E_8 in $\mathcal{N} = 8$ supergravity in four dimensions

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ABSTRACT: We argue that $\mathcal{N} = 8$ supergravity in four dimensions exhibits an exceptional $E_{8(8)}$ symmetry, enhanced from the known $E_{7(7)}$ invariance. Our procedure to demonstrate this involves dimensional reduction of the $\mathcal{N} = 8$ theory to $d = 3$, a field redefinition to render the $E_{8(8)}$ invariance manifest, followed by dimensional oxidation back to $d = 4$.

KEYWORDS: Supergravity Models, Superspaces, Field Theories in Lower Dimensions

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1 Introduction

Gravity with maximal supersymmetry in four dimensions, $\mathcal{N} = 8$ supergravity, exhibits both $\mathcal{N} = 8$ supersymmetry and the exceptional $E_{7(7)}$ symmetry [1]. This theory is known to be better behaved in the ultraviolet than pure gravity and has recently been shown to be finite up to four loops [2]. There is mounting evidence from these calculations and others that points to unexpected cancellations and hence an underlying enhanced symmetry. Using light-cone superspace, the Hamiltonian of maximal supergravity, in $d = 4$, is constructed as a power series in the coupling constant and this has been achieved up to the four-point coupling. In an earlier paper [3], we showed that “oxidation” [4] of the $\mathcal{N} = 8$ theory to $d = 11$, suggests that there is an $E_{7(7)}$ symmetry in eleven dimensions. This result has been shown to first order in the coupling constant. Since the states of the $d = 11$ theory are not representations of the linearly realized maximal subgroup $SU(8)$

of the $E_{7(7)}$ symmetry they have to be broken up into such representations to see the symmetry. This is accomplished by using the same superfield in all dimensions (note that the number of states is always 128 bosons + 128 fermions.) By writing the Hamiltonian in this formulation we can prove the symmetry to the lowest order in the gravitational coupling constant.

Motivated by this, we describe in this paper an entire process involving dimensional reduction, field redefinitions and dimensional oxidation that leads us to conclude that $\mathcal{N} = 8$ supergravity in $d = 4$ exhibits an exceptional $E_{8(8)}$ symmetry, at least to second order in the coupling constant, enhanced from $E_{7(7)}$.

In section 2, we review the formulation of $(\mathcal{N} = 8, d = 4)$ supergravity in light-cone superspace. We dimensionally reduce this $d = 4$ theory in a straightforward way to arrive at an action, in three dimensions, which mimics the four-dimensional one but with only one transverse derivative. This formulation thus inherits a three-point coupling and cannot exhibit the maximal subgroup of $E_{8(8)}$, $SO(16)$, in a linear fashion since under this symmetry, the states of the theory transform as 128-dimensional spinors. Three such states cannot form a scalar. In the following section, we present a field redefinition that maps this three-dimensional theory, with a cubic vertex to a three-dimensional theory without one. This form of the three-dimensional theory exhibits both $SO(16)$ invariance and a full $E_{8(8)}$ symmetry [5]. We can then go back to the first formulation and indeed find the $SO(16)$ symmetry there, now realized non-linearly. We then “oxidize” the second formulation back to four dimensions in a manner that preserves all the symmetries discussed earlier, thus arriving at a four-dimensional maximally supersymmetric theory with $E_{8(8)}$ invariance to that order.

Our formulation uses only the real degrees of freedom of the theory. This means that we lose a lot of the covariance usually found in gravity theories, since many of the symmetries are non-linearly realized. In a sense the formulation is packed with symmetries, some of which are difficult to see. By making various field redefinitions we can make particular symmetries visible but one formulation will never be enough to find all the symmetries. We strongly believe that maximal supergravity and Yang-Mills theories have as many symmetries as one can pack into one theory, and this is why they have unique quantum properties.

2 $(\mathcal{N} = 8, d = 4)$ supergravity in light-cone superspace

With the metric $(-, +, +, +)$, the light-cone coordinates are

$$x^\pm = \frac{1}{\sqrt{2}}(x^0 \pm x^3) \quad x = \frac{1}{\sqrt{2}}(x^1 + i x^2) \quad \bar{x} = (x)^*, \quad (2.1)$$

with the corresponding derivatives being $\partial^\mp, \bar{\partial}$ and ∂ . The $\mathcal{N} = 8$ superspace is spanned by the Grassmann variables θ^m and $\bar{\theta}_m$ ($m = 1 \dots 8$), the $\mathbf{8}$ and $\bar{\mathbf{8}}$ of $SU(8)$ respectively.

All 256 physical degrees of freedom in the theory are captured by the superfield [6]

$$\begin{aligned}
 \phi(y) = & \frac{1}{\partial^{+2}} h(y) + i \theta^m \frac{1}{\partial^{+2}} \bar{\psi}_m(y) + \frac{i}{2} \theta^m \theta^n \frac{1}{\partial^+} \bar{A}_{mn}(y), \\
 & - \frac{1}{3!} \theta^m \theta^n \theta^p \frac{1}{\partial^+} \bar{\chi}_{mnp}(y) - \frac{1}{4!} \theta^m \theta^n \theta^p \theta^q \bar{C}_{mnpq}(y), \\
 & + \frac{i}{5!} \theta^m \theta^n \theta^p \theta^q \theta^r \epsilon_{mnpqrst} \chi^{stu}(y), \\
 & + \frac{i}{6!} \theta^m \theta^n \theta^p \theta^q \theta^r \theta^s \epsilon_{mnpqrst} \partial^+ A^{tu}(y), \\
 & + \frac{1}{7!} \theta^m \theta^n \theta^p \theta^q \theta^r \theta^s \theta^t \epsilon_{mnpqrst} \partial^+ \psi^u(y), \\
 & + \frac{4}{8!} \theta^m \theta^n \theta^p \theta^q \theta^r \theta^s \theta^t \theta^u \epsilon_{mnpqrst} \partial^{+2} \bar{h}(y),
 \end{aligned} \tag{2.2}$$

