

Existence and Exponential Decay of Solutions to a Quasilinear Thermoelastic Plate System

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Abstract. We consider a quasilinear PDE system which models nonlinear vibrations of a thermoelastic plate defined on a bounded domain in \mathbb{R}^n , $n \leq 3$. Existence of finite energy solutions describing the dynamics of a nonlinear thermoelastic plate is established. In addition asymptotic long time behavior of weak solutions is discussed. It is shown that finite energy solutions decay exponentially to zero with the rate depending only on the (finite energy) size of initial conditions. The proofs are based on methods of weak compactness along with nonlocal partial differential operator multipliers which supply the sought after “recovery” inequalities. Regularity of solutions is also discussed by exploiting the underlying analyticity of the linearized semigroup along with a related maximal parabolic regularity [1, 16, 44].

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1. Introduction

In this paper we study the existence and exponential stability of solutions to a quasilinear system arising in the modeling of nonlinear thermoelastic plates. The mathematical analysis of thermoelastic systems has attracted a lot of attention over the years. An array of new and fundamental results in the area of wellposedness and stability of solutions to both linear and nonlinear thermoelasticity have been contributed to the field (see [14, 15, 17, 18, 26, 48, 49] and references therein).

The focus of this paper is on *thermoelastic plates* and associated uniform stability issues. This particular class of problems has received considerable attention

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in recent years, particularly in the the context of some new developments in control theory. Questions such as exponential stability, controllability, observability, unique continuation have been asked and partially answered for both linear and nonlinear plates (see [30] and references therein). This includes discoveries such as

- (1) exponential decays (without any mechanical dissipation) of energy in linear models [4, 5, 11, 27],
- (2) boundary controllability and null controllability of linear plates [3, 6, 7, 12, 19, 22, 23, 29, 35, 39],
- (3) analyticity of semigroups generated by linear models [37, 40, 43],
- (4) unique continuation from the boundary and backward uniqueness [20, 28, 38], and
- (5) well-posedness and uniform decays of energy in semilinear thermoelastic models [8, 26, 32–34].

While there is at present vast literature dealing with well-posedness and stability of linear and semilinear thermoelastic equations (see above), the treatment of quasilinear and fully nonlinear models defined on multidimensional domains is much more subtle and requires different mathematical approaches.

A distinct feature of this paper is that it deals with global solutions, *without any smallness assumptions*, to a multi-dimensional quasilinear thermoelastic plate model. One of the fundamental difficulties is that perturbation type or fixed point type of arguments, quite successful in semilinear analysis, are no longer applicable to the strongly nonlinear cases. A different approach that is capable of handling nonlinear terms in the equation is called for. It turns out that rather recent sharp estimates, developed in the context of linear control theory, allows successful handling of quasilinear models. This is the case in dealing with issues such as passing a weak limit on nonlinear terms, accomplished by taking advantage of compensated compactness methods based on nonlocal (PDO) multipliers, in deriving inverse type inequalities. The latter is the necessary ingredient for stabilization, which depends on recently developed observability estimates for thermoelastic plates [4, 13].

The equations we consider arise from a model that takes into account the coupling between elastic, magnetic and thermal fields in a *nonlinear* elastic plate model (see [2, 9, 24, 25, 41]). In non-dimensional form, the equations we consider are given below in (1.1)–(1.3). Although we consider the case when $n \leq 3$, similar equations were derived when $n \leq 2$ for a current carrying *plate* in a magnetic field, with the consideration of a physical nonlinearity of the plate material (see [2, 24, 25]). The nonlinearity arises from the nature of the magnetoelastic material, owing to a nonlinear dependence between the intensities of the deformation and stress. We also assume that the material nonlinearity is cubic, as in the original plate model [24].

Let Ω be a bounded domain of \mathbb{R}^n , $n \leq 3$, with a smooth boundary $\partial\Omega$. Consider the system

$$\left\{ \begin{array}{l} W_{tt} + \Delta^2 W - \Delta\Theta + a\Delta((\Delta W)^3) = 0 \\ \Theta_t - \Delta\Theta + \Delta W_t = 0 \end{array} \right\} \text{ in } \Omega \times (0, T) \quad (1.1)$$

$$W = \Delta W = \Theta = 0 \text{ on } \partial\Omega \times (0, T) \quad (\text{Boundary Conditions}) \tag{1.2}$$

$$\left\{ \begin{array}{l} W(x, 0) = f(x) \quad (x \in \Omega); \quad f \in W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega) \\ W_t(x, 0) = g(x) \quad (x \in \Omega); \quad g \in L^2(\Omega) \\ \Theta(x, 0) = h(x) \quad (x \in \Omega); \quad h \in L^2(\Omega) \end{array} \right\} \quad (\text{Initial Conditions}). \tag{1.3}$$

We assume that the material constant a is positive.

In this paper we study global existence and uniform decays in time of solutions $(W, W_t, \Theta) \in L^\infty([0, T]; W^{2,4}(\Omega) \times L^2(\Omega) \times L^2(\Omega))$ to the above initial/boundary value problem, where $T > 0$ is arbitrary.

In order to proceed with the exposition of our results, we introduce some notation and definitions. Let

$$\begin{aligned} X &:= (W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega)) \times L^2(\Omega) \times L^2(\Omega) \\ Y &:= L^2(\Omega) \times (W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega))' \times (W^{2,2}(\Omega))'. \end{aligned}$$

Definition 1.1 (Weak solution). Let $0 < T \leq \infty$. By a *weak solution* of the initial/boundary value problem (1.1)–(1.3) we mean a triple

$$x := (W, U, \Theta) \in L^\infty([0, T]; X) \cap W^{1,\infty}([0, T]; Y), \quad \text{with } \Theta \in L^2([0, T]; W_0^{1,2}(\Omega))$$

such that the following equalities hold for almost all $t \in [0, T)$ and all test functions $\varphi_1 \in L^2(\Omega)$, $\varphi_2 \in W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega)$, $\varphi_3 \in W^{2,2}(\Omega) \cap W_0^{1,2}(\Omega)$:

$$\langle W_t, \varphi_1 \rangle = \langle U, \varphi_1 \rangle \tag{1.4}$$

$$\langle U_t, \varphi_2 \rangle = -\langle \Delta W, \Delta \varphi_2 \rangle - \langle \nabla \Theta, \nabla \varphi_2 \rangle - a \langle (\Delta W)^3, \Delta \varphi_2 \rangle \tag{1.5}$$

$$\langle \Theta_t, \varphi_3 \rangle = -\langle \nabla \Theta, \nabla \varphi_3 \rangle - \langle U, \Delta \varphi_3 \rangle, \tag{1.6}$$

where $\langle \cdot, \cdot \rangle$ denotes the inner product in $L^2(\Omega)$ as well as the pairing of $L^4(\Omega)$ with its dual space $L^{4/3}(\Omega)$.

In addition, the initial conditions (1.3) are satisfied in the $C_w([0, T]; X)$ topology, where $C_w([0, T]; X)$ denotes the space of weakly continuous functions with values in X .

Remark 1.2. Note that with $(W, U, \Theta) \in L^\infty([0, T]; X)$ and $(W_t, U_t, \Theta_t) \in L^\infty([0, T]; Y)$, we actually have

$$(W, U, \Theta) \in C([0, T]; W^{1,2}(\Omega) \times (W^{2,4}(\Omega))' \times (W^{2,2}(\Omega))'),$$

see [21, p. 286–289]. In particular, $x = (W, U, \Theta)$ is weakly continuous with respect to the above extended topologies. By Lemma 3.3 in [52], x is weakly continuous with the values in X . Moreover, $x \in C([0, T]; Y)$ where $X \subset Y$ with compact injection. In view of the above, the initial conditions can be interpreted either via weak continuity with values in X or via strong continuity with values in $X_1 = W^{2-\epsilon,4}(\Omega) \times H^{-\epsilon}(\Omega) \times H^{-\epsilon}(\Omega)$, for every $\epsilon > 0$.

It is not difficult to show that every classical solution (W, Θ) of the initial/boundary value problem (1.1)–(1.3) gives a weak solution (W, W_t, Θ) to the system (1.4)–(1.6), and conversely (see Section 2.6) that every weak solution (W, U, Θ) of (1.4)–(1.6) which is sufficiently smooth satisfies $W_t = U$ and (W, Θ) is a classical solution to the system (1.1)–(1.3). By forcing W and Θ to be in $W_0^{1,2}(\Omega)$ for a.e. $t \in [0, T]$, we ensure that the boundary conditions $W|_{\partial\Omega} = 0$ and $\Theta|_{\partial\Omega} = 0$ are satisfied. The remaining boundary condition $\Delta W|_{\partial\Omega} = 0$ appears as a natural boundary condition and will follow from the weak formulation of the system (see Section 2.6).

