Cosmological consequences of initial state entanglement

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ABSTRACT: We explore the cosmological consequences of having the fluctuations of the inflaton field entangled with those of another scalar, within the context of a toy model consisting of non-interacting, minimally coupled scalars in a fixed de Sitter background. We find that despite the lack of interactions in the Lagrangian, the initial state entanglement modifies the mode equation for the inflaton fluctuations and thus can induce changes in cosmological observables. These effects are examined for a variety of choices of masses and we find that they can be consistent with the requirement that the back reaction of the modified state not affect the inflationary phase while still giving rise to observable effects in the power spectrum. Our results suggest that more realistic extensions of the ideas explored here beyond the simple toy model may lead to interesting observable effects.

KEYWORDS: Cosmology of Theories beyond the SM, Thermal Field Theory, Effective field theories

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1 Introduction

Modern cosmological data (for example [1]) seem to indicate that the standard inflationary paradigm consisting of a single scalar inflaton undergoing slow-roll dynamics gives an excellent description of the very early Universe. In particular, the metric perturbations that give rise to CMB temperature fluctuations and drive the growth of large scale structure are thought to have arisen from quantum fluctuations in this field with the exponential growth of the scale factor stretching the wavelengths of these fluctuations from the micro to the macro scale.

It's worth assessing our understanding of this process, and in particular, how the initial quantum state of the fluctuations is chosen. The scalar metric perturbations are encoded in the gauge invariant variable $\zeta(\vec{x}, t)$ which can be thought of as the local change in the number of e-folds of inflation. This field is then quantized by choosing a particular solution to the relevant mode equations and using this choice to define the Fock space vacuum state. The standard lore then has it that since at short distances space-time looks flat, we should choose the linear combinations of solutions to the mode equation that match the flat space vacuum mode functions in the short distance limit. This is how the Bunch Davies (BD) state [2] is defined. However, it is certainly conceivable that as we go to shorter distances, other dynamical effects could make themselves felt; for example, the inflaton could be a composite, much like the pion, and that below a certain distance scale Λ^{-1} inflaton dynamics would be that of its constituents. Arguing that the quantum state of the composite would still be that of an undisturbed scalar would require that *all* the dynamics of the formation of the composite field would be adiabatic to an improbable extent. More generally, until we have a full theory of the inflaton, including its

UV completion, it would be difficult to make any certain assumptions about its vacuum state [3–7, 28]. An interesting and widely discussed specific case is when inflation for our observed “pocket universe” starts with a tunneling event (as discussed for example in [26]) which could lead to interesting observable phenomena if the inflation within the pocket universe is sufficiently short. Furthermore, tensions do exist in the current data (see for example appendix B of [25]), which ultimately could require some modification to the simplest picture for a resolution.

Given these open questions, a more fruitful approach to the initial quantum state of the inflaton might be an effective one. We should consider a more generally parametrized state and use the new parameters as measures of our ignorance of the process that sets the initial state. Then we can use the available data to constrain the deviations this state might exhibit from the BD state. It is with this philosophy in mind that we discuss the following interesting possibility for the inflaton initial quantum state.

In most extensions of the standard model of particle physics, scalar degrees of freedom other than the Higgs make an appearance. In particular, in string theory compactifications many other scalar fields can appear as moduli of the compactification. Let us assume then that there is at least one other scalar field in addition to the inflaton. Since the interactions between these fields need to be suppressed at least to the extent that the second scalar does not ruin the slow-roll properties of the inflaton, it would not be unreasonable to choose the quantum state describing these fields to be the tensor product of the inflaton state and that of the other field. However, there is no reason we could not consider a more general initial state such as an *entangled* one.

Our goal in this work is to consider such a state and ask how the entanglement might affect cosmological observables, such as the power spectrum and other correlation functions of the inflaton. To simplify matters, we will consider two scalar fields, Φ , which we will take to be the “inflaton”, and the non-inflaton field χ propagating in a *fixed* de Sitter background. A more realistic calculation, which we defer to later work, would be to use the gauge invariant curvature fluctuation field ζ and entangle it with the other spectator field as well as allowing for a quasi-de Sitter space-time. For now, our toy model will at least allow us to understand what changes to expect in terms of inflationary observables.

The natural framework to use to allow for the input of entanglement between the fields is the Schrödinger functional approach where we solve the functional Schrödinger equation for the wave-functional Ψ describing the evolution of the quantum state which then allows us to compute all correlation functions using Ψ . Whereas this would be quite a difficult undertaking in general, we can reduce the degree of difficulty by taking the action for the two fields to be completely free: there are no self-interactions, nor will we allow any cross couplings between the fields. The only way the two fields know about each other is through the entanglement in their joint initial state. We can then decouple the different wave numbers for each field mode and solve the ensuing quantum mechanical Schrödinger equations for each mode wave-function $\psi_{\vec{k}}$, where $\vec{k}$ is the comoving wave number of each mode. The effects of interactions could then be put in perturbatively, as usual (a step we save for a future paper).

In the next section, we will describe the functional Schrödinger formalism as it applies to our problem. We then compute the wave-functional for the entangled system and understand how to trace out the non-inflaton degree of freedom to arrive at the inflaton density matrix. Given the inflaton density matrix we calculate the corrections to the power spectrum for some simple choices of entanglement parameters. We then conclude with a discussion of further directions one could take this work in.

2 Schrödinger picture field theory: the set-up

There have been a number of works on the use of Schrödinger picture field theory in inflationary settings [8–10], so we just describe the salient points in this section.

As discussed in the introduction, we will consider two fields Φ, χ coupled to gravity and with no other interactions. Their action is

$$S = \frac{1}{2} \int d^4x \, a^4(\eta) \left[\frac{1}{a^2(\eta)} \left(\Phi'(\eta, \vec{x})^2 - (\nabla \Phi(\eta, \vec{x}))^2 \right) - m_\Phi^2 \Phi(\eta, \vec{x})^2 + \frac{1}{a^2(\eta)} \left(\chi'(\eta, \vec{x})^2 - (\nabla \chi(\eta, \vec{x}))^2 \right) - m_\chi^2 \chi(\eta, \vec{x})^2 \right], \quad (2.1)$$

where $a(\eta)$ is the (conformal time) scale factor of the background FRW space-time. Since the background de Sitter space has constant curvature, we allow for the possibility of non-minimal coupling to the curvature scalar by changes in the mass terms of each field.