where h and $\bar{h}$ represent the graviton, $\bar{\psi}_m$ the 8 spin- $\frac{3}{2}$ gravitinos, $\bar{A}_{mn}$ the 28 gauge fields, $\bar{\chi}_{mnp}$ the 56 gauginos and $\bar{C}_{mnpq}$ the 70 real scalars. These fields are local in the coordinates

$$y = \left(x, \bar{x}, x^+, y^- \equiv x^- - \frac{i}{\sqrt{2}} \theta^m \bar{\theta}_m \right). \tag{2.3}$$

The superfield ϕ and its complex conjugate $\bar{\phi}$ satisfy

$$d^m \phi(y) = 0; \quad \bar{d}_n \bar{\phi}(y) = 0, \quad \phi = \frac{1}{4} \frac{(d)^8}{\partial^{+4}} \bar{\phi}, \tag{2.4}$$

where

$$d^m = -\frac{\partial}{\partial \bar{\theta}_m} - \frac{i}{\sqrt{2}} \theta^m \partial^+; \quad \bar{d}_n = \frac{\partial}{\partial \theta^n} + \frac{i}{\sqrt{2}} \bar{\theta}_n \partial^+, \quad (d)^8 \equiv d^1 d^2 \dots d^8. \tag{2.5}$$

The kinematical, spectrum generating, supersymmetry generators are [7],

$$q_+^m = -\frac{\partial}{\partial \bar{\theta}_m} + \frac{i}{\sqrt{2}} \theta^m \partial^+; \quad \bar{q}_{+n} = \frac{\partial}{\partial \theta^n} - \frac{i}{\sqrt{2}} \bar{\theta}_n \partial^+, \tag{2.6}$$

satisfying $\{q_+^m, \bar{q}_{+n}\} = i\sqrt{2}\delta_n^m \partial^+$, while the dynamical ones are given by

$$q_-^m = \frac{\bar{\partial}}{\partial^+} q_+^m, \quad \bar{q}_{-n} = \frac{\partial}{\partial^+} \bar{q}_{+n}. \tag{2.7}$$

These satisfy the free $\mathcal{N} = 8$ supersymmetry algebra closing to the Hamiltonian generator

$$\{q_-^m, \bar{q}_{-n}\} = i\sqrt{2}\delta_n^m \frac{\partial \bar{\partial}}{\partial^+}. \tag{2.8}$$

In the interacting theory, the dynamical generators pick up corrections order by order thus generating the interacting Hamiltonian.

The action to order κ

To order κ , the action for $\mathcal{N} = 8$ supergravity reads [8]

$$\beta \int d^4x \int d^8\theta d^8\bar{\theta} \mathcal{L}, \quad (2.9)$$

where $\beta = -\frac{1}{64}$ and

$$\mathcal{L} = -\bar{\phi} \frac{\square}{\partial^{+4}} \phi + \frac{4}{3}\kappa \left(\frac{1}{\partial^{+4}} \bar{\phi} \bar{\partial} \bar{\partial} \phi \partial^{+2} \phi - \frac{1}{\partial^{+4}} \bar{\phi} \partial^{+} \bar{\partial} \phi \partial^{+} \bar{\partial} \phi + c.c. \right). \quad (2.10)$$

The d'Alembertian is

$$\square = 2(\partial \bar{\partial} - \partial_+ \partial_-), \quad (2.11)$$

$\kappa = \sqrt{8\pi G}$ and Grassmann integration is normalized such that $\int d^8\theta (\theta)^8 = 1$.

The correction to the dynamical supersymmetry generator at this order is

$$\bar{q}_{-m}^{(\kappa)} \phi = \frac{1}{\partial^{+}} (\bar{\partial} \bar{q}_m \phi \partial^{+2} \phi - \partial^{+} \bar{q}_m \phi \partial^{+} \bar{\partial} \phi), \quad (2.12)$$

where the $+$ sign on the kinematic supersymmetry generators is no longer shown.

2.1 $E_{7(7)}$ symmetry

The non-linear $E_{7(7)}/\text{SU}(8)$ transformations to order κ are given by [9]

$$\begin{aligned} \delta\phi = & -\frac{2}{\kappa} \theta^{klmn} \bar{\Xi}_{klmn} \\ & + \frac{\kappa}{4!} \Xi^{mnpq} \frac{1}{\partial^{+2}} \left(\bar{d}_{mnpq} \frac{1}{\partial^{+}} \phi \partial^{+3} \phi - 4 \bar{d}_{mnp} \phi \bar{d}_q \partial^{+2} \phi + 3 \bar{d}_{mn} \partial^{+} \phi \bar{d}_{pq} \partial^{+} \phi \right), \end{aligned} \quad (2.13)$$

where $\theta^{klmn} = \theta^k \theta^l \theta^m \theta^n$, $\bar{d}_{m_1 \dots m_n} = \bar{d}_{m_1} \dots \bar{d}_{m_n}$ and $\bar{\Xi}_{klmn} = \frac{1}{2} \epsilon_{klmnpqrs} \Xi^{pqrs}$, a constant. These 70 coset transformations along with the linear $\text{SU}(8)$ transformations

