Rightarrow R_0 = R'.$$

Assumption (79) is plausible, because a non-identity pair can only be added to  $R_0$  by requiring one of the elements in  $\{a, b, c\}$  to stand in the pinching relation to both other elements. Given this assumption, the MTH correctly describes the truth of sentence (78) in Fig. 5b. Also (78)’s truth in Fig. 5a is explained by the MTH. Although a complete graph is not of globally maximal typicality, the MTH, in conformity with the  $R$ -monotonicity of reciprocals (cf. definition 2), only requires local “upward monotone” maximal typicality of a relation  $R$ : maximal typicality with respect to all other relations that contain  $R$  in the relevant domain. This is trivially the case in such a complete graph as in Fig. 5a, since there is no way to add a non-identity pair to it.

### 5.2 Reciprocals with quantificational noun phrases and collective predicates

So far we have only considered reciprocal sentences with simple plural noun phrases like *the girls* or *Mary, Sue and Jane*. As mentioned in Sects. 3.2 and 3.3, one of the complicating factors in treating reciprocals is their appearance with quantificational noun phrases. Consider for instance the following examples by DKKMP.

- (80) At most five people hit each other.
- (81) Many people at the party yesterday are married to each other.
- (82) Exactly thirty people know each other.
- (83) Exactly thirty people are waltzing with each other.
- (84) Few (members) have spoken to each other. (cf. (15))
- (85) No one even chats to each other.

In order to be able to consider the interpretation of such sentences using the SMH, Dalrymple et al. propose an operator that combines reciprocal expressions with quantificational expressions. DKKMP call this operator *Bounded composition* (BC). The BC operator takes four arguments—a determiner, a reciprocal meaning, a one-place relation and a two-place relation—and derives a truth-value. For instance, using the BC operator, sentence (80) is analyzed as follows.

$$(86) \text{ BC}(\text{at\_most\_5}, A, \text{RECIP}^{\text{SMH}}, R)$$

In this analysis, the denotation **at\_most\_5** of the determiner *at most five* in (80) is the standard relation between subsets of  $E$ , satisfying for all  $B, C \subseteq E: |B \cap C| \leq 5$ . The set  $A \subseteq E$  and the binary relation  $R \subseteq E^2$  are the denotations of the noun *people* and verb *hit* in (80), respectively. The reciprocal meaning  $\text{RECIP}^{\text{SMH}}$  is selected by the SMH. We will not repeat here the definition of the BC operator, which is rather involved, or study its interaction with the SMH, which is also quite complex. A detailed empirical evaluation of DKKMP’s claims and various alternative proposals in this area (Ben-Avi and Winter, 2003; Szymanik, 2010) goes beyond the scope of this paper.

Two general remarks are in place, however. First, the question of quantificational NPs and reciprocity is inseparable from the more general question of collective quantification (Scha, 1981; van der Does, 1992, 1993; van den Berg, 1996; Winter, 2001a). Consider the following examples:

- (87) At most five people gathered.
- (88) Many people at the party yesterday are friends.
- (89) Exactly thirty people surrounded the castle.

It is reasonable (and common) to treat verb phrases like *gathered*, *are friends* and *surrounded the castle* in (87)–(89) similarly to reciprocal verb phrases (e.g. *hit each other*), as denoting collections of sets. Peters and Westerstahl (2006, p. 370) use this analysis, and replace DKKMP’s BC operator by a similar operator, called *CQ*, which can interpret sentences like (87)–(89) similarly to DKKMP’s treatment of (80)–(85). A simpler alternative to Peters and Westerstahl’s CQ operator is Scha’s (1981) “neutral” operator, defined below (cf. van der Does 1993; Ben-Avi and Winter 2003).

- (90) Let  $D \subseteq \wp(E)^2$  be a binary relation between subsets of  $E$ . The *neutral lifting* of  $D$  is the function  $\mathbf{N}(D) : (\wp(E) \times \wp(\wp(E))) \rightarrow \mathbf{2}$ , which describes a relation between subsets of  $E$  and sets of subsets of  $E$ . This function is defined s.t. for all sets  $A \subseteq E$  and  $B \subseteq \wp(E)$ :  

$$\mathbf{N}(D)(A)(B) = 1 \Leftrightarrow \langle A, \cup(B \cap \wp(A)) \rangle \in D.$$

In words:  $\mathbf{N}(D)$  holds of a set  $A$  of entities and a set  $\mathcal{B}$  of sets of entities, if  $D$  holds of  $A$  and of the union of the sets in  $\mathcal{B}$  that are subsets of  $A$ .