We will need the Hamiltonian in order to be able to set up a functional Schrödinger equation for this system. This is easy to obtain and is given by

$$H = \int d^3x \left[\frac{\pi_\Phi^2}{2a^2(\eta)} + \frac{1}{2}a^2(\eta) \left((\nabla \Phi(\eta, \vec{x}))^2 + a^2(\eta)m_\Phi^2 \Phi(\eta, \vec{x})^2 \right) + \frac{\pi_\chi^2}{2a^2(\eta)} + \frac{1}{2}a^2(\eta) \left((\nabla \chi(\eta, \vec{x}))^2 + a^2(\eta)m_\chi^2 \chi(\eta, \vec{x})^2 \right) \right], \quad (2.2)$$

where π_Φ, π_χ are the canonically conjugate momenta to Φ, χ respectively.

It will be more useful to have the Hamiltonian written in terms of the comoving spatial momentum modes, where we are taking the FRW space-time to have flat spatial sections. We decompose Φ as

$$\Phi(\eta, \vec{x}) = \sum_{\vec{k}} \frac{\phi_{\vec{k}}}{\sqrt{V}} e^{-i\vec{k} \cdot \vec{x}}, \quad \pi_\Phi(\eta, \vec{x}) = \sum_{\vec{k}} \frac{\pi_{\Phi, \vec{k}}}{\sqrt{V}} e^{-i\vec{k} \cdot \vec{x}}, \quad (2.3)$$

with a similar decomposition for χ . Note that we are using box normalized modes with the V being the comoving volume of the spatial box. In terms of these modes we have

$$\begin{aligned} H &= H_\Phi + H_\chi \\ H_\Phi &= \sum_{\vec{k}} H_{\Phi, \vec{k}}, & H_{\Phi, \vec{k}} &= \frac{\pi_{\Phi, \vec{k}} \pi_{\Phi, -\vec{k}}}{2a^2(\eta)} + \frac{1}{2}a^2(\eta) (k^2 + m_\Phi^2 a^2(\eta)) \phi_{\vec{k}} \phi_{-\vec{k}} \\ H_\chi &= \sum_{\vec{k}} H_{\chi, \vec{k}}, & H_{\chi, \vec{k}} &= \frac{\pi_{\chi, \vec{k}} \pi_{\chi, -\vec{k}}}{2a^2(\eta)} + \frac{1}{2}a^2(\eta) (k^2 + m_\chi^2 a^2(\eta)) \chi_{\vec{k}} \chi_{-\vec{k}} \end{aligned} \quad (2.4)$$

In the Schrödinger picture, the state is represented by the wave function (more generally a density matrix) $\Psi = \Psi [\{\phi_{\vec{k}}\}, \{\chi_{\vec{k}}\}; \eta]$ and the momentum operators act on this wave function as dictated by the canonical commutation relations:

$$\pi_{\Phi, \vec{k}} \rightarrow -i \frac{\delta}{\delta \phi_{-\vec{k}}}, \quad \pi_{\chi, \vec{k}} \rightarrow -i \frac{\delta}{\delta \chi_{-\vec{k}}}.$$

The absence of interactions in our Hamiltonian allows us to factorize the wave function in terms of wave functions for each mode

$$\Psi [\{\phi_{\vec{k}}\}, \{\chi_{\vec{k}}\}; \eta] = \prod_{\vec{k}} \psi_{\vec{k}} [\phi_{\vec{k}}, \chi_{\vec{k}}; \eta] \quad (2.5)$$

As mentioned in the introduction, at this point one might also want to factor $\psi_{\vec{k}}$ into pieces only depending on $\phi_{\vec{k}}, \chi_{\vec{k}}$ separately, since there are no cross interactions. We will forgo this in order to allow for entanglement in the joint quantum state.

A quadratic Hamiltonian begs for a Gaussian ansatz so we will write

$$\psi_{\vec{k}} [\phi_{\vec{k}}, \chi_{\vec{k}}; \eta] = N_k(\eta) \exp \left[-\frac{1}{2} \left(A_k(\eta) \phi_{\vec{k}} \phi_{-\vec{k}} + B_k(\eta) \chi_{\vec{k}} \chi_{-\vec{k}} + C_k(\eta) (\phi_{\vec{k}} \chi_{-\vec{k}} + \chi_{\vec{k}} \phi_{-\vec{k}}) \right) \right]. \quad (2.6)$$

Here k is the magnitude of the wave vector $\vec{k}$ and the entanglement is put in by demanding that $C_k(\eta_0) \neq 0$. This form of the wave function is automatically invariant under spatial translations and rotations. If we had opted to do this calculation in the Heisenberg picture, the state above would correspond to a four mode ($\pm \vec{k}$ for each field) squeezed state, generalizing the usual two mode squeezed state description of a single field in de Sitter space.

The functional Schrödinger equation factorizes into an infinite number of ordinary Schrödinger equations, one for each mode:

$$i \partial_\eta \psi_{\vec{k}} [\phi_{\vec{k}}, \chi_{\vec{k}}; \eta] = \left(H_{\Phi, \vec{k}} + H_{\chi, \vec{k}} \right) \psi_{\vec{k}} [\phi_{\vec{k}}, \chi_{\vec{k}}; \eta]. \quad (2.7)$$

Inserting our ansatz eq. (2.6) into the above equation and then matching the powers of the field modes gives us the following equations for the normalization $N_k(\eta)$ and the kernels $A_k(\eta), B_k(\eta), C_k(\eta)$ (where the primes denote conformal time derivatives):

$$\begin{aligned} i \frac{N'_k}{N_k} &= \frac{(A_k + B_k)}{2a^2(\eta)} \\ i A'_k &= \frac{A_k^2 + C_k^2}{a^2(\eta)} - \Omega_{\Phi, k}^2 a^2(\eta), & \Omega_{\Phi, k}^2 &\equiv k^2 + m_\Phi^2 a^2(\eta) \\ i B'_k &= \frac{B_k^2 + C_k^2}{a^2(\eta)} - \Omega_{\chi, k}^2 a^2(\eta), & \Omega_{\chi, k}^2 &\equiv k^2 + m_\chi^2 a^2(\eta) \\ i \frac{C'_k}{C_k} &= \frac{(A_k + B_k)}{a^2(\eta)}. \end{aligned} \quad (2.8)$$