For all $(w, u, \theta) \in X$ we define the energy of the system $\mathcal{E} : X \rightarrow \mathbb{R}$ given by

$$\mathcal{E}(w, u, \theta) = \frac{1}{2}\|u\|_{L^2}^2 + \frac{1}{2}\|\Delta w\|_{L^2}^2 + \frac{1}{2}\|\theta\|_{L^2}^2 + \frac{a}{4}\|\Delta w\|_{L^4}^4. \quad (1.7)$$

If $x = (W, U, \Theta) \in L^\infty([0, T]; X)$ is a weak solution, then we also define the *energy* (corresponding to x) by

$$\begin{aligned} E(t) := \mathcal{E}(W(t), U(t), \Theta(t)) &= \frac{1}{2}\|U(t)\|_{L^2}^2 \\ &\quad + \frac{1}{2}\|\Delta W(t)\|_{L^2}^2 + \frac{1}{2}\|\Theta(t)\|_{L^2}^2 + \frac{a}{4}\|\Delta W(t)\|_{L^4}^4. \end{aligned}$$

Thus

$$E(0) = \frac{1}{2}\|g\|_{L^2}^2 + \frac{1}{2}\|\Delta f\|_{L^2}^2 + \frac{1}{2}\|h\|_{L^2}^2 + \frac{a}{4}\|\Delta f\|_{L^4}^4.$$

The main result pertaining to global existence of finite energy solutions is the following:

Theorem 1.3 (Global existence of finite energy solutions). *Let $0 < T \leq \infty$ and $n \leq 3$. Then there exists a weak solution (in the sense of Definition 1.1) of the initial/boundary value problem (1.1)–(1.3). Moreover, the energy inequality*

$$E(t) + \int_s^t \|\nabla\Theta(s)\|_{L^2}^2 ds \leq E(s), \quad s < t$$

holds for this weak solution.

Remark 1.4. The global solutions described by Theorem 1.3 are obtained via the Faedo–Galerkin method. It is shown that finite dimensional Galerkin approximations converge strongly to weak solutions. In view of the above, the proof of Theorem 1.3 also provides an effective strongly convergent finite dimensional approximation of weak solutions.

Once global existence of finite energy solutions is established, a natural question to ask is that of asymptotic stability. The dissipative mechanism in the model is exhibited by the thermal component of the system. The nonlinear mechanical component has no dissipation whatsoever and does not – by itself – cause any decrease of the energy. Thus any hope for having uniform decays of the energy must be based on a possibility of propagating the decay from the thermal component of the system (heat equation) onto the mechanical component (plate equation).

And in fact this is indeed the case in linear models, where exponential decay rates for the linear energy have been established [4, 5, 27] for linear thermoelastic plates and more recently in [8, 13] for semilinear plates. The situation in the quasilinear case is much more complex, due to the unboundedness of the nonlinear term with respect to the topology induced by the energy. Nevertheless, we will be able to show that for the initial conditions taken from any ball $B_X(0, R)$ in X , the corresponding weak solution decays exponentially to zero with a rate depending on R (of arbitrary size) only (and not on the particular solution). The corresponding result is the following:

Theorem 1.5 (Exponential decay of the energy). *Let $n \leq 3$, $T = \infty$, and $R > 0$. Then there exists a constant C (independent of R) and a constant ω_R (depending on R) such that if $(W(t), U(t), \Theta(t))$ is a solution of the initial/boundary value problem (1.1)–(1.3) obtained in Theorem 1.3, with $E(0) \leq R$, then*

$$\text{for all } t \geq 0, \quad E(t) \leq CE(0)e^{-\omega_R t}. \quad (1.8)$$

Note that the constant ω given in (1.8) in Theorem 1.5 depends on the size of initial data $E(0) \leq R$ (this dependence is made explicit in the course of the proof of the theorem). One may give a different formulation for the decay rates, formula with the decay rate constant ω independent on R . The price to pay is the fact that the amplitude constant C will depend on R . The corresponding result is formulated below.

Corollary 1.6. *Under the assumptions of Theorem 1.5 and with $E(0) \leq R$ we have:*

$$\text{for all } t \geq 0, \quad E(t) \leq C(R, \omega)e^{-\omega t}. \quad (1.9)$$

where ω is any positive number such that $\omega < \omega_0 \equiv \frac{1}{T_0} \log \frac{T_0 + \lambda_1^{-1}}{7\lambda_1^{-1}}$, T_0 is a solution of the transcendental equation (3.18) and λ_1 first eigenvalue of $-\Delta$ equipped with Dirichlet boundary conditions. The $R \mapsto C(R, \omega)$ is increasing and $C(R, \omega) \rightarrow \infty$ as $\omega \rightarrow \omega_0$.

Remark 1.7. The constant ω_R appearing in (1.8) becomes small when R is large. (The explicit dependence is given in the course of the proof.) This leads to slow decay rates for large initial data. Instead, the constant ω in (1.9) is independent on R . Thus, in the case of large initial data the estimate given by (1.9) leads to much faster decay rates.

Remark 1.8. The decay rates given by (1.8) or (1.9) apply to weak solutions that are obtained in Theorem 1.3 as the strong limits of Faedo–Galerkin approximations. Since weak solutions, as defined by Definition 1.1, may not be unique, we can not extend the validity of (1.8) to all weak solutions. However, the decay rates given in (1.8) remain valid for all solutions that are sufficiently regular.

Remark 1.9. The two theorems stated above pertain to existence and uniform decays of weak, or alternatively, finite energy solutions. With further restrictions imposed on the initial data, one can prove existence and uniqueness of regular

(in fact, classical) solutions. The corresponding result, which relies on methods of nonlinear analytic semigroups and maximal regularity [44], will be given in the Appendix.

2. Existence of a solution

In this section, we prove Theorem 1.3.

We note that the system represented by (1.1)–(1.3) can be seen as a nonlinear perturbation of an analytic semigroup (see the Appendix). However, the resulting perturbation is not relatively bounded with respect to the generator, and so perturbation theory for analytic semigroups [47] cannot be applied. This presents major difficulty in studying existence of the finite energy solution claimed by Theorem 1.3. In order to handle the difficulty, we shall resort to the compensated compactness method along with the use of partial monotonicity generated by the nonlinear term (the problem itself is not monotone!). The latter property is instrumental in identifying limits correctly in the weak formulation.

2.1. Lyapunov function for the PDE system

Suppose that the system (1.4)–(1.6) has a solution

$$(W, U, \Theta) \in C^1\left([0, T]; (W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega)) \times W^{1,2}(\Omega) \times (W^{2,2}(\Omega) \cap W_0^{1,2}(\Omega))\right).$$

It follows that $(W_t, U_t, \Theta_t) \in C([0, T]; (W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega)) \times W^{1,2}(\Omega) \times (W^{2,2}(\Omega) \cap W_0^{1,2}(\Omega)))$. Let

$$E(t) = \frac{1}{2}\|U(t)\|_{L^2}^2 + \frac{1}{2}\|\Delta W(t)\|_{L^2}^2 + \frac{1}{2}\|\Theta(t)\|_{L^2}^2 + \frac{a}{4}\|\Delta W(t)\|_{L^4}^4.$$

Then by (1.4)–(1.6),

$$\begin{aligned} \frac{d}{dt}E(t) &= \langle U, U_t \rangle + \langle \Delta W, \Delta W_t \rangle + \langle \Theta, \Theta_t \rangle + a\langle (\Delta W)^3, \Delta W_t \rangle \\ &= \langle \Theta, \Delta W_t \rangle + \langle \Theta, \Theta_t \rangle \\ &= \langle \Theta, \Delta \Theta \rangle = -\|\nabla \Theta\|_{L^2}^2 \leq 0. \end{aligned}$$

The above argument is only formal, since it relies on additional regularity of the solutions. On the other hand, it indicates that the sought after solutions should have a-priori bounds in the topology of X and that the energy is non-increasing, suggesting some sort of dissipation. We shall make this argument rigorous by considering the appropriate finite dimensional approximations of the original system. Weak lower semicontinuity of the energy functional will allow us to conclude that the *energy inequality* is valid for the original PDE system. We also note that the dissipation is weak, since it affects only one component of the state vector. Nevertheless we will be able to show that this effect propagates, giving exponential decay rates on the entire system (see Theorem 1.5).

2.2. Faedo–Galerkin approximations

Let $(e_k)_{k \in \mathbb{N}}$ be normalized eigenfunctions of the negative Laplacian with Dirichlet boundary conditions:

$$\begin{aligned} -\Delta e_k &= \lambda_k e_k \quad \text{in } \Omega, \\ e_k|_{\partial\Omega} &= 0. \end{aligned}$$

The $\{e_k \mid k \in \mathbb{N}\}$ is an orthogonal basis of $W_0^{1,2}(\Omega)$, and form an orthonormal basis of $L^2(\Omega)$. Let $V^N := \text{span}\{e_m \mid m = 1, \dots, N\}$ and $X^N := V^N \times V^N \times V^N$.

