RECEIVED: September 3, 2014

ACCEPTED: October 20, 2014

PUBLISHED: November 5, 2014

Color dipole cross section and inelastic structure function

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ABSTRACT: Instead of starting from a theoretically motivated form of the color dipole cross section in the dipole picture of deep inelastic scattering, we start with a parametrization of the deep inelastic structure function for electromagnetic scattering with protons, and then extract the color dipole cross section. Using the parametrizations of $F_2(\xi = x \text{ or } W^2, Q^2)$ by Donnachie-Landshoff and Block et al., we find the dipole cross section from an approximate form of the presumed dipole cross section convoluted with the perturbative photon wave function for virtual photon splitting into a color dipole with massless quarks. The color dipole cross section determined this way reproduces the original structure function within about 10% for $0.1 \text{ GeV}^2 \leq Q^2 \leq 10 \text{ GeV}^2$. We discuss the dipole cross section at large and small dipole sizes and compare our results with other parametrizations.

KEYWORDS: QCD Phenomenology, Deep Inelastic Scattering (Phenomenology)

ARXIV EPRINT: [1403.2551](https://arxiv.org/abs/1403.2551)

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1 Introduction

Of broad interest in particle and astroparticle physics is the hadronic cross section. The inclusive hadronic cross section is measured over a range of energies in laboratory experiments [1], and in cosmic ray experiments, for example, the recent proton-air cross section measured at $\sqrt{s} = 57$ TeV by the Pierre Auger Observatory [2]. The hadronic cross section is important for the analysis of a range of experiments and it is an essential input to many particle shower simulations, particularly for the forward production of hadrons. In the context of astroparticle physics, the hadronic cross section is needed to calculate the atmospheric flux of leptons from cosmic ray interactions with air nuclei [3, 4].

The structure of hadrons is also probed by weak and electromagnetic interactions. Laboratory experiments in electron scattering and neutrino scattering with hadronic targets measure cross sections up to $\sqrt{s} \simeq 200$ GeV [1], while neutrino telescopes and gamma ray telescopes are sensitive to even higher energies. For purely hadronic interactions and electroweak inelastic scattering with hadrons, in many cases, one is interested in kinematic regimes far different from the experimentally measured regimes. This requires additional theoretical input to the modeling of the hadronic structure.

Hadronic structure is most easily studied with deep inelastic electromagnetic scattering. Deep inelastic electromagnetic scattering is characterized by structure functions F_i that depend on the kinematic variables Bjorken- x and momentum transfer Q . In the parton model, the structure functions are written in terms of parton distribution functions with

an evolution in $\ln Q^2$ governed by the Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equations [5–7]. When Bjorken- x becomes very small, DGLAP evolution fails. In parton language, the failure is due to gluon recombination which introduces nonlinear effects into the evolution equations [8, 9].

An alternative approach which can model small x saturation effects is the color dipole model [10, 11]. The dipole model for DIS has virtual photons fluctuate to $q\bar{q}$ dipoles which then scatter with the hadronic target via the dipole cross section $\hat{\sigma}$. In this approach, the structure function F_i comes from the convolution of the virtual photon wave function squared (for splitting into the color dipole) $|\Psi_{L,T}^{(f)}(r, \alpha; Q^2)|^2$ with the dipole cross section $\hat{\sigma}(r, \xi)$, integrated over the spatial splitting of the dipole r and the longitudinal momentum fraction α of the quark in the dipole. The quantity ξ may be Bjorken- x or the square of the total energy W^2 in γ^*p collisions. The dipole picture can be useful in many contexts, for example, ultrahigh energy neutrino scattering where one may approach the unitarity limit, and high energy hadronic production of charm, where small x and $Q \sim m_c$ are relevant kinematic variables.

The usual starting point in the color dipole model is to postulate a functional form of the dipole cross section guided by theoretical or phenomenological considerations. Given this parametrization of $\hat{\sigma}$, hadronic processes as well as DIS scattering can be used to determine free parameters in $\hat{\sigma}$. There are a number of models for the dipole cross section [12–21]. The Golec-Biernat-Wüsthoff model [12] explicitly includes saturation and an effect called geometric scaling. Geometric scaling describes the behavior of DIS observables at low x , for example σ_{γ^*p} , depends on a scaling variable $\mathcal{T} = Q^2 R_0^2(x)$. Other authors, including Soyez [16], have done more elaborate fits to DIS data for dipole cross section parametrizations guided by theory that also include geometric scaling [14, 15].

Besides the parametrization of the dipole cross section, there are direct parametrizations of $F_2(x, Q^2)$ [22–31] based on fits to the DIS data [32–38], typically for small x and moderate Q^2 . The functional form of the F_2 fits are postulated independently of any guidance from dipole cross sections.

Our goal here is to start with a parametrization of F_2 , then approximately invert the formula relating the dipole cross section and F_2 to find a corresponding $\hat{\sigma}$. This is a purely phenomenological approach: as discussed below, we are only able to make an inversion to find an approximate $\hat{\sigma}$. Eventually, one hopes to explore how different treatments of the small x behavior of F_2 guided by small x saturation considerations translate to the dipole cross section and vice versa. This paper is a first step in this phenomenological program.

We take advantage of approximate relations between F_2 and $\hat{\sigma}$ as discussed by Ewerz, von Manteuffel and Nachtmann in ref. [39]. As noted by Ewerz et al. [39], the convolution of the photon wave function and the dipole cross section is simplified in the $m_f = 0$ case. We use Fourier transforms to factorize the convolution for $m_f = 0$ to find an approximate $\hat{\sigma}_0$ from an inverse Fourier transform. We do not invert the convolution for massive quarks. However, with a multiplicative normalization factor, we find that $\hat{\sigma}_0$ nearly reproduces F_2 even when $m_f \neq 0$. This allows us to compare our numerical results with the small Q and large Q approximations of ref. [39] to gain more insight into the interplay between the dipole cross section and the electromagnetic structure function.