We can see from the last equation that if $C_k(\eta_0)$ vanished, then $C_k(\eta)$ would vanish identically and our state would then factorize. We also note that the equations for A_k, B_k are of the Ricatti form and can be converted into *linear*, second order equations by writing

$$i A_k(\eta) = a^2(\eta) \left(\frac{f'_k(\eta)}{f_k(\eta)} - \frac{a'(\eta)}{a(\eta)} \right), \quad i B_k(\eta) = a^2(\eta) \left(\frac{g'_k(\eta)}{g_k(\eta)} - \frac{a'(\eta)}{a(\eta)} \right). \quad (2.9)$$

The resulting equations for $f_k(\eta)$, $g_k(\eta)$ are

$$\begin{aligned} f_k'' + \left(\Omega_{\Phi,k}^2 - \frac{a''(\eta)}{a(\eta)} \right) f_k &= \frac{C_k(\eta)^2}{a^4(\eta)} f_k \\ g_k'' + \left(\Omega_{\chi,k}^2 - \frac{a''(\eta)}{a(\eta)} \right) g_k &= \frac{C_k(\eta)^2}{a^4(\eta)} g_k \end{aligned} \quad (2.10)$$

Furthermore, the equation for C_k yields the relation

$$\frac{C_k(\eta)}{a^2(\eta)} = \frac{\lambda_k}{f_k(\eta)g_k(\eta)}, \quad (2.11)$$

where λ_k is a constant.

We have traded a set of first order, non-linear equations for second order linear ones. The question then arises as to where the extra initial conditions required to fully solve the latter set come from. The clue to solving this puzzle comes from the fact that the kernels $A_k(\eta)$, $B_k(\eta)$ only depend on the *ratios* f'_k/f_k , g'_k/g_k . What this means operationally is that of the two integration constants required to specify a solution of eq. (2.10) only their ratio is physical. We can use this freedom to specify the Wronskians $W[f_k, f_k^*]$, $W[g_k, g_k^*]$, which are time independent. We will take both of these Wronskians to equal $-i$ so that we can write

$$f'_k(\eta_0) = \left(\frac{iA_k(\eta_0)}{a^2(\eta_0)} + \frac{a'}{a} \Big|_{\eta=\eta_0} \right) f_k(\eta_0), \quad |f_k(\eta_0)|^2 = \frac{a^2(\eta_0)}{2A_{kR}(\eta_0)}, \quad (2.12)$$

where A_{kR} is the real part of A_k with a similar equation for g_k . The second relation follows from the Wronskian condition while the first just comes from Ricatti equation change of variables evaluated at the initial time. We see then that to fully specify a solution for our mode functions, we need to specify $A_k(\eta_0)$.

Before we turn to this task, it's worth noting that with our choice of Wronskian normalization, the mode functions f_k , g_k have mass dimension $-1/2$ as do the modes $\phi_{\vec{k}}$, $\chi_{\vec{k}}$. This implies that the kernels have mass dimension $+1$ and thus that the parameter λ_k is dimensionless.

There is one more constraint that must be enforced for the wave function arrived at above to describe a physically allowed quantum state: it must be normalizable, i.e.

$$\int \mathcal{D}^2\phi_{\vec{k}} \mathcal{D}^2\chi_{\vec{k}} |\psi_{\vec{k}}|^2 |\psi_{-\vec{k}}|^2 < \infty. \quad (2.13)$$

The functional measure $\mathcal{D}^2\phi_{\vec{k}} \mathcal{D}^2\chi_{\vec{k}}$ includes the contribution arising from $\vec{k} \rightarrow -\vec{k}$ since the corresponding modes are complex conjugates of one another. That is to say, $\mathcal{D}^2\phi_{\vec{k}} \equiv \mathcal{D}\text{Re}\phi_{\vec{k}} \mathcal{D}\text{Im}\phi_{\vec{k}}$ and likewise for $\mathcal{D}^2\chi_{\vec{k}}$. This fact also accounts for the extra term $|\psi_{-\vec{k}}|^2$ in the integrand. Putting everything together, eq. (2.13) becomes

$$\int \mathcal{D}^2\phi_{\vec{k}} \mathcal{D}^2\chi_{\vec{k}} \exp \left[-2 \left(\phi_{\vec{k}} \chi_{\vec{k}} \right) \begin{pmatrix} A_{kR} & C_{kR} \\ C_{kR} & B_{kR} \end{pmatrix} \begin{pmatrix} \phi_{-\vec{k}} \\ \chi_{-\vec{k}} \end{pmatrix} \right] < \infty \quad (2.14)$$

This integral can be done in the usual way and it is proportional to $1/\det \mathcal{O}_k$ where $\mathcal{O}_k$ is the matrix appearing in the exponential in eq. (2.14). In order for the integral to be finite, both of the eigenvalues of $\mathcal{O}_k$ must be positive which implies the requirement: $A_{kR}B_{kR} - C_{kR}^2 > 0$. We can rewrite this in terms of the mode functions as

$$A_{kR}B_{kR} - C_{kR}^2 = \frac{a^4(\eta)}{4|f_k(\eta)|^2|g_k(\eta)|^2} \left(1 - 4|\lambda_k|^2 \cos^2(\rho_k - \theta_{fk}(\eta) - \theta_{gk}(\eta)) \right) > 0, \quad (2.15)$$

where we have defined ρ_k , θ_{kf} , θ_{kg} via

$$\lambda_k = |\lambda_k| e^{i\rho_k}, \quad f_k(\eta) = |f_k(\eta)| e^{i\theta_{kf}}, \quad g_k(\eta) = |g_k(\eta)| e^{i\theta_{kg}}.$$

We see that the normalization constraint can be satisfied as long as $|\lambda_k| < 1/2$.

