

Ward identities and relations between conductivities and viscosities in holography

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ABSTRACT: We derive relations between viscosities and momentum conductivity in $2 + 1$ dimensions by finding a generalization of holographic Ward identities for the energy-momentum tensor. The generalization is novel in the sense that it goes beyond the usual identities obtained from holographic renormalization. Our results are consistent with previous field theory analysis. The main tools we use are a constant ‘probability current’ in the gravity dual, that we are able to define for any system of linear ODEs, and parity symmetry.

KEYWORDS: Gauge-gravity correspondence, Duality in Gauge Field Theories, AdS-CFT Correspondence, Holography and condensed matter physics (AdS/CMT)

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1 Introduction

Holography, in the sense of the AdS/CFT correspondence [1–3] and its generalizations, has been used as a tool to study strongly coupled systems that are otherwise intractable or notoriously difficult to deal with. An important aspect has been the derivation of fluid properties, in particular those associated to the transport of conserved currents such as energy, momentum or charge. Transport is characterized by transport coefficients, that can be defined from correlators of conserved currents through Kubo formulas, or in other

ways such as constitutive relations in hydrodynamics. In holography they have been computed both ways, following the seminal works [4, 5]. An alternative way to identify the coefficients is through the derivative expansion of the equilibrium partition function as in [6, 7], although dissipative coefficients are not captured with this method. One should bear in mind that those definitions are not always equivalent, when we discuss transport coefficients we will be referring to those derived from correlators.

Not all the transport coefficients one can possibly define are independent. For correlators of conserved currents there are Ward identities that impose relations among them, thus constraining some of the transport coefficients. In some cases these relations lead to interesting effects, an example is the relation between Hall conductivity and Hall viscosity found in [8] for Quantum Hall systems. Both coefficients are interesting from the point of view of the characterization of topological phases. For instance, in a Quantum Hall system the Hall conductivity is proportional to the filling fraction while the Hall viscosity depends on the shift [9–11], both of which take discrete values and remain fixed under small deformations. However, in order to determine the viscosity one in principle needs to deform the material and measure the resulting stress, while the conductivity can be determined by a much simpler measurement of an electric current. This situation is helped by the relation between the two. In the presence of an inhomogeneous electric field, the Hall current receives a correction, which to leading order in derivatives is

$$J_H^i \simeq \sigma_H^{(0)} E^i + \sigma_H^{(2)} \nabla^2 E^i \tag{1.1}$$

The coefficient $\sigma_H^{(2)}$ depends on the Hall viscosity and other quantities that can be determined independently. This in principle allows to measure the Hall viscosity via inhomogeneous electric fields, which may be easier to realize experimentally than a direct measurement of the viscosity. Originally the relation was obtained from an effective action approach, but later it was shown for Galilean invariant systems that the relation can be derived from Ward identities [12].

These relations were further generalized for any system with rotational invariance in [13]. For the general case (not Galilean invariant), the transport coefficients that are directly related are conductivities in the momentum current (or thermal conductivities) and viscosities. Charge conductivities also enter when the magnetic field is nonzero. In terms of retarded correlators of the energy-momentum tensor

$$\Gamma^{\mu\nu\alpha\beta}(x, \hat{x}) = \left\langle T^{\mu\nu}(x) T^{\alpha\beta}(\hat{x}) \right\rangle_R, \tag{1.2}$$

the relevant Ward identity is, in the absence of external sources ($i, j = 1, 2$ label the spatial directions)

$$\partial_0 \hat{\partial}_0 \Gamma^{0i0j}(x, \hat{x}) + \partial_k \hat{\partial}_l \Gamma^{kijl}(x, \hat{x}) \simeq 0. \tag{1.3}$$

The right hand side might contain contact terms but otherwise it's zero because of the conservation of the energy-momentum tensor. The identity can be derived combining the two identities

$$\partial_\mu \Gamma^{\mu\nu\alpha\beta}(x, \hat{x}) \simeq 0, \quad \hat{\partial}_\alpha \Gamma^{\mu\nu\alpha\beta}(x, \hat{x}) \simeq 0. \tag{1.4}$$

For readers familiar with the AdS/CFT correspondence it might seem that these identities have been derived already from the holographic renormalization procedure [14, 15], in particular the Ward identities for charged 2 + 1 dimensional systems were studied in some detail in [16–18]. This is partially true, there is a set of Ward identities that hold for the correlators of the energy-momentum tensor with any other operators $\mathcal{O}_1, \dots, \mathcal{O}_n$

$$\partial_\mu \langle T^{\mu\nu}(x) \mathcal{O}_1(x_1) \cdots \mathcal{O}_n(x_n) \rangle = 0. \tag{1.5}$$

In the case of asymptotically AdS spacetimes¹ this follows from ‘kinematics’, it is not necessary to know the full geometry but the identity follows from the asymptotic expansion and the equations of motion. However, the second identity in (1.4) does not follow directly from the asymptotic expansion, it requires further input.

