The first posterior fossa decompression for Chiari malformation: the contributions of Cornelis Joachimus van Houweninge Graftdijk and a review of the infancy of “Chiari decompression”

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Abstract Over the past several decades, our understanding of the pathophysiology of hindbrain hernias has markedly increased. Additionally, with the advent of MRI, diagnosis of these entities is common. Although the history of the discovery of what are now known as the Chiari malformations is well known, publications regarding the historical surgical treatment of these is, to our knowledge, not extant. Many have attributed the first successful patient series to Gardner in the 1950s. However, and unknown to many, the first description of a hindbrain decompression was in 1930 by the Dutchman Cornelis Joachimus van Houweninge Graftdijk. This neurosurgeon also added to our understanding the pathophysiology of hindbrain herniation and its relationship to raised intracranial pressure. The present paper reviews the contributions of this early pioneer of neurosurgery.

Keywords History · Neurosurgery · Hindbrain hernia · Arnold–Chiari · Surgery

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The anomaly [Chiari malformation] may present itself as an unexpected clinical problem to be dealt with by the neurosurgeon. Penfield Wilder

Descriptions of the Chiari malformation

In 1891, Dr. Hans Chiari, professor of pathological anatomy at the German University in Prague, using autopsy specimens, published (*Deutsche Medizinische Wochenschrift*) on what would later be termed the Chiari malformations [4, 14]. However, Chiari was not the first to observe and report the type II malformations. In *Observationes Medicae*, written by the Dutch physician and anatomist Nicholas Tulp (1593–1674), reference is made to hindbrain herniation in a myelodysplastic individual. John Cleland (1835–1925) of Scotland reported a single myelodysplastic patient with hindbrain herniation and hydrocephalus in 1883 [14]. In 1894, Julius Arnold (1835–1915), professor of anatomy at Heidelberg, described a single myelodysplastic patient with hindbrain herniation and no hydrocephalus [4]. Following these discoveries and many years later, in 1935, Russell and Donald [12] at the London Hospital described 10 additional pathological specimens of Chiari malformations.

Surgical history of the Chiari malformations

In 1938, Penfield and Coburn [11] in Montreal reported a 29-year-old woman with loss of hearing and weakness on the right side of the face. Her history included amputation

for a thoracic “spina bifida” in infancy. On physical examination, she was noted to have nystagmus, absence of the right corneal reflex, truncal ataxia, and decreased reflexes. The patient underwent posterior fossa exploration with the authors not considering hindbrain herniation in their differential. Penfield and Coburn later stated that:

In retrospect it seems that we should have suspected the Arnold-Chiari malformation. Instead, a suboccipital craniotomy was carried out, with a tentative diagnosis of a tumor of the acoustic nerve bilaterally [11].

The patient of Penfield and Coburn never regained consciousness and died 2 months later. At autopsy, the authors identified a Chiari II malformation and hydrocephalus. These authors suggested that in the future, the cerebellar tonsils be left intact and that the posterior margin of the foramen magnum be removed with the posterior elements of C1 and C2 [11]. Also in 1938, McConnell and Parker [8] published their results of posterior fossa decompression for Chiari I malformation in five patients. Two of these patients had successful outcomes. In 1945, Bucy and Lichtenstein [2] reported successful decompression for a Chiari I malformation of a 40-year-old woman without hydrocephalus, and in 1948, Chorobski and Stepien [3] operated a woman with life-altering, valsalva-induced headache and Chiari I malformation that had full resolution of her symptoms. Most remembered for his direct approach to the hindbrain was Gardner. In 1957, he and Goodall [6] reported their efforts at surgically addressing syringomyelia by decompressing the hindbrain and sealing off the hypothetical communication between the syrinx and fourth ventricle in 17 patients. They reported improvement in 13, decline in 3, and death in 1. In their series, some patients had improvement of preoperative symptoms. The publication of Garner and Goodall appears to have resulted in the widespread adoption of posterior fossa decompression for hindbrain herniation with larger reports following over the next few decades [1, 5, 7, 9, 10, 13].

Contributions of Van Houweninge Graftdijk

Not known to many is that 8 years prior to the publication of Penfield and Coburn, Cornelis Joachimus van Houweninge Graftdijk (Fig. 1) operated a patient, in 1930, with myelomeningocele and ventriculogram-proven hindbrain herniation who had rapid head growth. This contribution was published in his thesis for a Doctorate of Medicine entitled *Over Hydrocephalus* (about hydrocephalus, Fig. 2) [15]. With this endeavor, he attempted to relieve CSF obstruction at the craniocervical junction by redundant cerebellar tissue. Although his patient died, this

Fig. 1 Photograph of Van Houweninge Graftdijk (1888–1956)

report marks the first known attempt at surgical correction of a hindbrain herniation. Van Houweninge Graftdijk stated:

I decided to try widening the space through which the brain had herniated in order to allow for better flow of CSF [15].

The idea that displacement of the foramina of the fourth ventricle into the upper end of the spinal canal might precipitate hydrocephalus, and that the whole of the anomaly might act as a valve, was first expressed by Van Houweninge Graftdijk in 1932 [15]. For this case, part of the occipital bone and posterior elements of the first two vertebrae were removed. The patient developed fever on postoperative day 2, and 84 days after the operation, the bladder was perforated so that on day 98 postoperative, the patient died.