For instance, sentence (87) is interpreted as follows:

$$\mathbf{N}(\text{at\_most\_5})(P)(\mathcal{G}) = 1 \Leftrightarrow |P \cap \cup(\mathcal{G} \cap \wp(P))| \leq 5 \Leftrightarrow |\cup(\mathcal{G} \cap \wp(P))| \leq 5.$$

In words: the collection of all sets of people who gathered is composed of not more than five entities.

This strategy of treating quantification with collective predicates leads to intuitive results in cases like sentence (87). For the sentence *at most five people hit each other* (= (80)), we assume that the denotation of the verb phrase *hit each other* is  $\text{RECIP}^H = \{A \subseteq E : \text{RECIP}(A, H) = 1\}$ , where  $\text{RECIP}$  is a reciprocal function and  $H \subseteq E^2$  is a binary relation over entities. Using a similar analysis to the analysis of sentence (87) above, we obtain the following analysis of sentence (80).

$$\begin{aligned} \mathbf{N}(\text{at\_most\_5})(P)(\text{RECIP}^H) &= 1 \Leftrightarrow |P \cap \cup(\text{RECIP}^H \cap \wp(P))| \leq 5 \\ &\Leftrightarrow |\cup(\text{RECIP}^H \cap \wp(P))| \leq 5. \end{aligned}$$

In words: the collection of all sets of people who hit each other is composed of not more than five entities.

As said above, for our purposes here we ignore the processes (e.g. the SMH or the MIH) that determine the reciprocal interpretation  $\text{RECIP}$  in quantificational reciprocal sentences like (80)–(85). However, it is important to note that there is a clear connection between this problem and the problem of reciprocity with collective transitive predicates, also with non-quantificational subjects. Consider for instance the following examples.

- (91) The three forks are propped against each other. (DKKMP)
- (92) The gravitation fields of the Earth, the Sun and the Moon cancel each other out. (DKKMP)
- (93) Mary, John, Sue and Bill played doubles tennis against each other.
- (94) John, Bill, Tom, Jane and Mary had relations with each other (= (11)).
- (95) These four people fought each other.
- (96) The bricks are laid on top of each other.
- (97) Mutual assistance on hard rocks takes all manner of forms: two, or even three, people *climbing on one another's shoulders*, or using an ice axe propped up by others for a foothold.

<http://en.wikipedia.org/wiki/Mountaineering> (retrieved April 2011)

In all those cases, the reciprocal expression combines with a binary relation that should be analyzed as holding between collections, rather than simple entities (cf. [Sternfeld 1997](#)). For instance, in (91), each of the forks is propped against the other two as a whole pair, not simply against each of the other forks.

A definition of the meaning of reciprocals as a function that applies to such collective relations, can be based on an extension of the treatment of quantificational NPs as in (80)–(85) and (87)–(89). To see that, let us revise some notation. For a set of entities  $A \subseteq E$ , a collection of sets of entities  $\mathcal{B} \subseteq$

$\wp(E)$ , and a binary relation over such collections  $\mathcal{R} \subseteq \wp(E)^2$ , we denote:  
 $\mathcal{B}|_A = \mathcal{B} \cap \wp(A)$  –  $\mathcal{B}$  restricted to  $A$   
 $\mathcal{R}|_A = \mathcal{R} \cap \wp(A)^2$  –  $\mathcal{R}$  restricted to  $A$   
 $*\mathcal{B} = \cup \mathcal{B} = \{x \in E : \exists A \in \mathcal{B}[x \in A]\}$  – union of the sets in  $\mathcal{B}$   
 $*\mathcal{R} = \{\langle x, y \rangle \in E^2 : \exists \langle A, B \rangle \in \mathcal{R}[x \in A \wedge y \in B]\}$  – “union” for binary relations

Note that restricting collective one-place predicates ( $\mathcal{B}|_A$ ) and two-place predicates ( $\mathcal{R}|_A$ ) is perfectly consistent with the *conservativity* of distributive quantification (Winter, 2001a). Using this notation, the neutrality operator  $\mathbf{N}$  in (90) can be rewritten as follows:

$$\mathbf{N}(D)(A)(\mathcal{B}) = 1 \Leftrightarrow \langle A, *\mathcal{B}|_A \rangle \in D.$$