We seek

$$\begin{aligned} W_N(x, t) &= \sum_{k=1}^N w_k^N(t) e_k(x); \\ U_N(x, t) &= \sum_{k=1}^N u_k^N(t) e_k(x); \quad \Theta_N(x, t) = \sum_{k=1}^N \theta_k^N(t) e_k(x) \end{aligned} \tag{2.1}$$

which satisfy

$$\left\{ \begin{aligned} \langle U^N - W_t^N, e_m \rangle &= 0 \\ \langle U_t^N + \Delta^2 W^N - \Delta \Theta^N + a \Delta((\Delta W^N)^3), e_m \rangle &= 0 \\ \langle \Theta_t^N - \Delta \Theta^N + \Delta U^N, e_m \rangle &= 0 \end{aligned} \right\} \quad m \in \{1, \dots, N\} \tag{2.2}$$

$$\left\{ \begin{aligned} W^N(x, 0) &= \sum_{k=1}^N \langle f, e_k \rangle e_k(x) \\ U^N(x, 0) &= \sum_{k=1}^N \langle g, e_k \rangle e_k(x) \\ \Theta^N(x, 0) &= \sum_{k=1}^N \langle h, e_k \rangle e_k(x) \end{aligned} \right\} \quad \text{on } \Omega \quad (\text{Initial Conditions}). \tag{2.3}$$

2.3. System of ODEs

We note that (W^N, U^N, Θ^N) given by (2.1) satisfy (2.2)–(2.3) if and only if the coefficient functions $(w_1^N, \dots, w_N^N, u_1^N, \dots, u_N^N, \theta_1^N, \dots, \theta_N^N)$ satisfy the following system of ODEs:

$$\left\{ \begin{aligned} \dot{w}_m^N(t) &= u_m^N(t) \\ \dot{u}_m^N(t) &= -\lambda_m^2 w_m^N(t) + \lambda_m \theta_m^N(t) + a \langle (\sum_{k=1}^N w_k^N(t) \Delta e_k)^3, \lambda_m e_m \rangle \\ \dot{\theta}_m^N(t) &= -\lambda_m \theta_m^N(t) - \lambda_m u_m^N(t) \end{aligned} \right\} \quad m \in \{1, \dots, N\} \tag{2.4}$$

with the initial conditions

$$\left\{ \begin{aligned} w_m^N(0) &= \langle f, e_m \rangle \\ u_m^N(0) &= \langle g, e_m \rangle \\ \theta_m^N(0) &= \langle h, e_m \rangle \end{aligned} \right\} \quad m \in \{1, \dots, N\}. \tag{2.5}$$

As the right hand side of (2.4) is locally Lipschitz, it follows that the above system of ODEs has a local solution in a maximal time interval $[0, T_N)$ for some $T_N > 0$. Multiplying (2.4) by e_m and adding the results, we obtain (2.2)–(2.3).

2.4. Lyapunov function for the ODE system

Let $x^N := (W^N, U^N, \Theta^N)$, and define $\mathcal{E}_N : \mathbb{R}^{3N} \rightarrow \mathbb{R}$ by

$$\begin{aligned} \mathcal{E}_N(x^N) &:= \mathcal{E}(x^N) \\ &= \frac{1}{2} (\|\Delta W^N\|_{L^2}^2 + \|U^N\|_{L^2}^2 + \|\Theta^N\|_{L^2}^2) + \frac{1}{4} \|\Delta W^N\|_{L^4}^4 \\ &= \frac{1}{2} \left\| \sum_{k=1}^N u_k e_k \right\|_{L^2}^2 + \frac{1}{2} \left\| \sum_{k=1}^N \lambda_k w_k e_k \right\|_{L^2}^2 + \frac{1}{2} \left\| \sum_{k=1}^N \theta_k e_k \right\|_{L^2}^2 \\ &\quad + \frac{a}{4} \left\| \sum_{k=1}^N \lambda_k w_k e_k \right\|_{L^4}^4. \end{aligned}$$

It follows from the linear independence of e_k 's and Δe_k 's that \mathcal{E}_N is positive definite.

If (W^N, U^N, Θ^N) given by (2.1) satisfy (2.2)–(2.3), then we define

$$E_N(t) := \mathcal{E}_N(w_1^N(t), \dots, w_N^N(t), u_1^N(t), \dots, u_N^N(t), \theta_1^N(t), \dots, \theta_N^N(t)). \tag{2.6}$$

It can be verified that

$$\frac{d}{dt} E_N(t) = - \left\| \sum_{k=1}^N \theta_k^N(t) \nabla e_k \right\|_{L^2}^2 = - \|\nabla \Theta^N\|_{L^2}^2 \leq 0. \tag{2.7}$$

So we can conclude that the solution to (2.4) with initial conditions (2.5) is bounded. Consequently from ODE theory, we obtain existence and uniqueness of the finite-dimensional solution $x^N \in C([0, T_N]; X^N)$ satisfying the ODE system, which in addition, is a-priori bounded. Thus $T_N = +\infty$.

2.5. Uniform bounds

Since

$$\frac{d}{dt} E_N(t) = - \|\nabla \Theta^N(t)\|_{L^2}^2 \leq 0, \tag{2.8}$$

it follows that $E_N(t) \leq E_N(0)$. But

$$E_N(0) = \frac{1}{2} \|U_N(0)\|_{L^2}^2 + \frac{1}{2} \|\Delta W_N(0)\|_{L^2}^2 + \frac{1}{2} \|\Theta_N(0)\|_{L^2}^2 + \frac{a}{4} \|\Delta W_N\|_{L^4}^4.$$

Consequently

$$E_N(0) \leq \frac{1}{2} \|g\|_{L^2}^2 + \frac{1}{2} \|\Delta f\|_{L^2}^2 + \frac{1}{2} \|h\|_{L^2}^2 + \frac{a}{4} \|\Delta f\|_{L^4}^4 = E(0). \tag{2.9}$$

In particular, $E_N(0)$ is uniformly bounded in N .

2.6. Weak solution

In order to show that the definition of weak solution is meaningful and describes the original PDE problem, we need to verify that every classical solution is a weak solution, and that every weak solution which is sufficiently smooth is a classical solution. The first part is straightforward and it follows by projecting the classical solution on the L^2 space. For the second part, consider a weak solution that is sufficiently smooth. In the formulation of weak solutions, we first take test functions ϕ_i which are in $C_0^\infty(\Omega)$. It is easy to show by a straightforward application of Green's formula and the density of $C_0^\infty(\Omega)$ in $L^2(\Omega)$, that the equations (1.1) are satisfied. It suffices to reconstruct the boundary condition $\Delta W = 0$ on $\partial\Omega$. (The other two boundary conditions are encoded in the definition of the weak solution.) By using (1.1) in the weak formulation, applied with an arbitrary test function $\phi_2 \in W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega)$, and integrating by parts, we obtain the following trace relations:

$$\int_{\partial\Omega} (\Delta W - \Theta + a(\Delta W)^3) \frac{\partial}{\partial \nu} \phi_2 ds = 0$$

for all $\phi_2 \in W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega)$. By the surjectivity in the trace theorem, it follows that for any $z \in W^{3/4,4}(\partial\Omega)$, there exists a $\phi_2 \in W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega)$ such that $\frac{\partial}{\partial \nu} \phi_2 = z$ on $\partial\Omega$ [53]. Hence

$$\int_{\partial\Omega} (\Delta W - \Theta + a(\Delta W)^3) z ds = 0$$

for all $z \in W^{3/4,4}(\partial\Omega)$. Since $\Theta = 0$ on $\partial\Omega$, it follows by density that

$$\Delta W + a(\Delta W)^3 = 0 \quad \text{on } \partial\Omega.$$

Consequently, $\Delta W = 0$ on $\partial\Omega$ (since $a > 0$), as desired.

2.7. Weak convergence of the Faedo–Galerkin approximations

From the uniform Lyapunov estimate (2.9) for the Galerkin approximations, we obtain that for all $t \in [0, T]$,

$$\|\Delta W_N(\cdot, t)\|_{L^2}, \quad \|\Delta W_N(\cdot, t)\|_{L^4}, \quad \|U_N(\cdot, t)\|_{L^2}, \quad \|\Theta_N(\cdot, t)\|_{L^2}$$

are all bounded sequences. Also $\int_0^T \|\nabla \Theta^N(t)\|_{L^2} dt$ is bounded by the norms of the initial data. Moreover, after accounting for $W_N = \Theta_N = 0$ on the boundary, elliptic theory and the Poincaré inequality give control of the $W^{2,4}(\Omega)$ norm of W_N and the $H_0^1(\Omega)$ norm of Θ_N . Thus

$$\begin{aligned} W_N & \text{ is a bounded sequence in } L^\infty([0, T]; W^{2,4}(\Omega)), \\ U_N & \text{ is a bounded sequence in } L^\infty([0, T]; L^2(\Omega)), \\ \Theta_N & \text{ is a bounded sequence in } L^\infty([0, T]; L^2(\Omega)) \cap L^2([0, T]; H_0^1(\Omega)). \end{aligned}$$

Step 1. Weak convergence. Therefore there exist subsequences which converge in the weak star topology of the respective spaces. We denote the respective limits by W, U, Θ . For simplicity of notation, we renumber the sequences and assume without loss of generality that the sequences themselves converge in the weak star topology of the appropriate spaces.