So far the relations between viscosities and conductivities in holography could only be checked by direct computation of the correlators, but a general ‘kinematic’ argument should exist, since they follow from symmetries and will be valid in any field theory. In this paper we make a first step towards generalizing Ward identities for two-point functions of the energy-momentum in 2 + 1 dimensions. We will establish the relation between the parity even components of the conductivities and the shear and bulk viscosities, our argument relies on constructing a quantity which is independent of the radial direction in the bulk geometry and taking advantage of parity symmetry. The radially independent quantity can be seen as a “probability current” for the solutions to the linear equations of motion. We give a general prescription on how to construct the probability current for any linear system of second order ordinary differential equations and apply it to a specific set of theories consisting of 3 + 1-dimensional gravity coupled to a scalar field. In the context of scattering in black hole geometries, the probability current is the flux through a surface at a fixed value of the radial coordinate, in particular the flux through the horizon, so it determines the absorption by the black hole. In simple cases, such as a probe scalar field, one can see that the probability current is proportional to the spectral function of the dual operator. In more general cases it is still a combination of correlators, but we do not have a clean interpretation for it, we will use it as a mathematical device to derive the Ward identities.

The paper is organized as follows: in section 2 we derive the identities that relate shear viscosity and momentum conductivity in a conformal field theory (CFT). In section 3 we generalize our construction to theories with explicit breaking of conformal invariance via a relevant deformation and derive identities that relate bulk viscosity and momentum conductivity. In subsection 3.1 we present the general construction of the probability current. We discuss the results in section 4 and a possible application of the probability current to compute the spectrum of normalizable modes. We have gathered a collection of technical results in the appendices at the end of the paper.

2 Shear identity in a CFT

We will start by working out a simple example that will serve to illustrate the procedure we are proposing to derive generalized Ward identities, without the technical complications of more involved cases.

¹Generalizations for other geometries have been discussed in [19–24].

The simplest identity we can check using holography is the relation between shear viscosity and thermal conductivity in a CFT. We will restrict the analysis to (2+1)-dimensions, although it can be generalized to any number of dimensions. We will assume that the CFT has a gravity dual and that quantum and higher derivative corrections on the gravity side are small,² so it can be well approximated by classical Einstein gravity coupled to matter. For simplicity we will consider states where the CFT is at finite temperature but there are no other sources of breaking of conformal invariance (explicit or spontaneous). This implies that the effect of matter is simply to introduce a negative cosmological constant $\Lambda = -3/L^2$

$$S = \frac{1}{16\pi G_N} \int d^4x \sqrt{-g} (R - 2\Lambda), \tag{2.1}$$

where G_N is the four-dimensional Newton's constant. The geometry dual to the thermal state of a CFT is the AdS_4 black brane

$$ds^2 = \frac{L^2}{z^2} \left(-f(z)dx_0^2 + dx_1^2 + dx_2^2 + \frac{dz^2}{f(z)} \right), \quad f(z) = 1 - \frac{z^3}{z_H^3}. \tag{2.2}$$

Where L is the AdS radius. The conformal AdS boundary is at $z = 0$, while $z = z_H$ is the position of the black brane horizon. We can set $z_H = 1$ by rescaling the coordinates

$$z \rightarrow z_H z, \quad x^\mu \rightarrow z_H x^\mu. \tag{2.3}$$

All dimensionful quantities will be given in units of z_H . Physical units can be restored by introducing z_H factors using dimensional analysis and then replacing the z_H dependence by a dependence on the temperature of the black brane

$$T = \frac{3}{4\pi z_H}. \tag{2.4}$$

Two-point retarded correlation functions of the energy-momentum tensor can be computed using AdS/CFT by solving for linearized fluctuations of the metric around the black brane background and imposing ingoing boundary conditions at the horizon [25]. We will work in the radial gauge where $\delta g_{Mz} = 0$ ³ and we will expand in plane waves with momentum along the x_1 direction

$$\delta g_{\mu\nu} = -\frac{L^2}{z^2} \int \frac{d^3k}{(2\pi)^3} e^{ik_\mu x^\mu} h_{\mu\nu}(z). \tag{2.5}$$

For the calculation of the shear viscosity we only need to turn on the h_{12} and h_{02} components, and in the calculations we will fix the momentum to be $k^\mu = (\omega, k, 0)$ without loss of generality.