And along similar lines, when RECIP is a reciprocal interpretation defined for relations over entities, we define  $\text{RECIP}^N$  as the corresponding reciprocal interpretation for relations over sets of entities:

$$\text{RECIP}^N(A, \mathcal{R}) = 1 \Leftrightarrow \text{RECIP}(A, *\mathcal{R}|_A) = 1.$$

For instance, in sentence (91) assume that the forks are the set of entities  $F \subseteq E$  and that the relational expression *propped against* denotes a binary relation  $\mathcal{P} \subseteq \wp(E)^2$  between sets of entities. Supposing  $\text{RECIP} = \text{SR}$ , we get the following analysis of sentence (91):

$$\begin{aligned} \text{SR}^N(F, \mathcal{P}) = 1 &\Leftrightarrow \text{SR}(F, *\mathcal{P}|_F) = 1 \Leftrightarrow \\ \forall x, y \in F [x \neq y &\rightarrow \exists \langle A, B \rangle \in \mathcal{P}[x \in A \wedge y \in B]]. \end{aligned}$$

In words: every two different forks in  $F$  belong to two sets of forks that are propped against each other. This is an intuitively correct analysis of sentence (91).

There is obviously much further study that is needed on the interactions of reciprocity (and the SMH or MIH) with collectivity (91)–(97) and quantification (80)–(85). At the same time, as the analysis sketched above implies, we believe that the two kinds of interactions involve one and the same problem: the interaction of quantifiers—nominal  $\langle 1, 1 \rangle$  quantifiers and reciprocal  $\langle 1, 2 \rangle$  quantifiers alike—with collective predicates.

## 6 Conclusions

We started out this paper by reviewing Dalrymple et al’s account of reciprocals using the SMH, which was proposed as a general theory of reciprocal meanings and their selection by contextual factors. We have seen reasons to reconsider two aspects of the SMH: its sentential nature, and the direct determination of reciprocal meaning using contextual information. We introduced a version of the SMH which acts predicate-internally, using information on the interpretation domain of relational expressions. Under this version of the SMH, the contextual effects on reciprocals are restricted to those that operate on the interpretation of relational expressions. This “localized” version of the SMH opened the way to a new conception of reciprocal semantics, where the notion of reciprocal *meaning* loses its theoretical centrality, and a more sentence-specific notion of reciprocal *interpretation* takes its place. We proposed a

new principle, the MIH, which generates an interpretation of a reciprocal expression based on the domain of the relational expression with which it composes. We have argued that the MIH leads to some improvements in empirical coverage, as well as to more formal clarity regarding the factors that affect reciprocal interpretation. At the same time we believe that this theoretical change of focus has more to offer than improvements in empirical adequacy or semantic rigor in the study of reciprocals. The interplay that we aimed to capture between logical operations, interpretation domains and the contextual effects on both of them, is central to semantic and pragmatic theories. We believe that by focusing on the first two elements, the MIH may further advance our understanding of the relations between logic and concepts in natural language semantics, and help in developing a more adequate understanding of contextual effects on interpretation.

**Acknowledgments** This paper develops and extends some of the main ideas in [Sabato and Winter \(2005\)](#). The work of the authors was partially supported by an Israeli Science Foundation grant “Formal Semantics of the SMH” (2005/2006) to the second author. In addition, the work of the first author was partially supported by the Adams Fellowship Program of the Israel Academy of Sciences and Humanities. The work of the second author was partially supported by two grants of the Netherlands Organisation for Scientific Research (NWO): “Reciprocal Expressions and Relational Processes in Language” (2007/2008) and a VICI grant number 277-80-002, “Between Logic and Common Sense: the formal semantics of words” (2010–2015). The first author is also grateful for financial help of Technion, Israel Institute of Technology, while working on her MSc thesis ([Sabato 2006](#)). For remarks and discussions, we are grateful to Lev Beklemishev, Arik Cohen, Mary Dalrymple, Nissim Francez, Alon Itai, Sophia Katrenko, Ed Keenan, Beth Levin, Alda Mari, Sam Mchombo, Stanley Peters, Galit Sassoon, Maria Spsychalska, Assaf Toledo and Hanna de Vries, as well as to audiences at *Amsterdam Colloquium* (2005), *Mathematics of Language* (2005) and *Workshop on Logic, Language, Information and Computation* (2011), at the workshops on reciprocals (Utrecht and Berlin 2007), as well as at talks at Tel-Aviv University, Technion, Radboud University Nijmegen, New York University and UCLA. Special thanks to Martin Everaert, Na’ama Friedmann, Nir Kerem, Ya’acov Peterzil, Eva Poortman, Eric Reuland, Remko Scha, Marijn Struiksmma and Joost Zwarts for extensive discussions in various stages of this work. We thank two anonymous *L&P* reviewers for their useful comments on a previous version. The illustrations in Fig. 5 were made by Ruth Noy Shapira.