Thus

$$\begin{aligned} W^N &\rightharpoonup^* W \quad \text{in } L^\infty([0, T]; W^{2,4}(\Omega)), \\ U^N &\rightharpoonup^* U \quad \text{in } L^\infty([0, T]; L^2(\Omega)), \\ \Theta^N &\rightharpoonup^* \Theta \quad \text{in } L^\infty([0, T]; L^2(\Omega)), \\ \Theta^N &\rightharpoonup \Theta \quad \text{in } L^2([0, T]; H^1(\Omega)), \end{aligned} \tag{2.10}$$

where we have also used the reflexivity of $L^2([0, T]; H^1(\Omega))$. We also obtain that

$$\begin{aligned} U_t^N &\rightharpoonup U_t \quad \text{in } L^2([0, T]; W^{2,4}(\Omega)'), \\ \Delta^{-1}\Theta_t^N &\rightharpoonup^* \Delta^{-1}\Theta_t \quad \text{in } L^\infty([0, T]; L^2(\Omega)), \end{aligned}$$

where Δ^{-1} denotes the inverse of the Laplacian with zero Dirichlet boundary conditions. In particular, by the Aubin–Simon Lemma [51], this implies that:

$$\begin{aligned} W_N &\rightarrow W \quad \text{strongly in } C([0, T]; H^{2-\epsilon}(\Omega)), \\ \Theta_N &\rightarrow \Theta \quad \text{strongly in } C([0, T]; H^{-\epsilon}(\Omega)), \\ \Theta_N &\rightarrow \Theta \quad \text{strongly in } L^2([0, T]; H^{1-\epsilon}(\Omega)), \end{aligned} \tag{2.11}$$

where $\epsilon > 0$ can be taken arbitrarily small.

The above convergence allows us to pass the limit on all linear terms in the weak formulation of the system. The passage of the limit on the nonlinear term is more involved and requires additional arguments. Indeed, note that since $\Delta W^N(t)$ is uniformly bounded in $L^4(\Omega)$, it follows that $(\Delta W^N)^3$ is bounded in $L^\infty([0, T]; L^{4/3}(\Omega))$. So there exists an $\eta \in L^\infty([0, T]; L^{4/3}(\Omega))$ such that $(\Delta W^N)^3 \rightharpoonup^* \eta$ in $L^\infty([0, T]; L^{4/3}(\Omega))$ (again on a subsequence). But we do not know if η coincides with $(\Delta W)^3$, since we do not have any compactness to conclude this. As we shall see later, this desired conclusion will be drawn by exhibiting some sort of compensated compactness.

Let $\varphi \in C_0^\infty([0, T]; \mathbb{R})$ and $m \in \mathbb{N}$ be arbitrary. From (2.2) we have

$$\begin{aligned} \int_0^T \left(\langle U_t^N, e_m \rangle + \langle \Delta W^N - \Theta^N + a((\Delta W^N)^3), \Delta e_m \rangle \right) \varphi(t) dt &= 0 \\ \int_0^T \left(\langle \Theta_t^N, e_m \rangle - \langle \Theta^N + U^N, \Delta e_m \rangle \right) \varphi(t) dt &= 0 \end{aligned}$$

for $N \geq m$. Letting $N \rightarrow \infty$ and using the weak star convergence of $W^N, U^N, U_t^N, \Theta^N, \Theta_t^N$ and $(\Delta W^N)^3$, we conclude that

$$\int_0^T (\langle U_t, e_m \rangle + \langle \Delta W - \Theta + a\eta, \Delta e_m \rangle) \varphi(t) dt = 0 \tag{2.12}$$

$$\int_0^T (\langle \Theta_t, e_m \rangle - \langle \Theta + U, \Delta e_m \rangle) \varphi(t) dt = 0. \tag{2.13}$$

Since $\varphi \in C_0^\infty([0, T]; \mathbb{R})$ was arbitrary and any test function $\psi \in W^{2,4}(\Omega)$ can be approximated (with strong convergence) by finite linear combinations of e_m , it follows that

$$\begin{aligned} \langle U_t, \psi \rangle + \langle \Delta W - \Theta + a\eta, \Delta \psi \rangle &= 0 \\ \langle \Theta_t, \psi \rangle - \langle \Theta + U, \Delta \psi \rangle &= 0 \end{aligned}$$

for almost every $t \in [0, T]$. Because $W_0^{1,2}(\Omega)$ is a closed subspace of $W^{1,2}(\Omega)$, and hence weakly closed, it follows that also $W(t) \in W_0^{1,2}(\Omega)$.

Next we show that the initial conditions are satisfied. Let

$$\varphi \in L^1([0, T]; W^{2,4}(\Omega) \cap W_0^{1,2}(\Omega)) \cap C^\infty([0, T]; C^\infty(\Omega))$$

be such that $\varphi(T) = 0$. Then

$$\begin{aligned} \int_0^T \langle U^N, \varphi \rangle dt &= \int_0^T \langle W_t^N, \varphi \rangle dt = -\langle f^N, \varphi(0) \rangle - \int_0^T \langle W^N, \varphi_t \rangle dt \\ \int_0^T \langle U, \varphi \rangle dt &= \int_0^T \langle W_t, \varphi \rangle dt = -\langle W(0), \varphi(0) \rangle - \int_0^T \langle W, \varphi_t \rangle dt. \end{aligned}$$

By the weak star convergence, we obtain $f^N \rightharpoonup W(0)$. From the construction, we also have $f^N \rightharpoonup f$, and so $\langle W(0), \varphi(0) \rangle = \langle f, \varphi(0) \rangle$. Since φ was arbitrary, it follows that $W(0) = f$. In the same way, one shows that $U(0) = g$ and that $\Theta(0) = h$.

Step 2. Strong convergence of the velocity. The main task that remains is to identify η with $(\Delta W)^3$. The proof of this fact will proceed through several lemmas. To achieve this, we first improve the convergence of U^N .

Lemma 2.1. *Let $n \leq 3$. Then $U^N \rightarrow U$ strongly in $L^2([0, T]; L^2(\Omega))$.*

Proof. Let (W^N, U^N, Θ^N) denote the Galerkin approximation at step N , and let (W, U, Θ) be its weak limit asserted in (2.10). We shall use the notation $\widetilde{W}^N := W^N - W$, and a similar notation is used for the other two variables. Let P_N be the orthogonal projection (with respect to the inner product of $L^2(\Omega)$) of the space $V := W^{2,4}(\Omega) \cap H_0^1(\Omega)$ onto V^N .

We obtain the following system satisfied for $\widetilde{W}^N, \widetilde{\Theta}^N$ and all test functions $\psi, \phi \in V$:

$$\begin{aligned} \langle \widetilde{U}_t^N + \Delta^2 \widetilde{W}^N - \Delta \widetilde{\Theta}^N + a\Delta((\Delta W^N)^3 - \eta), \psi \rangle &= \langle \beta_N, (I - P_N)\psi \rangle \\ \langle \widetilde{\Theta}_t^N - \Delta \widetilde{\Theta}^N + \Delta \widetilde{U}^N, \phi \rangle &= \langle \gamma_N, (I - P_N)\phi \rangle, \end{aligned} \tag{2.14}$$

where the error terms are

$$\beta_N := U_t^N + \Delta^2 W^N - \Delta \Theta^N + a \Delta (\Delta W^N)^3 \quad \text{and} \quad \gamma_N := \Theta_t^N - \Delta \Theta^N + \Delta U^N.$$

We will derive the error for \tilde{U}^N by using suitable multipliers. An important fact which will be used without further mentioning is that both Δ and Δ^{-1} (with Dirichlet boundary conditions) leave V^N invariant, and that P_N commutes with Δ . Because of this

$$\begin{aligned} (I - P_N)\gamma_N &= 0 \\ (I - P_N)\beta_N &= a(I - P_N)\Delta(\Delta W^N)^3. \end{aligned} \quad (2.15)$$

We apply (2.14) with $\psi := \Delta^{-1}\tilde{\Theta}^N = \Delta^{-1}(\Theta^N - \Theta)$, and integrate from 0 to T . Note that $\Delta^{-1}(\Theta^N - \Theta)(t) \in V$ for almost all t . This follows from the regularity of the limit elements (2.10) and the embedding $H^1(\Omega) \subset L^4(\Omega)$ for $n \leq 3$.