In the next section, we review the relevant formulas for the structure function F_2 in terms of the dipole cross section and photon wave functions. We show the convenient variables introduced in ref. [39] make the problem amenable to inversion by Fourier transforms in the case of $m_f = 0$. In section 3, we discuss the determination of $\hat{\sigma}_0$ in a toy model with a single massless unit charged quark. Fourier transforms require knowing the structure function at both low and high Q^2 . We use the Donnachie-Landshoff (D-L) parametrization [22] of F_2 to illustrate the procedure in the toy model. The D-L parametrization is defined for the full range of Q^2 , even if it does not describe DIS data for the full Q^2 range. In section 4, we look at the more physical case with 5 massive quarks for both the D-L [22] parametrization and the new parametrization of F_2 by Block et al. in ref. [31]. We find that with a simple normalization constant independent of x , the dipole cross section $\hat{\sigma}_0$ does quite well in reproducing the Donnachie-Landshoff structure function even in the massive case. For the Block et al. parametrization, the normalization depends on x or W^2 and reproduces the original F_2 parametrization to within about 10% for $Q^2 = 0.1\text{--}10\text{ GeV}^2$. We compare our results with the GBW [12] and Soyez [16] dipole cross section parametrization and with the small Q and large Q approximations of ref. [39]. We conclude in section 5.

2 Dipole formalism and the dipole cross section

The dipole formula for deep inelastic electromagnetic scattering involves the photon wave function and the dipole cross section. Our focus is on the electromagnetic structure function $F_2(x, Q^2)$ in deep inelastic scattering where $ep \rightarrow eX$ involves the subprocess $\gamma^*p \rightarrow X$. In terms of the subprocess cross sections, the dipole picture has [10]

$$F_2(x, Q^2) = \frac{Q^2}{4\pi^2\alpha_e} \left[\sigma_L^{\gamma^*p}(\xi, Q^2) + \sigma_T^{\gamma^*p}(\xi, Q^2) \right] \quad (2.1)$$

in terms of the virtual photon-proton cross section

$$\sigma_{L,T}^{\gamma^*p}(\xi, Q^2) = \sum_f \int d^2r \int_0^1 d\alpha \, |\Psi_{L,T}^{(f)}(r, \alpha; Q^2)|^2 \hat{\sigma}(r, \xi) .$$

The photon wave functions are written in terms of the Bessel functions K_0 and K_1 , and they depend on the quark electric charge $e_f = 2/3, -1/3$ and mass m_f through

$$|\Psi_L^{(f)}(r, \alpha; Q^2)|^2 = e_f^2 \frac{\alpha_e N_c}{2\pi^2} 4Q^2 \alpha^2 (1-\alpha)^2 K_0^2(r\bar{Q}_f) \quad (2.2)$$

$$|\Psi_T^{(f)}(r, \alpha; Q^2)|^2 = e_f^2 \frac{\alpha_e N_c}{2\pi^2} \left([\alpha^2 + (1-\alpha)^2] \bar{Q}_f^2 K_1^2(r\bar{Q}_f) + m_f^2 K_0^2(r\bar{Q}_f) \right) \quad (2.3)$$

for $\bar{Q}_f^2 = \alpha(1-\alpha)Q^2 + m_f^2$. A common choice is to use $m_u = m_d = m_s = 0.14\text{ GeV}$, $m_c = 1.4\text{ GeV}$ and $m_b = 4.5\text{ GeV}$, however, when $m_f = 0$, the photon wave functions depend on Q only through $z = Qr$, a considerable simplification. The electromagnetic fine-structure constant is labeled α_e and $N_c = 3$ is the number of colors in eqs. (2.2)–(2.3).

The dipole cross section in eq. (2.2) depends on the dipole transverse size r and ξ . Ewerz et al. in ref. [39] consider $\xi = x$ and $\xi = W^2$. While theoretically $\xi = W^2$ is

more justifiable, the choice of $\xi = x$ allows the dipole model to match experimental data over a wider range of Q^2 [39]. There are a number of models for the dipole cross section that depend on x rather than W^2 [12, 14–17]. Since our aim is to invert parametrizations of F_2 to determine the dipole cross section, our choice of ξ is determined by how F_2 is parametrized.

Ewerz et al. in ref. [39] discuss the convolution formula of eq. (2.2) and consider limiting regimes for the dipole cross section. They have conveniently rewritten the convolution in terms of smooth functions with properties amenable to treatment with Fourier transforms. First, the integral over $d\alpha$ and the angular integral in d^2r in eq. (2.2) are performed to write

$$F_2(\xi, Q^2) = \sum_f Q \int dr h(Qr, m_f r) \frac{1}{r^2} \hat{\sigma}(r, \xi), \quad (2.4)$$

where

$$h(Qr, m_f r) = \frac{Qr^3}{2\pi\alpha_{em}} \int d\alpha \left[|\Psi_T^{(f)}(r, \alpha; Q^2)|^2 + |\Psi_L^{(f)}(r, \alpha; Q^2)|^2 \right]. \quad (2.5)$$

Here, we include the electric charge in the definition of h , different from the convention in ref. [39]. With $z = Qr$ and further definitions

$$t = \ln(Q/Q_0) \quad (2.6)$$

$$t' = -\ln(r/r_0) \quad (2.7)$$

and defining $z_0 \equiv Q_0 r_0$,

$$F_2(\xi, Q^2) = \sum_f z_0 e^t \int dt' h(z_0 e^{t-t'}, m_f r_0 e^{-t'}) \frac{1}{rr_0} \hat{\sigma}(r, \xi) \big|_{r=r_0 \exp(-t')} \quad (2.8)$$

