

Lifshitz spacetimes, solitons, and generalized BTZ black holes in quantum gravity at a Lifshitz point

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ABSTRACT: In this paper, we study static vacuum solutions of quantum gravity at a fixed Lifshitz point in (2+1) dimensions, and present all the diagonal solutions in closed forms in the infrared limit. The exact solutions represent spacetimes with very rich structures: they can represent generalized BTZ black holes, Lifshitz space-times or Lifshitz solitons, in which the spacetimes are free of any kind of space-time singularities, depending on the choices of the free parameters of the solutions. We also find several classes of exact static non-diagonal solutions, which represent similar space-time structures as those given in the diagonal case. The relevance of these solutions to the non-relativistic Lifshitz-type gauge/gravity duality is discussed.

KEYWORDS: AdS-CFT Correspondence, Black Holes, Spacetime Singularities, Holography and condensed matter physics (AdS/CMT)

ARXIV EPRINT: [1403.0946](https://arxiv.org/abs/1403.0946)

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1 Introduction

Anisotropic scaling plays a fundamental role in quantum phase transitions in condensed matter and ultracold atomic gases [1, 2]. Recently, such studies have received considerable momenta from the community of string theory in the content of gauge/gravity duality [3–5]. This is a duality between a quantum field theory (QFT) in D-dimensions and a quantum gravity, such as string theory, in (D+1)-dimensions. An initial example was

found between the supersymmetric Yang-Mills gauge theory with maximal supersymmetry in four-dimensions and a string theory on a five-dimensional anti-de Sitter space-time in the low energy limit [6–8]. Soon, it was discovered that such a duality is not restricted to the above systems, and can be valid among various theories and in different spacetime backgrounds [3–5].

One of the remarkable features of the duality is that it relates a strong coupling QFT to a weak coupling gravitational theory, or vice versa. This is particularly attractive to condensed matter physicists, as it may provide hopes to understand strong coupling systems encountered in quantum phase transitions, by simply studying the dual weakly coupling gravitational theory [9–12]. Otherwise, it has been found extremely difficult to study those systems. Such studies were initiated in [13], in which it was shown that nonrelativistic QFTs that describe multicritical points in certain magnetic materials and liquid crystals may be dual to certain nonrelativistic gravitational theories in the Lifshitz space-time background,¹

$$ds^2 = -\left(\frac{r}{\ell}\right)^{2z} dt^2 + \left(\frac{r}{\ell}\right)^2 dx^i dx^i + \left(\frac{\ell}{r}\right)^2 dr^2, \quad (1.1)$$

where z is a dynamical critical exponent, and ℓ a dimensional constant. Clearly, the above metric is invariant under the anisotropic scaling,

$$t \rightarrow b^z t, \quad \mathbf{x} \rightarrow b\mathbf{x}, \quad (1.2)$$

provided that r scales as $r \rightarrow b^{-1}r$. Thus, for $z \neq 1$ the relativistic scaling is broken, and to have the above Lifshitz space-time as a solution of general relativity (GR), it is necessary to introduce gauge fields to create a preferred direction, so that the anisotropic scaling (1.2) becomes possible. In [13], this was realized by two p-form gauge fields with $p = 1, 2$, and was soon generalized to different cases [17–20].

It should be noted that the Lifshitz space-time is singular at $r = 0$ [13], and this singularity is generic in the sense that it cannot be eliminated by simply embedding it to high-dimensional spacetimes, and that test particles/strings become infinitely excited when passing through the singularity [21, 22]. To resolve this issue, various scenarios have been proposed [23–27]. There have been also attempts to cover the singularity by horizons [28–49], and replace it by Lifshitz solitons [50–52].

On the other hand, starting with the anisotropic scaling (1.2), recently Hořava constructed a theory of quantum gravity, the so-called Hořava-Lifshitz (HL) theory [53], which is power-counting renormalizable, and lately has attracted a great deal of attention, due to its remarkable features when applied to cosmology and astrophysics [54–57]. The HL theory is based on the perspective that Lorentz symmetry should appear as an emergent symmetry at long distances, but can be fundamentally absent at short ones [58, 59]. In the ultraviolet (UV), the system exhibits a strong anisotropic scaling between space and time with $z \geq D$, while at the infrared (IR), high-order curvature corrections become negligible,

¹Another space-time that is conjectured to be holographically dual to such strongly coupled systems is the Schrödinger space-time [14–16], in which the related symmetry algebra is Schrödinger, instead of Lifshitz. However, to realize such an algebra, it was found that the space-time needs to be $(D + 2)$ -dimensions, instead of $(D + 1)$ -dimensions.

and the lowest order terms R and Λ take over, whereby the Lorentz invariance (with $z = 1$) is expected to be “accidentally restored,” where R denotes the D -dimensional Ricci scalar of the leaves $t = \text{Constant}$, and Λ the cosmological constant.

Since the anisotropic scaling (1.2) is built in by construction in the HL gravity, it is natural to expect that the HL gravity provides a minimal holographic dual for non-relativistic Lifshitz-type field theories with the anisotropic scaling and dynamical exponent z . Indeed, recently it was showed that the Lifshitz spacetime (1.1) is a vacuum solution of the HL gravity in (2+1) dimensions, and that the full structure of the $z = 2$ anisotropic Weyl anomaly can be reproduced in dual field theories [60], while its minimal relativistic gravity counterpart yields only one of two independent central charges in the anomaly.

In this paper, we shall provide further evidence to support the above speculations, by constructing various solutions of the HL gravity, and show that these solutions provide all the space-time structures found recently in GR with various matter fields, including the Lifshitz solitons [50–52] and generalized BTZ black holes. Some solutions represent incomplete space-time, and extensions beyond certain horizons are needed. After the extension, they may represent Lifshitz black holes [28–49]. The distinguishable features of these solutions are that: (i) they are exact vacuum solutions of the HL gravity without any matter; and (ii) the corresponding metrics are given explicitly and in closed forms, in contrast to the relativistic cases in which most of the solutions were constructed numerically [28–52]. We expect that this will facilitate considerably the studies of the holographic dual between the non-relativistic Lifshitz QFTs and theories of quantum gravity.

It should be noted that the definition of black holes in the HL gravity is subtle [61–71], because of the inclusions of high-order derivative operators, for which the dispersion relationship is in general becomes nonlinear,

$$E^2 = c_p^2 p^2 \left(1 + \alpha_1 \left(\frac{p}{M_*} \right)^2 + \alpha_2 \left(\frac{p}{M_*} \right)^4 \right), \quad (1.3)$$

where E and p denote, respectively, the energy and momentum of the particle, and c_p and α_i are coefficients, depending on the particular specie of the particle, while M_* denotes the suppression energy scale of the higher-dimensional operators. Then, both of the phase and group velocities of the particle become unbounded as its momentum increases. As a result, black holes may not exist at all in the HL theory [62–71]. However, in the IR the high-order terms of p are negligible, and the first term in eq. (1.3) becomes dominant, so one may still define black holes, following what was done in GR [72–80]. Therefore, in this paper we shall consider the HL gravity in the IR limit. Nevertheless, cautions must be taken even in this limit: because of the Lorentz violation of the theory, spin-0 gravitons generically appear [54–57], whose velocity in general is different from that of light. To avoid the Cherenkov effects, it is necessary to require it to be no smaller than the speed of light [81, 82]. As a result, even they are initially trapped inside the horizons, the spin-0 gravitons can escape from them and make the definition of black holes given in GR invalid.² Fortunately, it was shown recently that universal horizons might exist inside the event horizons of GR, where the preferred time foliation simply ceases to penetrate them

²One might argue that black holes then can be defined in terms of the light cone of these spin-0 gravi-

within any finite time [85]. Universal horizons have already attracted lot of attention, and various interesting results have been obtained [86–90]. For more detail regarding to black holes in the HL gravity, we refer readers to [61–71, 85–90], and references therein.

To simplify the technique issues and be comparable to the studies carried out in [60], in this paper we shall restrict ourselves only to (2+1) dimensional spacetimes,³ although we find that exact vacuum solutions of the HL gravity in any dimensional spacetimes exist, and have similar space-time structures [91]. Specifically, the paper is organized as follows: in section 2, we give a brief introduction to the non-projectable HL theory in (2+1) dimensions. In section 3, we first present all the static diagonal vacuum solutions of the HL theory, and then study their local and global structures. We find that the Lifshitz space-time (1.1) is only one of the whole class of solutions, and the rest of them can represent either Lifshitz solitons, in which space-time is not singular, or generalized BTZ black holes. Some solutions represent incomplete space-time, and extensions beyond certain horizons are needed. After the extension, they may represent Lifshitz black holes [28–49]. In section 4, we construct several classes of static non-diagonal ($g_{tr} \neq 0$) vacuum solutions of the HL theory, and find that there exist similar space-time structures as found in the diagonal case. In section 5, we present our main conclusions.

2 Non-projectable HL gravity

Because of the anisotropic scaling, the symmetry of general covariance is necessarily broken. Hořava assumed that it is broken only down to the foliation-preserving diffeomorphism,

$$\delta t = -f(t), \quad \delta x^i = -\zeta^i(t, \mathbf{x}), \tag{2.1}$$

often denoted by $\text{Diff}(M, \mathcal{F})$. Then, the lapse function N , shift vector N^i , and 3-spatial metric g_{ij} in the Arnowitt-Deser-Misner (ADM) decompositions [92, 93] transform as

$$\begin{aligned} \delta N &= \zeta^k \nabla_k N + \dot{N} f + N \dot{f}, \\ \delta N_i &= N_k \nabla_i \zeta^k + \zeta^k \nabla_k N_i + g_{ik} \dot{\zeta}^k + \dot{N}_i f + N_i \dot{f}, \\ \delta g_{ij} &= \nabla_i \zeta_j + \nabla_j \zeta_i + f \dot{g}_{ij}, \end{aligned} \tag{2.2}$$

where $\dot{f} \equiv df/dt$, ∇_i denotes the covariant derivative with respect to g_{ij} , $N_i = g_{ik} N^k$, and $\delta g_{ij} \equiv \tilde{g}_{ij}(t, x^k) - g_{ij}(t, x^k)$, etc.

In the HL gravity, the development usually follows two different lines [54–57], one is with the projectability condition, in which the lapse function is a function of t only, and the other is without the projectability condition, in which the lapse function is a function of both time and space coordinates, that is,

$$N = N(t, x). \tag{2.3}$$

In this paper, we shall assume this non-projectable condition.

tons. However, due to the Lorentz violation, other excitations with different speeds might exist, unless a mechanism is invented to prevent this from happening, for example, by assuming that the matter sector satisfies the Lorentz symmetry up to the Planck scale [83, 84].

³In (2+1)-dimensions, observational constraints from the Cherenkov effects are out of question, so in principle the speed of the spin-0 gravitons can be smaller than that of light.

In (2+1)-dimensional spacetimes, the Riemann and Ricci tensors R_{ijkl} and R_{ij} of the 2-dimensional spatial surfaces $t = \text{constant}$ are uniquely determined by the 2-dimensional Ricci scalar R via the relations [94],

$$\begin{aligned} R_{ijkl} &= \frac{1}{2} (g_{ik}g_{jl} - g_{il}g_{jk}) R, \\ R_{ij} &= \frac{1}{2} g_{ij} R, \quad (i, j = 1, 2). \end{aligned} \tag{2.4}$$

Then, the general action of the HL theory without the projectability condition in (2+1)-dimensional spacetimes can be cast in the form,

$$S = \zeta^2 \int dt d^2x N \sqrt{g} \left(\mathcal{L}_K - \mathcal{L}_V + \zeta^{-2} \mathcal{L}_M \right), \tag{2.5}$$

where $g = \det(g_{ij})$, $\zeta^2 = 1/(16\pi G)$, and

$$\begin{aligned} \mathcal{L}_K &= K_{ij} K^{ij} - \lambda K^2, \\ K_{ij} &= \frac{1}{2N} (-\dot{g}_{ij} + \nabla_i N_j + \nabla_j N_i), \end{aligned} \tag{2.6}$$

where λ is a dimensionless coupling constant. \mathcal{L}_M is the Lagrangian of matter fields.

The potential \mathcal{L}_V can be easily obtained from [95–97], by noting the special relations (2.4) in (2+1)-dimensions and the fact that to keep the theory power-counting renormalizable only up to the fourth-order derivative terms are needed. Then, it can be cast in the form [95–97],

$$\begin{aligned} \mathcal{L}_V &= \gamma_0 \zeta^2 + \beta a_i a^i + \gamma_1 R + \frac{\gamma_2}{\zeta^2} R^2 \\ &+ \frac{1}{\zeta^2} \left[\beta_1 (a_i a^i)^2 + \beta_2 (a^i{}_i)^2 + \beta_3 (a_i a^i) a^j{}_j + \beta_4 a^{ij} a_{ij} + \beta_5 (a_i a^i) R + \beta_6 R a^i{}_i \right], \end{aligned} \tag{2.7}$$

where $\beta (\equiv -\beta_0)$, β_n and γ_n are all dimensionless and arbitrary coupling constants, and

$$a_i \equiv \frac{N_{,i}}{N}, \quad a_{ij} \equiv \nabla_i a_j. \tag{2.8}$$

2.1 Field equations

Variation of the action (2.5) with respect to the lapse function N yields the Hamiltonian constraint

$$\mathcal{L}_K + \mathcal{L}_V^R + F_V = 8\pi G J^t, \tag{2.9}$$

where

$$J^t = 2 \frac{\delta(N \mathcal{L}_M)}{\delta N}, \tag{2.10}$$

$$\mathcal{L}_V^R = \gamma_0 \zeta^2 + \gamma_1 R + \frac{\gamma_2}{\zeta^2} R^2, \tag{2.11}$$

and F_V is given by eq. (A.1) in appendix A.