What should we do about the initial values of the kernels A_k , B_k ? We would like to compare the effects of having an entangled state to the standard picture of inflation, i.e. where the initial inflaton state is chosen to be the BD state. This would correspond to setting $A_k(\eta_0)$ equal to $A_k^{\text{BD}}(\eta_0)$ where

$$iA_k^{\text{BD}}(\eta) = a^2(\eta) \left(\frac{f_k^{\text{BD}'}(\eta)}{f_k^{\text{BD}}(\eta)} - \frac{a'(\eta)}{a(\eta)} \right), \quad (2.16)$$

with

$$f_k^{\text{BD}}(\eta) = \frac{\sqrt{\pi}}{2} \sqrt{-\eta} H_{\nu_\Phi}^{(1)}(-k\eta), \quad \nu_\Phi = \sqrt{\frac{9}{4} - \frac{m_\Phi^2}{H_I^2}} \quad (2.17)$$

where $H_{\nu_\Phi}^{(1)}(-k\eta)$ is the Hankel function of the first kind. We will also take χ to be in its BD vacuum which implies that a similar story to the one above applies to B_k .

Putting all this together, we need to solve the following equations:

$$\begin{aligned} f_k'' + \left(\Omega_{\Phi,k}^2 - \frac{a''(\eta)}{a(\eta)} \right) f_k &= \frac{\lambda_k^2}{f_k g_k^2} \\ g_k'' + \left(\Omega_{\chi,k}^2 - \frac{a''(\eta)}{a(\eta)} \right) g_k &= \frac{\lambda_k^2}{f_k^2 g_k}, \end{aligned} \quad (2.18)$$

subject to the initial conditions

$$f_k(\eta_0) = f_k^{\text{BD}}(\eta_0), \quad f_k'(\eta_0) = f_k^{\text{BD}'}(\eta_0); \quad g_k(\eta_0) = g_k^{\text{BD}}(\eta_0), \quad g_k'(\eta_0) = g_k^{\text{BD}'}(\eta_0). \quad (2.19)$$

2.1 Perturbative solution

We will consider numerical solutions of eqs. (2.18) below, but some aspects of the solutions can be uncovered by a perturbative approach. We already know that BD modes give a good account of the data, so that any deviations, which are parametrized by the dimensionless coupling λ_k must be small. It would make sense then to use λ_k as a perturbative parameter in order to solve eqs. (2.18), (2.19). For small values of λ_k ($|\lambda_k| \ll 1/2$) we should expect this perturbative solution to match the actual one quite well. However, there might be some interesting effects that appear when λ_k is near its largest allowed value at which point a numerical solution is required.

Let's write

$$f_k(\eta) = f_k^{(0)}(\eta) (1 + \lambda_k^2 \mathcal{F}_k(\eta)), \quad g_k(\eta) = g_k^{(0)}(\eta) (1 + \lambda_k^2 \mathcal{G}_k(\eta)), \quad (2.20)$$

and then insert this in eq. (2.18), matching powers of λ_k . We find that $f_k^{(0)}(\eta)$, $g_k^{(0)}(\eta)$ satisfy the same equation satisfied by the BD modes and the initial conditions in eq. (2.19) then force $f_k^{(0)}(\eta) = f_k^{BD}(\eta)$, $g_k^{(0)}(\eta) = g_k^{BD}(\eta)$. The $\mathcal{O}(\lambda_k^2)$ terms then give:

$$\begin{aligned} \mathcal{F}_k''(\eta) + 2 \frac{f_k^{(0)'}(\eta)}{f_k^{(0)}(\eta)} \mathcal{F}_k' &= \frac{1}{f_k^{(0)2}(\eta) g_k^{(0)2}(\eta)} \\ \mathcal{G}_k''(\eta) + 2 \frac{g_k^{(0)'}(\eta)}{g_k^{(0)}(\eta)} \mathcal{G}_k' &= \frac{1}{f_k^{(0)2}(\eta) g_k^{(0)2}(\eta)}, \end{aligned} \quad (2.21)$$

together with the initial conditions

$$\mathcal{F}_k(\eta_0) = \mathcal{G}_k(\eta_0) = 0, \quad \mathcal{F}_k'(\eta_0) = \mathcal{G}_k'(\eta_0) = 0. \quad (2.22)$$

Eqs. (2.21) admit an integrating factor and using the initial conditions we then find:

$$\begin{aligned} \mathcal{F}_k(\eta) &= \int_{\eta_0}^{\eta} d\eta_1 \frac{1}{f_k^{BD}(\eta_1)^2} \int_{\eta_0}^{\eta_1} d\eta_2 \frac{1}{g_k^{BD}(\eta_2)^2}, \\ \mathcal{G}_k(\eta) &= \int_{\eta_0}^{\eta} d\eta_1 \frac{1}{g_k^{BD}(\eta_1)^2} \int_{\eta_0}^{\eta_1} d\eta_2 \frac{1}{f_k^{BD}(\eta_2)^2}. \end{aligned} \quad (2.23)$$

Setting $f_k^{BD}(\eta) = g_k^{BD}(\eta)$ allows for a great simplification in the expressions for $\mathcal{F}_k, \mathcal{G}_k$. If we interchange the order of integration in eq. (2.23), relabel $\eta_1 \leftrightarrow \eta_2$ and add the results, we find

$$\mathcal{F}_k(\eta) = \mathcal{G}_k(\eta) = \frac{1}{2} \left(\int_{\eta_0}^{\eta} \frac{d\eta'}{f_k^{BD}(\eta')^2} \right)^2. \quad (2.24)$$

3 Inflaton cosmological observables

We now turn to the task of computing what would be the important inflationary cosmological observables if Φ did indeed measure primordial curvature perturbations. While we could do this directly from the wave function of eq. (2.6), we will find it instructive to first construct the reduced density matrix for Φ and use it to compute the power spectrum. Having the inflaton density matrix at hand will also allow us to impose the constraints coming due to backreaction of the energy density associated with this state.