Van Houweninge Graftdijk also presented evidence that fluid could flow more readily from the spine to the head than vice versa [17]. It was in children with spina bifida that he concluded that the pressure within the meningocoele was lower than the pressure within the cranium [18]. Conversely, Russell and Donald [12] commented on Van Houweninge Graftdijk's theory but hypothesized that hindbrain hernias did not cause hydrocephalus in these patients. Interestingly, in 1935, these authors stated:

If hydrocephalus, either congenital or post-operative, were due to such a malformation, then air injected by the lumbar route would collect in the ventricles and not in the sulci upon the cerebral convexities. Such a result would be not only of academic interest but of clinical importance. It would point to the desirability of decompressing the spinal cord at the foramen magnum to facilitate the circulation of fluid in the leptomeningeal spaces. Such an operation has not yet been carried out [12]. These authors, in a foot note, state that while there above mentioned paper was in press,

Fig. 2 Title page from Van Houweninge Graftdijk's *Over hydrocephalus*

that their attention was drawn to the thesis of Van Houweninge Graftdijk. Van Houweninge Graftdijk also surmised, based on experimental evidence, that cerebrospinal fluid can readily escape in an upward direction from the vertebral canal into the ventricles or cerebral meningeal spaces but has difficulty in passing from the ventricles down into the vertebral canal. To address such issues surgically, he resected redundant cerebellar tissue and or bone over the posterior surface of the malformation as previously mentioned [12, 15]. Van Houweninge Graftdijk also

postulated that caudal traction theory by the myelomeningocele is responsible for “pulling” the hind-brain caudally thus resulting in a Chiari II malformation [18].

Life

Cornelis Joachimus van Houweninge Graftdijk was born September 25, 1888 in Giessendam, Holland, the son of Aris Graftdijk and Gerrigje Johanna van Houweninge who

Fig. 3 Van Houweninge Grafdijk and his operating room staff

had three other children Adriaan, Wilhelmina, and Louisa Maria Frederika.

Cornelis' father was a family doctor in Giessendam and his brother Adriaan died relatively young as the result of

hydrocephalus. Due to his brother's illness, his siblings were sent to boarding school. Although his brother and sisters were given the family surname Grafdijk, Cornelis was given the name Van Houweninge Grafdijk as his

Fig. 4 Van Houweninge Grafdijk operating (background)

parents did not want this surname to die out. Cornelis graduated from the University of Leiden. He became a physician in 1913 and following the advice of his teacher Professor Korteweg, became a ship doctor so that he could gain some “practical knowledge.” In 1914, he returned to the Department of Surgery at Leiden University Hospital and soon after, Professor Korteweg died. Van Houweninge Graftdijk was soon introduced to Prof. Zaaier who introduced him to surgery. He studied with Prof. Zaaier for 5 years then began his own practice as a surgeon in Leiden at the hospital Diaconessenhuis (Figs. 3 and 4). He maintained his affiliation with Prof. Zaaier at the University Hospital in Leiden and in addition to practicing general surgery, treated pediatric patients with hydrocephalus. This interest probably arose from his concern over his older brother who had hydrocephalus. Van Houweninge Graftdijk trained doctors in surgery and for many years, was “chef de clinique” of the Department of Surgery at University Hospital.

On July 3, 1923, Van Houweninge Graftdijk married Elsje Coenen, a banker's daughter and eventually, had two sons. Nine years later, at the urging of Prof. Zaaier, van Houweninge Graftdijk wrote his dissertation [15] on hydrocephalus; and on June 21, 1932, he obtained his doctor's degree *cum laude*. Soon after, Prof. Zaaier left the university and was replaced by Prof. Suermondt who had no interest in hydrocephalus. It is not clear why Van Houweninge Graftdijk did not succeed Prof. Zaaier, but as a result of this, he left University Hospital and was not able to continue working on hydrocephalus which he very much regretted. He continued to practice as a surgeon at the hospital Diaconessenhuis but was offered professorships in Groningen, (Holland) and in Indonesia.

Interestingly, in 1938, he removed the appendix from his youngest son Willem and later, prophylactically removed the appendix of his eldest son prior to a long journey at sea. Van Houweninge Graftdijk said: “it is safer that it is out now than to wait until you are at sea.” Additionally, in 1940, Van Houweninge Graftdijk operated his wife to remove a kidney filled with stones. He said: “I will do it myself. If it is easy I can do it myself and if it is difficult I want to do it myself.” His friend and fellow surgeon Kingma Boltjes attended the surgery in the event that Van Houweninge Graftdijk might become “emotional.”

Van Houweninge Graftdijk's hobbies included rowing and reading books on history, philosophy, politics, and medicine. He was a member of the rotary club in Leiden and treasurer for the *Archivum Chirurgicum* and chairman of the Dutch Association of Surgery in the year this association celebrated its 50th anniversary. This was an honor as, generally, only professors became chairman of this association. In 1940, he wrote a book “*Heelkunde voor Den Medicus Practicus*” [16], a textbook of surgery for

family doctors. In 1956, Van Houweninge Graftdijk transferred his practice to Dr. H. Muller, a surgeon in Leiden, who was affiliated with the Department of Surgery at the University Hospital. Soon after this transfer, he died on September 28. He is remembered as a hard-working physician who was very sympathetic to his patients. For example, his two sons remembered their nearly empty toy box as their father took their toys away to give to the children at the hospital and explained to his sons “you have enough toys to play with, these poor children have nothing at all” (Willem Aris van Houweninge Graftdijk, personal communication).

Conclusions

The evolution of surgery for hindbrain herniation is indebted to pioneers such as Van Houweninge Graftdijk for his significant contributions to our understanding and treatment of these embryological derailments (Fig. 5).

Fig. 5 Pathological specimen from a patient of Van Houweninge Graftdijk's demonstrating the Chiari II malformation

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