Variation with respect to the shift vector N_i yields the momentum constraint

$$\nabla_j \pi^{ij} = 8\pi G J^i, \quad (2.12)$$

where

$$\pi^{ij} \equiv -K^{ij} + \lambda K g^{ij}, \quad J^i \equiv -\frac{\delta(N\mathcal{L}_M)}{\delta N_i}. \quad (2.13)$$

The dynamical equations are obtained by varying S with respect to g_{ij} , and are given by

$$\begin{aligned} & \frac{1}{\sqrt{g}N} \frac{\partial}{\partial t} (\sqrt{g}\pi^{ij}) + 2(K^{ik}K_k^j - \lambda K K^{ij}) \\ & - \frac{1}{2}g_{ij}\mathcal{L}_K + \frac{1}{N}\nabla_k(\pi^{ik}N^j + \pi^{kj}N^i - \pi^{ij}N^k) - F^{ij} - F_a^{ij} = 8\pi G\tau^{ij}, \end{aligned} \quad (2.14)$$

where

$$\begin{aligned} F^{ij} & \equiv \frac{1}{\sqrt{g}N} \frac{\delta(-\sqrt{g}N\mathcal{L}_V^R)}{\delta g_{ij}} = \sum_{s=0}^{s=2} \hat{\gamma}_s \zeta^{n_s}(F_s)^{ij}, \\ F_a^{ij} & \equiv \frac{1}{\sqrt{g}N} \frac{\delta(-\sqrt{g}N\mathcal{L}_V^a)}{\delta g_{ij}} = \sum_{s=0}^{s=6} \hat{\beta}_s \zeta^{m_s}(F_s^a)^{ij}, \\ \tau^{ij} & \equiv \frac{2}{\sqrt{g}N} \frac{\delta(\sqrt{g}N\mathcal{L}_M)}{\delta g_{ij}}, \end{aligned} \quad (2.15)$$

with

$$\begin{aligned} \hat{\gamma}_s & = (\gamma_0, \gamma_1, \gamma_2), \\ n_s & = (2, 0, -2), \\ \hat{\beta}_s & = (\beta, \beta_n) \quad (n = 1, 2, \dots, 6), \\ m_s & = (0, -2, -2, -2, -2, -2, -2). \end{aligned} \quad (2.16)$$

The functions $(F_s)^{ij}$ and $(F_s^a)^{ij}$ are given by eq. (A.2) in appendix A.

In addition, the matter components (J^t, J^i, τ^{ij}) satisfy the conservation laws of energy and momentum,

$$\int d^3x \sqrt{g}N \left[\dot{g}_{ij}\tau^{ij} - \frac{1}{\sqrt{g}}\partial_t(\sqrt{g}J^t) + \frac{2N_i}{\sqrt{g}N}\partial_t(\sqrt{g}J^i) \right] = 0, \quad (2.17)$$

$$\frac{1}{N}\nabla^i(N\tau_{ik}) - \frac{1}{\sqrt{g}N}\partial_t(\sqrt{g}J_k) - \frac{J^t}{2N}\nabla_k N - \frac{N_k}{N}\nabla_i J^i - \frac{J^i}{N}(\nabla_i N_k - \nabla_k N_i) = 0. \quad (2.18)$$

2.2 Ghost-free and stability conditions

When $\Lambda = 0$, the flat space-time,

$$(N, N_i, g_{ij}) = (1, 0, \delta_{ij}), \quad (2.19)$$

is a solution of the above HL theory in the IR. It can be shown that in this model spin-0 gravitons appear due to the reduced symmetry (2.1) [60], in contrast to GR. The speed of these particles is given by,

$$c_s^2 = -\frac{\gamma_1^2(1-\lambda)}{\beta(1-2\lambda)}. \quad (2.20)$$

The ghost-free and stability of the flat background require [60],

$$\frac{1-\lambda}{1-2\lambda} > 0, \tag{2.21}$$

$$-\frac{1-\lambda}{\beta(1-2\lambda)} \geq 0, \tag{2.22}$$

which yield

$$\beta < 0, \tag{2.23}$$

$$(i) \lambda \geq 1, \quad \text{or} \quad (ii) \lambda \leq \frac{1}{2}. \tag{2.24}$$

3 Static vacuum solutions in the IR limit

The general static spacetimes without the projectability condition are described by,

$$\begin{aligned} N &= r^z f(r), \quad N^i = (N^r(r), 0), \\ g_{ij} dx^i dx^j &= \frac{g^2(r)}{r^2} dr^2 + r^2 dx^2, \end{aligned} \tag{3.1}$$

in the coordinates (t, r, x) . Then, we find that

$$\begin{aligned} K_{ij} &= \frac{g}{r^{z+1}f} \left(\left(\frac{H}{r} \right)' \delta_i^r \delta_j^r + \frac{r^2}{g^2} H \delta_i^x \delta_j^x \right), \\ R_{ij} &= \frac{rg' - g}{r^2g} \delta_i^r \delta_j^r + \frac{r^2(rg' - g)}{g^3} \delta_i^x \delta_j^x, \\ a_i &= \frac{(zf + rf')}{rf} \delta_i^r, \quad H \equiv gN^r, \end{aligned} \tag{3.2}$$

where a prime denotes the ordinary derivative with respect to r .

In the IR, all the high-order derivative operators proportional to the coupling constants $\gamma_{2,3,4}$ and $\beta_{1,\dots,4}$ are suppressed by $1/M_*^{n-2}$, so are negligible for $E \ll M_*$, where n denotes the order of the operator, and $M_* [= \sqrt{1/(8\pi G)}]$ is the Planck mass of the HL theory (which can be different from that of GR). Therefore, in the IR these high-order terms can be safely set to zero. Then, for the vacuum solutions where

$$\tau^{ij} = J^t = J^i = 0,$$

the Hamiltonian and momentum constraints (2.9) and (2.12) reduce, respectively, to

$$\begin{aligned} \frac{1}{2r^{2z}f^2} \left[(1-\lambda)(H')^2 - 2H \left(\frac{H}{r} \right)' \right] + \Lambda g^2 \\ -\beta \left[g \left(\frac{rW}{g} \right)' + \frac{W^2}{2} \right] + \gamma_1 \left(r \frac{g'}{g} - 1 \right) = 0, \end{aligned} \tag{3.3}$$

$$\left(\frac{1}{r^{z-1}fg} \right)' H + (\lambda - 1)r^2 \left(\frac{H'}{r^z fg} \right)' = 0, \tag{3.4}$$

where

$$W \equiv z + \frac{rf'}{f}, \quad \Lambda \equiv \frac{\gamma_0 \zeta^2}{2}. \quad (3.5)$$

The (rr) and (xx) components of the dynamical equations (2.14) are

$$(1 - \lambda)g \left[\frac{(H')^2}{2r^z gf} - H \left(\frac{H'}{r^z gf} \right)' \right] - \frac{H (r^{z-2} gf H)'}{r^{2z-1} g f^2} + r^z f \left[\Lambda g^2 - \gamma_1 W - \frac{\beta}{2} W^2 \right] = 0, \quad (3.6)$$

$$(1 - \lambda)g \left[\frac{(H')^2}{2r^z gf} + H \left(\frac{H'}{r^z gf} \right)' \right] - gr \left[\frac{H}{r^z gf} \left(\frac{H}{r} \right)' \right]' + r^z f \left\{ \Lambda g^2 - \gamma_1 \left[W^2 - rg \left(\frac{W}{g} \right)' \right] + \frac{\beta}{2} W^2 \right\} = 0. \quad (3.7)$$

It can be shown that eq. (3.7) is not independent, and can be obtained from eqs. (3.3)–(3.6). Thus, we need only consider eqs. (3.3), (3.4) and (3.6) for the three unknowns, $f(r)$, $g(r)$ and $N^r(r)$.

In the rest of this section, we consider only the diagonal case where $N^r = 0$, and leave the studies of the non-diagonal case $N^r \neq 0$ to the next section.

When $N^r = 0$ (or $H = 0$), it is clear that eq. (3.4) is trivially satisfied, while eqs. (3.3) and (3.6) reduce to

$$\Lambda g^2 - \beta \left[g \left(\frac{rW}{g} \right)' + \frac{W^2}{2} \right] - \gamma_1 g \left(\frac{r}{g} \right)' = 0, \quad (3.8)$$

$$\Lambda g^2 - \gamma_1 W - \frac{\beta}{2} W^2 = 0. \quad (3.9)$$

From eq. (3.9), we obtain

$$W_{\pm} = \frac{s \pm sr_*(r)}{1 - s}, \quad (3.10)$$

where

$$s \equiv \frac{\gamma_1}{\gamma_1 - \beta}, \quad r_*(r) \equiv \sqrt{1 + \frac{2\beta\Lambda}{\gamma_1^2} g(r)^2}. \quad (3.11)$$

Inserting the above into eq. (3.8), we obtain a master equation for $r_*(r)$,

$$(s - 1)rr_*' + (r_*^2 - 1)(r_* \pm s) = 0. \quad (3.12)$$

To solve this equation, let us consider the cases with different s , separately.

3.1 Lifshitz spacetime

A particular solution of eq. (3.12) is $r_* = \mp s$. Then, from eqs. (3.5) and (3.10), we find that

$$f = f_0, \quad z = s = \frac{\gamma_1}{\gamma_1 - \beta}, \quad (3.13)$$

while eq. (3.11) yields,

$$g = g_0, \quad \Lambda = \frac{\gamma_1^2(2\gamma_1 - \beta)}{2g_0^2(\gamma_1 - \beta)^2}, \quad (3.14)$$

where f_0 and g_0 are two constants. Thus, the corresponding line element takes the form,

$$ds^2 = L^2 \left\{ - \left(\frac{r}{\ell} \right)^{2z} dt^2 + \left(\frac{\ell}{r} \right)^2 dr^2 + \left(\frac{r}{\ell} \right)^2 dx^2 \right\}, \quad (3.15)$$

where $f_0 \equiv L/\ell^z$ and $g_0 \equiv L\ell$. Rescaling the coordinates t, r, x , without loss of the generality, one can always set $L = \ell = 1$. The above solution is exactly the one obtained in [60] for the case $D = 1$. The metric is invariant under the anisotropic scalings,

$$t \rightarrow b^{-z}t, \quad r \rightarrow br, \quad x \rightarrow b^{-1}x. \quad (3.16)$$

In addition, from eq. (3.2) we find that the corresponding curvature R is given by

$$R = - \frac{4\Lambda(\gamma_1 - \beta)^2}{\gamma_1^2(2\gamma_1 - \beta)}, \quad (3.17)$$

which is a constant. However, it can be shown that the space-time at $r = 0$ is singular, and the nature of it is null [21, 22].

3.2 Asymptotical Lifshitz spacetimes

In order for a static solution to be asymptotically to the Lifshitz solution (3.15), the functions f and g must be

$$\lim_{r \rightarrow \infty} f(r) = \lim_{r \rightarrow \infty} g^{-1}(r) = 1. \quad (3.18)$$

It is remarkable to note that eqs. (3.10) and (3.12) indeed allow such solutions,

$$\begin{aligned} \frac{W}{r} &\simeq \frac{f'}{f} \simeq 0, \\ r_*(r) &\simeq r_*^0, \end{aligned} \quad (3.19)$$

for $r \gg 1$, where r_*^0 is a constant, and the asymptotical conditions (3.18) require

$$r_*^0 = \sqrt{1 + \frac{2\beta\Lambda}{\gamma_1^2}}. \quad (3.20)$$

To solve eq. (3.12), let us first write it in the form,

$$\frac{dr}{r} = \left(\frac{1 \pm s}{r_* + 1} + \frac{1 \mp s}{r_* - 1} - \frac{2}{r_* \pm s} \right) \frac{dr_*}{2(1+s)}, \quad (3.21)$$

which has the general solutions,

$$r_{\pm}(r_*) = r_H |r_* + 1|^{\frac{1 \pm s}{2(1+s)}} |r_* - 1|^{\frac{1 \mp s}{2(1+s)}} |r_* \pm s|^{-\frac{1}{s+1}}, \quad (3.22)$$

where r_H is an integration constant, and r_+ (r_-) corresponds to the choice $W = W_+$ ($W = W_-$). It is interesting to note that we can obtain $r_+(r_*)$ from $r_-(r_*)$ by replacing r_* by $-r_*$, i.e., $r_+(r_*) = r_-(-r_*)$. The same are true for W_{\pm} , and the functions $f(r_*)$ and $g(r_*)$ to be derived below. Therefore, in the following we shall take the region $r_* < 0$ as a natural extension of the one defined by eq. (3.11), and, without loss of the generality, in the following we shall consider only the solution $r_+(r_*)$. Then, from eq. (3.11) we find that

$$g^2(r) = \frac{\gamma_1^2}{2\beta\Lambda} (r_*^2 - 1), \tag{3.23}$$

while from eqs. (3.5) and (3.10), we find that

$$\frac{df(r)}{f(r)} = \frac{s - z(1-s) + sr_*}{(1-s)} \frac{dr}{r}. \tag{3.24}$$