For a single, massless quark of unit charge, a “toy model,” this can be rewritten [39]

$$\begin{aligned} F(\xi, t) &\equiv F_2(\xi, Q_0^2 e^{2t}) e^{-t} \\ &= \int_{-\infty}^{\infty} dt' \kappa(t - t') S(\xi, t'), \end{aligned} \quad (2.9)$$

where

$$S(\xi, t') = \frac{1}{rr_0} \hat{\sigma}_0(r, \xi) \big|_{r=r_0 \exp(-t')} \quad (2.10)$$

$$\kappa(\tau) = z_0 h(z_0 e^\tau, 0), \quad (2.11)$$

with e_f in $h(z_0 e^\tau, 0)$ set to unity. The dipole cross section labeled with a subscript “0” represents the $N_f = 1$, $m_f = 0$ case with $e_f = 1$. Figure 1 shows $\kappa(\tau)$ versus τ , a function independent of Q for the massless case where we have set $z_0 = 2.4$ so that $\kappa(\tau)$ is nearly symmetric around $\tau = 0$. Ewerz et al. in ref. [39] exploit the peaked nature of $\kappa(\tau)$ at $\tau = 0$ to derive approximate relations between $\hat{\sigma}$ and $\partial F_2 / \partial t$ for both the large Q^2 and small Q^2 regime. Our approach here is to use the smooth, nearly symmetric form of $\kappa(\tau)$ for the massless quark case to deconvolute the integral in eq. (2.2).

Figure 1. The function $\kappa(\tau)$ as a function of τ for $m_f = 0$ with a single, unit-charge quark flavor. The maximum of $\kappa(\tau)$ is at $\tau = 0$ if one chooses $z_0 = 2.4$, as was done for this figure.

Given $F(\xi, t)$ in eq. (2.9) for a wide range of t (namely, a wide range of Q), $S(\xi, t')$ can be found by taking the Fourier transform to factorize the convolution, then inverting the Fourier transform. In the next section, we perform this procedure numerically where we use $F_2(x, Q^2)$ parametrized by Donnachie and Landshoff ($\xi = x$) [22]. Even though this parametrization has a limited range of applicability in its description of the experimental data (e.g., $0.1 \text{ GeV}^2 < Q^2 < 10 \text{ GeV}^2$), we use this simple form to demonstrate the procedure and to interpret the results for $\hat{\sigma}$. In section 4, for massive quarks, we show results for the Donnachie-Landshoff and Block et al. [31] parametrizations.

3 Massless, unit charge quark example

As a starting point for using the Fourier transform to extract $\hat{\sigma}$ from a parametrization of F_2 , we consider the toy model of a massless quark with unit charge.

Using the usual formulas for the Fourier transform and its inverse,

$$f(t) = \int_0^\infty dk \{a_f(k) \cos kt + b_f(k) \sin kt\} \quad (3.1)$$

$$a_f(k) = \frac{1}{\pi} \int_{-\infty}^\infty dt \cos kt f(t) \quad (3.2)$$

$$b_f(k) = \frac{1}{\pi} \int_{-\infty}^\infty dt \sin kt f(t), \quad (3.3)$$

for a completely symmetric function $\kappa(\tau)$, $b_\kappa(k) = 0$. We approximate $b_\kappa(k) \simeq 0$ in what follows. We find that the dipole cross section we obtain, when convoluted with the photon wave function and appropriately normalized, is a good approximation to the parametrized structure function.

Because in the massless case $\kappa(\tau)$ depends only on τ , the Fourier transform of the convolution integral factorizes, leading to the following when $b_\kappa = 0$:

$$\begin{aligned}\pi a_F(k) &= \int_{-\infty}^{\infty} dt \cos kt \int_{-\infty}^{\infty} dt' \kappa(t-t') S(t') \\ &= \int_{-\infty}^{\infty} d\tau dt' \cos k\tau \cos kt' \kappa(\tau) S(t') \\ &= \pi a_\kappa(k) \pi a_S(k)\end{aligned}$$

and

$$\begin{aligned}\pi b_F(k) &= \int_{-\infty}^{\infty} dt \sin kt \int_{-\infty}^{\infty} dt' \kappa(t-t') S(t') \\ &= \int_{-\infty}^{\infty} d\tau dt' \cos k\tau \sin kt' \kappa(\tau) S(t') \\ &= \pi a_\kappa(k) \pi b_S(k) .\end{aligned}$$

The Fourier transform of κ is straightforward. For the Fourier transform of F , as noted above, we need $F_2(x, Q^2 = Q_0^2 e^{2t})$ for the full range of Q^2 , in principle between $Q^2 = 0 \rightarrow \infty$. The Donnachie-Landshoff parametrization [22] meets the requirement of being defined for all Q^2 , even if not valid for the full range. The full expression for $F_2(x, Q^2)$ is listed in appendix A, eq. (A.1). For small x ,

$$F_2(x, Q^2) \sim A x^{1-\alpha} \left(\frac{Q^2}{Q^2 + a} \right)^\alpha \quad (3.4)$$

where $\alpha = 1.0808$. Our comparison will be restricted to the Q^2 range between 0.1–10 GeV² where the D-L fit was performed. We have chosen $Q_0 = 0.82$ GeV so that $F(x, t)$ has a maximum at $t = 0$. The function $F(x, t)$ is approximately symmetric around $t = 0$ for small x .

The resulting dipole cross section $\hat{\sigma}_0$ from the Fourier transform and inversion of F and κ , for $m_f = 0$ with a unit charged quark, is shown in figure 2. Three values of x are shown, $x = 10^{-3}$, 10^{-4} and 10^{-5} in from bottom to top. The dipole cross section can be parametrized as

$$\hat{\sigma}_0 = s_0 \left(\frac{x}{10^{-4}} \right)^{1-\alpha} (ar^b), \quad \text{for } r < 1 \quad \text{GeV}^{-1}, \quad r \geq 5 \text{ GeV}^{-1} \quad (3.5)$$

$$\begin{aligned}\hat{\sigma}_0 &= s_0 \left(\frac{x}{10^{-4}} \right)^{1-\alpha} (\pm 1 - ae^{(br^c)} + dr^e), \\ &+ \text{for } 1 \text{ GeV}^{-1} \leq r < 2.9 \text{ GeV}^{-1} \\ &- \text{for } 2.9 \text{ GeV}^{-1} \leq r < 5 \text{ GeV}^{-1}\end{aligned} \quad (3.6)$$

where s_0 is 27.95 mb and r is in units of GeV⁻¹. The other parameters are presented in table 1. The x dependence follows directly from the x dependence of F_2 in the Donnachie-Landshoff parametrization, where for this range of x and $Q^2 > 0.1$ GeV², the first term in

Figure 2. The quantity $\hat{\sigma}_0$ as a function of r given a unit-charged, massless quark, for $x = 10^{-5}$, $x = 10^{-4}$ and $x = 10^{-3}$ using the Donnachie-Landshoff parametrization of F_2 . The vertical dotted line shows $r_0 = 2.93 \text{ GeV}^{-1} = 0.58 \text{ fm}$.