Using (2.14), we obtain

$$\begin{aligned} \int_0^T & \left(-\langle \tilde{U}^N, \Delta^{-1}\tilde{\Theta}_t^N \rangle + \langle \Delta \tilde{W}^N, \tilde{\Theta}^N \rangle - \|\tilde{\Theta}^N\|^2 + a\langle (\Delta W^N)^3 - \eta, \tilde{\Theta}^N \rangle \right) dt \\ &= -\langle \tilde{U}^N, \Delta^{-1}\tilde{\Theta}^N \rangle \Big|_0^T + \int_0^T \langle \beta_N, (I - P_N)\Delta^{-1}\tilde{\Theta}^N \rangle dt. \end{aligned} \quad (2.16)$$

On the other hand, using (2.15) we also have

$$\Delta^{-1}\tilde{\Theta}_t^N = \tilde{\Theta}^N - \tilde{U}^N. \quad (2.17)$$

Combining the last two equations, we obtain

$$\begin{aligned} \int_0^T \|\tilde{U}^N\|_{L^2}^2 dt &= \int_0^T \left(\langle \tilde{U}^N, \tilde{\Theta}^N \rangle - \langle \Delta \tilde{W}^N, \tilde{\Theta}^N \rangle \right. \\ &\quad \left. + \|\tilde{\Theta}^N\|^2 - a\langle (\Delta W^N)^3 - \eta, \tilde{\Theta}^N \rangle \right) dt \\ &\quad - \langle \tilde{U}^N(t), \Delta^{-1}\tilde{\Theta}^N(t) \rangle \Big|_0^T \\ &\quad + \int_0^T \langle \beta_N, (I - P_N)\Delta^{-1}\tilde{\Theta}^N \rangle dt. \end{aligned} \quad (2.18)$$

It is easy to see that all the terms on the right side of this equality converge to zero as $N \rightarrow \infty$. Indeed, uniform boundedness of \tilde{U}^N and $\Delta \tilde{W}^N(t)$ in $L^2([0, T]; L^2(\Omega))$ by (2.10), along with the strong convergence $\tilde{\Theta}^N \rightarrow 0$ in $L^2([0, T]; H^{1-\epsilon}(\Omega)) \subset L^2([0, T]; L^2(\Omega))$ allows us to conclude that the first three terms converge to 0. As for the fourth term, we use uniform boundedness of $\|(\Delta \tilde{W}^N)^3(t) - \eta(t)\|_{L^{4/3}}$ along with the strong convergence to zero $\tilde{\Theta}^N$ in $L^2([0, T]; H^{1-\epsilon}(\Omega)) \subset L^2([0, T]; L^4(\Omega))$ when $n \leq 3$. For the fifth term we invoke the uniform bound for $\|\tilde{U}^N\|$ and strong convergence to zero of $\|\Delta^{-1}\tilde{\Theta}^N(t)\|$ for all t . For the sixth term, we use the representation in (2.15) to obtain

$$\langle (I - P_N)\Delta(\Delta W^N)^3, \Delta^{-1}\tilde{\Theta} \rangle = \langle (\Delta W^N)^3, (I - P_N)\tilde{\Theta} \rangle = \langle (\Delta W^N)^3, (I - P_N)\Theta \rangle$$

where $\|(\Delta W^N(t))^3\|_{L^{4/3}}$ is bounded and $(I - P_N)\Theta$ converges strongly to zero in the space $L^2([0, T]; H^1(\Omega))$. Finally, we conclude that $\int_0^T \|\tilde{U}^N(t)\|^2 dt \rightarrow 0$, which proves the lemma. \square

Step 3. Identification of the nonlinear limit. The strong convergence asserted in Lemma 2.1 allows us to prove that η coincides with the correct quantity.

Lemma 2.2. *Let $n \leq 3$. Then*

$$(\Delta W^N)^3 \rightharpoonup (\Delta W)^3 \quad \text{in } L^2([0, T]; L^{4/3}(\Omega)) \tag{2.19}$$

Proof. The proof is based on a monotonicity argument and an application of [10, Lemma II.1.3]. We first note that the operator $G(W) := \Delta(\Delta W)^3$ is maximal monotone as considered from $W^{2,4}(\Omega)$ into its dual. We know that

$$G(W^N) \rightharpoonup \Delta\eta \quad \text{in } W^{2,4}(\Omega)'$$

and

$$W^N \rightharpoonup W \quad \text{in } W^{2,4}(\Omega).$$

In order to identify η with $(\Delta W)^3$, we invoke Lemma 3.1 in [10] which requires that

$$\limsup \langle G(W_N) - \Delta\eta, W_N - W \rangle \leq 0. \tag{2.20}$$

In order to establish the inequality required in (2.20), we go back to the first equation in (2.14). As the test function we choose $\psi := \tilde{W}^N$. Integration from 0 to T gives

$$\begin{aligned} & \int_0^T \left(\|\Delta \tilde{W}^N\|_{L^2}^2 + a \langle (\Delta W^N)^3 - \eta, \Delta \tilde{W}^N \rangle \right) dt \\ &= \int_0^T \left(\|\Delta \tilde{W}^N\|_{L^2}^2 + a \langle G(W^N) - \Delta\eta, \tilde{W}^N \rangle \right) dt \\ &= \int_0^T \left(\|\tilde{U}^N\|_{L^2}^2 - \langle \tilde{\Theta}^N, \Delta \tilde{W}^N \rangle - \langle \beta_N, (I - P_N)\tilde{W}^N \rangle \right) dt \\ & \quad - \langle \tilde{U}^N, \tilde{W}^N \rangle \Big|_0^T. \end{aligned} \tag{2.21}$$

We claim that all the four terms on the right hand side of (2.21) converge to zero. Indeed, the first term converges to zero by virtue of Lemma 2.1. For the second term, we invoke the uniform boundedness of $\|\Delta \tilde{W}^N(t)\|_{L^2}$ along with strong convergence of Θ^N in $L^2([0, T]; H^1(\Omega)) \subset L^2([0, T]; L^2(\Omega))$. For the third term we use the representation in (2.15)

$$\langle \beta_N, (I - P_N)\tilde{W} \rangle = \langle \beta_N, (I - P_N)W \rangle = \langle (\Delta W_N)^3, (I - P_N)\Delta W \rangle \rightarrow 0,$$

where we have used the uniform bound $\|(\Delta W_N)^3\|_{L^{4/3}}$ along with the strong convergence of $(I - P_N)\Delta W$ in $L^4(\Omega)$.

Finally, for the fourth term we argue as before. The bound on $\|\tilde{U}(t)\|$ along with the strong convergence of \tilde{W}^N in $C([0, T]; L^2(\Omega))$ completes the argument.

Thus, (2.20) follows from (2.21) and the fact that the right hand side of (2.21) converges to 0. \square

Lemma 2.2 allows us to pass the limit in the approximate equation. In addition, due to lower semicontinuity of the energy, the *energy inequality* holds for all weak solutions. This concludes the proof of Theorem 1.3.

Remark 2.3. Note that the result of Lemma 2.2 yields an even stronger conclusion. It says that $W^N \rightarrow W$ strongly in $L^2([0, T]; W^{2,2}(\Omega))$. Lemma 2.1 provides additional convergence $U^N \rightarrow U$ strongly in $L^2([0, T]; L^2(\Omega))$. This conclusion may be useful in assessing convergence of the finite-dimensional approximation to the original equation.

3. Uniform stability of solutions

3.1. Exponential decay of the ODE solutions.

From (2.8) we infer that the energy of the ODE system is nondecreasing. Since $\Theta^N = 0$ implies that $x^N = 0$, La Salle's invariance principle implies strong stability of the ODE system.

Our main task is to show that the obtained stability and decay rates are uniform in N . This will be asserted in the theorem that follows.

Theorem 3.1. *Let $n \leq 3$. Then there exists a constant C such that for every $R > 0$ there exists a constant ω_R such that if (W^N, U^N, Θ^N) is a solution of (2.2)–(2.3) with $E(0) \leq R$, then*

$$\forall t \geq 0, \quad E_N(t) \leq CE_N(0)e^{-\omega_R t},$$

where E_N is defined by (2.6) and the constants C, ω_R are independent on N .

We apply the method of multipliers introduced by Avalos and Lasiecka [5] for linear thermoelastic problems. The method uses two multipliers

$$M_1(W, U, \Theta) := \Delta^{-1}\Theta \quad \text{and} \quad M_2(W, U, \Theta) := W,$$

where as before Δ denotes the Laplacian with zero Dirichlet boundary conditions. We note that all the calculations are performed on finite-dimensional Galerkin solutions. This avoids potential issues with low regularity of weak solutions, where the latter may not permit for rigorous justification of PDE estimates.

If (W^N, U^N, Θ^N) is a solution of (2.2)–(2.3), then it follows from (2.7) that for every $t \geq 0$

$$E_N(t) + \int_0^t \|\nabla \Theta^N(s)\|_{L^2}^2 ds = E_N(0). \quad (3.1)$$

We write

$$E_N(t) := E_N^k(t) + E_N^p(t),$$

where

$$\begin{aligned}
 E_N^k(t) &:= \frac{1}{2} \|U^N(t)\|_{L^2}^2 \quad (\text{kinetic part}) \\
 E_N^p(t) &:= \frac{1}{2} \|\Delta W^N(t)\|^2 + \frac{1}{2} \|\Theta^N(t)\|^2 + \frac{a}{4} \|\Delta W^N\|^4 \quad (\text{potential part}). \quad (3.2)
 \end{aligned}$$

We split the proof of Theorem 3.1 into a series of lemmas. In the first two lemmas we estimate the kinetic and potential parts of the energy separately. Let $T > 0$ be arbitrary.