Inserting eq. (3.21) with the upper signs into the above expression and then integrating it, we find

$$f(r) = f_0 |r_* + 1|^{-\frac{z}{2}} |r_* - 1|^{\frac{2s-z(1-s)}{2(1+s)}} |r_* + s|^{\frac{z-s}{1+s}}, \tag{3.25}$$

where f_0 is an integration constant. In summary, we obtain the following general solutions,

$$\begin{aligned} r^{2z} f^2(r) &= N_0^2 \left| \frac{r_* - 1}{r_* + s} \right|^{\frac{2s}{1+s}}, \\ g^2(r) &= \frac{\gamma_1^2}{2\beta\Lambda} (r_*^2 - 1), \end{aligned} \tag{3.26}$$

where $N_0 \equiv f_0 r_H^z$. Then, in terms of r_* the line element becomes

$$\begin{aligned} ds^2 &= -N_0^2 \left| \frac{r_* - 1}{r_* + s} \right|^{\frac{2s}{1+s}} dt^2 + \frac{\gamma_1^2 (1-s)^2 dr_*^2}{2\beta\Lambda (r_*^2 - 1) (r_* + s)^2} \\ &\quad + r_H^2 \left| \frac{r_* - 1}{r_* + s} \right|^{\frac{1-s}{1+s}} \left| \frac{r_* + 1}{r_* + s} \right| d^2x. \end{aligned} \tag{3.27}$$

As noted previously, the functions $g(r_*)$, $f(r_*)$, and the metric given in the present case are well-defined for $r_* < 0$. So, in the following we simply generalize the above solutions to $r_* \in (-\infty, +\infty)$. Then, from eq. (3.2) we find that

$$R = \frac{4\beta\Lambda (r_* + s - 1)}{\gamma_1^2 (1-s) (r_* - 1)}. \tag{3.28}$$

Thus, the space-time is always singular at $r_* = +1$, unless $s = 1$ that will be considered in the next subsection. Actually, near $r_* \simeq 1$, we have

$$r \simeq L_0 |r_* - 1|^{\frac{1-s}{2(1+s)}}, \tag{3.29}$$

where $L_0 \equiv \sqrt{2} r_H |1+s|^{-1/(1+s)}$, and the metric (3.27) becomes

$$ds^2 \simeq \left(\frac{r}{L_0} \right)^{\frac{4s}{1-s}} \left[-\tilde{L}_0^2 dt^2 + \left(\frac{\epsilon^+ \gamma_1^2}{\beta\Lambda L_0^2} \right) dr^2 \right] + r^2 dx^2, \quad (r_* \simeq 1), \tag{3.30}$$

where $\tilde{L}_0 = |1+s|^{-s/(1+s)} N_0$ and $\epsilon^+ \equiv \text{sign}(r_* - 1)$. Recall that the stability and ghost-free conditions require $\beta < 0$, as given by eq. (2.23). Then, for the metric to have a proper signature in the neighborhood $r_* = 1$, we must assume that

$$\epsilon^+ \Lambda < 0. \tag{3.31}$$

Note that the metric is also singular at $r_* = -1$. However, this singularity is not a scalar one, as shown above. In fact, when $r_* \simeq -1$, we have

$$r \simeq \tilde{r}_0 |r_* + 1|^{1/2}, \tag{3.32}$$

where $\tilde{r}_0 \equiv 2^{(1-s)/[2(1+s)]} r_H |1 - s|^{-1/(1+s)}$. Then, the metric (3.27) takes the asymptotical form,

$$ds^2 \simeq -\tilde{N}_0^2 dt^2 + \left(\frac{\epsilon^- \gamma_1^2}{-\beta \Lambda \tilde{r}_0^2} \right) dr^2 + r^2 d^2x, \quad (r_* \simeq -1), \tag{3.33}$$

which is locally flat, where $\tilde{N}_0 \equiv N_0 |2/(1-s)|^{s/(1+s)}$ and $\epsilon^- \equiv \text{sign}(r_* + 1)$. Since $\beta < 0$, the cosmological constant Λ needs to be chosen so that

$$\epsilon^- \Lambda > 0, \tag{3.34}$$

in order for the metric to have a proper signature in the neighborhood of $r_* = -1$. To study further the solutions in the neighborhood of $r_* = -1$, let us calculate the tidal forces. Following [21, 22], we can show that the radial timelike geodesics are given by

$$\frac{dr_*}{d\tau} = \pm \xi E |r_* + 1|^{\frac{1}{2}} |r_* - 1|^{\frac{1-s}{2(1+s)}} |r_* + s|^{\frac{1+2s}{1+s}} \sqrt{1 - \frac{N_0^2}{E^2} \left| \frac{r_* - 1}{r_* + s} \right|^{\frac{2s}{1+s}}}, \tag{3.35}$$

where E is an integration constant, and τ is the proper time. The constant ξ is defined by

$$\xi \equiv \sqrt{\frac{2\beta \Lambda \epsilon^+ \epsilon^-}{\gamma_1^2 (1-s)^2 N_0^2}}. \tag{3.36}$$

The “+” and “-” denote, respectively, the outgoing and ingoing radial geodesics. In what follows we would like to calculate the tidal forces felt by the freely falling explorer at $r_* = -1$. We therefore choose the following orthonormal frame

$$\begin{aligned} e_{(0)}^\mu &= \left(\frac{E}{N_0^2} \left| \frac{r_* + s}{r_* - 1} \right|^{\frac{2s}{1+s}}, - \left| \frac{dr_*}{d\tau} \right|, 0 \right), \\ e_{(1)}^\mu &= \left(\frac{E}{N_0^2} \left| \frac{r_* + s}{r_* - 1} \right|^{\frac{2s}{1+s}} \sqrt{1 - \frac{N_0^2}{E^2} \left| \frac{r_* - 1}{r_* + s} \right|^{\frac{2s}{1+s}}}, -\xi E |r_* + 1|^{\frac{1}{2}} |r_* - 1|^{\frac{1-s}{2(1+s)}} |r_* + s|^{\frac{1+2s}{1+s}}, 0 \right), \\ e_{(2)}^\mu &= \left| \frac{r_* + s}{r_* - 1} \right|^{\frac{1-s}{2(1+s)}} \left| \frac{r_* + s}{r_* + 1} \right|^{\frac{1}{2}} \left(0, 0, \frac{1}{r_H} \right), \end{aligned} \tag{3.37}$$

which are obviously orthonormal

$$g_{\mu\nu} e_{(a)}^\mu e_{(b)}^\nu = \eta_{ab}, \tag{3.38}$$

with η_{ab} being the Minkowski metric. The tidal forces are measured by the components of the Riemann curvature tensor with respect to the above orthonormal frame, i.e.,

$$R_{abcd} = R_{\mu\nu\rho\sigma} e_{(a)}^\mu e_{(b)}^\nu e_{(c)}^\rho e_{(d)}^\sigma. \quad (3.39)$$

One can show that in the limit $r_* \rightarrow -1$, the nonzero components of R_{abcd} are given by

$$\begin{aligned} R_{0101} &\simeq \frac{\epsilon^-}{2} \xi^2 N_0^2 s(1-s), \\ R_{0202} &\simeq \frac{\epsilon^-}{2} \xi^2 N_0^2 (s-1)(s-2) - 4\xi^2 E^2 \left| \frac{s-1}{2} \right|^{\frac{2+4s}{1+s}}, \\ R_{1212} &\simeq \frac{\epsilon^-}{2} \xi^2 N_0^2 s(s-1) - 4\xi^2 E^2 \left| \frac{s-1}{2} \right|^{\frac{2+4s}{1+s}}, \\ R_{0212} &\simeq -\epsilon^- \xi^2 E^2 2^{\frac{-2s}{1+s}} |s-1|^{\frac{2+3s}{1+s}} \sqrt{E^2 |s-1|^{\frac{2s}{1+s}} - 2^{\frac{2s}{1+s}} N_0^2}. \end{aligned} \quad (3.40)$$

Clearly, they are all finite and there is no singularity at $r_* = -1$ (or $r = 0$), even the null curvature ones, as found in the Lifshitz space-time at the origin $r = 0$ [21, 22].

On the other hand, as $r_* \rightarrow -s$, we have

$$r \rightarrow \hat{r}_0 |r_* + s|^{-\frac{1}{1+s}}, \quad (3.41)$$

where $\hat{r}_0 \equiv r_H |s-1|^{1/2} |s+1|^{(1-s)/[2(s+1)]}$. Then, the metric (3.27) takes the asymptotical form,

$$ds^2 \simeq -r^{2s} d\hat{t}^2 + \frac{dr^2}{r^2} + r^2 dx^2, \quad (r_* \rightarrow -s), \quad (3.42)$$

which is precisely the Lifshitz space-time (3.15) with $z = s$, where $\hat{t} = N_0 r_H^{-s} |(1+s)/(1-s)|^{-s/2} t$. Note that in writing the above metric we had used a generalized condition (3.20) for $r_*^0 = -s$, so that

$$\gamma_1^2 (s^2 - 1) = 2\beta\Lambda. \quad (3.43)$$

The behavior r vs r_* depends on the values of s . Therefore, in the following let us consider the cases with different values of s , separately.

3.2.1 $s > 1$

In this case, we have

$$r(r_*) = \begin{cases} r_H, & r_* \rightarrow -\infty, \\ \infty, & r_* = -s, \\ 0, & r_* = -1, \\ \infty, & r_* = +1, \\ r_H, & r_* \rightarrow +\infty. \end{cases} \quad (3.44)$$

figure 1 shows the function $r(r_*)$ vs r_* , from which we can see that the region $r \in [0, \infty)$ is mapped into the region $r_* \in [-1, +1)$ or $r_* \in (-s, -1]$. The region $r_* \in (-\infty, -s)$ or $r_* \in (+1, +\infty)$ is mapped into the one $r \in (r_H, +\infty)$.

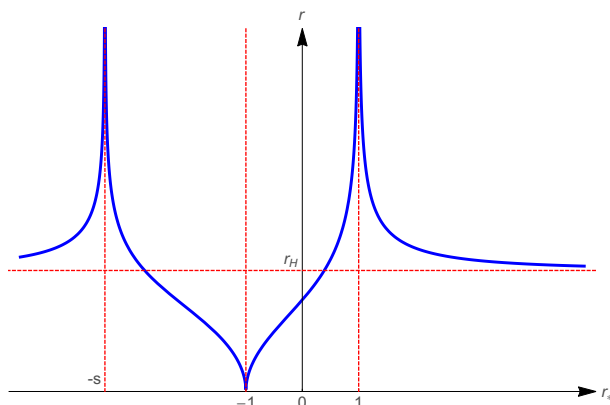


Figure 1. The function $r \equiv r_+(r_*)$ defined by eq. (3.22) vs r_* for $s > 1$. The space-time is singular at $r_* = 1$, locally flat at $r_* = -1$ and asymptotically approaching the Lifshitz space-time (3.15) with $z = s$ as $r_* \rightarrow -s$.

Considering the fact that the space-time is singular at $r_* = 1$, a physically well-defined region is $r_* \in (-s, -1]$, which corresponds to the region $r \in [0, +\infty)$. At $r = 0$ (or $r_* = -1$), the space-time is locally flat, and as $r \rightarrow \infty$ (or $r_* \rightarrow -s$), it is asymptotically approaching to the Lifshitz space-time (3.15) with $z = s$. Therefore, in this region the solution represents a Lifshitz soliton [50–52]. Since $s > 1$, then in the region $r_* \in (-s, -1]$, we have $\epsilon^- = \text{sign}(r_* + 1)|_{r_* \simeq -1} = -1$. Thus, the conditions (3.34) and (3.43) require

$$\Lambda < 0, \quad (s > 1). \tag{3.45}$$

To study the solutions further, let us rewrite eq. (3.22) (with $r = r_+$) in the form

$$\left(\frac{r}{r_H}\right)^2 = \frac{(s-1)\epsilon^-}{s+1} \left(\epsilon^+ \mathfrak{R}^{\frac{2}{1-s}} + \frac{2\epsilon^s}{s-1} \mathfrak{R} \right), \tag{3.46}$$

where $\epsilon^s \equiv \text{sign}(r_* + s)$ and

$$\mathfrak{R} \equiv \left| \frac{r_* - 1}{r_* + s} \right|^{\frac{1-s}{1+s}}. \tag{3.47}$$

It should be noted that the above two equations are valid not only for $s > 1$, but also for other values of s .

In general it is difficult to obtain an explicit expression of \mathfrak{R} for any given s in terms of r . Therefore, in the following let us consider the representative case $s = 3$, for which eqs. (3.46) and (3.47) reduce to,

$$\begin{aligned} \left(\frac{r}{r_H}\right)^2 &= \frac{\epsilon^-}{2\mathfrak{R}} (\epsilon^+ + \epsilon^s \mathfrak{R}^2), \\ \mathfrak{R} &= \left| \frac{r_* + 3}{r_* - 1} \right|^{1/2}. \end{aligned} \tag{3.48}$$

As shown in figure 1, the whole axis $r_* \in (-\infty, \infty)$ is divided into four different segments, and in each of them the space-time has different properties. Therefore, in the following we consider the space-time in each of the four segments, separately.