Varying the action (2.1) with respect to the metric g_{MN} yields Einstein's equations

$$R_{MN} - \frac{1}{2}g_{MN}R - \frac{3}{L^2}g_{MN} = 0. \tag{2.6}$$

²On the field theory side this means a large- N and strong coupling approximation.

³We will employ capital latin indexes for the bulk coordinates, greek indexes for the boundary coordinates. Latin lower case indexes will run for the spatial x_1, x_2 components.

Expanding to linear order in the fluctuations, we find three equations, two dynamical and one constraint

$$\begin{aligned}
 0 &= h''_{12} - \left(\frac{2}{z} - \frac{f'}{f} \right) h'_{12} + \frac{\omega^2}{f^2} h_{12} + \frac{\omega k}{f^2} h_{02}, \\
 0 &= h''_{02} - \frac{2}{z} h'_{02} - \frac{k^2}{f} h_{02} - \frac{\omega k}{f} h_{12}, \\
 0 &= \omega h'_{02} + k f h'_{12}.
 \end{aligned}
 \tag{2.7}$$

Where primes denote derivatives with respect to the radial direction z .

An important ingredient in our derivation is parity symmetry. The equations are invariant under the transformation

$$k \longrightarrow -k, \quad h_{12} \longrightarrow -h_{12}. \tag{2.8}$$

Therefore, for every solution h_{02}, h_{12} of the equations with frequency ω and momentum k , there is another solution for the opposite momentum with the same radial profile, up to the overall sign in h_{12}

$$\tilde{h}_{02}(\omega, -k, z) = h_{02}(\omega, k, z), \quad \tilde{h}_{12}(\omega, -k, z) = -h_{12}(\omega, k, z). \tag{2.9}$$

Note that generically introducing sources in the field theory will break parity, this will be reflected in the boundary conditions $h_{12}(\omega, k, z=0) \neq -h_{12}(\omega, -k, z=0)$ and $h_{02}(\omega, k, z=0) \neq h_{02}(\omega, -k, z=0)$. Nonetheless, the spectrum is determined by normalizable solutions $h_{12}(z=0) = h_{02}(z=0) = 0$, which will show parity invariance.

2.1 Probability current and parity

The equations of motion (2.7) can be cast in the form of coupled Schrödinger equations (plus a constraint) by changing to the following variables

$$h_{02} = z\psi_0, \quad h_{12} = \frac{z}{\sqrt{f}}\psi_1. \tag{2.10}$$

Then, the dynamical equations have the form

$$\begin{aligned}
 0 &= \psi_1'' - \mathcal{V}_1 \psi_1 + \frac{\omega k}{f^{3/2}} \psi_0, \\
 0 &= \psi_0'' - \mathcal{V}_0 \psi_0 - \frac{\omega k}{f^{3/2}} \psi_1.
 \end{aligned}
 \tag{2.11}$$

Where

$$\mathcal{V}_1 = -\frac{\omega^2}{f^2} + \frac{f'}{fz} - \frac{(f')^2}{4f^2} + \frac{3}{fz^2} - \frac{1}{z^2}, \quad \mathcal{V}_0 = \frac{k^2}{f} + \frac{2}{z^2}. \tag{2.12}$$

For $k=0$ the two modes decouple and one can naturally define probability currents for each of the fluctuations (bar denotes complex conjugation)

$$j_{0,1} = \bar{\psi}_{0,1} \psi_{0,1}' - \bar{\psi}_{0,1}' \psi_{0,1}. \tag{2.13}$$