3.1 The inflaton density matrix

The reduced density matrix, ρ_Φ , for Φ is found by tracing out the χ degrees of freedom from the wave function in eq. (2.6). It has the following matrix elements in the Schrödinger picture:

$$\langle \phi | \rho_\Phi(\eta) | \tilde{\phi} \rangle \equiv \int \mathcal{D}\chi \langle \phi, \chi | \Psi(\eta) \rangle \langle \Psi(\eta) | \tilde{\phi}, \chi \rangle. \quad (3.1)$$

Due to the fact that the original wave function was the product of the wave functions for each wave number $\vec{k}$, the above expression also factorizes into the product of density matrix elements:

$$\rho_{\vec{k}} \left[\phi_{\vec{k}}, \tilde{\phi}_{\vec{k}}; \eta \right] = \int \mathcal{D}^2 \chi_{\vec{k}} \langle \phi_{\vec{k}}, \chi_{\vec{k}} | \Psi_{\vec{k}}(\eta) \rangle \langle \Psi_{\vec{k}}(\eta) | \tilde{\phi}_{\vec{k}}, \chi_{\vec{k}} \rangle. \quad (3.2)$$

The integrals can all be done easily and in the end we find

$$\rho_{\vec{k}} \left[\phi_{\vec{k}}, \tilde{\phi}_{\vec{k}}; \eta \right] = \frac{2}{\pi} \left(A_{kR} - \frac{C_{kR}^2}{B_{kR}} \right) \exp \left[-\gamma_k \phi_{\vec{k}} \phi_{-\vec{k}} - \gamma_k^* \tilde{\phi}_{\vec{k}} \tilde{\phi}_{-\vec{k}} + \beta_k \left(\phi_{\vec{k}} \tilde{\phi}_{-\vec{k}} + \phi_{-\vec{k}} \tilde{\phi}_{\vec{k}} \right) \right] \quad (3.3)$$

where

$$\gamma_k \equiv A_k - \frac{C_k^2}{2B_{kR}}, \quad \beta_k \equiv \frac{|C_k|^2}{2B_{kR}} \quad (3.4)$$

and the prefactor can be found from demanding the trace of the density matrix be unity.

As expected, we see that the reduced density matrix corresponds to a mixed state due to the loss of information about χ and that the mixing is controlled by the entanglement parameter C_k .

3.2 The backreaction constraint

If we want inflation to actually occur, the energy density in the inflaton fluctuations must be smaller than that of the inflaton background. This translates to the constraint

$$\rho_{\text{flucts}} \equiv \langle T_0^0 \rangle \ll M_{Pl}^2 H_I^2, \quad (3.5)$$

where H_I is the Hubble parameter during inflation and the expectation value of the stress energy is taken in our state. For a massless, minimally coupled free field the energy density ρ_{flucts} can be written as a sum of contributions from each mode. These latter quantities are given by

$$\rho_{\vec{k}}(\eta) = \frac{\langle \pi_{\vec{k}} \pi_{-\vec{k}} \rangle}{2a^6(\eta)} + \frac{\Omega_{\Phi,k}^2}{2a^2(\eta)} \langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle. \quad (3.6)$$

The Φ two-point function can be easily computed, since the density matrix has already been written in the field representation:

$$\begin{aligned} \langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle(\eta) &\equiv \text{Tr} \left(\rho_{\vec{k}}(\eta) \phi_{\vec{k}} \phi_{-\vec{k}} \right) = \\ &= \frac{2}{\pi} \left(A_{kR} - \frac{C_{kR}^2}{B_{kR}} \right) \int \mathcal{D}^2 \phi_{\vec{k}} \phi_{\vec{k}} \phi_{-\vec{k}} \exp \left(-2(\gamma_{kR} - \beta_k) \phi_{\vec{k}} \phi_{-\vec{k}} \right) = \\ &= \frac{B_{kR}}{2(A_{kR} B_{kR} - C_{kR}^2)}. \end{aligned} \quad (3.7)$$

We can rewrite this in terms of the modes f_k , g_k , using the definitions in eq. (2.15) as

$$\langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle(\eta) = \frac{|f_k(\eta)|^2}{a^2(\eta)} \left(\frac{1}{1 - 4|\lambda_k|^2 \cos^2(\rho_k - \theta_{kf} - \theta_{kg})} \right) \quad (3.8)$$

The first factor, with the standard BD mode in place of $f_k(\eta)$ would be the usual result for the 2-pt function in the absence of any entanglement. The change in the mode, together

with the second factor encodes all the new physics brought on by entangling the inflaton with χ .

In the Schrödinger picture $\langle \pi_{\vec{k}} \pi_{-\vec{k}} \rangle$ is given by

$$\langle \pi_{\vec{k}} \pi_{-\vec{k}} \rangle = \int \mathcal{D}^2 \phi_{\vec{k}} \left(-\frac{\delta^2}{\delta \phi_{\vec{k}} \delta \phi_{-\vec{k}}} \langle \phi_{\vec{k}} | \rho(\eta) | \tilde{\phi}_{\vec{k}} \rangle \right) \Big|_{\tilde{\phi}_{\vec{k}} = \phi_{\vec{k}}} = \gamma_k - (\gamma_k - \beta_k)^2 \langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle, \quad (3.9)$$

where γ_k, β_k are as given in eq. (3.4). Using the above result that $\langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle = 1/(2(\gamma_{kR} - \beta_k))$ we can show that this expectation value is indeed real as befits a hermitian operator and that

$$\langle \pi_{\vec{k}} \pi_{-\vec{k}} \rangle = \frac{1}{2} \left(\frac{|\gamma_k|^2 - \beta_k^2}{\gamma_{kR} - \beta_k} \right). \quad (3.10)$$