$$T^m_n = \frac{i}{2\sqrt{2}\partial^{+}} \left(q^m \bar{q}_n - \frac{1}{8} \delta^m_n q^p \bar{q}_p \right); \quad [T^m_n, T^p_q] = \delta^p_n T^m_q - \delta^m_q T^p_n, \quad (2.14)$$

constitute the entire $E_{7(7)}$ algebra. In compact coherent state-like notation the transformation (2.13) can be written

$$\delta\phi = -\frac{2}{\kappa} \theta^{mnpq} \bar{\Xi}_{mnpq} + \frac{\kappa}{4!} \Xi^{mnpq} \left(\frac{\partial}{\partial \eta} \right)_{mnpq} \frac{1}{\partial^{+2}} \left(e^{\eta \hat{d}} \partial^{+3} \phi e^{-\eta \hat{d}} \partial^{+3} \phi \right) \Big|_{\eta=0} + \mathcal{O}(\kappa^2), \quad (2.15)$$

where

$$\eta \hat{d} = \eta^m \frac{\bar{d}_m}{\partial^{+}}, \quad \text{and} \quad \left(\frac{\partial}{\partial \eta} \right)_{mnpq} \equiv \frac{\partial}{\partial \eta^m} \frac{\partial}{\partial \eta^n} \frac{\partial}{\partial \eta^p} \frac{\partial}{\partial \eta^q}.$$

This formulation is particularly useful for checking the commutation relations with other generators like the superPoincaré ones. Note that in this formalism, the $E_{7(7)}$ symmetry, which is a duality symmetry of the vector fields and a non-linear σ -model symmetry of the scalar fields in the covariant formalism, transforms all the physical fields in the supermultiplet. Hence the supermultiplet is a representation of both the superPoincaré algebra as well as of the $E_{7(7)}$ one leading us to question which is the more basic one.

3 Maximal supergravity in $d = 3$ — version I

(obtained by dimensional reduction from $(\mathcal{N} = 8, d = 4)$ supergravity)

When we dimensionally reduce the $d = 4$ theory to $d = 3$, we are left with the dependence on one transverse derivative, ∂ . We obtain, for the action for the $d = 3$ theory (up to an overall constant)

$$\mathcal{S} = \int d^3x d^8\theta d^8\bar{\theta} \mathcal{L}, \quad (3.1)$$

where

$$\mathcal{L} = -\bar{\phi} \frac{\square}{\partial^{+4}} \phi + \frac{4}{3} \kappa \left(\frac{1}{\partial^{+4}} \bar{\phi} \partial^2 \phi \partial^{+2} \phi - \frac{1}{\partial^{+4}} \bar{\phi} \partial^+ \partial \phi \partial^+ \partial \phi + c.c. \right), \quad (3.2)$$

where the $\square$ here, is the three-dimensional d'Alembertian (see also appendix A). Before we study the symmetries of this action, we divert our attention to the $E_{8(8)}$ invariant supergravity theory in $d = 3$. This theory does not admit vertices of odd order (κ, κ^3 etc.), due to the $SO(16)$ R -symmetry. The action of the linear $SO(16)$ and its non-linearly realised quotient $E_{8(8)}/SO(16)$ on the light-cone superfield ϕ was extensively studied in [10].

4 Maximal supergravity in $d = 3$ — version II

(the manifestly E_8 -invariant version)

There is a better known form for maximal supergravity in three dimensions. We discuss this version in this section, before relating it to the form in section 3. Maximal supergravity in three dimensions is invariant under an $E_{8(8)}$ symmetry. The same chiral superfield ϕ introduced earlier describes all the degrees of freedom: 128 bosons and 128 fermions,

$$256 = 128_b + 128_f \quad (4.1)$$

The action for this theory contains no three-point coupling, since three spinor representations cannot form a scalar.

The linear action of $\bar{q}_m, q^m$ on the superfield

$$\delta_s^{kin} \phi(y) = \bar{\epsilon}_m q^m \phi(y), \quad \delta_s^{kin} \phi(y) = \epsilon^m \bar{q}_m \phi(y) \quad (4.2)$$

yield the kinematical light-cone supersymmetries, with ϵ being the parameter.

SO(16) invariance of the theory

In $\mathcal{N} = 8$ superspace, the Grassmann variables, θ^m and $\bar{\theta}_m$, form a **16** representation

$$SO(16) \supset SU(8) \times U(1), \quad \mathbf{16} = \mathbf{8} + \bar{\mathbf{8}}.$$

The quadratic action of the $q^m, \bar{q}_m$ generators on ϕ generates the 120 $SO(16)$ transformations, which are decomposed in terms of $SU(8) \times U(1)$ as follows.

$$\mathbf{120} = \mathbf{63}_0 + \mathbf{28}_{-1} + \bar{\mathbf{28}}_1 + \mathbf{1}_0 \quad (4.3)$$

The $SU(8)$ generators are given in (2.14) and $U(1)$ generators are given by [10]

$$T = \frac{i}{4\sqrt{2}\partial^+} [q^m, \bar{q}_m], \quad [T, T^m_n] = 0. \quad (4.4)$$