- (a) In the region $r_* \in (-\infty, -3]$, we have $\epsilon^+ = \epsilon^- = \epsilon^s = -1$. Then, from eq. (3.48) we obtain

$$\mathfrak{R} = \left(\frac{r}{r_H}\right)^2 \left(1 \pm \sqrt{1 - \left(\frac{r_H}{r}\right)^4}\right),$$

$$r_* = \frac{\mathfrak{R}^2 + 3}{\mathfrak{R}^2 - 1}. \quad (3.49)$$

Since $\mathfrak{R} \in [0, 1)$, as it can be seen from eq. (3.48), we find that only the root \mathfrak{R}_- satisfies this condition. On the other hand, from eq. (3.26) we find,

$$r^{2z} f^2 = \frac{N_0^2}{\mathfrak{R}_-^3}, \quad g^2 = \left(\frac{4\gamma_1^2}{\beta\Lambda}\right) \frac{1 + \mathfrak{R}_-^2}{(\mathfrak{R}_-^2 - 1)^2}, \quad (3.50)$$

where

$$\mathfrak{R}_- = \frac{\left(\frac{r_H}{r}\right)^2}{1 + \sqrt{1 - \left(\frac{r_H}{r}\right)^4}} = \begin{cases} 1, & r = r_H, \\ 0, & r = \infty \end{cases} \quad (3.51)$$

Thus, we obtain the following asymptotic behavior

$$f^2 \rightarrow 8f_0^2, \quad g^2 \rightarrow \frac{6\gamma_1}{\Lambda},$$

which is just what is expected. In terms of r , the metric can be written in the form,

$$ds^2 = -\frac{r^6}{8} \left(1 + \sqrt{1 - \left(\frac{r_H}{r}\right)^4}\right)^3 dt^2 + \frac{1 + \sqrt{1 - \left(\frac{r_H}{r}\right)^4}}{2\left(1 - \left(\frac{r_H}{r}\right)^4\right)} \frac{dr^2}{r^2} + r^2 dx^2. \quad (3.52)$$

Note that in writing the above metric, we had used the asymptotic condition (3.13) and (3.14), and meanwhile rescaled t by $t \rightarrow 2\sqrt{2}f_0t$. From the above expressions it can be seen clearly that the solution is valid only in the region $r \geq r_H$, and $r = r_H$ represents a horizon. To have a complete space-time, extension beyond this surface is needed.

- (b) In the region $r_* \in (-3, -1]$, we have $\epsilon^+ = \epsilon^- = -\epsilon^s = -1$. Then, we find that

$$\mathfrak{R} = \left(\frac{r}{r_H}\right)^2 \left(\sqrt{1 + \left(\frac{r_H}{r}\right)^4} - 1\right),$$

$$r_* = \frac{\mathfrak{R}^2 - 3}{\mathfrak{R}^2 + 1}, \quad (3.53)$$

are solutions to eq. (3.46). This immediately leads to the line element,

$$ds^2 = -\frac{r^6}{8} \left(1 + \sqrt{1 + \left(\frac{r_H}{r}\right)^4}\right)^3 dt^2 + \frac{1 + \sqrt{1 + \left(\frac{r_H}{r}\right)^4}}{2\left(1 + \left(\frac{r_H}{r}\right)^4\right)} \frac{dr^2}{r^2} + r^2 dx^2. \quad (3.54)$$

Note that to derive eq. (3.54), t has been rescaled and the relation (3.43) has been used. As mentioned above, this solution is locally flat at the origin $r = 0$, and asymptotically to the Lifshitz spacetime as $r \rightarrow \infty$ with $z = 3$. The space-time in this region is complete and free of any kind of space-time curvature singularities. So, it represents a Lifshitz soliton [50–52].

On the other hand, in both of the ranges $r_* \in [-1, 1]$ and $r \in [1, \infty)$, the space-time is singular at the spatial infinity $r = \infty$ (or $r_* = 1$). Then, the physical interpretations of the solutions in these ranges are not clear (if there is any).

It is not difficult to convince oneself that the same is true for other choices of s with $s \geq 1$.

3.2.2 $0 < s < 1$

In this case, we find that

$$r(r_*) = \begin{cases} r_H, & r_* \rightarrow -\infty, \\ 0, & r_* = -1, \\ \infty, & r_* = -s, \\ 0, & r_* = +1, \\ r_H, & r_* \rightarrow +\infty. \end{cases} \quad (3.55)$$

figure 2 shows the function $r(r_*)$ vs r_* , from which we can see that the region $r \in [0, \infty)$ is mapped into the region $r_* \in [-1, -s)$ or $r_* \in (-s, +1]$. The region $r_* \in (-\infty, -1]$ or $r_* \in [+1, +\infty)$ is mapped into the one $r \in [0, r_H)$. At the origin $r = 0$, the metric takes the form (3.33) for $r_* \simeq -1$, and the form (3.30) for $r_* \simeq +1$. At $r_* \simeq -1$ the space-time is locally flat, while at $r_* \simeq +1$ it is singular. On the other hand, at the spatial infinity ($r \rightarrow \infty$) (or $r_* \rightarrow -s$), it takes the Lifshitz form eq. (3.42) with $z = s$.

Note that, in the region $r_* \in [-1, -s)$, we have $\epsilon^- = \text{sign}(r_* + 1)|_{r_* \simeq -1} = +1$. Then, the conditions (3.34) and (3.43) now require

$$\Lambda > 0, \quad (0 < s < 1). \quad (3.56)$$

On the other hand, if we choose to work in the region $r_* \in (-s, +1]$, we find that $\epsilon^+ = \text{sign}(r_* - 1)|_{r_* \simeq +1} = -1$. Then, the conditions (3.31) and (3.43) require $\Lambda > 0$, which is the same as that given by eq. (3.56). However, as pointed out above, the space-time is locally flat at $r_* = -1$, while has a curvature singularity at $r_* = +1$. Moreover, since the metric coefficients are well-defined in this region, the singularity is naked.

Therefore, in the present case the solution in the region $r_* \in [-1, -s)$ (or $r \in [0, \infty)$) represents the Lifshitz soliton [50–52], while in the region $r_* \in (-s, +1]$, which also corresponds to $r \in [0, \infty)$, the solution represents the Lifshitz space-time but with a curvature singularity located at $r = 0$ (or $r_* = 1$).

The spacetimes in the regions $r_* \in (-\infty, -1]$ and $r_* \in [1, +\infty)$ are incomplete, and extensions beyond $r_* = \pm\infty$ (or $r = r_H$) are needed. As a representative example, let us consider the case $s = 1/3$. Then, from eqs. (3.46) and (3.47) we find that,

$$\left(\frac{r}{r_H}\right)^2 = -\frac{\epsilon^-}{2} \mathfrak{R} (\epsilon^+ \mathfrak{R}^2 - 3\epsilon^s),$$

$$\mathfrak{R} \equiv \left| \frac{r_* - 1}{r_* + \frac{1}{3}} \right|^{1/2}. \quad (3.57)$$

To study the solutions further, we consider it in each region marked in figure 2, separately.

- (a) In the region $r_* \in [-1, -1/3)$, we have $\epsilon^+ = -\epsilon^- = \epsilon^s = -1$. Then, from eq. (3.46) we find that

$$\mathfrak{R}(r) = \left[\left(\frac{r}{r_H} \right)^2 + \sqrt{\left(\frac{r}{r_H} \right)^4 - 1} \right]^{-\frac{1}{3}} + \left[\left(\frac{r}{r_H} \right)^2 + \sqrt{\left(\frac{r}{r_H} \right)^4 - 1} \right]^{\frac{1}{3}}. \quad (3.58)$$

Note that the above expression is seemingly real only in the region $r \geq r_H$. However, a more careful study reveals that it is real for all $r \in (0, \infty)$. To see this, let us introduce θ , defined via the relations,

$$\cosh \theta = \left(\frac{r}{r_H} \right)^2, \quad \sinh \theta = \sqrt{\left(\frac{r}{r_H} \right)^4 - 1}. \quad (3.59)$$

Then, in terms of θ , we find that

$$\mathfrak{R}(r) = 2 \cosh \frac{\theta}{3}. \quad (3.60)$$

From eq. (3.59) we can see that θ is well-defined even for $r < r_H$, for which it just becomes imaginary, but $\mathfrak{R}(r)$ is still well-defined and real. The only difference now is to replace $\cosh(\theta/3)$ by $\cos(\bar{\theta}/3)$, that is,

$$\mathfrak{R}(r) = 2 \cos \frac{\bar{\theta}}{3}, \quad (r < r_H), \quad (3.61)$$

with

$$\cos \bar{\theta} = \left(\frac{r}{r_H} \right)^2, \quad \sin \bar{\theta} = \sqrt{1 - \left(\frac{r}{r_H} \right)^4}, \quad (r < r_H), \quad (3.62)$$

where $\bar{\theta} \in [0, \pi/2]$. Therefore, for any $r \in (0, \infty)$, eq. (3.58) is well-defined, and always real. It is smoothly crossing $r = r_H$, at which $\mathfrak{R} = 2$ and $\bar{\theta} = 0$. The origin $r = 0$ corresponds to $\bar{\theta} = \pi/2$, at which we have $\mathfrak{R}(\pi/2) = \sqrt{3}$. In terms of r , the metric takes the form,

$$ds^2 = -r^{2z} f^2(r) dt^2 + \frac{g^2(r)}{r^2} dr^2 + r^2 dx^2, \quad (3.63)$$

where the functions f and g are given by,

$$f^2 = N_0^2 r^{-2z} \mathfrak{R},$$

$$g^2 = \frac{2\mathfrak{R} \left(\frac{r}{r_H} \right)^2}{1 + 2 \left(\frac{r}{r_H} \right)^2 \mathfrak{R} + \mathfrak{R}^2}, \quad (3.64)$$

with $\mathfrak{R} \geq \sqrt{3}$, as it can be seen from eqs. (3.60) and (3.61). At $r = 0$ we have $\bar{\theta} = \pi/2$ and $\mathfrak{R} = \sqrt{3}$. But, as shown above, this singularity is a coordinate one, and the space-time now is free of any kind of curvature singularities. So, it represents a Lifshitz soliton [50–52].

(b) In the region $r_* \in (-1/3, 1]$, we have $-\epsilon^+ = \epsilon^- = \epsilon^s = 1$. Then, from eq. (3.46) we find

$$\mathfrak{R}(r) = \left(\frac{r}{r_H}\right)^{\frac{2}{3}} \left\{ \left[1 - \sqrt{1 + \left(\frac{r_H}{r}\right)^4} \right]^{\frac{1}{3}} + \left[1 + \sqrt{1 + \left(\frac{r_H}{r}\right)^4} \right]^{\frac{1}{3}} \right\}, \quad (3.65)$$

for which we have,

$$\begin{aligned} f^2 &= N_0^2 r^{-2z} \mathfrak{R}, \\ g^2 &= \frac{(\mathfrak{R}^2 + 2)(\mathfrak{R}^2 - 1)}{(1 + \mathfrak{R}^2)^2}. \end{aligned} \quad (3.66)$$

Clearly, the functions f and g vanish at $\mathfrak{R} = 0$ and $\mathfrak{R} = 1$, respectively. To see the natures of these singularities, let us first note that in this region we have

$$\begin{aligned} \left(\frac{r}{r_H}\right)^2 &= \frac{1}{2} \mathfrak{R} (\mathfrak{R}^2 + 3), \\ \mathfrak{R} &\equiv \sqrt{\frac{1 - r_*}{r_* + \frac{1}{3}}}, \quad (-1/3 \leq r_* \leq 1). \end{aligned} \quad (3.67)$$

Therefore, $\mathfrak{R} = 0$ corresponds to $r = 0$ (or $r_* = 1$), at which the space-time is singular, as shown above. On the other hand, $\mathfrak{R} = 1$ corresponds to $r = \sqrt{2} r_H$ (or $r_* = 1/3$). This is a coordinate singularity, since in terms of r_* , the metric is well-defined at this point, as can be seen from eq. (3.27), which now reduces to,

$$\begin{aligned} ds^2 &= -N_0^2 \sqrt{\frac{1 - r_*}{r_* + \frac{1}{3}}} dt^2 + \frac{2\gamma_1^2 dr_*^2}{9\beta\Lambda (r_*^2 - 1) (r_* + \frac{1}{3})^2} \\ &+ r_H^2 \sqrt{\frac{1 - r_*}{r_* + \frac{1}{3}}} \left(\frac{r_* + 1}{r_* + \frac{1}{3}}\right) d^2x. \end{aligned} \quad (3.68)$$

Therefore, the space-time in this region represents a Lifshitz space-time, but now with a time-like singularity located at the origin $r = 0$ (or $r_* = 1$).