$r \text{ [GeV}^{-1}\text{]}$	a	b	c	d	e
$r < 1$	0.02315	3.34			
$1 \leq r < 2.9$	0.9943	7.259×10^{-3}	4.3028	2.469×10^{-2}	4.0702
$2.9 \leq r < 5$	2.7866	-1.762×10^{-2}	4.1789	6.4517	-0.8983
$r \geq 5$	35.2489	-2.62			

Table 1. The values of the parameter in the dipole cross section formula, with $s_0 = 27.95 \text{ mb}$ and r is in units of GeV^{-1} .

eq. (A.1) dominates. The rising behavior at low r is characteristic of other parametrization of the dipole cross section, but the falling behavior is not. We compare our dipole form to theoretically motivated $\hat{\sigma}$ in section 4.

Figure 3 shows the result for F_2 when the dipole cross section shown in figure 2 is convoluted with the massless unit-charged quark wave function. It matches well with the original parametrization up to $Q \simeq 2\text{--}3 \text{ GeV}$. At $Q^2 = 0.1 \text{ GeV}^2$, the dipole formula with $\hat{\sigma}_0$ is about 7% larger than the Donnachie-Landshoff parametrization of F_2 , while for $Q^2 = 10 \text{ GeV}^2$, the dipole formula is about 11% below the D-L parametrization. We do not get an exact match to F_2 in our inversion because we have made the approximation $b_\kappa(k) = 0$ and some numerical approximations in our integration at large k . Nevertheless, the procedure works reasonably well. As we show below, when $m_f \neq 0$, this approximate σ_0 is sufficient.

4 Massive quarks

For massive quarks, eq. (2.2) involves a sum over flavors and the introduction of an r dependence in $h(z = Qr, m_f r)$. This means that the factorization of eq. (2.9) via the Fourier transform is not exact. The dipole cross section from the toy model, appropri-

Figure 3. The resulting $F_2(x, Q^2)$ as a function of Q^2 for $x = 10^{-5}$, 10^{-4} , 10^{-3} using the dipole cross section shown in figure 2 (dashed) and the Donnachie-Landshoff parametrization of eq. (A.1).

ately normalized, is nevertheless a reasonably good approximation to the solution in the massive case. We cannot exactly solve the inversion problem for the massive case, but we show in this section how our approximate dipole cross section nearly reproduces $F_2(x, Q^2)$ using eq. (2.4).

Rewriting $m_f r = m_f z/Q = m_f z_0 e^\tau/Q$ in eq. (2.5), we express κ for the massive case as

$$\kappa(\tau, m_f z/Q) = \sum_f z_0 h(z_0 e^\tau, m_f z_0 e^\tau/Q) . \quad (4.1)$$

Figure 4 shows this κ for massive quarks for five flavors with $Q = 1$ GeV. For comparison, κ for $m_f = 0$ is also presented with the dashed line. To find $\hat{\sigma}$, we take as our starting point $\hat{\sigma}_0$. Since the $\gamma^* p$ center of mass energy squared $W^2 \simeq Q^2/x$, for $x < 5 \times 10^{-3}$ we have $W^2 > m_b^2$, so we use all five flavors in the massive case in all the examples shown below.

The toy model $\hat{\sigma}_0$ must be normalized to account for the electric charge and the sum over flavors with massive quarks. For massive quarks, we show the results for both the Donnachie-Landshoff [22] and the Block et al. [31] parametrization of $F_2(x, Q^2)$. For the Donnachie-Landshoff parametrization, N_σ is chosen by matching F_2 calculated with the normalized dipole cross section to F_2 calculated with the parametrization at $Q^2 = 1 \text{ GeV}^2$. The value of N_σ for the Block et al. parametrization is chosen for each ξ value in the range of $Q^2 = 1.6\text{--}2.5 \text{ GeV}^2$. The specific value of Q^2 for the normalization is picked to minimize the discrepancy between the F_2 calculated with the dipole approach using eq. (2.4) and the initial parametrization of F_2 .

4.1 Donnachie-Landshoff F_2

In this section, taking the Donnachie-Landshoff $F_2(x, Q^2)$, we find

$$\hat{\sigma} = 1.57 \hat{\sigma}_0 = N_\sigma \hat{\sigma}_0 . \quad (4.2)$$

Figure 4. The function $\kappa(\tau)$ as a function of τ for five quark flavors ($m_f \neq 0$) and $Q = 1$ GeV shown with the solid line, and for $m_f = 0$, as in figure 1 with one flavor shown with the dashed line.

Figure 5. The resulting $F_2(x, Q^2)$ as a function of Q^2 for $x = 10^{-5}, 10^{-4}, 10^{-3}$ using the dipole cross section $\hat{\sigma}_0$ shown in figure 2 multiplied by $N_\sigma = 1.57$ (dashed) and the Donnachie-Landshoff parametrization of eq. (A.1).

The factor N_σ accounts for the effect of the charge and the masses of quarks. We find that for the Donnachie-Landshoff parametrization of F_2 , N_σ does not depend on x values.

With the inclusion of masses for five quark flavors and N_σ , we show $F_2(x, Q^2)$ versus Q^2 for $x = 10^{-3}, 10^{-4}, 10^{-5}$ in figure 5. The agreement between the original Donnachie-Landshoff parametrization shown by the solid lines and the structure function evaluated using $\hat{\sigma}$ is quite good for the range of validity of the D-L parametrization.