To write this in terms of the mode functions, set $\Theta_k(\eta) \equiv \rho_k - \theta_{kf}(\eta) - \theta_{kg}(\eta)$, to arrive at:

$$\begin{aligned} \beta_k &= |\lambda_k|^2 \left(\frac{|f_k(\eta)|^2}{a^2(\eta)} \right)^{-1} \\ \gamma_{kR} &= \frac{1}{2} \left(\frac{|f_k(\eta)|^2}{a^2(\eta)} \right)^{-1} \left(1 - 2 |\lambda_k|^2 \cos 2 \Theta_k(\eta) \right) \\ \gamma_{kI} &= \frac{1}{2} \left(\frac{|f_k(\eta)|^2}{a^2(\eta)} \right)^{-1} \left(\left(\frac{|f_k(\eta)|^2}{a^2(\eta)} \right)' - 2 |\lambda_k|^2 \sin 2 \Theta_k(\eta) \right), \end{aligned} \quad (3.11)$$

where again the prime denotes an η derivative. Putting all these results together we find

$$\begin{aligned} \langle \pi_{\vec{k}} \pi_{-\vec{k}} \rangle &= \frac{1}{4} \left(\frac{|f_k(\eta)|^2}{a^2(\eta)} \right)^{-1} \\ &\times \left(\frac{1 + \left[\partial_\eta \left(\frac{|f_k(\eta)|^2}{a^2(\eta)} \right) \right]^2 - 4 |\lambda_k|^2 \left(\cos 2 \Theta_k(\eta) + \partial_\eta \left(\frac{|f_k(\eta)|^2}{a^2(\eta)} \right) \sin 2 \Theta_k(\eta) \right)}{1 - 4 |\lambda_k|^2 \cos^2 \Theta_k(\eta)} \right). \end{aligned} \quad (3.12)$$

We could try to bound the total energy density coming from our results, but we will be better served by using the perturbative expansion developed above.

Taking $\rho_k = 0$ as done earlier gives us the following result:

$$\begin{aligned} \langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle(\eta) &= \frac{|f_k^{BD}(\eta)|^2}{a^2(\eta)} \left(1 + \lambda_k^2 \left(2 \text{Re } \mathcal{F}_k + 4 \cos^2 \left(\theta_{kf}^{BD} + \theta_{kg}^{BD} \right) \right) \right) = \\ &= \langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle^{BD}(\eta) + \lambda_k^2 \frac{|f_k^{BD}(\eta)|^2}{a^2(\eta)} \left(2 \text{Re } \mathcal{F}_k + 4 \cos^2 \left(\theta_{kf}^{BD} + \theta_{kg}^{BD} \right) \right) \\ \langle \pi_{\vec{k}} \pi_{-\vec{k}} \rangle &= \langle \pi_{\vec{k}} \pi_{-\vec{k}} \rangle^{BD} + \end{aligned} \quad (3.13)$$

$$\begin{aligned} &+ \frac{\lambda_k^2}{4} \left(\frac{|f_k^{BD}(\eta)|^2}{a^2(\eta)} \right)^{-1} \left(4 \sin^2 (\theta_{kf}^{BD}(\eta) + \theta_{kg}^{BD}(\eta)) - 4 \sin 2(\theta_{kf}^{BD}(\eta) + \theta_{kg}^{BD}(\eta)) \partial_\eta \left(\frac{|f_k^{BD}(\eta)|^2}{a^2(\eta)} \right) \right. \\ &\left. + 4 \cos^2 (\theta_{kf}^{BD}(\eta) + \theta_{kg}^{BD}(\eta)) \left(\partial_\eta \left(\frac{|f_k^{BD}(\eta)|^2}{a^2(\eta)} \right) \right)^2 - 2 \text{Re } \mathcal{F}_k(\eta) + 2 \text{Re } \mathcal{F}_k(\eta) \left(\partial_\eta \left(\frac{|f_k^{BD}(\eta)|^2}{a^2(\eta)} \right) \right)^2 \right) \end{aligned}$$

$$+4 \left(\frac{|f_k^{BD}(\eta)|^2}{a^2(\eta)} \right) \left(\partial_\eta \left(\frac{|f_k^{BD}(\eta)|^2}{a^2(\eta)} \right) \right) \partial_\eta \text{Re } \mathcal{F}_k(\eta), \quad (3.14)$$

where $\theta_{kf}^{BD}(\eta)$, $\theta_{kg}^{BD}(\eta)$ are the relevant phases of the BD modes.

The energy density coming only from the Bunch-Davies terms will have the usual UV divergences which we will assume are dealt with by renormalizing the BD contribution in the usual way. We will attempt to put bounds on the new contribution coming from the entanglement. In general this is a difficult task but we can get an idea of what the constraint might look like by taking both Φ and χ to be massless minimally coupled scalars, so that $\nu_\Phi = \nu_\chi = 3/2$:

$$f_k^{BD}(\eta) = g_k^{BD}(\eta) = -\frac{e^{ik\eta}(k\eta + i)}{\sqrt{2}k^{3/2}\eta}, \quad (3.15)$$

We can extract the phases $\theta_{kf}^{BD} = \theta_{kg}^{BD} = k\eta + \tan^{-1}(1/k\eta)$ as well as compute the perturbative corrections in eq. (2.24) explicitly using eq. (3.15). We have

$$\int_{\eta_0}^{\eta} \frac{d\eta'}{f_k^{BD}(\eta')^2} = \exp(iG(k\eta)) - \exp(iG(k\eta_0)), \quad G(x) \equiv -2x + \tan^{-1}x - \tan^{-1}\frac{1}{x}. \quad (3.16)$$

Squaring this we find

$$\mathcal{F}_k(\eta) = \frac{1}{2} (\exp(2iG(k\eta)) + \exp(2iG(k\eta_0)) - 2\exp(i(G(k\eta) + G(k\eta_0))), \quad (3.17)$$

so that

$$\text{Re } \mathcal{F}_k = \frac{1}{2} (\cos(2G(k\eta)) + \cos(2G(k\eta_0)) - 2\cos(G(k\eta) + G(k\eta_0))) \quad (3.18)$$

The largest contribution to the energy density comes at the earliest time η_0 . Further, the contributions coming from $\text{Re } \mathcal{F}_k$ will be oscillatory and bounded. We find that the bounds on λ_k are not very stringent and are of the form (here Λ is the comoving momentum cutoff):

$$H^4 \eta_0^4 \int_0^\Lambda dk k^3 \lambda_k^2 \ll M_{\text{Pl}}^2 H^2. \quad (3.19)$$

This integral constraint is easy enough to satisfy for a variety of reasonable choices of λ_k , such as power laws or damped exponentials.