(c) In the region $r_* \in [1, +\infty)$, we have $\epsilon^+ = \epsilon^- = \epsilon^s = 1$. Then, from eq. (3.46) we find,

$$2 \left(\frac{r}{r_H}\right)^2 = -\mathfrak{R}(\mathfrak{R}^2 - 3), \quad (3.69)$$

which in general has three real roots for $r < r_H$. In fact, introducing the angle $\bar{\theta}$ as defined by eq. (3.62), the three roots can be written in the form,

$$\mathfrak{R}_k = 2 \cos \frac{(2k + 1)\pi + \bar{\theta}}{3}, \quad k = 0, \pm 1. \quad (3.70)$$

Since $\mathfrak{R} \geq 0$ in the region $r \leq r_H$, it can be seen that only \mathfrak{R}_0 and \mathfrak{R}_{-1} satisfy this condition. However, with $\mathfrak{R} = \mathfrak{R}_{-1}$, we find that $\mathfrak{R} \in [1, \sqrt{3}]$, which leads to $r_* \in (-\infty, -1]$, as now we have

$$r_* = \frac{1}{3} \left(\frac{4}{1 - \mathfrak{R}^2} - 1 \right). \quad (3.71)$$

On the other hand, for $\mathfrak{R} = \mathfrak{R}_0$, we find that $\mathfrak{R} \in [0, 1]$ and $r_* \in [1, +\infty)$. Therefore, \mathfrak{R}_0 is the solution we are looking for. With this root, the metric takes the form of eq. (3.63), but now the functions f and g are given by

$$\begin{aligned} f^2 &= N_0^2 r^{-2z} \mathfrak{R}, \\ g^2 &= \frac{2\mathfrak{R} \left(\frac{r}{r_H}\right)^2}{1 + 2\left(\frac{r}{r_H}\right)^2 \mathfrak{R} + \mathfrak{R}^2}. \end{aligned} \tag{3.72}$$

It must be noted that \mathfrak{R}_0 becomes complex when $r > r_H$. Therefore, simply taking $r > r_H$ in the above expressions will result in complex metric coefficients, and cannot be considered as a viable extension of the solution to the region $r > r_H$.

On the other hand, the root $\mathfrak{R}_{+1} [= -2 \cos(\bar{\theta}/3)]$ is real in both of the regions $r \geq r_H$ and $r \leq r_H$. In particular, for $r > r_H$, it takes the form,

$$\mathfrak{R}_{+1} = - \left[\left(\frac{r}{r_H}\right)^2 - \sqrt{\left(\frac{r}{r_H}\right)^4 - 1} \right]^{-\frac{1}{3}} - \left[\left(\frac{r}{r_H}\right)^2 + \sqrt{\left(\frac{r}{r_H}\right)^4 - 1} \right]^{\frac{1}{3}}. \tag{3.73}$$

However, for this root we have $\mathfrak{R} \in [-2, -\sqrt{3}]$, which is not allowed by eq. (3.47).

- (d) In the region $r_* \in (-\infty, -1]$, we have $\epsilon^+ = \epsilon^- = \epsilon^s = -1$. Then, \mathfrak{R} satisfies the same equation (3.69), which for $r < r_H$ has the three real roots, given by eq. (3.70). However, as shown above, only the one

$$\mathfrak{R} = 2 \cos \frac{\pi - \bar{\theta}}{3}, \tag{3.74}$$

corresponds to $r_* \in (-\infty, -1)$. The functions f and g are the same as those given by eq. (3.72).

3.2.3 $-1 < s < 0$

In this case, we find that

$$r(r_*) = \begin{cases} r_H, & r_* \rightarrow -\infty, \\ 0, & r_* = -1, \\ \infty, & r_* = |s|, \\ 0, & r_* = +1, \\ r_H, & r_* \rightarrow +\infty. \end{cases} \tag{3.75}$$

figure 3 shows the function $r(r_*)$ vs r_* . The space-time near the points $r_* = \pm 1$ and $r_* = -s$ have similar behavior, at which the metric is given, respectively, by eq. (3.30), (3.33) and (3.42). As a result, the singularity at $r_* = 1$ ($r = 0$) is a scalar one and naked, while at

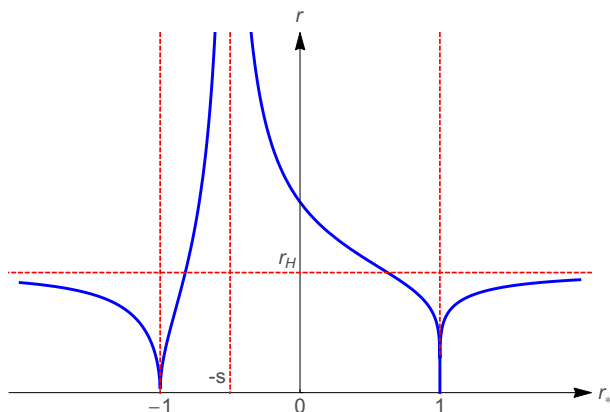


Figure 2. The function $r \equiv r_+(r_*)$ defined by eq. (3.22) vs r_* for $0 < s < 1$. The space-time is singular at $r_* = 1$, locally flat at $r_* = -1$, and asymptotically to the Lifshitz space-time (3.15) with $z = s$ as $r_* \rightarrow -s$ ($r \rightarrow \infty$).

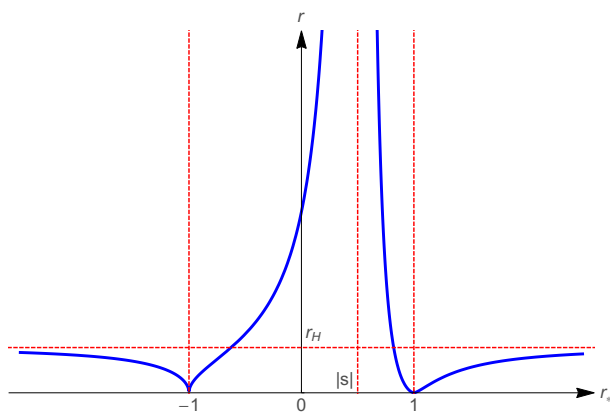


Figure 3. The function $r \equiv r_+(r_*)$ defined by eq. (3.22) vs r_* for $-1 < s < 0$. The space-time is singular at $r_* = +1$, locally flat at $r_* = -1$, and asymptotically to the Lifshitz space-time (3.15) with $z = s$ as $r_* \rightarrow |s|$ ($r \rightarrow \infty$).

$r_* = -1$ ($r = 0$) it is locally flat. As $r_* \rightarrow |s|$ (or $r \rightarrow \infty$) it is asymptotically Lifshitz space-time with $z = s$, that is, $-1 < z < 0$. Since now we have $\epsilon^- = \text{sign}(r_* + 1)|_{r_* \simeq |s|} = +1$. Then, in the region $r_* \in [-1, |s|)$, the conditions (3.34) and (3.43) now require

$$\Lambda > 0, \quad (-1 < s < 0). \tag{3.76}$$

On the other hand, if we choose to work in the region $r_* \in (|s|, +1]$, we find that near $r = 1$ we have $\epsilon^+ = \text{sign}(r_* - 1)|_{r_* \simeq 1} = -1$. Then, the conditions (3.31) and (3.43) also require eq. (3.76) to be held, although now the space-time has a curvature singularity at $r_* = 1$ ($r = 0$).

In review of the above solutions, it is remarkable to note that a positive cosmological constant always produces an asymptotically Lifshitz space-time with the anisotropic scaling exponent z less than one, while a negative cosmological constant always produces an asymptotically Lifshitz space-time with the anisotropic scaling exponent z greater than

one, that is,

$$z = \begin{cases} < 1, & \Lambda > 0, \\ > 1, & \Lambda < 0. \end{cases} \quad (3.77)$$

Similar to the previous cases, let us consider the case with $s = -1/3$ in detail. Then, we find that

$$\begin{aligned} \left(\frac{r}{r_H}\right)^2 &= \mathfrak{R} |r_* + 1| \left|r_* - \frac{1}{3}\right|^{1/2}, \\ \mathfrak{R} &= \left(\frac{r_* - 1}{r_* - \frac{1}{3}}\right)^2. \end{aligned} \quad (3.78)$$

Following what we did for the cases $s = 3$ and $s = 1/3$, one can solve it for \mathfrak{R} in the following four regions.

(a) $r_* \in [-1, 1/3)$. In this region, we have the following solution

$$\mathfrak{R}^{\frac{1}{2}} = -\frac{1}{2} + \frac{1}{2} \left[\frac{r}{r_H} + \sqrt{1 + \left(\frac{r}{r_H}\right)^2} \right]^{-\frac{2}{3}} + \frac{1}{2} \left[\frac{r}{r_H} + \sqrt{1 + \left(\frac{r}{r_H}\right)^2} \right]^{\frac{2}{3}}. \quad (3.79)$$

Then, the functions f and g are given by

$$f^2 = N_0^2 r^{-2z} \mathfrak{R}^{-\frac{1}{2}}, \quad g^2 = \frac{2\mathfrak{R} - 3\mathfrak{R}^{\frac{1}{2}}}{2 \left(1 - \mathfrak{R}^{\frac{1}{2}}\right)^2}, \quad (3.80)$$

where we had used the relation

$$\mathfrak{R} = \left(\frac{r_* - 1}{r_* - \frac{1}{3}}\right)^2 = \begin{cases} \frac{9}{4}, & r_* = -1, \\ \infty, & r_* = \frac{1}{3}. \end{cases} \quad (3.81)$$

From the above expressions one can see that when $\mathfrak{R} = 9/4$ (corresponding to $r = 0$) the function g is vanishing. At this point, we have $r_* = -1$ which is not a curvature singularity as what we had proved in the previous section. In fact, the space-time in the present case is free of any kind of space-time curvature singularity, and represents a Lifshitz soliton.

(b) $r_* \in (1/3, 1]$. \mathfrak{R} in this region is given by

$$\mathfrak{R}^{\frac{1}{2}} = \begin{cases} -\frac{1}{2} + \frac{1}{2} \mathcal{A}(r)^{-\frac{2}{3}} + \frac{1}{2} \mathcal{A}(r)^{\frac{2}{3}}, & r \geq r_H, \\ -\frac{1}{2} + \cos \frac{2\tilde{\theta}}{3}, & r < r_H, \end{cases} \quad (3.82)$$

where we have defined

$$\mathcal{A}(r) = \frac{r}{r_H} + \sqrt{\left(\frac{r}{r_H}\right)^2 - 1}, \quad (3.83)$$

with $\tilde{\theta}$ being given by

$$\cos \tilde{\theta} = \frac{r}{r_H}, \quad \sin \tilde{\theta} = \sqrt{1 - \left(\frac{r}{r_H}\right)^2}. \quad (3.84)$$

The functions f and g are given by

$$f^2 = N_0^2 r^{-2z} \mathfrak{R}^{-\frac{1}{2}}, \quad g^2 = \frac{2\mathfrak{R} + 5\mathfrak{R}^{\frac{1}{2}}}{2(1 + \mathfrak{R}^{\frac{1}{2}})^2}. \quad (3.85)$$

Note that the metric coefficients are well-defined along the whole real axis $r \in (0, \infty)$, except at the origin $r = 0$ (or $r_* = 1$), which corresponds to $\mathfrak{R} = 0$. As shown above, this represents a real space-time curvature singularity. Therefore, the solution in this case represents a Lifshitz spacetime with a curvature singularity at $r = 0$.

(c) $r_* \in (1, +\infty)$. In this region \mathfrak{R} is given by

$$\mathfrak{R} = \frac{1}{2} + \cos \frac{2\tilde{\theta} + \pi}{3} = \begin{cases} 1, & r = r_H, \\ 0, & r = 0, \end{cases} \quad (3.86)$$

where $\tilde{\theta}$ is defined by eq. (3.84), so that $\mathfrak{R} \in (0, 1)$. Then, the functions f and g are given by

$$f^2 = N_0^2 r^{-2z} \mathfrak{R}^{-\frac{1}{2}}, \quad g^2 = \frac{2\mathfrak{R} - 3\mathfrak{R}^{\frac{1}{2}}}{2(1 - \mathfrak{R}^{\frac{1}{2}})^2}. \quad (3.87)$$

Clearly, the metric becomes singular at $r = r_H$. But, this singularity is a coordinate one and extension beyond this surface is needed. Simply assuming that eq. (3.84) holds also for $r > r_H$ will lead to \mathfrak{R} to be a complex function of r , and so are the functions f and g . Therefore, this will not represent a desirable extension.

(d) $r_* \in (-\infty, -1]$. Similar to the region $r_* \in (1, +\infty)$, in the present case we have

$$\mathfrak{R} = \frac{1}{2} + \cos \frac{2\tilde{\theta}}{3} = \begin{cases} \frac{3}{2}, & r = r_H, \\ 1, & r = 0. \end{cases} \quad (3.88)$$

Since $\tilde{\theta} \in [0, \pi/2]$, we have $\mathfrak{R} \geq 1$ for $r \in [0, r_H]$. The functions f and g are also given by eq. (3.87), from which we can see that g becomes unbounded at $r = 0$ (or $r_* = -1$). As shown above, this is a coordinate singularity.