For reference, we illustrate the range of r most relevant for the evaluation of F_2 . Figure 6 shows the ratio

$$f(r_{\max}) = \frac{F_2(x, Q^2, r_{\max})}{F_2(x, Q^2)}, \quad (4.3)$$

where $r_{\max}$ is the upper limit of the r -integration in eq. (2.2). The function $F_2(x, Q^2)$ in the denominator is evaluated with $r_{\max} \rightarrow \infty$.

Figure 6. The fraction $f = F_2(x, Q^2, r_{\max})/F_2(x, Q^2, r_{\max} \rightarrow \infty)$ as a function of $r_{\max}$ for $x = 10^{-4}$ for $Q^2 = 0.1, 1, 10 \text{ GeV}^2$ from lowest to highest curve.

When $Q^2 = 10 \text{ GeV}^2$, approximately 10% of the structure function comes from low $\hat{\sigma}$ at low r , $r \lesssim 1 \text{ GeV}^{-1}$, and approximately 10% comes from $r \gtrsim 4 \text{ GeV}^{-1}$. For $Q^2 = 0.1 \text{ GeV}^2$, 80% of the evaluation of $F_2(x, Q^2)$ comes from $r \sim 2\text{--}5 \text{ GeV}^{-1}$. Thus, the bulk of the evaluation of F_2 for the range of Q^2 of interest for the Donnachie-Landshoff parametrization is in the range of $r \sim 1\text{--}5 \text{ GeV}^{-1}$ where the dipole cross section makes the transition from the low r to large r forms.

The low r and large r approximate forms of the dipole cross section and their relation to F_2 are discussed in ref. [39]. Our dipole cross section does not precisely match these limiting forms. For small r , Ewerz et al. have shown that [39],

$$\hat{\sigma}(r, \xi) \simeq \pi^3 r^2 Q^2 \frac{\partial}{\partial Q^2} F_2(\xi, Q^2) |_{Q^2=(z_0/r)^2}, \quad \text{for } r \ll 0.3 \text{ GeV}^{-1}. \quad (4.4)$$

This relation relies on approximations including that $\hat{\sigma}(r, x)/r^2$ is slowly varying for small r and on the approximate relation that $Qr \simeq z_0$. At large Q^2 (equivalent to small r), $Q^2 \partial F_2 / \partial Q^2 \sim 1/Q^2$, so $\hat{\sigma} \sim r^2/Q^2 \sim r^4/z_0^2$. The dipole cross section we obtain scales approximately as $\hat{\sigma} \sim r^{3.34}$ around $r \sim 1 \text{ GeV}^{-1}$. Given that $\hat{\sigma}(r, x)/r^2$ is not slowly varying for small r , one would not expect a precise agreement between our low r parametrization and eq. (4.4).

For large r , the small Q^2 regime, the approximate behavior of $\hat{\sigma}$ is related to the logarithmic derivative of the virtual photon cross section. To get the correct physical behavior of σ_{γ^*p} for $Q^2 \rightarrow 0$, one needs to fix $W^2 \simeq Q^2/x$ in $\sigma_{\gamma^*p} \propto F_2/Q^2$. We therefore write,

$$\begin{aligned} \hat{\sigma}(r, x) &\simeq -\frac{\pi}{\alpha_e} Q^2 \frac{\partial}{\partial Q^2} \left[\sigma_T(W^2, Q^2) + \sigma_L(W^2, Q^2) \right] \\ \text{with } Q^2 &= (z_0/r)^2, \quad W^2 \text{ fixed.} \end{aligned} \quad (4.5)$$

The Donnachie-Landshoff parametrization of F_2 together with this large r approximation leads to $\hat{\sigma} \sim r^{-2}$. The dipole cross section we determined has $\hat{\sigma} \sim r^{-2.62}$ for $r \simeq 5 \text{ GeV}^{-1}$.

Figure 7. The dipole cross section approximated here via Fourier transform inversion and rescaling of the Donnachie Landshoff (D-L) F_2 (solid) [22], the Soyez parametrization (dashed) [16] and Golec-Biernat and Wüsthoff (GBW) dipole formula (dot-dashed) [12] for $x = 10^{-4}$. The vertical dotted line shows $r = r_0 = 2.93 \text{ GeV}^{-1}$.

Eq. (4.5) also relies on $\hat{\sigma}$ being a slowly varying function of r at large r , so the fact that our approximate power law behavior deviates from $\hat{\sigma} \sim r^{-2}$ is again not surprising. As remarked in ref. [39], the falling dipole cross section as a function of large r is correct when one uses the standard perturbative photon wave function for all Q^2 , even for very low Q^2 .

In figure 7, we compare $\hat{\sigma}$ with two examples of dipole cross sections, the Golec-Biernat and Wüsthoff (GBW) cross section [12] and the Soyez parametrization [16] of the dipole cross section based on the Iancu-Itakura-Munier form [15]. Explicit expressions are included in appendix C. Given the limited applicability of the D-L parametrization, it is not surprising that the GBW and Soyez dipole cross sections do not match the solid line. The low r form of the GBW dipole scales as $\hat{\sigma} \sim r^2$ as $r \rightarrow 0$, and the GBW dipole has $\hat{\sigma} \rightarrow \sigma_c$, a constant value, for large r . The Soyez dipole cross section in ref. [16] scales as $\hat{\sigma} \sim r^{1.7}$ for $r = 0.3 \text{ GeV}^{-1}$ and $x = 10^{-4}$. The large r form for this dipole formula goes to a similar constant value.

Our approach here is to use the standard perturbative wave function even at the lowest Q^2 values. The result is that we find that our approximate $\hat{\sigma}(r, x)$ decreases at large r , a feature pointed out in ref. [39]. While the D-L structure function is not applicable to a wide range of Q^2 , we also find a similar large r behavior in the next section, using the Block et al. parametrization. As noted in ref. [39], with the standard perturbative photon wave function, the GBW and Soyez forms of the dipole cross section yield a virtual photon-proton cross section $\sigma_T(W^2, Q^2) + \sigma_L(W^2, Q^2)$ that does not have the correct $Q^2 \rightarrow 0$ behavior without a modification of the low Q^2 photon wave function.