The coset transformations $SO(16)/(SU(8) \times U(1))$ are generated by the **28** and $\overline{\mathbf{28}}$ of $SU(8)$

$$T^{mn} = \frac{1}{2} \frac{1}{\partial^+} q^m q^n, \quad T_{mn} = \frac{1}{2} \frac{1}{\partial^+} \bar{q}_m \bar{q}_n, \quad (4.5)$$

which close on $(SU(8) \times U(1))$

$$[T^{mn}, T_{pq}] = \delta^n_p T^m_q - \delta^m_p T^n_q - \delta^m_q T^n_p + \delta^n_q T^m_p + 2(\delta^n_p \delta^m_q - \delta^n_q \delta^m_p) T.$$

Hence, the linear $SO(16)$ transformations read

$$\begin{aligned} \delta_{SU_8} \varphi &= \omega^n_m T^m_n \varphi, & \delta_{U(1)} \varphi &= T \varphi, \\ \delta_{\mathbf{28}} \varphi &= \alpha_{mn} \frac{q^m q^n}{\partial^+} \varphi, & \delta_{\overline{\mathbf{28}}} \varphi &= \alpha^{mn} \frac{\bar{q}_m \bar{q}_n}{\partial^+} \varphi, \end{aligned} \quad (4.6)$$

where ω^n_m , α_{mn} , and α^{mn} are the transformation parameters.

4.1 $E_{8(8)}$ symmetry

We decompose the non-linearly realized coset $E_{8(8)}/SO(16)$ in terms of $SU(8) \times U(1)$ representations

$$\mathbf{128} = \mathbf{1}'_2 + \mathbf{28}'_1 + \mathbf{70}_0 + \overline{\mathbf{28}}'_{-1} + \bar{\mathbf{1}}'_{-2}. \quad (4.7)$$

We identify the **70** as the representation in $E_{7(7)}/SU(8)$; the rest of the coset $E_{8(8)}/SO(16)$ transformations form two $U(1)$ singlets, a twenty-eight dimensional representation $\mathbf{28}'_1$ and its complex conjugate $\overline{\mathbf{28}}'_{-1}$, (which are not related to the **28** and $\overline{\mathbf{28}}$ of the $SO(16)$ discussed previously). All the bosonic components of the superfield contain a constant term in the $E_{8(8)}/SO(16)$ variation, just as in a σ -model.

All the 128 $E_{8(8)}/SO(16)$ coset transformations can be expressed in a compact form [10]

$$\begin{aligned} \delta_{E_{8(8)}/SO(16)} \phi &= \frac{1}{\kappa} F + \kappa \epsilon^{m_1 m_2 \dots m_8} \sum_{c=-2}^2 \left(\hat{d}_{m_1 m_2 \dots m_{2(c+2)}} \partial^{+c} F \right) \\ &\times \left\{ \left(\frac{\delta}{\delta \eta} \right)_{m_{2c+5} \dots m_8} \partial^{+(c-2)} \left(e^{\eta \cdot \hat{d}} \partial^{+(3-c)} \phi e^{-\eta \cdot \hat{d}} \partial^{+(3-c)} \phi \right) \right\}_{\eta=0} + \mathcal{O}(\kappa^2), \end{aligned} \quad (4.8)$$

where the sum is over the $U(1)$ charges $c = 2, 1, 0, -1, -2$ of the bosonic fields, and

$$\begin{aligned} F &= \frac{1}{\partial^+} \beta(y^-) + i \theta^{mn} \frac{1}{\partial^+} \bar{\beta}_{mn}(y^-) - \theta^{mnpq} \bar{\beta}_{mnpq}(y^-) + \\ &+ i \tilde{\theta}_{mn} \partial^+ \beta^{mn}(y^-) + 4 \tilde{\theta} \partial^{+2} \bar{\beta}(y^-), \end{aligned}$$

and

$$\hat{d}_{m_1 m_2 \dots m_{2(c+2)}} \equiv \hat{d}_{m_1} \hat{d}_{m_2} \dots \hat{d}_{m_{2(c+2)}}.$$

It is remarkable that the $E_{8(8)}$ symmetry can be represented on the same supermultiplet as the $E_{7(7)}$ symmetry.

5 Relating the two different versions of three-dimensional maximal supergravity

Having described the two different forms of maximal supergravity in three dimensions, we are now in a position to establish a link between them. We will relate the $d = 3$ action with a three-point coupling (3.2), obtained from dimensionally reducing ($\mathcal{N} = 8, d = 4$) supergravity to the $E_{8(8)}$ invariant supergravity theory sans a three-point coupling. We will do this through a field redefinition and show that the dimensionally reduced form is also invariant under $SO(16)$ transformations, which are now non-linearly realized on the superfield.

5.1 The field redefinition

The Lagrangian for the $SO(16)$ invariant theory reads

$$\mathcal{L} = -\bar{\phi} \frac{\square}{\partial^{+4}} \phi + \mathcal{O}(\kappa^2) . \quad (5.1)$$

We want a field redefinition that will map the kinetic term in (5.1) to a kinetic term plus the $O(\kappa)$ terms in (3.2). Based on dimensional analysis, we start with the ansatz

$$\phi = \phi' + \alpha \kappa \partial^{+A} (\partial^{+B} \phi' \partial^{+C} \phi') + \beta \kappa \partial^{+D} (\partial^{+E} \phi' \partial^{+F} \bar{\phi}') , \quad (5.2)$$

where α, β are constants to be determined and the integers A, B, C, D, E, F obey

$$A + B + C = 2, \quad D + E + F = 2 . \quad (5.3)$$

Simple computations lead us to

$$\phi \rightarrow \phi = \phi' + \frac{1}{3} \kappa (\partial^{+} \phi' \partial^{+} \phi') + \frac{2}{3} \kappa \partial^{+4} \left(\frac{1}{\partial^{+3}} \phi' \partial^{+} \bar{\phi}' \right) , \quad (5.4)$$