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## Appendix A: Internet examples with asymmetric predicates (retrieved January-April 2011)

### A.1 The verbs ‘outperform’, ‘outdo’, ‘outrank’ and ‘outnumber’

*Google hits:*

<i>outperformed each other:</i>	55,000
<i>outdid each other:</i>	74,400
<i>outnumber each other:</i>	25,000
<i>outrank each other:</i>	20,000

*Examples*—reasonably not asymmetric:

- (98) Between Raja and Toshi, there have been days when they *outperformed each other*.  
<http://starvoiceofindiashow.com/toshi-sings-dard-e-disco>
- (99) Clients and volunteers were split into two teams which *outdid and outperformed each other* with their acting skills at skits, cracked their heads looking for clues at the treasure hunt, and were extremely good at charades.  
<http://www.spd.org.sg/volunteers/volunteerism/vivian.html>

*Examples*—asymmetric:

- (100) Even during the last decade, when U.S. and developed foreign markets tended to move in the same direction, they *outperformed each other* by at least 10 % in six of those 10 years. For example, while the Wilshire 5000—which represents most of the publicly traded stocks in America—returned 29 % in 2003, the Dow Jones World Stock Index—which excludes the United States—rose 38.6 %. For the same year, Morgan Stanley Capital International reported emerging markets returning 42 %.  
<http://www.rockwoodfinancial.com/cgi-bin/cginews.pl?record=11>
- (101) Figure 6.1 demonstrates how US and international markets *outperformed each other* during certain time periods.<sup>42</sup>  
*The Investing Revolutionaries: How the World's Greatest Investors Take on Wall Street and Win in Any Market*, by James N. Whiddon and Nikki Knotts, McGraw-Hill Professional, 2009, p. 149.
- (102) Kaer had a census from Sep 20th, and Frostwolf was 47 % alliance and 53 % horde. So it is the most balanced of all molten's realms. However as stated before, factions do *outnumber each other* on certain times. Right around 11:00AM-2:00PM though the balance is virtually perfect.  
<http://forum.molten-wow.com/showthread.php?t=36642>
- (103) Whether or not two competing clients *outrank each other* is determined more by the search engine algorithms, age of the client's site, frequency of product turnover, popularity of the site based on naturally occurring external links, etc. Once we put our plan in place for each client, we often see them flip-flopping between first and second position for the same exact keywords.  
<http://www.flyteblog.com/flyte/2010/03/can-you-work-with-clients-who-compete-with-each-other.html>
- (104) If all qualities are equally valued ( $\beta = \gamma$ , for any  $\delta$ ) then market share can easily be divided between any two brand clusters which *mutually outrank each other* in one quality dimension each (i.e. trade-off collectively).  
[http://marketing-bulletin.massey.ac.nz/V16/MB\\_V16\\_A2\\_Schley.pdf](http://marketing-bulletin.massey.ac.nz/V16/MB_V16_A2_Schley.pdf)
- (105) Search engines use algorithms to determine how websites *outrank each other* and climb to the top of the (much coveted) search query results list.

<sup>42</sup> Figure 6.1 in Whiddon and Knotts' book illustrates 17 consecutive years in which U.S. markets outperformed foreign markets or vice versa.