Lemma 3.2 (Recovery of kinetic energy). *Let $n \leq 3$ and (W^N, U^N, Θ^N) be a solution of (2.2)–(2.3). Then for every $\epsilon > 0$*

$$\begin{aligned}
 \int_0^T E_N^k(t) dt &\leq \lambda_1^{-1} (E_N(0) + E_N(T)) + 4\epsilon \int_0^T E_N(t) dt \\
 &\quad + \frac{C^2 E^{1/2}(0) a^2}{2\epsilon} \int_0^T \|\nabla \Theta^N\|_{L^2}^2 dt,
 \end{aligned}$$

where C is the Sobolev constant of the embedding $H^1(\Omega) \subset L^4(\Omega)$.

Proof. Note that $M_1(W^N(t), U^N(t), \Theta^N(t)) \in V^N$. From the second equation of (2.2) it follows (after integrating by parts in time) that

$$\begin{aligned}
 0 &= -\langle \Delta^{-1} \Theta^N(T), U^N(T) \rangle + \langle \Delta^{-1} \Theta^N(0), U^N(0) \rangle + \int_0^T \langle \Delta^{-1} \Theta_t^N(t), U^N(t) \rangle dt \\
 &\quad - \int_0^T \left(\langle \Delta^{-1} \Theta^N(t), \Delta^2 W^N(t) \rangle - \langle \Delta^{-1} \Theta^N(t), \Delta \Theta^N(t) \rangle \right. \\
 &\quad \left. + a \left\langle \Delta^{-1} \Theta^N(t), \Delta \left((\Delta W^N(t))^3 \right) \right\rangle \right) dt.
 \end{aligned}$$

By Green’s theorem, this implies

$$\begin{aligned}
 0 &= -\langle \Delta^{-1} \Theta^N(T), U^N(T) \rangle + \langle \Delta^{-1} \Theta^N(0), U^N(0) \rangle + \int_0^T \langle \Delta^{-1} \Theta_t^N(t), U^N(t) \rangle dt \\
 &\quad - \int_0^T \left(\langle \Theta^N(t), \Delta W^N(t) \rangle - \|\Theta^N(t)\|_{L^2}^2 \right. \\
 &\quad \left. + a \left\langle \Theta^N(t), (\Delta W^N(t))^3 \right\rangle \right) dt. \quad (3.3)
 \end{aligned}$$

By the last equation in (2.2), and since V^N is invariant under Δ^{-1} , we have

$$0 = \langle \Delta^{-1} \Theta_t^N(t) - \Theta^N(t) + U^N(t), U^N(t) \rangle. \quad (3.4)$$

Equations (3.3) and (3.4) together imply

$$\begin{aligned} 0 &= -\langle \Delta^{-1}\Theta^N(T), U^N(T) \rangle + \langle \Delta^{-1}\Theta^N(0), U^N(0) \rangle \\ &\quad + \int_0^T \left(\langle \Theta^N(t), U^N(t) \rangle - \|U^N(t)\|_{L^2}^2 \right) dt \\ &\quad - \int_0^T \left(\langle \Theta^N(t), \Delta W^N(t) \rangle - \|\Theta^N(t)\|_{L^2}^2 + a \langle \Theta^N(t), (\Delta W^N(t))^3 \rangle \right) dt. \end{aligned}$$

Then for every $\epsilon > 0$ we have

$$\begin{aligned} &\int_0^T \|U^N(t)\|_{L^2}^2 dt - \left(\int_0^T \|\Theta^N(t)\|_{L^2}^2 dt \right)^{1/2} \left(\int_0^T \|U^N(t)\|_{L^2}^2 dt \right)^{1/2} \\ &\leq \lambda_1^{-1} (E_N(0) + E_N(T)) + \epsilon \int_0^T \|\Delta W^N(t)\|_{L^2}^2 dt \\ &\quad + \left(1 + \frac{1}{4\epsilon} \right) \int_0^T \|\Theta^N(t)\|_{L^2}^2 dt - a \int_0^T \langle \Theta^N(t), (\Delta W^N(t))^3 \rangle dt. \end{aligned}$$

It now follows that

$$\begin{aligned} \int_0^T \|U^N(t)\|_{L^2}^2 dt &\leq 2\lambda_1^{-1} (E_N(0) + E_N(T)) + 2\epsilon \int_0^T \|\Delta W^N(t)\|_{L^2}^2 dt \\ &\quad + \lambda_1^{-1} \left(3 + \frac{1}{2\epsilon} \right) \int_0^T \|\nabla \Theta^N(t)\|_{L^2}^2 dt \\ &\quad - 2a \int_0^T \langle \Theta^N(t), (\Delta W^N(t))^3 \rangle dt. \end{aligned} \tag{3.5}$$

It remains to estimate the nonlinear term. We have by the Hölder inequality, the Sobolev embedding $H^1(\Omega) \subset L^4(\Omega)$, and the energy inequality,

$$\begin{aligned} \int_0^T \left| \langle \Theta^N(t), (\Delta W^N(t))^3 \rangle \right| dt &\leq \int_0^T \|\Theta^N(t)\|_{L^4} \|\Delta W^N(t)\|_{L^4}^3 dt \\ &\leq C \int_0^T \|\Delta W^N(t)\|_{L^4}^3 \|\nabla \Theta^N(t)\|_{L^2} dt \\ &\leq C \left(\int_0^T \|\Delta W^N(t)\|_{L^4}^6 dt \right)^{1/2} \\ &\quad \times \left(\int_0^T \|\nabla \Theta^N(t)\|_{L^2}^2 dt \right)^{1/2} \\ &\leq CE^{1/4}(0) \left(\int_0^T \|\Delta W^N(t)\|_{L^2}^4 dt \right)^{1/2} \end{aligned}$$

$$\begin{aligned} & \times \left(\int_0^T \|\nabla\Theta^N(t)\|_{L^2}^2 dt \right)^{1/2} \\ & \leq \frac{\epsilon}{a} \int_0^T E_N(t) dt \\ & \quad + \frac{C^2 E^{1/2}(0)a}{4\epsilon} \int_0^T \|\nabla\Theta^N(t)\|_{L^2}^2 dt. \end{aligned} \tag{3.6}$$

The statement of the lemma now follows from (3.5) and (3.6). \square

Lemma 3.3 (Recovery of potential energy). *Let (W^N, U^N, Θ^N) be a solution of (1.4)–(1.6). Then*

$$\int_0^T E_N^p(t) dt \leq \frac{1}{\lambda_1} (E_N(0) + E_N(T)) + 2 \int_0^T E_N^k(t) dt + \frac{1}{2\lambda_1} \int_0^T \|\nabla\Theta^N\|_{L^2}^2 dt.$$

Proof. Clearly

$$\int_0^T E_N^p(t) dt \leq \frac{1}{2} \int_0^T (\|\Delta W^N(t)\|_{L^2}^2 + a\|\Delta W^N\|_{L^4}^4 + \|\Theta^N\|_{L^2}^2) dt. \tag{3.7}$$

We multiply the second equation of (2.2) by the coefficients of $M_2(W^N(t), U^N(t), \Theta^N(t))$, sum from $m = 1$ to N , and integrate from 0 to T . After integrating by parts in t , and adding the term $\int_0^T \|\Theta^N(t)\|_{L^2}^2 dt$ to both sides of the equation, we obtain

$$\begin{aligned} & \int_0^T (\|\Delta W^N(t)\|_{L^2}^2 + a\|\Delta W^N(t)\|_{L^4}^4 + \|\Theta^N(t)\|_{L^2}^2) dt \\ & = -W^N(T)U^N(T) + W^N(0)U^N(0) + \int_0^T (\|U^N(t)\|_{L^2}^2 \\ & \quad + \langle \Theta^N(t), \Delta W^N(t) \rangle + \|\Theta^N(t)\|_{L^2}^2) dt \\ & \leq \lambda_1^{-1} (E_N(0) + E_N(T)) \\ & \quad + \int_0^T \left(\|U^N(t)\|_{L^2}^2 + \epsilon\|\Delta W^N(t)\|^2 + \frac{1}{4\lambda_1\epsilon} \|\nabla\Theta^N(t)\|^2 \right) dt, \end{aligned}$$

where $\epsilon > 0$ is arbitrary. Since $\|\Delta W^N(t)\|_{L^2}^2 \leq 2E_N^p(t)$, it follows that

$$\begin{aligned} & (2 - 2\epsilon) \int_0^T E_N^p(t) dt \\ & \leq \lambda_1^{-1} (E_N(0) + E_N(T)) + \int_0^T \left(\|U^N(t)\|_{L^2}^2 + \frac{1}{4\lambda_1\epsilon} \|\nabla\Theta^N(t)\|^2 \right) dt, \end{aligned}$$

and by choosing $\epsilon = 1/2$, the result follows. \square

Proof of Theorem 3.1. By combining the estimates of Lemma 3.2 and Lemma 3.3, we obtain

$$\int_0^T E_N(t)dt \leq \frac{3}{\lambda_1}(E_N(0) + E_N(T)) + 8\epsilon \int_0^T E_N(t)dt + \left(\frac{C^2\sqrt{E(0)}a^2}{\epsilon} + \frac{1}{2\lambda_1}\right) \int_0^T \|\nabla\Theta^N(t)\|_{L^2}^2 dt,$$

and so by choosing $\epsilon = 1/16$ we have

$$\int_0^T E_N(t) dt \leq \frac{6}{\lambda_1}(E_N(0) + E_N(T)) + \left(32C^2\sqrt{E(0)}a^2 + \frac{1}{\lambda_1}\right) \int_0^T \|\nabla\Theta^N(t)\|^2 dt.$$