which correctly reproduces the cubic terms in (3.2) as shown in appendix A. The $(\phi' \bar{\phi}')$ piece in the field redefinition achieves the same effect as replacing ∂^{-} by $\frac{\partial^2}{\partial^{+}}$ in the interaction terms. We thus arrive at the new Lagrangian

$$\mathcal{L}' = -\bar{\phi}' \frac{\square}{\partial^{+4}} \phi' + \frac{4}{3} \kappa \left(\frac{1}{\partial^{+4}} \bar{\phi}' \partial^2 \phi' \partial^{+2} \phi' - \frac{1}{\partial^{+4}} \bar{\phi}' \partial^{+} \partial \phi' \partial^{+} \partial \phi' + c.c. \right) , \quad (5.5)$$

which exactly matches (3.2), since $\phi' = \phi$ at lowest order. Thus the dimensionally reduced action for $d = 3$ maximal supergravity with a cubic vertex can be obtained from the $SO(16)$ -invariant action (without a cubic vertex) by a field redefinition.

5.2 $SO(16)$ symmetry revisited

The linear action of the various $SO(16)$ generators on ϕ is listed in (4.6). The $SO(16)$ invariance of the Lagrangian (5.1) at the free order implies

$$\delta \mathcal{L} = -(\delta \bar{\phi}) \frac{\square}{\partial^{+4}} \phi - \bar{\phi} \frac{\square}{\partial^{+4}} (\delta \phi) = 0 \quad (5.6)$$

(Note: $\delta_{SO(16)} \phi \equiv \delta \phi$ for simplicity.)

To understand the action of $SO(16)$ on the new superfield ϕ' , we invert (5.4) to obtain

$$\begin{aligned}\phi' &= \phi - \frac{1}{3}\kappa(\partial^+\phi\partial^+\phi) - \frac{2}{3}\kappa\partial^{+4}\left(\frac{1}{\partial^{+3}}\phi\partial^+\bar{\phi}\right), \\ \delta\phi' &= \delta\phi - \frac{2}{3}\kappa(\partial^+(\delta\phi)\partial^+\phi) - \frac{2}{3}\kappa\partial^{+4}\left(\frac{1}{\partial^{+3}}(\delta\phi)\partial^+\bar{\phi}\right) - \frac{2}{3}\kappa\partial^{+4}\left(\frac{1}{\partial^{+3}}\phi\partial^+(\delta\bar{\phi})\right).\end{aligned}\quad (5.7)$$

In appendix B, we prove that the new Lagrangian in (5.5) is also $SO(16)$ invariant albeit in a non-linear fashion. Finally, in appendix C, we prove that this new theory is also E_8 invariant.

Thus the $d = 3$ supergravity Lagrangian with cubic interaction vertices, obtained by dimensional reduction from $(\mathcal{N} = 8, d = 4)$ supergravity, is equivalent to the $d = 3$ Lagrangian without cubic vertices and futher, both these versions have an $E_{8(8)}$ symmetry.

6 Oxidation back to $d = 4$ preserving the $E_{8(8)}$ symmetry

We now demonstrate how the $d = 3$ Lagrangian, without cubic vertices, may be oxidized to four dimensions while preserving the $E_{8(8)}$ symmetry. We achieve this by introducing a “new” tranverse derivative, ∂_2 .

In [10], the $E_{8(8)}$ symmetry was used to construct the order- κ^2 dynamical supersymmetry transformations in $d = 3$

$$\begin{aligned}\delta_s^{dyn}\phi &= \epsilon^m \frac{\partial}{\partial^+} \bar{q}_m \phi \\ &+ \frac{\kappa^2}{2} \sum_{c=-2}^2 \frac{1}{\partial^{+(c+4)}} \left\{ \frac{\delta}{\delta a} \frac{\delta}{\delta b} \left(\frac{\delta}{\delta \eta} \right)_{m_1 m_2 \dots m_{2(c+2)}} \left(E \partial^{+(c+5)} \phi E^{-1} \right) \Big|_{a=b=\eta=0} \right. \\ &\times \frac{\epsilon^{m_1 m_2 \dots m_8}}{(4-2c)!} \left(\frac{\delta}{\delta \eta} \right)_{m_{2c+5} \dots m_8} \partial^{+2c} \left(E \partial^{+(4-c)} \phi E^{-1} \partial^{+(4-c)} \phi \right) \Big|_{\eta=0} \Big\},\end{aligned}\quad (6.1)$$

where

$$E \equiv e^{a\hat{\partial} + b\epsilon\hat{q} + \eta\hat{d}} \quad \text{and} \quad E^{-1} \equiv e^{-a\hat{\partial} - b\epsilon\hat{q} - \eta\hat{d}},$$

with

$$a\hat{\partial} = a \frac{\partial}{\partial^+}, \quad b\epsilon\hat{q} = b\epsilon^m \frac{\bar{q}_m}{\partial^+}, \quad \eta\hat{d} = \eta^m \frac{\bar{d}_m}{\partial^+}.$$

We oxidize this expression to $d = 4$ by replacing all the $\partial(= \partial_1)$ by a generalized derivative

$$\nabla \equiv \partial_1 + i\partial_2, \quad (6.2)$$

such that

$$\left[\delta_s^{dyn} \phi(\partial, \partial^+, \bar{q}_m, \bar{d}_m, \phi) \right]_{d=3} \longrightarrow \left[\delta_s^{dyn} \phi(\nabla, \partial^+, \bar{q}_m, \bar{d}_m, \phi) \right]_{d=4}.$$