<http://www.articlesbase.com/link-popularity-articles/increasing-website-traffic-part-one-82569.html>

- (106) Personnel of equivalent-level ranks *outrank each other* by department on the chart below from left-to-right. That is, Naval ranks outrank Intelligence ranks, Intelligence ranks outrank Marine ranks, and so on. Personnel of equivalent rank and department outrank one another by seniority.  
<http://aurigae.qblix.com/index.html>
- (107) They are the best in what they offer...dont judge a school if u r nt interested in the courses they offer...I think this cluster thing is good since u cant really distinguish between a no.8 nd no.9 in one or the parameters they *outrank each other*....  
<http://www.pagalgu.com/forum/cat-and-related-discussion/50452-pagalgu-2010-rankings-national-regional-17.html>

## A.2 The verb ‘contain’

Google hits:

<i>contain each other</i> :	875,000
<i>contained within one another</i> :	13,200,000

- (108) Circles may touch, overlap or *contain each other*.  
<http://acm.tju.edu.cn/acm/showp2385.html>
- (109) Intersection of infinite sets that *contain each other*. If each  $A_i$  is a set containing infinite elements, and  $A_1$  contains  $A_2$  contains  $A_3$  contains...on and on, then is the intersection of all these sets infinite?  
<http://www.mathhelpforum.com/math-help/f37/intersection-infinite-sets-contain-each-other-85541.html>
- (110) The simplest of all methods for detecting intersections between objects is a simple bounding sphere test. Essentially, this represents objects in the world as circles or spheres, and test whether they *touch, intersect or completely contain* each other.  
<http://devmag.org.za/2009/04/13/basic-collision-detection-in-2d-part-1>
- (111) Does anyone know (giving a URL is obviously o.k.) which of the C++ classes *contain each other*? (For example, `<fstream>` contains `<iostream>` [I think]).  
<http://www.velocityreviews.com/forums/t456346-containment-of-standard-c-classes.html>
- (112) Two XML instances that *contain each other*.<sup>43</sup>  
Mario A. Nascimento (ed.), *Proceedings of the Thirtieth International Conference on Very Large Data Bases, Toronto, Canada*. Morgan Kaufmann 2004, page 136.
- (113) As mentioned in the document, “Setting your Watch Folder the same as your Music Management folder will create duplicates in your Library.” That should

<sup>43</sup> A figure shows a structure and a substructure of it.

be the reason that management folder and watch folder can't *contain each other*.

[http://getsatisfaction.com/songbird/topics/how\\_to\\_set\\_up\\_file\\_management](http://getsatisfaction.com/songbird/topics/how_to_set_up_file_management)

- (114) It is possible, in some profile types, for terms to be *contained within one another* and be nested, which is suited to the expression of hierarchical vocabularies.

[http://en.wikipedia.org/wiki/IMS\\_VDEX](http://en.wikipedia.org/wiki/IMS_VDEX)

- (115) Block if statements can be nested that is, *contained within one another*.

[http://ol.cadfamily.com/CATIA/English/online/kwxug\\_C2/kwxugat0018.htm](http://ol.cadfamily.com/CATIA/English/online/kwxug_C2/kwxugat0018.htm)

- (116) The given circles must not be tangent to each other, overlapping, or *contained within one another*.

[http://mathforum.org/mathimages/index.php/Problem\\_of\\_Apollonius](http://mathforum.org/mathimages/index.php/Problem_of_Apollonius)

- (117) Yin and yang not only oppose but also *contain each other*.

<http://susansayler.wordpress.com/2011/03/19/the-science-of-yin-and-yang>

### A.3 The nouns 'ancestor (of)' and 'descendant (of)' and the verbs 'descend (from)' and 'ascend (from)'

*Google hits:*

*descendants of each other:* 98,500

*ancestors of each other:* 56,000

*descend(ed) from each other:* 34,000

*ascend(ed) from each other:* 3

- (118) In Hesiod's version the members of the chain of divine rulers are father, son, grandson, ie, *descendants of each other*, while in the Hurro-Hittite myth... Geoffrey W. Bromiley, *The international standard Bible encyclopedia*. Wm. B. Eerdmans Publishing 1995, page 81.

- (119) By definition, items in an itemset cannot be *ancestors or descendants of each other*.

Xue Li, Osmar Zaiane, Zhanhuai Li, *Advanced data mining and applications*, Springer, 2006, page 66.

- (120) If there is a conflict between "include" and "exclude" links pointing to features on different levels of the feature tree (i.e. if the features pointed to are *descendants and ancestors of each other*), the link pointing to the lower level feature has priority with respect to this feature and all its descendants.

Henk Obbink and Klaus Pohl Birkhäuser (eds.), *Software product lines: 9th international conference, SPLC 2005, Rennes, France, September 26-29, 2005*, page 27.