From the energy identity (3.1), we estimate $E_N(0)$ in terms of $E_N(T)$ and in terms of the damping which, in turn, leads to:

$$\int_0^T E_N(t) dt \leq \frac{12}{\lambda_1}E_N(T) + \left(32C^2\sqrt{E(0)}a^2 + \frac{7}{\lambda_1}\right) \int_0^T \|\nabla\Theta^N(t)\|^2 dt. \tag{3.8}$$

Since $E_N(t) \geq E_N(T)$ for $t < T$,

$$TE_N(T) \leq \frac{12}{\lambda_1}E_N(T) + \left(32C^2\sqrt{E(0)}a^2 + \frac{7}{\lambda_1}\right) \int_0^T \|\nabla\Theta^N(t)\|^2 dt. \tag{3.9}$$

Hence

$$\left(T - \frac{12}{\lambda_1}\right) E_N(T) \leq (32C^2\sqrt{E(0)}a^2 + 7\lambda_1^{-1}) \int_0^T \|\nabla\Theta^N(t)\|_{L^2}^2 dt.$$

Defining

$$K(s) := \frac{32C_\Omega^2\sqrt{s}a^2 + 7\lambda_1^{-1}}{T - 6\lambda_1^{-1}} \tag{3.10}$$

and by choosing $T > 6/\lambda_1$, we have

$$E_N(T) \leq K(E(0)) \int_0^T \|\nabla\Theta^N(t)\|_{L^2}^2 dt.$$

So by (3.1),

$$(1 + K(E(0)))E_N(T) \leq K(E(0))E_N(0).$$

Consequently,

$$E_N(T) \leq \frac{K(E(0))}{1 + K(E(0))}E_N(0) = \gamma_{E(0)}E_N(0), \tag{3.11}$$

where

$$\gamma_s := \frac{K(s)}{1 + K(s)} < 1. \tag{3.12}$$

Propagating the above estimate over the intervals $[kT, (k+1)T]$, $k = 1, 2, \dots$, we obtain

$$E_N((k+1)T) \leq \frac{K(E(T))}{1 + K(E(kT))}E_N(kT) = \gamma_{E(kT)}E_N(kT) \tag{3.13}$$

Now we notice that γ_s is an increasing function of s . Indeed, this follows from the definition of γ_s and the fact that $K(s)$ is also an increasing function of s . Thus $\gamma_{s_1} \leq \gamma_{s_2}$, whenever $s_1 \leq s_2$. Since the energy $E(kT)$ is decreasing in k , we obtain that

$$\gamma_{E(kT)} \leq \gamma_{E(0)} \quad \text{for all } k = 1, 2, \dots$$

Thus

$$E_N((k + 1)T) \leq \gamma_{E(0)} E_N(kT), \quad k = 1, 2, \dots \tag{3.14}$$

This yields

$$E_N(kT) \leq \gamma_{E(0)}^k E_N(0), \quad k = 0, 1, 2, \dots \tag{3.15}$$

Since $\gamma_{E(0)} < 1$, one obtains $\gamma_R^k \leq e^{-\omega_R k T}$ with

$$\omega_R = -\frac{\log \gamma_R}{T} > 0.$$

Thus, for $t \in [kT, (k + 1)T]$ we have

$$E(t) \leq E(Tk) \leq E(0)e^{-\omega_R k T} \leq CE(0)e^{-\omega_R t}$$

where the constant C satisfies:

$$C = e^{\omega_R T} \leq \frac{T + \lambda_1^{-1}}{7\lambda_1^{-1}}.$$

This gives the final conclusion in the statement of the theorem. □

3.2. Exponential stability of the PDE solutions

We observe that the same proof as that of Theorem 3.1 works for any smooth solution by using the multipliers $-\Delta^{-1}\Theta$ and W in place of $\Delta^{-1}\Theta^N$ and W^N , respectively. Since we have not proved the existence of smooth solutions of the system (1.1)–(1.3), we use the Galerkin approximations and weak convergence to prove exponential decay of the weak solution.

Proof of Theorem 1.5. We begin by observing that using the estimates in Theorem 3.1, we can rework the argument in Subsection 2.7 so that we have the existence of a weak solution for all $t \geq 0$.

From the proof of Theorem 1.3 it follows that

$$\begin{aligned} W^N &\rightharpoonup W && \text{in } L^2([0, T]; W^{2,2}(\Omega)), \\ U^N &\rightharpoonup U && \text{in } L^2([0, T]; L^2(\Omega)), \\ \Theta^N &\rightharpoonup \Theta && \text{in } L^2([0, T]; L^2(\Omega)), \\ \Delta W^N &\rightharpoonup \Delta W && \text{in } L^2([0, T]; L^4(\Omega)). \end{aligned}$$

Take $[t, t + h] \subset [0, \infty)$. The norm in $L^2([t, t + h]; L^4(\Omega))$ is weakly lower semicontinuous. Hence

$$\int_t^{t+h} E(s) ds \leq \liminf_{N \rightarrow \infty} \int_t^{t+h} E_N(s) ds.$$

So by Theorem 3.1,

$$\frac{1}{h} \int_t^{t+h} E(s) ds \leq \frac{CE(0)}{h} \int_t^{t+h} e^{-\omega_R s} ds, \tag{3.16}$$

where we have used $E_N(0) \leq E(0)$. Then the limit as $h \rightarrow 0$ of the left-hand side of (3.16) exists for almost all $t \geq 0$ [50, Theorem 7.11, p. 141]. Consequently, for almost all $t \geq 0$, $E(t) \leq CE(0)e^{-\omega_R t}$. \square

3.3. Proof of the corollary

We need to show that the decay rates ω_R can be made independent on R . Our starting point is the estimate in Theorem 1.5. Let $E(0) \leq R$ and let $r > 0$ be an arbitrary positive constant. The constant C is given in (1.8) and is independent on R . We select the entrance time t_R when the solution enters the ball $B(0, r)$. This implies $E(t_R) \leq CR e^{-\omega_R t_R} \leq r$, hence $t_R = \max\{0, -\frac{\log \frac{r}{CR}}{\omega_R}\}$. With the above notation the semigroup property and the estimate (1.8) imply

$$E(t) \leq Cr e^{-\omega_r(t-t_R)}, \quad t \geq t_R.$$

The dissipativity relation yields $E(t) \leq R$ for $t \geq 0$, and hence the following cumulative estimate holds:

$$E(t) \leq \max\{R, Cr\} e^{\omega_r t_R} e^{-\omega_r t} = C(R, r) e^{-\omega_r t}.$$

We also recall that

$$\omega_R = -\frac{1}{T} \log \gamma_R = -\frac{1}{T} \log \frac{K(R)}{1 + K(R)}$$

where $K(R)$ is given by (3.10). Maximizing ω_r with respect to r leads to taking $r = 0$ in $K(r)$, given by (3.10), and gives

$$\omega^0 = \sup_{R>0} \omega_R = \frac{1}{T} \log \left(\frac{T + \lambda_1^{-1}}{7\lambda_1^{-1}} \right).$$

Since $C(R, r) \rightarrow \infty$ when $r \rightarrow 0$ we take a suboptimal value of $s = \epsilon^2$ which then leads to

$$\omega_\epsilon = \frac{1}{T} \log \left(\frac{T + \lambda_1^{-1} + 32C_\Omega^2 a^2 \epsilon}{7\lambda_1^{-1} + 32C_\Omega^2 a^2 \epsilon} \right). \tag{3.17}$$

We clearly have $\omega_\epsilon \rightarrow \omega_0$ as $\epsilon \rightarrow 0$.

Optimizing the above expression with respect to the choice of time $T \in (6\lambda_1^{-1}, \infty)$ yields the optimal value T^0 given by

$$\frac{T^0 + c}{b} = e^{\frac{T^0 b}{T^0 + c}}, \tag{3.18}$$

where $b := 7\lambda_1^{-1} + 32C_\Omega^2 a^2 \epsilon$ and $c := \lambda_1^{-1} + 32C_\Omega^2 a^2 \epsilon$.

Summing up, the “optimal” decay rates are given by ω_ϵ^0 given by expression (3.17) with T replaced by T_0 resulting from solving (3.18). The constant $C(R, \epsilon)$ is estimated by

$$C(R, \epsilon) = Re^{\omega_\epsilon t_R} = Re^{\omega_\epsilon \omega_R^{-1} \log(CR\epsilon^2)} = R \left(\frac{CR}{\epsilon^{-2}} \right)^{\omega_\epsilon \omega_R^{-1}},$$

which explicitly computed gives

$$\omega_\epsilon \omega_R^{-1} = \log \frac{T_0 + \lambda_1^{-1} + 32C_\Omega^2 \epsilon a^2}{7\lambda_1^{-1} + 32C_\Omega^2 \epsilon a^2} \times \left(\log \frac{T_0 + \lambda_1^{-1} + 32C_\Omega^2 \sqrt{Ra^2}}{7\lambda_1^{-1} + 32C_\Omega^2 \sqrt{Ra^2}} \right)^{-1}.$$

Clearly, $C(R, \epsilon) \rightarrow \infty$ when $\epsilon \rightarrow 0$. The proof of the corollary is completed.