To extend the above solution to the region $r > r_H$, one may simply assume that eq. (3.84) hold also for $r > r_H$. In particular, setting $\tilde{\theta} = i\hat{\theta}$, we find that

$$\mathfrak{R} = \frac{1}{2} + \cosh \frac{2\hat{\theta}}{3} \geq \frac{3}{2}, \quad (r \geq r_H), \quad (3.89)$$

where $\hat{\theta}$ is defined by

$$\cosh \hat{\theta} = \left(\frac{r}{r_H} \right), \quad \sinh \hat{\theta} = \sqrt{\left(\frac{r}{r_H} \right)^2 - 1}. \quad (3.90)$$

The above represents an extension of the solution originally defined only for $r \leq r_H$. Note that $\mathfrak{R} \simeq r^{4/3}$ as $r \rightarrow \infty$. Then, from eq. (3.87) we find that

$$r^{2z} f^2 \sim r^{-2/3}, \quad g^2 \simeq 1, \quad (3.91)$$

as $r \rightarrow \infty$. That is, the space-time is asymptotically approaching to a Lifshitz space-time with its dynamical exponent now given by $z = -1/3$. But, at the origin $r = 0$ (or $r_* = -1$), the space-time is free of any kind of space-time curvature singularity. Therefore, the extended solution represents a Lifshitz soliton.

3.2.4 $s < -1$

In this case, we find that

$$r(r_*) = \begin{cases} r_H, & r_* \rightarrow -\infty, \\ 0, & r_* = -1, \\ \infty, & r_* = +1, \\ 0, & r_* = |s|, \\ r_H, & r_* \rightarrow +\infty. \end{cases} \quad (3.92)$$

figure 4 shows the function $r(r_*)$ vs r_* , from which we can see that the space-time is singular at the spatial infinity $r = \infty$ (or $r_* = +1$). Then, it is not clear whether the space-time in the region $r_* \in [-1, +1]$ represents any physical reality. However, in the regions $r_* \in (-\infty, -1]$ and $r_* \in [1, +\infty)$ they may represent the interiors of Lifshitz black holes. To see this explicitly, we take $s = -3$ as a specific example. Just follows what we have done in the previous subsections. In the region $r \in (s, -1]$, from eq. (3.46) we can obtain the functions f and g

$$f^2 = N_0^2 r^{-2z} \left(\frac{r}{r_H} \right)^6 \left(1 + \sqrt{1 - \left(\frac{r}{r_H} \right)^2} \right)^3, \quad (3.93)$$

$$g^2 = \frac{1 - \sqrt{1 - \left(\frac{r}{r_H} \right)^2}}{2 \left(1 - \left(\frac{r}{r_H} \right)^2 \right)}.$$

This solution is only well defined in the region $r \in [0, r_H]$.

On the other hand, if we focus on the region $r \in [-1, 1]$, which may physically be viewed as a Lifshitz soliton. To see this clearly, we solve eq. (3.46) and obtain the following

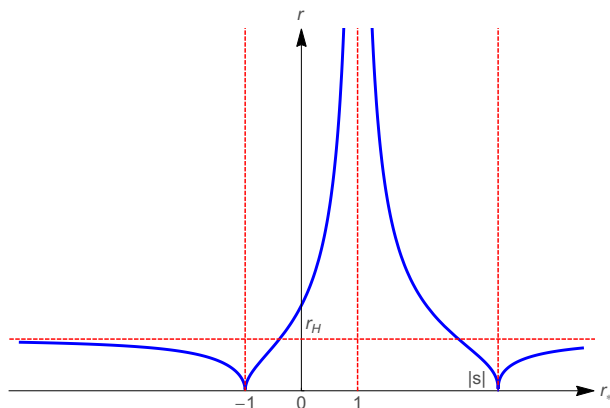


Figure 4. The function $r \equiv r_+(r_*)$ defined by eq. (3.22) vs r_* for $s < -1$. The space-time is singular at $r_* = +1$ ($r = \infty$).

expressions

$$f^2 = N_0^2 r^{-2z} \left(\frac{r}{r_H}\right)^6 \left(\sqrt{1 + \left(\frac{r}{r_H}\right)^2} - 1\right)^3,$$

$$g^2 = \frac{1 - \sqrt{1 + \left(\frac{r}{r_H}\right)^2}}{2\left(1 + \left(\frac{r}{r_H}\right)^2\right)}.$$
(3.94)

It should be noted that the above analysis is not valid for $s = 0, \pm 1$, as one can see from eqs. (3.11), (3.21) and (3.25). In the following, let us consider these particular cases, separately.

3.3 Generalized BTZ black holes

When $s = 1$, from eq. (3.11) we find that $\beta = 0$, which leads to c_s to become unbounded unless $\lambda = 1$, as can be seen from eq. (2.20). This corresponds to the relativistic limit that requires $(\beta, \lambda, \gamma_1) = (0, 1, -1)$. These values are protected by the symmetry (general covariance) of the theory, and they remain the same even after radiative corrections are taken into account. In this limit, the spin-0 gravitons disappear, and the corresponding gravity is purely topological [94]. Nevertheless, the theory still provides valuable information on various important issues, such as black holes [98]. In the HL gravity, the general covariance is replaced by the foliation-preserving diffeomorphisms, and in principle these parameters now can take any values, when radiative corrections are taken into account. However, as shown in the last section, the stability and ghost-free conditions in the IR limit require $\lambda = 1$ when $\beta = 0$. Therefore, in the rest of the paper, we shall assume that $\lambda = 1$ whenever $\beta = 0$.

When $s = 1$, eq. (3.10) becomes invalid, and nor is eq. (3.12). Then, we must come back to the original equations (3.8) and (3.9), which now become,

$$\gamma_1 (r g' - g) + \Lambda g^3 = 0,$$
(3.95)

$$\gamma_1 W - \Lambda g^2 = 0,$$
(3.96)

and have the general solutions,

$$g^2 = \frac{\gamma_1 r^2}{M + \Lambda r^2}, \quad f^2 = f_0^2 \frac{|M + \Lambda r^2|}{r^{2z}}, \quad (3.97)$$

where M and f_0 are the integration constants. By rescaling t , without loss of the generality, we can always set $f_0 = 1$, and the metric takes the form,

$$ds^2 = - \left| M \pm \left(\frac{r}{\ell} \right)^2 \right| dt^2 + \left(\frac{\gamma_1}{M \pm \left(\frac{r}{\ell} \right)^2} \right) dr^2 + r^2 dx^2, \quad (3.98)$$

where “+” (“-”) corresponds to $\Lambda > 0$ ($\Lambda < 0$), and $\ell \equiv 1/\sqrt{|\Lambda|}$. Clearly, to have g_{rr} non-negative, we must require

$$M \pm \left(\frac{r}{\ell} \right)^2 = \begin{cases} \geq 0, & \gamma_1 > 0, \\ \leq 0, & \gamma_1 < 0. \end{cases} \quad (3.99)$$

The BTZ black hole solution [98] corresponds to $(\lambda, \gamma_1) = (1, -1)$ and $\Lambda < 0$, for which the corresponding action becomes generally covariant, and the constant M denotes the mass of the BTZ black hole.

It is interesting to note that black holes with $\Lambda < 0$ exist for any given γ_1 . Then, we refer them to as the generalized BTZ black holes.

3.4 Solutions with $s = -1$

When $s = -1$, from eq. (3.11) we find that $\beta = 2\gamma_1$. Then, for $W = W_+$ eq. (3.12) becomes,

$$2rr'_* - (r_*^2 - 1)(r_* - 1) = 0, \quad (3.100)$$

which has the solution,

$$r_+(r_*) = r_H \left| \frac{r_* + 1}{r_* - 1} \right|^{1/2} e^{-\frac{1}{r_* - 1}}, \quad (3.101)$$

where r_H is a constant. It can be shown that the corresponding functions g and f are given by

$$\begin{aligned} f(r_*) &= f_0 \left| \frac{r_* - 1}{r_* + 1} \right|^{z/2} e^{\frac{1+z}{r_* - 1}}, \\ g^2(r_*) &= \frac{\gamma_1}{4\Lambda} (r_*^2 - 1). \end{aligned} \quad (3.102)$$

By properly rescaling the coordinates t and x , the corresponding line element can be cast in the form,

$$ds^2 = -e^{\frac{2}{r_* - 1}} dt^2 + \left(\frac{\gamma_1}{\Lambda} \right) \frac{dr_*^2}{(r_*^2 - 1)(r_* - 1)^2} + \left| \frac{r_* + 1}{r_* - 1} \right| e^{-\frac{2}{r_* - 1}} d^2x. \quad (3.103)$$

Note that the functions $g(r_*)$ and $f(r_*)$ given by eq. (3.102) are well-defined even for $r_* < 0$, although according to eq. (3.11) it is non-negative. Therefore, similar to the previous cases,

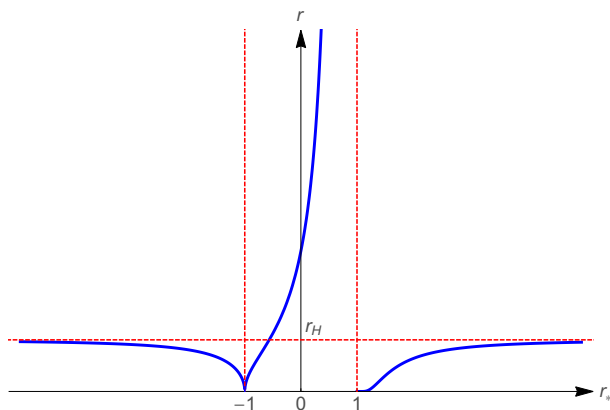


Figure 5. The function $r \equiv r_+(r_*)$ defined by eq. (3.101) vs r_* for $s = -1$. The spacetime is singular at $r_* = \pm 1$.

we consider the region $r_* < 0$ as a natural extension, and consider spacetimes defined over the whole region $r_* \in (-\infty, +\infty)$.

In addition, in this particular case, r_* is dimensionless, while x has the dimension of length, as one can see from eq. (3.103). From eq. (3.101), we find that

$$r(r_*) = \begin{cases} r_H, & r_* \rightarrow -\infty, \\ 0, & r_* = -1, \\ \infty, & r_* \rightarrow 1^-, \\ 0, & r_* \rightarrow 1^+, \\ r_H, & r_* \rightarrow +\infty. \end{cases} \quad (3.104)$$

figure 5 shows the curve of r vs r_* . The space-time is singular at $r_* = \pm 1$, as one can see from the corresponding Ricci scalar, given by

$$R = \frac{32\Lambda^2(r_*^2 - r_* - 1)}{\gamma_1^2(r_*^2 - 1)^2}. \quad (3.105)$$

Therefore, one may restrict the space-time to the region $r_* \in (1, \infty)$ or $r_* \in (-\infty, -1)$. In each of these two regions, to have a proper sign of the metric, we must require $\gamma_1/\Lambda < 0$, as one can see from eq. (3.103). However, as $|r_*| \rightarrow \infty$ we always have $r \rightarrow r_H$ (finite). So, to have a complete space-time, extension of the solutions to the region $r > r_H$ is needed.

It can be shown that the solution with the choice $W = W_-$ can be also obtained from the one of $W = W_+$ by replacing r_* by $-r_*$. So, in the following we shall not consider it.

3.5 Solutions with $s = 0$

When $s = 0$ from eq. (3.11) we have $\gamma_1 = 0$. Then, the function r_* defined there becomes unbound, and eq. (3.12) is no longer valid. In fact, when $\gamma_1 = 0$, from eq. (3.9) we find that

$$W = \alpha g, \quad (3.106)$$

where $\alpha \equiv \sqrt{2\Lambda/\beta}$. Inserting it into eq. (3.8) we obtain

$$\alpha g(r) = 0. \tag{3.107}$$

Since $g \neq 0$, we must have $\alpha = 0$ or $\Lambda = 0$. Then, the function $g(r)$ is undetermined. On the other hand, from eqs. (3.5) and (3.106) we find that

$$f = \frac{f_0}{r^z}, \tag{3.108}$$

where f_0 is a constant. By rescaling t , one can always set it to one. Thus, in this case the metric takes the form,

$$ds^2 = -dt^2 + \frac{g^2(r)dr^2}{r^2} + r^2 dx^2, \tag{3.109}$$

where g is an arbitrary function of r , and $\Lambda = 0$. Setting

$$r_* = \int \frac{g(r)dr}{r} + r_*^0, \tag{3.110}$$

where r_*^0 is a constant, the above metric takes the form,

$$ds^2 = -dt^2 + dr_*^2 + r^2(r_*)dx^2, \tag{3.111}$$

where $r(r_*)$ is an arbitrary function of r_* .

4 Static vacuum solutions for the non-diagonal case $N^r \neq 0$

When $N^r \neq 0$, it is found convenient to consider solutions with $\lambda = 1$ and the ones with $\lambda \neq 1$, separately.

4.1 Solutions with $\lambda = 1$

In this subcase, the Hamiltonian constraint (3.3), the momentum constraint (3.4) and the dynamical equation (3.6) reduce, respectively, to

$$\frac{H}{r^{2z}f^2} \left(\frac{H}{r} \right)' + \beta \left[\frac{(r^z f W)'}{r^{z-1}f} + \frac{1}{2}W^2 \right] + \gamma_1 W - \Lambda g^2 = 0, \tag{4.1}$$

$$(r^{z-1}gf)' = 0, \tag{4.2}$$

$$\frac{H}{r^{2z}f^2} \left(\frac{H}{r} \right)' + \frac{\beta}{2}W^2 + \gamma_1 W - \Lambda g^2 = 0. \tag{4.3}$$

From eq. (4.2) we find that

$$g = \frac{g_0}{r^{z-1}f} = \frac{g_0 r}{N}, \tag{4.4}$$

where g_0 is an integration constant. On the other hand, the combination of eqs. (4.1) and (4.3) yields,

$$\beta (r^z f W)' = 0. \tag{4.5}$$

Thus, depending on whether β vanishes or not, we obtain two different classes of solutions.