Since the potentials are real, these currents are independent of the radial coordinate

$$\frac{d}{dz}j_{0,1} = \bar{\psi}_{0,1}\psi_{0,1}'' - \bar{\psi}_{0,1}''\psi_{0,1} = \bar{\psi}_{0,1}(\mathcal{V}_{0,1}\psi_{0,1}) - (\mathcal{V}_{0,1}\bar{\psi}_{0,1})\psi_{0,1} = 0. \quad (2.14)$$

However, when the momentum is non-zero the currents j_0 and j_1 are not independent of the radial direction anymore. Instead, we find

$$\frac{d}{dz}j_1 = -\frac{\omega k}{f^{3/2}}(\bar{\psi}_1\psi_0 - \bar{\psi}_0\psi_1), \quad \frac{d}{dz}j_0 = \frac{\omega k}{f^{3/2}}(\bar{\psi}_0\psi_1 - \bar{\psi}_1\psi_0). \quad (2.15)$$

Even though separately the radial derivative of each current is non-zero we see that they are proportional to the same function. The combination $J = j_1 - j_0$ is actually independent of the radial direction. Note that J is invariant under the parity transformation (2.8), since it depends quadratically on h_{12} and the radial profile of the fluctuations does not change. We will use this fact to derive the generalized Ward identity by comparing the value of the current J at the horizon and at the boundary.

To leading order, the ingoing solutions near the horizon $z \rightarrow 1$ can be expanded as

$$\begin{aligned} \psi_1 &= (1-z)^{\frac{1}{2}-i\frac{\omega}{3}}(\mathcal{A}_1 + \dots) + \sqrt{1-z}\left(-\frac{\sqrt{3}k}{\omega}\mathcal{B} + \dots\right), \\ \psi_0 &= (1-z)^{1-i\frac{\omega}{3}}\left(\frac{i\sqrt{3}k}{3-i\omega}\mathcal{A}_1 + \dots\right) + \mathcal{B}(1 + (1-z) + \dots). \end{aligned} \quad (2.16)$$

The solution for ψ_0 when the momentum is zero and the two modes are decoupled is the one with coefficient \mathcal{B} . In that situation, there are no ingoing solutions for the vector mode. This solution actually does not contribute to the current, whose value at the horizon is

$$J_H = i\frac{2}{3}\omega|\mathcal{A}_1|^2. \quad (2.17)$$

Invariance of the current under parity implies that $J_H(-\mathcal{A}_1, -k) = J_H(\mathcal{A}_1, k)$. But

$$J_H(-\mathcal{A}_1, -k) = i\frac{2}{3}\omega|-\mathcal{A}_1(-k)|^2 = i\frac{2}{3}\omega|\mathcal{A}_1(-k)|^2 = J_H(\mathcal{A}_1, -k). \quad (2.18)$$

Therefore, $J_H(k) = J_H(-k)$ and since J is independent of the radial coordinate, we deduce that

$$J(k) = J(-k). \quad (2.19)$$

2.2 Ward identities

To leading order, the solutions near the boundary $z \rightarrow 0$ can be expanded as

$$\psi_{0,1} = \frac{1}{z}\left(H_{0,1}^{(0)} + H_{0,1}^{(2)}z^2 + \dots\right) + z^2\left(T_{0,1}^{(0)} + \dots\right). \quad (2.20)$$

The coefficients of the non-normalizable modes $H_{0,1}^{(0)}$ are proportional to the Fourier transform of the sources of the energy-momentum tensor, i.e. the boundary metric

$$g_{\mu\nu}^{(0)} = \eta_{\mu\nu} + \delta g_{\mu\nu}^{(0)}. \quad (2.21)$$

The coefficients of the normalizable modes $T_{0,1}^{(0)}$ are related to the Fourier transform of the expectation value in the dual field theory

$$\langle T_{\mu\nu} \rangle = \langle T_{\mu\nu} \rangle_{\text{thermal}} + \delta \langle T_{\mu\nu} \rangle. \quad (2.22)$$

The exact relation follows from holographic renormalization [14]

$$\delta g_{a2}^{(0)} = -H_a^{(0)}, \quad a = 0, 1. \quad \delta \langle T_{02} \rangle = -\frac{3L^2}{16\pi G_N} T_0^{(0)}, \quad \delta \langle T_{12} \rangle = -\frac{3L^2}{16\pi G_N} \left[T_1^{(0)} + \frac{1}{2} H_1^{(0)} \right]. \quad (2.23)$$