We now note that for maximally supersymmetric theories one can obtain the light-cone Hamiltonian using the quadratic form expression [11]

$$\mathcal{H} = \frac{1}{4\sqrt{2}} (\mathcal{W}_m, \mathcal{W}_m) \equiv \frac{2i}{4\sqrt{2}} \int d^8\theta d^8\bar{\theta} d^3x \bar{\mathcal{W}}^m \frac{1}{\partial^{+3}} \mathcal{W}_m, \quad (6.3)$$

where $\mathcal{W}_m$ is the dynamical supersymmetry variation on ϕ

$$\delta_s^{dyn} \phi \equiv \epsilon^m \mathcal{W}_m. \quad (6.4)$$

Once we obtain $\mathcal{W}_m$ in $d = 4$ through the oxidation, we can in principle construct a $\text{SO}(16)$ invariant Hamiltonian with only even order coupling. In doing so, we need to take the complex conjugate of $\mathcal{W}_m$, which will introduce the conjugate “new” derivative

$$\partial_1 - i\partial_2 \equiv \bar{\nabla}. \quad (6.5)$$

This method of oxidation respects both the $\text{SO}(16)$ and the full $E_{8(8)}$ symmetry, because the generalized derivatives, ∇ and $\bar{\nabla}$ do not contain any $q^m, \bar{q}_m$ or $d^m, \bar{d}_m$ operators, which can affect the invariance of the Hamiltonian in $d = 4$. Thus, we will end up with a maximal supergravity theory in $d = 4$ with the same field content as in (2.2). Since the $\mathcal{N} = 8$ theory is unique, we have arrived at a form of $\mathcal{N} = 8$ supergravity in $d = 4$ with $E_{8(8)}$ symmetry to this order. One could ask why we had to leave four dimensions in the first place? We could have simply found a field redefinition from the $\mathcal{N} = 8$ theory to a form that is $E_{8(8)}$ -invariant. The answer is that our procedure, of going down one dimension, allows us to render the enhanced symmetry manifest. This manifest enhanced symmetry is the difficult step to achieve. Once this is in place, we oxidize the theory, preserving the enhanced symmetry arriving at our goal.

Note that in order to argue that the Hamiltonian is $E_{8(8)}$ -invariant to this order we must treat the states as 128-dimensional spinors. These are clearly not the four-dimensional states of $(\mathcal{N} = 8, d = 4)$ supergravity. In order to argue that this symmetry is present in $d = 4$ scattering amplitudes we must add up such amplitudes such that the external states span the full 128-dimensional spinors. We have seen though as in the original paper on complete one-loop amplitudes [12] that all of those amplitudes have the same divergence pattern. It is a further assumption that this is true also to higher loops which the analysis in [2] indicates.

We have not discussed the supersymmetry generators in this form of $(\mathcal{N} = 8, d = 4)$ supergravity. Since this formulation is obtained by a field redefinition from the original one we do not expect supersymmetry generators to be straightforward to write down. This is a price we have to pay in this formalism which is minimal in terms of field components.

7 Conclusions

Maximally supersymmetric gravity and Yang-Mills theories have been found to have the simplest perturbation series among theories of their kind. In some sense they have only the bare bone structure needed to build a perturbation series which is both unitary and causal. There are also strong reasons to believe that the perturbation series of $(\mathcal{N} = 8, d = 4)$ supergravity is, in a certain sense, the square of that in $(\mathcal{N} = 4, d = 4)$ Yang-Mills theory (KLT-relations [13, 14]). This perturbative simplicity is all the more remarkable since at least the Yang-Mills theory non-perturbatively even knows about superstring theory through the AdS-CFT duality.

Our analysis does not shed light on whether the ($\mathcal{N} = 8, d = 4$) supergravity is perturbatively finite. We can only argue that the perturbation series ought to be more finite than what the usual counterterm arguments based on $E_{7(7)}$ and maximal supersymmetry suggest (for a related discussion, see [15]). Counterterms could in principle be constructed in our formalism, but this is a formidable task that we hope to return to. Not only do we need to construct counterterms, we must also prove that they cannot be absorbed by a field redefinition. Further, the remaining counterterms should be invariant under the residual reparametrization, local supersymmetry and gauge symmetries as we have shown in the case of pure gravity [16]. We can only see two ways to finally settle the question (of finiteness): do the full calculation or find a power counting argument as was achieved in the case of $\mathcal{N} = 4$ Yang-Mills theory [17] and its deformations [18, 19]. That analysis cannot be carried over straightforwardly but new additional symmetry-related inputs may help limit the possible diagrams that need checking.

Are there even larger symmetries lurking in these theories? There have been strong indications that the affine algebras E_{10} and E_{11} could be present [20, 21]. Such symmetries could possibly be realized by the superfield and all its superspace derivatives. However, we find it difficult to see how such symmetries could directly be symmetries of the scattering amplitudes since that would amount to infinitely many kinematical constraints on the amplitudes. Those symmetries must be more deeply ingrained in these theories and we hope to return to this question in future publications.