4.1.1 $\beta = 0$

As mentioned above, $\beta = 0$ is allowed when $\lambda = 1$. Then, eq. (4.5) holds identically, while eq. (4.1) reduces to eq. (4.3). Hence, now there are only two independent equations, (4.2) and (4.3), for three unknowns, $f(r), g(r)$ and $N^r(r)$. Therefore, in the present case the system is underdetermined. Taking $N^r(r)$ as arbitrary, from eq. (4.3) we find that

$$\left(\frac{gN^r}{r}\right)^2 = g_0^2 (M + \Lambda r^2) - \gamma_1 N^2, \quad (4.6)$$

where M is a constant. Inserting eq. (4.4) into the above expression, we find that

$$N^2 = \frac{g_0^2}{2\gamma_1} \left[(M + \Lambda r^2) \pm \sqrt{(M + \Lambda r^2) - 4\gamma_1 \left(\frac{N^r}{g_0}\right)^2} \right]. \quad (4.7)$$

Without loss of the generality, we can always set $g_0 = 1$, by rescaling $t \rightarrow g_0 t$ and $N^r = g_0 \bar{N}^r$, so that the metric can be finally cast in the form,

$$ds^2 = -N^2 dt^2 + \frac{1}{N^2} (dr + N^r dt)^2 + r^2 dx^2, \quad (4.8)$$

where N^2 is given by eq. (4.7) with $g_0 = 1$. When $N^r = 0$, the above metric reduces to the generalized BTZ solutions (3.98). When $N^r \neq 0$, the corresponding solutions can be considered as a further generalization of the BTZ solution [98].

To understand the question of the underdetermination of the system in the current case, it is suggestive to consider the diagonal metric

$$ds^2 = -e^{2\Psi(r)} d\tau^2 + e^{-2\Psi(r)} dr^2 + r^2 dx^2. \quad (4.9)$$

Then, setting

$$\tau = t - \Sigma(r), \quad (4.10)$$

where $\Sigma(r)$ is an arbitrary function, we find that in terms of t , the above metric takes the form,

$$ds^2 = -e^{2\Psi(r)} dt^2 + 2\Sigma' e^{2\Psi(r)} dt dr + \left(e^{-2\Psi} - \Sigma'^2 e^{2\Psi} \right) dr^2 + r^2 dx^2. \quad (4.11)$$

Therefore, for any given diagonal solution $\Psi(r)$, we can always obtain a non-diagonal one (Ψ, Σ) by the coordinate transformation (4.10), where Σ is an arbitrary function of r , as mentioned above. Identifying the two metrics (4.8) and (4.11), we obtain

$$e^{2\Psi} = N^2 - \left(\frac{N^r}{N}\right)^2, \quad (4.12)$$

$$\Sigma' = \frac{N^r}{N^4 - (N^r)^2}. \quad (4.13)$$

Therefore, the underdetermination of the system can be considered as due to the ‘‘free coordinate transformations’’ (4.10). However, in the HL theory, the symmetry (2.1) in general does not allow such transformations. If it is forced to do so, the resulted solutions usually do not satisfy the corresponding HL field equations. Examples of this kind were provided in [99]. However, it can be shown that the current case is an exception.

4.1.2 $\beta \neq 0$

Then, eq. (4.5) yields

$$(r^z fW)' = 0. \quad (4.14)$$

It is found convenient to consider the cases $W = 0$ and $W \neq 0$, separately.

Case A.2.1) Solutions with $W = 0$: in this case, from eqs. (3.5) and the definition of W we find that

$$f = f_0 r^{-z}, \quad (4.15)$$

where f_0 is a constant. Substituting it into eqs. (4.4) and (4.3) we find that

$$\begin{aligned} g &= g_0 r, \\ H &= \pm g_0 f_0 r \sqrt{1 + M + \Lambda r^2}, \end{aligned} \quad (4.16)$$

where $g_0 \equiv C_0/f_0$, and M is another integration constant. Then, we find that

$$N^r = \pm f_0 \sqrt{1 + M + \Lambda r^2}. \quad (4.17)$$

Rescaling the coordinates t, r and x , without loss of then generality, we can set $f_0 = g_0 = 1$, so the corresponding metric of the solution finally takes the form,

$$ds^2 = -dt^2 + \left(dr^2 + \sqrt{1 + M + \Lambda r^2} dt \right)^2 + r^2 dx^2, \quad (4.18)$$

which is nothing but the BTZ solution written in the Painleve-Gullstrand coordinates [100, 101], where M denotes the mass of the BTZ black hole. Note that in writing the above metric, we had chosen the “+” sign of N^r . The corresponding metric for the choice of “-” sign can be trivially obtained by simply flipping the sign of t . Therefore, in the following we shall always choose its “+” sign, whenever the possibility raises.

Case A.2.2) Solutions with $W \neq 0$: then, eqs. (3.5) and (4.14) yield,

$$f = f_0 r^{-z} \left(\ln \frac{r}{r_H} \right), \quad W = \left(\ln \frac{r}{r_H} \right)^{-1}, \quad (4.19)$$

where f_0 and r_H are two integration constants. Then, from eqs. (4.3) and (4.4) we find that

$$\begin{aligned} g &= g_0 r \left(\ln \frac{r}{r_H} \right)^{-1}, \quad H = f_0 r \mathcal{H}, \\ N^r &= \frac{f_0}{g_0} \mathcal{H} \ln \left(\frac{r}{r_H} \right), \end{aligned} \quad (4.20)$$

where

$$\mathcal{H} \equiv \left[B - \beta \ln \left(\frac{r}{r_H} \right) - \gamma_1 \ln^2 \left(\frac{r}{r_H} \right) + g_0^2 \Lambda r^2 \right]^{1/2}, \quad (4.21)$$

with B being another integration constant. By rescaling the coordinates, we can always set $f_0 = g_0 = 1$, and the metric takes the form,

$$ds^2 = -\ln^2 \left(\frac{r}{r_H} \right) dt^2 + \frac{1}{\ln^2 \left(\frac{r}{r_H} \right)} \left[dr + \mathcal{H} \ln \left(\frac{r}{r_H} \right) dt \right]^2 + r^2 dx^2. \quad (4.22)$$

Clearly, the metric becomes singular at $r = r_H$. To see the nature of the singularity, let us consider the quantities K and R , which are given by

$$\begin{aligned} K &= \frac{\mathcal{H}}{r} \left[1 - \frac{\beta + 2\gamma_1 \ln\left(\frac{r}{r_H}\right) - 2\Lambda r^2}{2\mathcal{H}^2} \right], \\ R &= -\frac{2}{r^2} \ln\left(\frac{r}{r_H}\right), \end{aligned} \tag{4.23}$$

which are finite at $r = r_H$, and indicate that the singularity at $r = r_H$ is a coordinate one.

On the other hand, to have the metric real, we must assume $\mathcal{H} \geq 0$, where

$$\mathcal{H} = \begin{cases} \sqrt{B + \Lambda r_H^2}, & r = r_H, \\ \sqrt{\Lambda} r, & r \gg r_H. \end{cases} \tag{4.24}$$

Clearly, we must assume $\Lambda \geq 0$ and $B \geq -\Lambda r_H^2$. Otherwise, \mathcal{H} will become negative for $r > r_\infty$, where r_∞ is a root of $\mathcal{H}(r) = 0$, at which the spacetime becomes singular, as one can see from eq. (4.23). An interesting case is where $\Lambda = 0$. Since $\beta < 0$, we find that the condition $\mathcal{H} > 0$ always holds for $B > 0$ and $\gamma_1 < 0$. In this case, eq. (4.23) shows that the spacetime is also asymptotically flat as $r \rightarrow \infty$.

4.2 Solutions with $\lambda \neq 1$

When $\lambda \neq 1$, from the Hamiltonian constraint (3.3) and the dynamical equation (3.6) we obtain

$$\beta \left[gr \left(\frac{W}{g} \right)' + W \right] - \gamma_1 \left(r \frac{g'}{g} - 1 + W \right) = 0. \tag{4.25}$$

To solve the above equations, let us consider some representative cases.

4.2.1 $W = 0$

In this case, from eqs. (3.5) and (4.25) we find that

$$f = f_0 r^{-z}, \quad g = g_0 r. \tag{4.26}$$

Substituting them into the momentum constraint (3.4), we find

$$H = H_0 r^2 + H_1, \tag{4.27}$$

where H_0 and H_1 are two constants, which can be determined by the dynamical equation (3.6),

$$H_0 = \sqrt{\frac{\Lambda}{2\lambda - 1}} f_0 g_0, \quad H_1 = 0. \tag{4.28}$$

Then, we find that

$$N^r = \sqrt{\frac{\Lambda}{2\lambda - 1}} f_0 r. \tag{4.29}$$

It can be shown that we can always set $f_0 = g_0 = 1$ by rescaling the coordinates, so that the metric can be written in the form,

$$ds^2 = -dt^2 + \left(dr + \sqrt{\frac{\Lambda r^2}{2\lambda - 1}} dt \right)^2 + r^2 dx^2, \quad (4.30)$$

which is the BTZ solution written in the Painleve-Gullstrand coordinates, with

$$\Lambda_{\text{eff.}} \equiv \frac{\Lambda}{2\lambda - 1}, \quad M = -1. \quad (4.31)$$

That is, the corresponding mass is negative in the current case.

4.2.2 $W = z$

In this case, it can be shown that the functions f and g are all constants, provided that z satisfies the relation,

$$z = s = \frac{\gamma_1}{\gamma_1 - \beta}. \quad (4.32)$$

Without loss of the generality, we set $f = g = 1$, so that $N^r = H$, and the corresponding metric takes the form,

$$ds^2 = -r^{2z} dt^2 + \frac{1}{r^2} (dr + H dt)^2 + r^2 dx^2, \quad (4.33)$$

where H can be obtained from the momentum constraint,

$$r^2 H'' - zrH' + \frac{1-z}{\lambda-1} H = 0. \quad (4.34)$$

This is the Euler equation, and has the general solution

$$H = H_0 r^{\sigma_1 + \sigma_2} + H_1 r^{\sigma_1 - \sigma_2}, \quad (4.35)$$

where H_0 and H_1 are two integration constants, and

$$\sigma_1 \equiv \frac{z+1}{2}, \quad \sigma_2 \equiv \frac{\sqrt{(z+1)^2 + \frac{4(z-1)}{\lambda-1}}}{2}. \quad (4.36)$$

Inserting the above expressions into eq. (3.6), we find that

$$\begin{aligned} & \alpha_1 H_0^2 r^{2(\sigma_1 + \sigma_2 - 1)} + \alpha_2 H_1^2 r^{2(\sigma_1 - \sigma_2 - 1)} \\ & + \alpha_3 H_0 H_1 r^{2(\sigma_1 - 1)} + \alpha_4 r^{2z} = 0, \end{aligned} \quad (4.37)$$

where

$$\begin{aligned} \alpha_1 &= \frac{1}{2}(1-\lambda)(\sigma_1 + \sigma_2)^2 - (\sigma_1 + \sigma_2 - 1), \\ \alpha_2 &= \frac{1}{2}(1-\lambda)(\sigma_1 - \sigma_2)^2 - (\sigma_1 - \sigma_2 - 1), \\ \alpha_3 &= (1-\lambda)(\sigma_1^2 - \sigma_2^2) - 2(\sigma_1 - 1), \\ \alpha_4 &= \Lambda - \frac{\beta z^2}{2} - \gamma_1 z. \end{aligned} \quad (4.38)$$

Therefore, there are four possibilities, depending on the values of the constants H_0 and H_1 .

Case B.2.1) $H_0 = H_1 = 0$: in this case, eq. (4.37) yields,

$$\Lambda = \frac{\beta}{2}z^2 - \gamma_1 z = \frac{\gamma_1^2(2\gamma_1 - \beta)}{2(\gamma_1 - \beta)^2}. \quad (4.39)$$

Since now $H = N^r = 0$, so the corresponding solution is exactly the Lifshitz space-time given by eq. (3.15).