4.2 Block et al. F_2

The Donnachie-Landshoff parametrization of F_2 has a limited range of applicability. More recent parametrization of F_2 that apply to large and small Q^2 and small x have been presented in, for example, refs. [23–31]. In particular, the new parametrization of Block,

Figure 8. The quantity $\hat{\sigma} = N_\sigma(x)\hat{\sigma}_0(r, x)$ as a function of r for $x = 10^{-5}$, $x = 10^{-4}$ and $x = 10^{-3}$ using the Block et al. parametrization of $F_2(x, Q^2)$, shown by the solid lines. Also shown is the Soyez dipole of eq. (C.2) (dot-dashed) and the small r extrapolation of Ewerz et al. in eq. (4.4) with $\xi = x$ held fixed (dashed).

Durand and Ha in ref. [31] has an expression for the asymptotic part of F_2 (non-valence) that accounts for the asymptotic behavior ($W^2 \rightarrow \infty$ with Q^2 fixed),

$$F_2(W^2, Q^2) \propto \ln^2(W^2/Q^2) \simeq \ln^2(1/x) \quad (4.6)$$

for small x . It also has the feature we need for the Fourier inversion: a well defined parametrization for the full range of Q^2 . The parametrization appears in appendix B, eq. (B.1) in terms of x and eq. (B.2) in terms of W^2 . The two forms of $F_2(x, Q^2)$ permit the evaluation of $\hat{\sigma}$ with $\xi = x$ and $\xi = W^2$.

We begin by setting $\xi = x$, then finding σ_0 and $N_\sigma(x)$. Again, our resulting $\hat{\sigma} = N_\sigma(x)\hat{\sigma}_0(r, x)$ is only an approximate result from the combination of the approximate solution to the massless, unit charge case multiplied by a normalization factor, here dependent on x . Sample values of the normalization factors are $N_\sigma(x = 10^{-3}) = 1.40$ and $N_\sigma(x = 10^{-5}) = 1.25$. The dipole cross section for $x = 10^{-3}$, 10^{-4} and 10^{-5} , in ascending order, is shown with the solid curves in figure 8. The double peaked form of the dipole cross section appears from the sum of the three terms in eq. (B.1).

Figure 8 also shows the dipole cross sections of Soyez [16] (dot-dashed line) and the low r approximation by Ewerz et al. [39] shown in eq. (C.2) and eq. (4.4), respectively. The approximate power law at low r for the solid line is

$$\hat{\sigma} \sim r^{1.9} \quad \text{for } r \lesssim 0.5 \text{ GeV}^{-1}, \quad (4.7)$$

which is fairly well matched to the Soyez, GBW and low r functional forms of the dipole cross section.

The resulting structure functions $F_2(x, Q^2)$ for these x values are shown in figure 9. The dashed lines show the calculation of F_2 with the dipole formula and the solid lines are directly the parametrization of Block et al., eq. (B.1). The difference between these

Figure 9. The resulting $F_2(x, Q^2)$ as a function of Q^2 for $x = 10^{-5}$, 10^{-4} , 10^{-3} using the dipole cross section $\hat{\sigma} = N_\sigma \hat{\sigma}_0$ (dashed) and the Block et al. parametrization of eq. (B.1) (solid lines).

two structure functions in the range shown here is the biggest at $Q^2 = 10 \text{ GeV}^2$, with a disagreement at the level of about 10% for $x \leq 10^{-4}$. For other Q^2 values in the range 0.1–10 GeV^2 , the agreement to the original parametrization of $F_2(x, Q^2)$ is better as x becomes smaller.

Figures 10 and 11 show the dipole cross section $\hat{\sigma}(r, W^2)$ and $F_2(W^2, Q^2)$ respectively. The integral of $F(t)$ with W^2 held fixed has a different dependence on Q^2 (and therefore t dependence) than the integral with x held fixed. When $\xi = W^2$ is held fixed, the resulting dipole cross section does not have the double peak. The low r approximate form for $\hat{\sigma}$ following ref. [39] does not match as well for $\xi = W^2$ as for $\xi = x$, and it drops rapidly as $r \rightarrow 0$. Indeed, $\hat{\sigma}$ becomes negative for non-zero values of r . This unphysical behavior has been noted in refs. [39, 44–46], an indication that at large, fixed W^2 , the dipole approach loses its validity at large $Q^2 \simeq z_0^2/r^2$. To avoid a negative dipole cross section, we extrapolate $\hat{\sigma}(r, W^2)$ with a power law set at $r = 0.3 \text{ GeV}^{-1}$.

The ad hoc extrapolation of $\hat{\sigma}(r, W^2)$ at low r nevertheless allows us to reproduce F_2 reasonably well in the Q range of interest, $0.1 \text{ GeV}^2 < Q^2 < 10 \text{ GeV}^2$. Using the dipole cross section in figure 10, the calculated F_2 is about 5% lower than the Block et al. parametrization for $Q^2 = 0.1 \text{ GeV}^2$, and about 7% higher for $Q^2 = 10 \text{ GeV}^2$, for both $W^2 = 10^5$ and 10^8 GeV^2 .

We have also used the relation between x and W^2 ,

$$x = \frac{Q^2}{Q^2 + W^2 - m_p^2} \quad (4.8)$$

and $\hat{\sigma}(r, W^2)$ to find $F_2(x, Q^2)$. We find that for $x = 10^{-3} - 10^{-7}$, at $Q^2 \simeq 0.01 \text{ GeV}^2$, we can reproduce $F_2(x, Q^2)$ to better than 1% using $\hat{\sigma}(r, W^2)$. Using $\hat{\sigma}(r, x)$, as x decreases, the agreement between the dipole calculation and the Block et al. parametrization is not as good. For $x = 10^{-7}$ and $Q^2 = 0.01 \text{ GeV}^2$, using $\hat{\sigma}(r, x)$ differs from the Block et al. parametrized F_2 by approximately 20%.