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A Verification of field redefinition

Under the field redefinition (5.4), the kinetic term in (3.2) becomes

$$\begin{aligned} -\bar{\phi} \frac{\square}{\partial^{+4}} \phi &= -2\bar{\phi} \frac{(\partial^2 - \partial^+ \partial^-)}{\partial^{+4}} \phi \\ &= -2 \left\{ \bar{\phi}' + \frac{1}{3} \kappa (\partial^+ \bar{\phi}' \partial^+ \bar{\phi}') + \frac{2}{3} \kappa \partial^{+4} \left(\frac{1}{\partial^{+3}} \bar{\phi}' \partial^+ \phi' \right) \right\} \times \\ &\quad \frac{(\partial^2 - \partial^+ \partial^-)}{\partial^{+4}} \left\{ \phi' + \frac{1}{3} \kappa (\partial^+ \phi' \partial^+ \phi') + \frac{2}{3} \kappa \partial^{+4} \left(\frac{1}{\partial^{+3}} \phi' \partial^+ \bar{\phi}' \right) \right\} \end{aligned}$$

The free order term gives back the kinetic term. Now, at order κ we keep terms which are of the form $\bar{\phi}' \phi' \phi'$ only.¹

$$-\frac{2}{3} \kappa \bar{\phi}' \frac{(\partial^2 - \partial^+ \partial^-)}{\partial^{+4}} (\partial^+ \phi' \partial^+ \phi') - \frac{4}{3} \kappa \partial^{+4} \left(\frac{1}{\partial^{+3}} \bar{\phi}' \partial^+ \phi' \right) \frac{(\partial^2 - \partial^+ \partial^-)}{\partial^{+4}} \phi' = \mathcal{A} + \mathcal{B}$$

¹The other kind of terms $\bar{\phi}' \bar{\phi}' \phi'$, which are just complex conjugate of these terms, reproduce the $\kappa \bar{\phi}' \bar{\phi}' \phi'$ vertex in (3.2).

$\mathcal{A}$ and $\mathcal{B}$ can be further simplified as follows.

$$\begin{aligned}
 \mathcal{A} &= -\frac{2}{3}\kappa\frac{1}{\partial^{+4}}\bar{\phi}'(\partial^2 - \partial^+\partial^-)(\partial^+\phi'\partial^+\phi') \\
 &= -\frac{4}{3}\kappa\frac{1}{\partial^{+4}}\phi'(\partial^+\partial^2\phi'\partial^+\phi' + \partial^+\partial\phi'\partial^+\partial\phi') + \frac{4}{3}\kappa\frac{1}{\partial^{+4}}\bar{\phi}'\partial^+(\partial^+\partial^-\phi'\partial^+\phi') \\
 \mathcal{B} &= -\frac{4}{3}\kappa\left(\frac{1}{\partial^{+3}}\bar{\phi}'\partial^+\phi'\right)(\partial^2 - \partial^+\partial^-)\phi' \\
 &= +\frac{4}{3}\kappa\frac{1}{\partial^{+4}}\bar{\phi}'\partial^+(\partial^2\phi'\partial^+\phi') - \frac{4}{3}\kappa\frac{1}{\partial^{+4}}\bar{\phi}'\partial^+(\partial^+\partial^-\phi'\partial^+\phi') \\
 &= +\frac{4}{3}\kappa\frac{1}{\partial^{+4}}\bar{\phi}'(\partial^+\partial^2\phi'\partial^+\phi' + \partial^2\phi'\partial^{+2}\phi') - \frac{4}{3}\kappa\frac{1}{\partial^{+4}}\bar{\phi}'\partial^+(\partial^+\partial^-\phi'\partial^+\phi')
 \end{aligned}$$

Hence, the order- κ terms are

$$\mathcal{A} + \mathcal{B} = \frac{4}{3}\kappa\left(\frac{1}{\partial^{+4}}\bar{\phi}'\partial^2\phi'\partial^{+2}\phi' - \frac{1}{\partial^{+4}}\bar{\phi}'\partial^+\partial\phi'\partial^+\partial\phi'\right),$$

B SO(16)-invariance of the new Lagrangian

The SO(16) variation of $\mathcal{L}'$ yields

$$\delta\mathcal{L}' = \delta\mathcal{L}'_{\text{kinetic}} + \delta\mathcal{L}'_{\text{cubic}}, \quad (\text{B.1})$$

where

$$\delta\mathcal{L}'_{\text{kinetic}} = -(\delta\bar{\phi}')\frac{\square}{\partial^{+4}}\phi' - \bar{\phi}'\frac{\square}{\partial^{+4}}(\delta\phi') \quad (\text{B.2})$$

and

$$\begin{aligned}
 \delta\mathcal{L}'_{\text{cubic}} &= +\frac{4}{3}\kappa\left(\frac{1}{\partial^{+4}}(\delta\phi')\partial^2\phi'\partial^{+2}\phi' + \frac{1}{\partial^{+4}}\bar{\phi}'\partial^2(\delta\phi')\partial^{+2}\phi' + \frac{1}{\partial^{+4}}\bar{\phi}'\partial^2\phi'\partial^{+2}(\delta\phi') \right. \\
 &\quad \left. - \frac{1}{\partial^{+4}}(\delta\bar{\phi}')\partial^+\partial\phi'\partial^+\partial\phi' - 2\frac{1}{\partial^{+4}}\bar{\phi}'\partial^+\partial(\delta\phi')\partial^+\partial\phi'\right) + c.c. . \quad (\text{B.3})
 \end{aligned}$$