The two-point correlation functions of the energy-momentum tensor can be computed by doing a variation of the expectation value with respect to the source

$$\Gamma_{a2b2} = \left. \frac{\partial \langle T_{a2} \rangle}{\partial g^{b2}} \right|_{\delta g_{\mu\nu}=0}. \quad (2.24)$$

Note that the normalizable solution is not independent, ingoing boundary conditions at the horizon impose a relation between the boundary normalizable and non-normalizable solutions, with some coefficients depending on the frequency and the momentum

$$\begin{aligned} T_1^{(0)} &= C_{11}(\omega, k) H_1^{(0)} + C_{10}(\omega, k) H_0^{(0)}, \\ T_0^{(0)} &= C_{01}(\omega, k) H_1^{(0)} + C_{00}(\omega, k) H_0^{(0)}. \end{aligned} \quad (2.25)$$

From (2.23) and (2.24), the correlation functions associated to the shear and transverse thermal conductivity are

$$\begin{aligned} \Gamma_{0202} &= -\frac{3L^2}{16\pi G_N} C_{00}, \quad \Gamma_{1202} = -\frac{3L^2}{16\pi G_N} C_{10}, \\ \Gamma_{0212} &= -\frac{3L^2}{16\pi G_N} C_{01}, \quad \Gamma_{1212} = -\frac{3L^2}{16\pi G_N} \left[C_{11} + \frac{1}{2} \right]. \end{aligned} \quad (2.26)$$

The coefficients $H_{0,1}^{(2)}$ are fixed by the corresponding dynamical equations in (2.7). If we also take into account the constraint equation, we find the following conditions

$$\begin{aligned} H_1^{(2)} &= \frac{\omega^2}{2} H_1^{(0)} + \frac{\omega k}{2} H_0^{(0)}, \\ T_0^{(0)} &= -\frac{k}{2\omega} H_1^{(0)} - \frac{k}{\omega} T_1^{(0)}, \\ H_0^{(2)} &= -\frac{k}{\omega} H_1^{(2)} = -\frac{\omega k}{2} H_1^{(0)} - \frac{k^2}{2} H_0^{(0)}. \end{aligned} \quad (2.27)$$

Using all these results, the probability current evaluated at the boundary has the following form

$$J_B = 3 \left(\overline{H_1^{(0)}} T_1^{(0)} - H_1^{(0)} \overline{T_1^{(0)}} \right) + \frac{3k}{2\omega} \left(\overline{H_0^{(0)}} H_1^{(0)} - H_0^{(0)} \overline{H_1^{(0)}} \right) + \frac{3k}{\omega} \left(\overline{H_0^{(0)}} T_1^{(0)} - H_0^{(0)} \overline{T_1^{(0)}} \right). \quad (2.28)$$

We see that indeed J_B is invariant under parity, since $J_B(-H_1, -T_1, -k) = J_B(H_1, T_1, k)$. However, in order for the condition (2.19) to hold, an additional constraint should be satisfied (“even” and “odd” is respect to $k \rightarrow -k$)

$$\omega \left(\overline{H}_1^{(0)} T_1^{(0)} - H_1^{(0)} \overline{T}_1^{(0)} \right)_{\text{odd}} + \frac{k}{2} \left(\overline{H}_0^{(0)} H_1^{(0)} - H_0^{(0)} \overline{H}_1^{(0)} \right) + k \left(\overline{H}_0^{(0)} T_1^{(0)} - H_0^{(0)} \overline{T}_1^{(0)} \right)_{\text{even}} = 0. \quad (2.29)$$

We will use this relation to derive Ward identities for correlators in the dual field theory.

Using (2.27), we see that not all those coefficients are independent, but

$$\omega C_{01}(\omega, k) + k C_{11}(\omega, k) + \frac{k}{2} = 0, \quad \omega C_{00}(\omega, k) + k C_{10}(\omega, k) = 0. \quad (2.30)$$

This implies the following Ward identities

$$\omega \Gamma_{0212} + k \Gamma_{1212} = 0, \quad \omega \Gamma_{0202} + k \Gamma_{1202} = 0, \quad (2.31)$$

which correspond to the usual conservation of the energy-momentum tensor.