Figure 10. The quantity $\hat{\sigma} = N_\sigma(W^2)\sigma_0(r, W^2)$ as a function of r for $W^2 = 10^5 \text{ GeV}^2$ and $W = 10^8 \text{ GeV}^2$ using the Block et al. parametrization of $F_2(W^2, Q^2)$. Also shown is the small r extrapolation of Ewerz et al. in eq. (4.4) with $\xi = W^2$ held fixed (dashed).

Finally, we show in figure 12 plots of the integrand in eq. (2.4). The dashed curves show $\hat{\sigma}$ found here, multiplied by $\sum_f Qh(Qr, m_f r)/r^2$, while the solid curves use instead the approximate expression for $\hat{\sigma}$ from eq. (4.4) where $\xi = x$. The low r shape of the integrand is well approximated by eq. (4.4), however, these figures show that for the Q^2 values around 1 GeV^2 , the detailed shapes do not agree very well. As Q^2 increases, the approximate formula for small r multiplied by $\sum_f Qh(Qr, m_f r)/r^2$ better represents the integrand. With suitable normalization, the approximate formula for $\hat{\sigma}$ from eq. (4.4) may be sufficient to represent the dipole cross section.

5 Conclusions

In summary, we have used a simplified form of the convolution formula in the color dipole formalism with $m_f = 0$, and rescaled to find an approximate dipole cross section from a parametrization of the structure function $F_2(x, Q^2)$. We have used the Donnachie-Landshoff [22] and Block et al. [31] parametrization to illustrate that this procedure approximates the starting $F_2(x, Q^2)$ to within about 10% for small x and $Q^2 = 0.1\text{--}10 \text{ GeV}^2$.

For the Block et al. parametrization of F_2 , we are able to consider both $\xi = x$ and $\xi = W^2$. For $Q^2 = 0.1\text{--}10 \text{ GeV}^2$, there doesn't seem to be an advantage to one particular choice of ξ . For lower Q^2 , $Q^2 \sim 0.01 \text{ GeV}^2$, using $\xi = W^2$ to find $\hat{\sigma}$ better reproduces F_2 , while for larger Q^2 , $\xi = x$ better reproduces F_2 , although neither choice works at the 10% level for $Q^2 = 100 \text{ GeV}^2$. For $Q^2 \simeq 100 \text{ GeV}^2$, the errors are between $\sim 20\text{--}30\%$ for $x = 10^{-3}\text{--}10^{-5}$. The errors are larger when $x \simeq 10^{-3}$ than for smaller values of x . Smaller x means well away from the valence regime, and $W^2 \simeq Q^2/x \gg (2m_b)^2$, the threshold for b quark pair production.

We also consider the small and large r behavior of $\hat{\sigma}$, following the discussion in ref. [39]. The Donnachie-Landshoff parametrization is limited in its applicability. At small

Figure 11. The resulting $F_2(W^2, Q^2)$ as a function of Q^2 for $W^2 = 10^5, 10^8 \text{ GeV}^2$ using the dipole cross section $\hat{\sigma} = N_\sigma \hat{\sigma}_0$ (dashed) and the Block et al. parametrization of eq. (B.2).

Figure 12. The integrand in eq. (2.4) times Q to determine F_2 for $\xi = x = 10^{-3}, 10^{-4}, 10^{-5}$ (from lowest to highest curve) and $Q^2 = 1, 10, 100 \text{ GeV}^2$. The dashed curves employ $\hat{\sigma} = N_\sigma \hat{\sigma}_0$ approximated from the Block et al. parametrization, while the solid curves use eq. (4.4).

r , the corresponding approximate dipole cross section is, not surprisingly, inconsistent with the Soyez and GBW dipoles. However, the corresponding approximate dipole cross section for the Block et al. parametrization agrees well with the Soyez dipole for r less than about 1 GeV^{-1} (for $\xi = x$). The approximate form of the dipole cross section for small r , eq. (4.4), also has a similar rise with increasing r . We find that consistent with the Ewerz et al. discussion in ref. [39], unless the perturbative photon wave function is modified for low Q^2 , the dipole cross section should fall as r becomes large, contrary to what is assumed in many models. While the low and high r relationships between F_2 and dipole cross section discussed in ref. [39] are only approximate, we find general concurrence between the approximate formulas and our $\hat{\sigma}(r, \xi)$.

The evaluation of $F_2(x, Q^2)$ in the dipole approach involves a convolution of the dipole cross section with the square of photon wave functions. The wave functions include the Bessel functions K_0 and K_1 which eventually fall exponentially. For large Q^2 , the details of the large r behavior of the dipole cross section are less important. Figure 12 shows that $\hat{\sigma}$ approximated with eq. (4.4), where $\xi = x$, when integrated in eq. (2.4), is not far from the integral with the dipole cross section shown with the solid lines in figure 8.

Our approach here, while only approximate, is complementary to much of the current literature where a theoretical form of dipole cross section is postulated and its parameters are fit to F_2 data. The functional form of the structure function itself is also postulated and fit to data. Ultimately, one would like use methods similar to the ones discussed here to find dipole cross sections that represent a range of postulated x and Q dependencies in parametrization of $F_2(x, Q^2)$ for further comparisons with theoretically motivated forms of the dipole cross section.

Acknowledgments

We thank F. Halzen for useful conversations and O. Nachtmann for helpful correspondence. MHR thanks the Aspen Center for Physics for their hospitality. This work was supported in part by the Department of Energy contract DE-SC0010114. The work of C.S. Kim and Y.S. Jeong was supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government of the Ministry of Education, Science and Technology (MEST) (No. 2011-0017430) and (No. 2011-0020333).

A Donnachie-Landshoff F_2 [22]

The structure function $F_2(x, Q^2)$ parametrized by Donnachie-Landshoff is

$$F_2(x, Q^2) = Ax^{1-\alpha} \left(\frac{Q^2}{Q^2 + a} \right)^\alpha + Bx^{1-\beta} \left(\frac{Q^2}{Q^2 + b} \right)^\beta \quad (\text{A.1})$$

where

$$\begin{aligned} A &= 0.324 & a &= 0.5616 \text{ GeV}^2 & \alpha &= 1.0808 \\ B &= 0.098 & b &= 0.01114 \text{ GeV}^2 & \beta &= 0.5475 . \end{aligned}$$

This is based on a fit to NMC data [40] for $Q^2 < 10 \text{ GeV}^2$. Although this parametrization is not valid for the full range, it is defined for the full range of Q^2 , which is required for the evaluation of the Fourier transform.