4. Appendix

In this section we shall present results pertaining to existence of smooth solutions corresponding to the nonlinear problem (1.1)–(1.3). This is based on an approach which is very different from the one used before in this paper and leading to weak and global solutions. This approach relies on an explicit use of the analyticity of the semigroup corresponding to the linear part of the model. A critical role is played by the estimates reflecting “maximal regularity” of solutions to non-autonomous abstract parabolic equations [1, 44]. The resulting theory will lead to either local in time, or global and small data existence and uniqueness of smooth (classical) solutions, where the smoothness is measured with respect to Hölder continuity. The result obtained is as follows:

Theorem 4.1. *With reference to the problem (1.1)–(1.3).*

Let $x(0) := (\Delta W(0), W_t(0), \Theta(0)) \in X_1 \equiv C^{1+\epsilon}(\bar{\Omega}) \times C^{1+\epsilon}(\bar{\Omega}) \times C^{1+\epsilon}(\bar{\Omega})$, where $\epsilon > 0$ is arbitrary. Then there exists a time $T_0 > 0$ such that $x(t) = (\Delta W(t), U(t), \Theta(t))$ is a unique classical solution satisfying

- (1) $(\Delta W, U, \Theta) \in [C([0, T_0] \times \bar{\Omega})]^3 \cap [C^1((0, T_0) \times \bar{\Omega})]^3$
- (2) $(\Delta^2 W, \Delta U, \Delta \Theta) \in [C((0, T_0) \times \bar{\Omega})]^3$.

If in addition, $(\Delta W(0), U(0), \Theta(0)) \in [C_0^2(\bar{\Omega})]^3$, the the solution is strict, and the regularity described above extends to the closed intervals $[0, T_0]$.

The same conclusion holds with an arbitrary $T_0 < \infty$, provided however that $|x(0)|_{X_1} \leq r$, for r sufficiently small.

Remark 4.2. Theorem 4.1 establishes existence and uniqueness of classical solutions defined on some interval $[0, T_0]$. Instead of having solutions *local in time* one could also obtain, by following the methods in [44] solutions that are *global in time* at the expense of restricting the analysis to suitably small data. One way or another, this type of result pertains only to local (in time or space) solutions. This is in contrast with Theorem 1.3, which asserts global solutions, however of limited regularity. Combining both types of results with the aim of obtaining a full scale of spaces with various degrees of regularity, appears to be an open and difficult

problem. The technicalities and methodology involved in the two approaches are very different and incompatible.

Proof. Step 1. Abstract parabolic problem and maximal regularity. We shall first represent the original PDE system (1.1)–(1.3) as an abstract parabolic system. To accomplish this we define [39, 43] $Z := \Delta W$ and set $x := (Z, U, \theta)$. The differential operator Δ , equipped with zero Dirichlet boundary conditions, generates an analytic semigroup on $L^2(\Omega)$. With the above notation, the original system can be written in the following operator form:

$$x_t = \Delta \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 1 \\ 0 & -1 & 1 \end{bmatrix} x - a\Delta \begin{bmatrix} 0 \\ \phi(Z) \\ 0 \end{bmatrix}, \quad (4.1)$$

where $\phi(s) := s^3$. Denoting

$$A := \Delta \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 1 \\ 0 & -1 & 1 \end{bmatrix}, \quad (4.2)$$

it is easily seen that A is the generator of an analytic semigroup on $H := L^2(\Omega) \times L^2(\Omega) \times L^2(\Omega)$ and (4.1) can be rewritten as

$$x_t = Ax + AF(x) \quad (4.3)$$

where

$$F(x) := -a \begin{bmatrix} \phi(Z) & 0 & 0 \end{bmatrix}^\top.$$

Equation (4.3) is a nonlinear abstract parabolic system defined on H . The nonlinearity enters via the generator A , and so solvability of the system must depend on “maximal regularity” properties [16, 44]. Since maximal regularity does not hold within the context of the $L^\infty([0, T]; H)$ -topology [44], one should consider the problem within the framework of interpolation spaces based on the $C(\bar{\Omega})$ -topology. To accomplish this, we shall adopt and follow the framework of [44].

First of all we will be considering $\Delta : \text{Dom}(\Delta) \subset C(\bar{\Omega}) \rightarrow C(\bar{\Omega})$ with

$$\text{Dom}(\Delta) = \{ \phi \in C(\bar{\Omega}), \Delta\phi \in C(\bar{\Omega}), \phi = 0 \text{ on } \partial\Omega \}.$$

Moreover $\text{Dom}(\Delta) \supset \{ \phi \in C^2(\bar{\Omega}), \phi = 0 \text{ on } \partial\Omega \}$. It is known [44] that Δ generates an analytic semigroup on $C(\bar{\Omega})$. However, the generator has peculiar properties that include:

- (1) it is not densely defined,
- (2) it is not strongly continuous.

The operator A , whose action is defined in (4.2) is also an extended generator of an analytic semigroup on

$$X := C(\bar{\Omega}) \times C(\bar{\Omega}) \times C(\bar{\Omega})$$

with $\text{Dom}(A) := \text{Dom}(\Delta) \times \text{Dom}(\Delta) \times \text{Dom}(\Delta)$.

Step 2. Representation as a quasilinear abstract parabolic system. Rewriting

$$\Delta\phi(u) = \phi'(u)\Delta u + \phi''(u)|\nabla u|^2,$$

we obtain from (4.3) that

$$x_t = Ax - a \left[0, \phi'(Z)\Delta Z + \phi''(Z)|\nabla Z|^2, 0 \right]^\top.$$

Denoting

$$A(t, x) = A(x) := A - a \begin{bmatrix} 0 & 0 & 0 \\ \phi'(Z)\Delta & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}^\top, \tag{4.4}$$

leads us to the consideration of a quasilinear system :

$$x_t = A(x)x + f(x), \tag{4.5}$$

where

$$f(x) \equiv -a \left[0 \quad \phi''(Z)|\nabla Z|^2 \quad 0 \right]^\top.$$

Equation (4.5) is a quasilinear abstract parabolic system studied in [44]. In fact, Theorem 2.1 in [45] gives local existence and uniqueness of solutions under several hypotheses imposed on $A(x)$ and $f(x)$.

Step 3. Verification of the hypotheses for Theorem 2.1. We shall use the notation from [45]. The standing hypotheses (i) and (ii) on page 397 require that for any open set $U \in D_A(\theta, \infty)$ [16, 44], with some $\theta \in (0, 1)$, $A(x) : U \rightarrow L(D, X)$ and $f(x)$ are locally Lipschitz with respect to $x \in B(x_0, r) \subset D_A(\theta, \infty)$. This is to say,

$$\|A(x) - A(y)\|_{L(D, X)} + |f(x) - f(y)|_X \leq K|x - y|_{D_A(\theta, \infty)} \tag{4.6}$$

for $x, y \in B(x_0, r) \subset D_A(\theta, \infty)$.

The above is satisfied by taking $\theta > \frac{1}{2}$. For this it suffices to note the presence of ∇ in the definition of f and the characterization [45]

$$D_A(\infty, \theta) \sim \left\{ x \in [C^{2\theta}(\overline{\Omega})]^3 \mid x = 0 \text{ on } \partial\Omega \right\} \text{ for } \theta \neq 1/2.$$

Requirement (ii) in Theorem 2.1 is that $A(x_0)$ for $x_0 \in U$ is a generator of an analytic semigroup on X . This follows from the fact that $A(x)$ is strongly elliptic on the strength of $\phi' \geq 0$. Indeed, the operator $A(x)$ can be written as

$$A(x_0) = [I - a\phi'(Z_0)M]A$$

where the matrix M is equal to

$$M \equiv \begin{bmatrix} -1 & 1 & -1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

It is easy to see that for each $x_0 = (Z_0, U_0, \theta_0) \in U$ the matrix $I - a\phi'(Z_0)M$ is non-singular on the strength of $\phi(Z) \geq 0$. Since A generates an analytic semigroup, so does $A(x_0)$.

Thus [45, Theorem 2.] gives local existence and uniqueness of classical solutions for any initial data $x(0) \in [C_0^{1+\epsilon}(\overline{\Omega})]^3$. Similarly, stronger regularity of initial datum $x(0) \in \text{Dom}(A) \times \text{Dom}(A) \times \text{Dom}(A)$ implies that the obtained solution is strict. This implies the second part of Theorem 4.1. The third part of the Theorem follows from the fact that A generates an exponentially stable analytic semigroup. Thus, time globality of small data solutions follows from the theory presented in [46]. \square

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