- (121) It is understood today that species which are presented as *ancestors of one another* are actually different races that lived at the same period.

<http://www.evidencesofcreation.com/tellme25.htm>

- (122) Scientists who support evolution give examples within a family that appear to be *ancestors of each other*.

<https://cafewitteveen.wordpress.com/tag/the-grand-experiment-chapter-8-the-fossil-record-record-of-fish>

- (123) Maybe its like saying: Folk of Hador, Northmen, Ethoed, Rohirrim: they were not the same, but *ancestors of each other*.  
<http://www.terrainguild.com/thelastalliance/viewtopic.php?f=17&t=2486>
- (124) those hominids are not contemporary, and thus we can situate them according to the oldness, but that doesn't mean that the science could prove they are *ancestors of each other*, since they didn't find enough fossils.  
<http://dodona.proboards.com/index.cgi?board=genetics&action=print&thread=6749>
- (125) The haplogroups *descend from each other*. It's a genetic family tree of the human race.  
<http://answers.yahoo.com/question/index?qid=20110116162017AA1at9U>
- (126) The line of succession can be straight or direct, consisting of people who *ascend or descend from each other* (grandparents, parents, children, grandchildren), or collateral, consisting of people who come from one common trunk (brothers, uncles, cousins).  
<http://pfasociados.es/en/inheritance>

#### A.4 Comparatives and the prepositions 'above' and 'below'

Google hits:

<i>than each other</i> :	17,200,000
<i>above each other</i> :	21,800,000
<i>below each other</i> :	16,800,000

- (127) To see if two numeric values are *greater than each other*, we use the comparison operator  $>$ . To see if two string values are *greater than each other*, we use the comparison operator *gt* (Greater Than).  
[http://perl.about.com/od/perlutorials/a/perlcomparison\\_2.htm](http://perl.about.com/od/perlutorials/a/perlcomparison_2.htm)
- (128) We're only checking to see if the two variables are either *Less Than* ( $<$ ) *each other*, or *Greater Than* ( $>$ ) *each other*. We need to check if they are the same (as they now are).  
<http://www.homeandlearn.co.uk/php/php3p8.html>
- (129) Makin' kids *older than each other*: Okay I'm just wondering, when you're in the 'Create a family' mode and your creating family relationships is there any way to have two or more teens, for example, in the family but have them at different stages of life? Coz otherwise its like they're twins or triplets or whatever. Anyone know how to do this without actually playing through the game and having children...?  
<http://www.neoseeker.com/forums/5606/t441708-makin-kids-older-than-each-other/#9>
- (130) Do different liquids evaporate *slower than each other*?  
[http://wiki.answers.com/Q/What\\_liquids\\_other\\_than\\_water\\_evaporate](http://wiki.answers.com/Q/What_liquids_other_than_water_evaporate)

- (131) I think it does not look nice when two figures on one page are positioned *above each other*.  
<http://www.latex-community.org/forum/viewtopic.php?f=45&t=7598>
- (132) Basically I would like to have two charts below each other like you can see it on any stock chart including an indicator on various websites.  
<http://www.excelbanter.com/showthread.php?t=37015>

#### A.5 Remark on stage-level comparatives

Mari (2006) and further unpublished work has suggested that many asymmetric relational expressions require strong reciprocity when all times or situations are taken into account, but tolerate times or situations without strong reciprocity. This claim seems to be supported by some of the examples above. For instance, in sentence (101) above, US markets outperform international markets in some time periods, and international markets outperform US markets in other time periods. This is described by the writer using the sentence *US and international markets outperformed each other during certain time periods*. By contrast, also on the internet it is hard to find cases where a speaker refers to one situation where one entity outperforms another as a “reciprocal situation”. This kind of observations may help to explain why individual-level<sup>44</sup> SPO/SWO relations like *mother of each other* are ruled out with reciprocals—it is probably hard to think of changes over times or worlds with such predicates. However, also with classic stage-level comparatives like *fuller/emptier/sicker than* and others, reciprocity does not seem to be licensed, unlike the relations *outnumber*, *outperform*, *outrank* etc. which were shown above in stage-level usages. This fact may indicate that in addition to the factors considered by Mari, there might be additional factors that block comparative forms of adjectives from appearing with reciprocals.

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<sup>44</sup> For the individual-level/stage-level distinction see Carlson (1977).

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