B Block et al. F_2 [31]

The recent parametrization of F_2 by Block et al. [31] also has the property of being defined for the full range of Q^2 . This Block et al. F_2 is valid for the wider Q^2 range and written as

$$F_2(x, Q^2) = D(Q^2)(1-x)^n \left[C(Q^2) + A(Q^2) \ln \left(\frac{1}{x} \frac{Q^2}{Q^2 + \mu^2} \right) + B(Q^2) \ln^2 \left(\frac{1}{x} \frac{Q^2}{Q^2 + \mu^2} \right) \right] \quad (\text{B.1})$$

$$\begin{aligned} &= D(Q^2) \left(1 - \frac{Q^2}{W^2 + Q^2 - m_p^2} \right)^n \\ &\times \left[C(Q^2) + A(Q^2) \ln \left(\frac{W^2 + Q^2 - m_p^2}{Q^2 + \mu^2} \right) + B(Q^2) \ln^2 \left(\frac{W^2 + Q^2 - m_p^2}{Q^2 + \mu^2} \right) \right], \end{aligned} \quad (\text{B.2})$$

j	a_j	b_j	c_j
0	8.205×10^{-4}	2.217×10^{-3}	0.255
1	-5.148×10^{-2}	1.244×10^{-2}	1.475×10^{-1}
2	-4.725×10^{-3}	5.958×10^{-4}	—

Table 2. The central values of the parameter in F_2 parametrization of Block et al. [31]. Other parameters are $M^2 = 0.753 \text{ GeV}^2$, $\mu^2 = 2.82 \text{ GeV}^2$, $n = 11.49$ and $\lambda = 2.430$.

where

$$\begin{aligned}
 A(Q^2) &= a_0 + a_1 \ln\left(1 + \frac{Q^2}{\mu^2}\right) + a_2 \ln^2\left(1 + \frac{Q^2}{\mu^2}\right) \\
 B(Q^2) &= b_0 + b_1 \ln\left(1 + \frac{Q^2}{\mu^2}\right) + b_2 \ln^2\left(1 + \frac{Q^2}{\mu^2}\right) \\
 C(Q^2) &= c_0 + c_1 \ln\left(1 + \frac{Q^2}{\mu^2}\right).
 \end{aligned} \tag{B.3}$$

In these expressions, m_p is the mass of proton and other parameters are shown in table 2. The function $D(Q^2)$ is

$$D(Q^2) = \frac{Q^2(Q^2 + \lambda M^2)}{(Q^2 + M^2)^2}. \tag{B.4}$$

This fit to $F_2(x, Q^2)$ HERA data with the valence portion subtracted was done for $0.15 \text{ GeV}^2 \leq Q^2 \leq 3000 \text{ GeV}^2$, $W > 25 \text{ GeV}$ and $x < 0.1$. More details, including error bars on the fit parameter values, appears in ref. [31].

C Dipole cross sections

The Golec-Biernat and Wüsthoff color dipole cross section is [12]

$$\hat{\sigma}_{\text{GBW}} = \sigma_0^{\text{GBW}} \left[1 - \exp\left(-\frac{r^2}{4r_c^2} \left(\frac{x_0}{x}\right)^\lambda\right) \right] \tag{C.1}$$

where $\sigma_0^{\text{GBW}} = 23 \text{ mb}$, $\lambda = 0.29$, $x_0 = 3 \times 10^{-4}$ and $r_c = 1 \text{ GeV}^{-1}$. This is often written in terms of an x dependent saturation scale $Q_s(x) = Q_0(x_0/x)^{\lambda/2}$ where $Q_0 = 1/r_c = 1 \text{ GeV}$. The approximate functional form incorporates the observed geometric scaling behavior and depends only on the one combined variable $\mathcal{T}_r = rQ_s$. The limiting behavior at small r is therefore $\hat{\sigma}_{\text{GBW}} \simeq \sigma_0^{\text{GBW}}(\mathcal{T}_r/2)^2$, while at large r , $\hat{\sigma}_{\text{GBW}} \rightarrow \sigma_0^{\text{GBW}}$.

In ref. [16], Soyez writes the color dipole cross section

$$\begin{aligned}
 \hat{\sigma}_S &= \sigma_0^S \mathcal{N}(rQ_s, Y) \\
 \mathcal{N}(rQ_s, Y) &= \begin{cases} \mathcal{N}_0 \left(\frac{\mathcal{T}_r}{2}\right)^{2\gamma_{\text{eff}}(x,r)}, & \text{for } \mathcal{T}_r < 2 \\ 1 - \exp[-a \ln^2(b\mathcal{T}_r)], & \text{for } \mathcal{T}_r > 2, \end{cases}
 \end{aligned} \tag{C.2}$$

in terms of the scaling variable $\mathcal{T}_r$, the saturation scale $Q_s(x)$ and where $Y = \ln(1/x)$. In this parametrization, $\mathcal{N}_0 = 0.7$, $\gamma_s = 0.738$, $\lambda = 0.220$, $x_0 = 1.63 \times 10^{-5}$ and $\sigma_0^S = 27.3$ mb. The “effective anomalous dimension” in eq. (C.2) is

$$\gamma_{\text{eff}}(x, r) = \gamma_s + \frac{\ln(2/\mathcal{T}_r)}{\kappa\lambda Y} \quad (\text{C.3})$$

with $\kappa = 9.94$ [41–43]. For $r = 0.3 \text{ GeV}^{-1}$ and $x = 10^{-4}$, $\gamma_{\text{eff}} \simeq 0.84$, so $\hat{\sigma} \sim r^{1.7}$ at small r for this value of x .

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