There is another constraint coming from making sure the inflaton zero mode is not disturbed from its slow-roll trajectory, the so-called tadpole constraint [11, 28]. We do not expect this to be a problem but defer this discussion to when we construct more realistic models.

3.3 The inflaton power spectrum

A full scale investigation into the effects of initial state entanglement will have to wait for later work. In the meantime, though, we can at least examine some simple examples that might help guide us. We will first set up our mode equations in such a way as to be more amenable to numerical solutions. We will then use these solutions to compute the power spectrum

$$\Delta_\Phi^2(k) \equiv \frac{k^3}{2\pi^2} \langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle |_{\eta \rightarrow 0^-} \quad (3.20)$$

In standard inflation, this would have power-law behavior $\propto k^{n_s-1}$, with n_s being the spectral index.

3.3.1 Numerical set up

We will rewrite eqs. (2.18), (2.19) in dimensionless form by defining $\tau \equiv \eta/\eta_0$, $q = -k\eta_0$, $\tilde{f}_q(\tau) \equiv f_k(\eta)/\sqrt{-\eta_0}$ and likewise for $\tilde{g}_q(\tau)$. It is easy to see that $\tau = \exp(-N(\eta))$ where $N(\eta)$ is the number of e-folds elapsed since the beginning of inflation. We can also interpret q as measuring k in units of the wavenumber $k_{\min} = -1/\eta_0$ which corresponds to the scale that left the de Sitter horizon at $\eta = \eta_0$. Note that the range of τ is from the initial value $\tau = 1$ to zero, or more realistically, to τ_{end} which would denote the end of inflation.

In terms of these variables our equations and initial conditions become

$$\begin{aligned} \left(\frac{d^2}{d\tau^2} + \left(q^2 + \frac{\frac{1}{4} - \nu_\Phi^2}{\tau^2} \right) \right) \tilde{f}_q(\tau) &= \frac{\lambda_q^2}{\tilde{g}_q(\tau)^2 \tilde{f}_q(\tau)} \\ \left(\frac{d^2}{d\tau^2} + \left(q^2 + \frac{\frac{1}{4} - \nu_\chi^2}{\tau^2} \right) \right) \tilde{g}_q(\tau) &= \frac{\lambda_q^2}{\tilde{f}_q(\tau)^2 \tilde{g}_q(\tau)} \\ \tilde{f}_q(\tau=1) &= \frac{\sqrt{\pi}}{2} H_{\nu_\Phi}^{(1)}(q), \quad \partial_\tau \tilde{f}_q(\tau)|_{\tau=1} = \partial_\tau \left(\frac{\sqrt{\pi\tau}}{2} H_{\nu_\Phi}^{(1)}(q\tau) \right) |_{\tau=1} \\ \tilde{g}_q(\tau=1) &= \frac{\sqrt{\pi}}{2} H_{\nu_\chi}^{(1)}(q), \quad \partial_\tau \tilde{g}_q(\tau)|_{\tau=1} = \partial_\tau \left(\frac{\sqrt{\pi\tau}}{2} H_{\nu_\chi}^{(1)}(q\tau) \right) |_{\tau=1} \end{aligned} \quad (3.21)$$

The power spectrum becomes

$$\Delta_\Phi^2(q) = \left(\frac{H^2}{2\pi^2} \right) \left(\frac{q^3 \tau^2 |\tilde{f}_q(\tau)|^2}{1 - 4\lambda_q^2 \cos^2 \Theta_q(\tau)} \right) \equiv \left(\frac{H^2}{2\pi^2} \right) \tilde{\Delta}^2(q), \quad (3.22)$$

where $\Theta_q(\tau) \equiv \theta_{q\tilde{f}}(\tau) + \theta_{q\tilde{g}}(\tau)$, $\tau \rightarrow 0$ and we have taken λ_q to be real. While the back reaction constraint of eq. (3.19) puts bounds on the high q behavior of λ_q we will take it to be essentially constant for our numerical work. We expect that, given that to a large extent the power spectrum exhibits scale invariance at least for observationally accessible scales, and that λ_q controls the running of the spectral index, λ_q should not have too great a dependence on q over these scales.

In figure 1 we exhibit a plot of $\tilde{\Delta}^2(q)$ as a function of q for $\lambda_q = 0, 0.1, 0.3$. We take the inflaton field to have $\nu_\Phi = 3/2 + \epsilon$, with $\epsilon = 0.01$ allowing for a closer relation to slow-roll inflation in the sense of giving a red tilted spectrum, while $\nu_\chi = 3/2$, so that χ is massless and minimally coupled. We see that for $\lambda_q \neq 0$, the overall power is increased relative to the $\lambda_q = 0$ case (the lowest curve); this is due to the decrease in the denominator in eq. (3.22). We also see that the $\lambda_q = 0$ line acts as a lower envelope for all the curves. This should not be a surprise since for those times for which $\cos^2(\theta_{kf}(\eta) + \theta_{kg}(\eta)) = 0$ we just get the result of the uncoupled case back. At higher k the oscillation frequency becomes greater and greater such that observations will not be able to resolve these oscillations. At lower k however, the oscillations have not yet coalesced and might give rise to observable effects.

Figure 1. The power $\tilde{\Delta}_\Phi^2(q)$ versus q , for different values of the entanglement parameter $\lambda_q = 0, 0.1, 0.3$ using Φ nearly massless and χ massless. The non-entangled curve is straight, and increasing the entanglement introduces increasing amplitudes of oscillatory behavior on top of the straight piece.

Figure 2. A power spectrum plot with the same parameters as figure 1 except with a significant mass assigned to χ : $m_\chi = 10H$. The overall effects are similar but with different detailed features in the oscillations.

We can also consider the case where χ is massive with a mass larger than H . In figure 2, we take $\nu_\Phi = 3/2 + \epsilon$ so that Φ is nearly massless and $m_\chi \sim 10H$. We see that in this case the phase of the oscillations remains constant with k .