Using (5.7) and keeping terms up to order κ , we get

$$\begin{aligned}
 \delta\mathcal{L}'_{\text{kinetic}} &= \left\{ -(\delta\bar{\phi})\frac{\square}{\partial^{+4}}\phi - \bar{\phi}\frac{\square}{\partial^{+4}}(\delta\phi) \right\} \\
 &\quad + \left\{ \frac{1}{3}\kappa(\delta\bar{\phi})\frac{\square}{\partial^{+4}}(\partial^+\phi\partial^+\phi) + \frac{2}{3}\kappa\bar{\phi}\frac{\square}{\partial^{+4}}(\partial^+(\delta\phi)\partial^+\phi) \right. \\
 &\quad + \frac{2}{3}\kappa\partial^{+4}\left(\frac{1}{\partial^{+3}}(\delta\bar{\phi})\partial^+\phi\right)\frac{\square}{\partial^{+4}}\phi + \frac{2}{3}\kappa\partial^{+4}\left(\frac{1}{\partial^{+3}}\bar{\phi}\partial^+(\delta\phi)\right)\frac{\square}{\partial^{+4}}\phi \\
 &\quad \left. + \frac{2}{3}\kappa\partial^{+4}\left(\frac{1}{\partial^{+3}}\bar{\phi}\partial^+\phi\right)\frac{\square}{\partial^{+4}}(\delta\phi) \right\} + c.c. . \quad (\text{B.4})
 \end{aligned}$$

The terms of order κ^0 cancel against each other, as in eq. (5.6). We have only considered terms of the form $(\bar{\phi}\phi\phi)$, since the others are contained in the complex conjugate. After partially integrations of ∂^+ and simple manipulations, (B.4) takes the form

$$\begin{aligned} \delta\mathcal{L}'_{\text{kinetic}} = & -\frac{4}{3}\kappa\left(\frac{1}{\partial^{+4}}(\bar{\delta}\phi')\partial^2\phi'\partial^{+2}\phi' + \frac{1}{\partial^{+4}}\bar{\phi}'\partial^2(\delta\phi')\partial^{+2}\phi' + \frac{1}{\partial^{+4}}\bar{\phi}'\partial^2\phi'\partial^{+2}(\delta\phi') \right. \\ & \left. - \frac{1}{\partial^{+4}}(\delta\bar{\phi}')\partial^+\partial\phi'\partial^+\partial\phi' - 2\frac{1}{\partial^{+4}}\bar{\phi}'\partial^+\partial(\delta\phi')\partial^+\partial\phi'\right) + c.c., \end{aligned} \quad (\text{B.5})$$

which cancels against (B.3) rendering the new Lagrangian, with a cubic vertex, SO(16)-invariant.

C E_8 invariance

We show here, how the non-linearly realised SO(16) for the action with a three-point coupling can be extended to an $E_{8(8)}$ symmetry. The action of the 128 $E_{8(8)}/\text{SO}(16)$ transformations on the superfield ϕ is given in (4.8). We know that two such coset transformations should close on SO(16), (we denote the coset transformations here by $\delta'\phi$)

$$[\delta'_1, \delta'_2]\phi = \delta_{\text{SO}(16)}\phi. \quad (\text{C.1})$$

Using (5.7), we can readily express $\delta'\phi'$ in terms of $\delta'\phi$. Let us consider two coset transformations, δ'_1 and δ'_2 on ϕ'

$$\begin{aligned} [\delta'_1, \delta'_2]\phi' &= [\delta'_1, \delta'_2]\phi - \frac{1}{3}\kappa[\delta'_1, \delta'_2](\partial^+\phi\partial^+\phi) \frac{2}{3}\kappa[\delta'_1, \delta'_2]\left\{\partial^{+4}\left(\frac{1}{\partial^{+3}}\phi\partial^+\bar{\phi}\right)\right\} \\ &= \delta_{\text{SO}(16)}\phi + \mathcal{X} + \mathcal{Y} \end{aligned} \quad (\text{C.2})$$

where $\mathcal{X}$ and $\mathcal{Y}$ simplify to

$$\begin{aligned} \mathcal{X} &= -\frac{1}{3}\kappa[\delta'_1, \delta'_2](\partial^+\phi\partial^+\phi) \\ &= -\frac{2}{3}\kappa[\partial^+(\delta'_1\delta'_2\phi)\partial^+\phi + \partial^+(\delta'_2\phi)\partial^+(\delta'_1\phi) - \partial^+(\delta'_2\delta'_1\phi)\partial^+\phi - \partial^+(\delta'_1\phi)\partial^+(\delta'_2\phi)] \\ &= -\frac{2}{3}\kappa(\partial^+[\delta'_1, \delta'_2]\phi\partial^+\phi) \\ &= -\frac{1}{3}\kappa\delta_{\text{SO}(16)}(\partial^+\phi\partial^+\phi) \end{aligned}$$

and

$$\mathcal{Y} = -\frac{2}{3}\kappa[\delta'_1, \delta'_2]\left\{\partial^{+4}\left(\frac{1}{\partial^{+3}}\phi\partial^+\bar{\phi}\right)\right\} = -\frac{2}{3}\kappa\delta_{\text{SO}(16)}\left\{\partial^{+4}\left(\frac{1}{\partial^{+3}}\phi\partial^+\bar{\phi}\right)\right\}.$$

So, from (5.7) we find that for the new field ϕ'

$$\begin{aligned} [\delta'_1, \delta'_2]\phi' &= \delta_{\text{SO}(16)}\phi - \frac{1}{3}\kappa\delta_{\text{SO}(16)}(\partial^+\phi\partial^+\phi) - \frac{2}{3}\kappa\delta_{\text{SO}(16)}\left\{\partial^{+4}\left(\frac{1}{\partial^{+3}}\phi\partial^+\bar{\phi}\right)\right\} \\ &= \delta_{\text{SO}(16)}\phi', \end{aligned} \quad (\text{C.3})$$

thus proving that the transformations close.

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