Case B.2.2) $H_0 \neq 0, H_1 = 0$: in this case, Λ is still given by eq. (4.39), and in addition, eq. (4.37) also requires $\alpha_1 = 0$, which yields,

$$\sigma_1 + \sigma_2 = \alpha_{\pm}, \quad (4.40)$$

where

$$\alpha_+ \equiv \frac{1 + \sqrt{2\lambda - 1}}{1 - \lambda} = \begin{cases} 2, & \lambda = 1/2, \\ \infty, & \lambda = 1, \\ < 0, & \lambda > 1, \\ 0^-, & \lambda \rightarrow \infty, \end{cases}, \quad \alpha_- \equiv \frac{2}{1 + \sqrt{2\lambda - 1}} = \begin{cases} 2, & \lambda = 1/2, \\ 1, & \lambda = 1, \\ < 1, & \lambda > 1, \\ 0^+, & \lambda \rightarrow \infty. \end{cases} \quad (4.41)$$

Then, combining it with eq. (4.36), we find that $z = z(\lambda)$ and is given by

$$2\alpha_{\pm} = \sqrt{(z+1)^2 + \frac{4(z-1)}{\lambda-1}} + (z+1). \quad (4.42)$$

Thus, H is given by,

$$H = H_0 r^{\alpha_{\pm}}. \quad (4.43)$$

Clearly, to have real solutions, we must require $\lambda \geq 1/2$. The corresponding K and R are given by

$$K = H_0 \alpha_{\pm} r^{\alpha_{\pm} - (z+1)}, \quad R = -2, \quad (4.44)$$

from which we find that the non-singular condition of the spacetime at $r = \infty$ requires $\alpha_{\pm} \leq z+1$, for which the spacetime is singular at $r = 0$, unless only the equality $\alpha_{\pm} = z+1$ holds. The latter is possible only for $z = 1$ and $\lambda = 1/2$, as it can be seen from eqs. (4.41) and (4.42), for which the metric takes the form,

$$ds^2 = -r^2 dt^2 + \frac{1}{r^2} (dr + H_0 r^2 dt)^2 + r^2 dx^2, \quad (z = 1, \lambda = 1/2). \quad (4.45)$$

It is interesting to note that the above solution can be obtained from the anti-de Sitter solution,

$$ds^2 = -L^{-2} \left(r^2 d\hat{\tau}^2 + \frac{dr^2}{r^2} + r^2 d\hat{x}^2 \right), \quad (4.46)$$

by the ‘‘coordinate transformation’’ (4.10) with $\Sigma = -H_0 / [(1 - H_0^2)r]$, $\hat{\tau} = L^2 \tau$, $\hat{x} = x/L$, where $L \equiv \sqrt{1 - H_0^2}$. As mentioned above, this is not allowed by the symmetry of the theory. Therefore, the above solution represents a different spacetime in the HL theory.

Case B.2.3) $H_0 = 0, H_1 \neq 0$: in this case we must have $\alpha_2 = 0 = \alpha_4$. The latter yields eq. (4.39), while the former $\alpha_2 = 0$ yields,

$$\sigma_1 - \sigma_2 = \alpha_{\pm}, \tag{4.47}$$

where α_{\pm} are given by eq. (4.41). Then, the function H is also given by eq. (4.43) with H_0 being replaced by H_1 . As a result, the solutions are identical to the ones obtained in the last case.

Case B.2.4) $H_0 H_1 \neq 0$: in this case, once again we find that Λ is given by eq. (4.39). In addition, we must also have $\alpha_1 = \alpha_2 = \alpha_3 = 0$, which yields,

$$\sigma_1 = \alpha_{\pm}, \quad \sigma_2 = 0. \tag{4.48}$$

This in turn gives

$$H = N^r = (H_0 + H_1)r^{\alpha_{\pm}}. \tag{4.49}$$

Therefore, in this case the solutions are also the same as these given in Case B.2.2).

4.2.3 Solutions with $W \neq 0, z$ and $\beta = 0$

In this case, from eq. (4.25) we find that

$$r^{z-1}gf = c_1, \tag{4.50}$$

where c_1 is an integration constant. Then, the momentum constraint (3.4) and the dynamical equation (3.6) imply

$$\begin{aligned} H &= H_0 r^2, & N^r &= c_0 r \sqrt{r^2 - M}, \\ f &= \frac{f_0 \sqrt{r^2 - M}}{r^z}, & g &= \frac{g_0 r}{\sqrt{r^2 - M}}, \end{aligned} \tag{4.51}$$

where $c_0 \equiv H_0/g_0$, $c_1 \equiv f_0 g_0$, and f_0 and g_0 are other two constants. Thus, the corresponding metric takes the form,

$$ds^2 = L^2 \left\{ - (r^2 - M) dt^2 + \frac{(dr + c_0 r \sqrt{r^2 - M} dt)^2}{r^2 - M} + r^2 dx^2 \right\}, \tag{4.52}$$

where $L \equiv g_0$. Note that in writing the above metric, we had set $f_0 = L$ by rescaling t .

The corresponding K and R are given by

$$K = \frac{2c_0}{L}, \quad R = -\frac{2}{L^2}, \tag{4.53}$$

from which we find that the spacetime is not singular at any point, including $r = M$. From the above analysis, it can be shown that this class of solutions can be also obtained from the generalized BTZ solutions (3.98) by the ‘‘illegal’’ coordinate transformation (4.10).

4.2.4 Solutions with $W \neq 0, z$ and $\lambda = 1/2$

When $\lambda = 1/2$, the corresponding theory has conformal symmetry. In this particular case, if we take

$$H = H_0 r^2, \tag{4.54}$$

where H_0 is a constant, then we find that eq. (3.4) holds identically, and

$$(1 - \lambda)(H')^2 - 2H \left(\frac{H}{r}\right)' = 0, \tag{4.55}$$

$$(1 - \lambda)g \left[\frac{(H')^2}{2r^z g f} - H \left(\frac{H'}{r^z g f}\right)' \right] - \frac{H (r^{z-2} g f H)'}{r^{2z-1} g f^2} = 0. \tag{4.56}$$

Then, it can be shown that the contributions of the parts involved with H in eqs. (3.3) and (3.6) are zero. As a result, the functions f and g satisfy the same equations as in the case $H = 0$, i.e., eqs. (3.8) and (3.9). Hence, any solution f and g found in section IV with $H = 0$ is also a solution of the current case with H being given by eq. (4.54). Thus, we have the following theorem.

Theorem. If $(f, g) = (f^*, g^*)$ is a solution of the field equations (3.8) and (3.9), then

$$(f, g, N^r) = \left(f^*(r), g^*(r), \frac{H_0 r^2}{g^*(r)} \right), \tag{4.57}$$

is a solutions of eqs. (3.3), (3.4) and (3.6) with $\lambda = 1/2$. In terms of f^* and g^* , the metric takes the form,

$$ds^2 = r^{2z} f^*(r)^2 dt^2 + \frac{g^*(r)^2}{r^2} \left(dr + \frac{H_0 r^2}{g^*(r)} dt \right)^2 + r^2 dx^2, \tag{4.58}$$

for which we find that

$$K = \frac{2H_0 r}{N^* g^*}, \quad R = \frac{2[r(g^*)' - g^*]}{(g^*)^3}, \tag{4.59}$$

where $N^* \equiv r^z f^*$. For each of the solution (f^*, g^*) given in the last section, we can analyze the global structure of the corresponding spacetime given by the metric (4.58).

Following what we did above, such studies are quite straightforward. So, in the following we shall not consider them, but simply note that conformal symmetry plays an important role in the AdS/CFT correspondence, and this class of solutions deserves particular attention.

5 Conclusions

In this paper, we have studied static vacuum solutions of quantum gravity at a Lifshitz point, proposed recently by Hořava [53], using the anisotropic scaling between time and space (1.2). The same scaling was also used in [13] to construct the Lifshitz spacetimes (1.1) in the content of the non-relativistic gauge/gravity duality. Because of this same scaling,

lately it was argued [60] that the HL gravity should provide a minimal holographic dual for non-relativistic Lifshitz-type field theories.

In this paper, we have provided further evidences to support such a speculation. In particular, in section III we have found all the static vacuum diagonal ($g_{tr} = 0$) solutions of the HL gravity, and shown that the corresponding spacetimes have very rich structures. They can represent the generalized BTZ black holes, Lifshitz spacetimes and Lifshitz solitons, depending on the choice of the free parameters involved in the solutions [cf. figures 1–5].

In section IV, we have generalized our studies presented in section III to the non-diagonal case where $g_{tr} \neq 0$ (or $N^r \neq 0$), and found several classes of exact solutions. We have shown that there exist similar space-time structures as those found in the diagonal case.

Note that some solutions presented in sections III and IV represent incomplete space-time, and extensions beyond certain horizons are needed. After the extension, they may represent Lifshitz black holes [28–49]. It would be very interesting to study those spacetimes in terms of the universal horizons [85–90]. In addition, Penrose’s notion of conformal infinity of spacetime was generalized to the case with anisotropic scaling [61], and one would wonder how one can define black holes in terms of anisotropic conformal infinities? Further more, what is the corresponding thermodynamics of such defined black holes? Clearly, such studies are out of scope of the current paper, and we would like very much to come back to these important issues soon in another occasion.

Finally, we note that, although our studies presented in this paper have been restricted to (2+1)-dimensional spacetimes, we find that static vacuum solutions of the HL gravity in higher dimensional space-times exhibit similar space-time structures [91]. This is not difficult to understand, if we note that the higher dimensional space-time ds_{D+1}^2 is simply the superposition of the (2+1)-dimensional space-time given in this paper, and a $(D - 2)$ -spatial partner,

$$\begin{aligned}
 ds_{D+1}^2 &= ds_{2+1}^2 \oplus ds_{D-2}^2 \\
 &= -f^2(r)r^{2z}dt^2 + \frac{g^2(r)}{r^2}(dr + N^r(r)dt)^2 + r^2dx^2 + r^2 \sum_{i=1}^{D-2} dx^i dx^i. \quad (5.1)
 \end{aligned}$$

Therefore, the space-time structures are mainly determined by the sector $g_{ab}dx^a dx^b$ ($a, b = t, r$).

With these exact vacuum solutions, it is expected that the studies of the non-relativistic Lifshitz-type gauge/gravity duality will be simplified considerably, and we wish to return to these issues soon.

Acknowledgments

This work is supported in part by DOE Grant, DE-FG02-10ER41692 (AW); Ciência Sem Fronteiras, No. 004/2013 — DRI/CAPES (AW); NSFC No. 11375153 (AW), No. 11173021 (AW), No. 11005165 (FWS), No. 11178018 (KL), No. 11075224 (KL); FAPESP No. 2012/08934-0 (KL); and NSFC No. 11205133 (QW).

A Functions F_V , F^{ij} and F_a^{ij}

The function F_V presented in eq. (2.9) is given by

$$\begin{aligned}
 F_V = & -\beta(2a_i^i + a_i a^i) - \frac{\beta_1}{\zeta^2} \left[3(a_i a^i)^2 + 4\nabla_i(a_k a^k a^i) \right] + \frac{\beta_2}{\zeta^2} \left[(a_i^i)^2 + \frac{2}{N} \nabla^2(N a_k^k) \right] \\
 & - \frac{\beta_3}{\zeta^2} \left[(a_i a^i) a_j^j + 2\nabla_i(a_j^j a^i) - \frac{1}{N} \nabla^2(N a_i a^i) \right] + \frac{\beta_4}{\zeta^2} \left[a_{ij} a^{ij} + \frac{2}{N} \nabla_j \nabla_i(N a^{ij}) \right] \\
 & - \frac{\beta_5}{\zeta^2} \left[R(a_i a^i) + 2\nabla_i(R a^i) \right] + \frac{\beta_6}{\zeta^2} \left[R a_i^i + \frac{1}{N} \nabla^2(N R) \right], \tag{A.1}
 \end{aligned}$$

The functions $(F_n)_{ij}$ and $(F_s^a)_{ij}$, defined in eq. (2.15), are given, respectively, by

$$\begin{aligned}
 (F_0)_{ij} &= -\frac{1}{2} g_{ij}, \\
 (F_1)_{ij} &= R_{ij} - \frac{1}{2} R g_{ij} + \frac{1}{N} (g_{ij} \nabla^2 N - \nabla_j \nabla_i N), \\
 (F_2)_{ij} &= -\frac{1}{2} g_{ij} R^2 + 2R R_{ij} + \frac{2}{N} [g_{ij} \nabla^2(NR) - \nabla_j \nabla_i(NR)], \tag{A.2} \\
 (F_0^a)_{ij} &= -\frac{1}{2} g_{ij} a^k a_k + a_i a_j, \\
 (F_1^a)_{ij} &= -\frac{1}{2} g_{ij} (a_k a^k)^2 + 2(a_k a^k) a_i a_j, \\
 (F_2^a)_{ij} &= -\frac{1}{2} g_{ij} (a_k^k)^2 + 2a_k^k a_{ij} - \frac{1}{N} [2\nabla_{(i}(N a_{j) a_k^k}) - g_{ij} \nabla^l (a_l N a_k^k)], \\
 (F_3^a)_{ij} &= -\frac{1}{2} g_{ij} (a_k a^k) a_l^l + a_k^k a_i a_j + a_k a^k a_{ij} - \frac{1}{N} [\nabla_{(i}(N a_{j) a_k a^k}) - \frac{1}{2} g_{ij} \nabla^l (a_l N a_k a^k)], \\
 (F_4^a)_{ij} &= -\frac{1}{2} g_{ij} a^{mn} a_{mn} + 2a_k^k a_{kj} - \frac{1}{N} [\nabla^k (2N a_{(i a_{j) k})} - N a_{ij} a_k), \\
 (F_5^a)_{ij} &= -\frac{1}{2} g_{ij} (a_k a^k) R + a_i a_j R + a^k a_k R_{ij} + \frac{1}{N} [g_{ij} \nabla^2(N a_k a^k) - \nabla_i \nabla_j (N a_k a^k)], \\
 (F_6^a)_{ij} &= -\frac{1}{2} g_{ij} R a_k^k + a_k^k R_{ij} + R a_{ij} + \frac{1}{N} [g_{ij} \nabla^2(N a_k^k) - \nabla_i \nabla_j (N a_k^k) \\
 & \quad - \nabla_{(i}(N R a_{j)}) + \frac{1}{2} g_{ij} \nabla^k (N R a_k)]. \tag{A.3}
 \end{aligned}$$

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