3.3.2 Perturbative power spectrum

How accurate is our perturbative approximation to the modes and the power spectrum? If we consider the case where both fields are massless and minimally coupled, then using eq. (3.13) we can infer the fractional change in the power spectrum $P(k) = \langle \phi_{\vec{k}} \phi_{-\vec{k}} \rangle(\eta)$

Figure 3. Plots of $\tilde{\Delta}_\Phi^2(q)$ versus q , for $\lambda_q = 0.1$ and $\nu_\Phi = \nu_\chi = 3/2$, comparing the numerical solution (solid line) to the perturbative solution (dashed line).

relative to its unperturbed BD value:

$$\begin{aligned} \frac{\Delta P(k)}{P_{BD}(k)} &= \lambda_k^2 \left(2 \operatorname{Re} \mathcal{F}_k(\eta) + 4 \cos^2(\theta_{kf}^{BD} + \theta_{kg}^{BD}) \right) = \\ &= \lambda_k^2 \left(\cos 2G(k\eta) + \cos 2G(k\eta_0) - 2 \cos(G(k\eta) + G(k\eta_0)) + 4 \cos^2 2 \left(k\eta + \tan^{-1} \frac{1}{k\eta} \right) \right). \end{aligned} \quad (3.23)$$

If we evaluate this at late times $\eta \rightarrow 0^-$ we arrive at

$$\left. \frac{\Delta P(k)}{P_{BD}(k)} \right|_{\eta \rightarrow 0^-} = \lambda_k^2 \left(4 - 2 \sin^2 G(k\eta_0) + 2 \sin G(k\eta_0) \right). \quad (3.24)$$

In terms of our dimensionless variables defined above we have:

$$\tilde{\Delta}_\Phi^2 \text{pert}(q) = \frac{1}{2} \left(1 + \lambda_q^2 (3 + \cos 2G(q) + 2 \sin G(q)) \right). \quad (3.25)$$

We plot both the perturbative as well as the numerical power spectra for this case with $\lambda_q = 0.1$, $\nu_\Phi = \nu_\chi = 3/2$ in figure 3. We see that for this case, the perturbative result captures both the qualitative behavior as well as most of the quantitative results compared to the numerical solution.

More generally, we can do the integrals in eq. (2.23) numerically to construct the perturbative modes and use those to compute the power spectrum given in eq. (3.13).

Figure 4 shows that for $\lambda_q = 0.1$ there is very good agreement between the approximate and full numerical solutions. Needless to say, this agreement gets worse as λ_q increases. For $\lambda_q = 0.3$, we plot both curves in figure 5. We see that by this point the difference between the two curves is large enough that we should not trust the perturbative solution here.

4 Conclusions and further directions

Our goal in this work was to exploit the fact that the inflaton would most likely not appear alone, but accompanied by a plethora of other scalar fields, all of which would also be in

Figure 4. Plots of $\tilde{\Delta}_\Phi^2(q)$ versus q , for $\lambda_q = 0.1$, $\nu_\Phi = 3/2 + 0.01$, $\nu_\chi = 3/2$, comparing the numerical solution (solid line) to the perturbative solution (dashed line).

Figure 5. Plots of $\tilde{\Delta}_\Phi^2(q)$ versus q , for $\lambda_q = 0.3$, $\nu_\Phi = 3/2 + 0.01$, $\nu_\chi = 3/2$, comparing the numerical solution (solid line) to the perturbative solution (dashed line). The agreement is significantly worse than at $\lambda_q = 0.1$.

some quantum state at an initial time η_0 . Thinking of entangled states of these fields with the inflaton is then quite natural. What we've seen here is that even in the case where the fields are completely decoupled from each other, initial state entanglement couples the modes together through the Schrödinger equation for the wave functional describing the system. This coupling of the modes then modifies cosmological observables such as the power spectrum and presumably would also have an effect on the bispectrum as well. In the example we worked out here, with two free coupled scalar fields, we saw that the standard constraints on modifications of the quantum state of inflaton fluctuations, such as the back reaction constraint, could be satisfied while still allowing for potentially observable deviations from the BD results for the power spectrum.

Perhaps the simplest way to describe what we have done is that we have used the prospect of entanglement with additional fields to motivate choosing a state for the inflaton other than the usual Bunch Davies (BD) vacuum. The entanglement we consider puts the inflaton in a mixture of different energy eigenstates, not just the single BD state. Having constructed such a state it is not surprising that oscillations appear, which is a generic outcome when states are made of simple combinations of different energy eigenstates.

The size of the oscillations induced by the entanglement depends strongly on the value of λ_k ; this should give us a large lever arm that we can use constrain large portions of the entanglement parameter space using currently available data. What is needed next is to generalize our calculations to the case where the near massless field is the curvature fluctuation $\zeta(\vec{x}, t)$ entangle it with some other scalar field and then run the resulting power spectra through a Boltzmann integrator that can deal with oscillatory primordial power spectra [12, 13] to construct the resulting C_l 's; this will appear in later work. In particular, if the BICEP2 results are determined to be cosmological [15] there are tensions between it and the Planck data on the tensor to scalar amplitude ratio r . So it might be interesting to entangle ζ with the (also gauge invariant) tensor perturbations h_{ij} . This would modify both contributions to the TT power spectrum and introduce a dependence on the entanglement parameter λ_k into the Planck bounds on r .

We can also use our density matrix together with the cubic interactions of ζ to compute the effects of this new state on the bispectrum [11, 16–19] and in particular, check the so-called consistency relation [20–22].

There are other calculations to be done but now dealing with the evolution of the inflaton zero mode. We can generalize our entangled state to allow for a Φ zero mode and then use the tadpole condition [23] to understand how the self consistent equation of motion for the zero mode (including the semiclassical back reaction of the fluctuations on the FRW geometry) gets modified by the entanglement. Also, it would be interesting to revisit the issues involved in reheating now that a new type of coupling between fields is allowed.

The question of exactly *how* an entangled initial state might be produced is also a fascinating one and should be investigated further (for some work on entangled states in de Sitter space, see [24, 27]).

In short, quantum states where the inflaton fluctuations are entangled with those of other fields offer a new set of vistas to be explored. We find it very interesting that current data may already place interesting bounds on how much entanglement could have occurred in the initial state, and we expect that many new effects will appear as our understanding of these states deepens.

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