On the other hand, (2.29) for arbitrary sources leads to the conditions

$$(C_{11} - \overline{C}_{11})_{\text{odd}} = 0, \quad (2.32)$$

$$\omega C_{10 \text{ odd}} - \frac{k}{2} - k \overline{C}_{11, \text{even}} = 0. \quad (2.33)$$

This implies the following Ward identities

$$(\Gamma_{1212} - \overline{\Gamma}_{1212})_{\text{odd}} = 0, \quad \omega \Gamma_{1202 \text{ odd}} - k \overline{\Gamma}_{1212 \text{ even}} = 0. \quad (2.34)$$

Combining the two second identities in (2.31) and (2.34), we get

$$[\omega^2 \Gamma_{0202} + k^2 \overline{\Gamma}_{1212}]_{\text{even}} = 0. \quad (2.35)$$

From this expression we can derive the relation between shear viscosity and transverse thermal conductivity.

3 Bulk identity in a non-CFT

We would like now to study a more involved case, the relation between bulk viscosity and longitudinal thermal conductivity. Although the derivation is similar to the one we have used for the shear identity in a CFT, there are some features that cannot be easily generalized, in particular finding a constant probability current for a larger number of coupled fluctuations. We will show how this can be done using a particular example.

In a CFT the bulk viscosity is zero, so we should introduce a breaking of conformal invariance. This can be achieved by introducing additional couplings for relevant operators or giving them an expectation value. On the gravity side, this is translated into turning on scalar fields. In the simplest scenario there will be just one scalar coupled to Einstein gravity

$$S = \frac{1}{16\pi G_N} \int d^4x \sqrt{-g} (R - (\partial\phi)^2 - 2V(\phi)). \quad (3.1)$$

The potential has a critical point at $\phi = 0$ corresponding to AdS with $\Lambda = V(0)$. In order for the dual operator \mathcal{O} to be relevant, the mass of the scalar field should be negative $m^2 L^2 = \partial^2 V(0) < 0$, so the critical point is a maximum of the potential. The mass of the field is related to the conformal dimension of the dual operator Δ as $m^2 L^2 = \Delta(\Delta - 3)$.

The equations of motion are

$$\begin{aligned} R_{MN} - \frac{1}{2} R g_{MN} &= \partial_M \phi \partial_N \phi - \frac{1}{2} g_{MN} (\partial_K \phi \partial^K \phi + 2V(\phi)) , \\ 0 &= \square \phi - \partial V(\phi) . \end{aligned} \tag{3.2}$$

We will consider a generic background black brane solution with the scalar field turned on

$$ds^2 = dr^2 + e^{2A(r)} \left(-e^{2B(r)} dx_0^2 + dx_1^2 + dx_2^2 \right) , \quad \phi = \phi_0(r) . \tag{3.3}$$

The boundary is at $r \rightarrow \infty$, where the solution is asymptotically AdS. Asymptotically close to the boundary the scalar field and the blackening function of the metric vanish $\phi_0, B \rightarrow 0$, while the warp factor becomes linear in the radial coordinate $A(r) \simeq \frac{r}{L}$. The horizon is at $r = r_H$, where the g_{00} component of the metric vanishes $B(r) \simeq \log(r - r_H)$. For convenience we will set $L = 1$ in the calculations, so all dimensionful quantities are given in units of the AdS radius. We will restore the dependence on L in the final expressions for one-point functions and correlators. To leading order,⁴ the expansion of the solutions close to the boundary are

$$\begin{aligned} A &\sim r - \frac{\lambda^2}{8} e^{-2(3-\Delta)r} - \frac{1}{9} (3B_0 - \Delta(\Delta - 3)\lambda v) e^{-3r} + \dots , \\ B &\sim e^{-3r} B_0 + \dots , \quad \phi_0 \sim \lambda e^{-(3-\Delta)r} + v e^{-\Delta r} + \dots . \end{aligned} \tag{3.4}$$

The coefficients λ and v are proportional to the source and the expectation value of the dual scalar operator respectively. The coefficient B_0 is proportional to the thermal contribution to the energy density. We have computed the renormalized expectation values in appendix A using the holographic renormalization procedure. We find that the total energy density ε and pressure P are

$$\begin{aligned} \varepsilon = \langle T_{00} \rangle &= \frac{1}{8\pi G_N L} \left[-2B_0 - \frac{1}{3} (\Delta - 3)(3 - 2\Delta)\lambda v \right] , \\ P = \langle T_{ii} \rangle &= \frac{1}{8\pi G_N L} \left[-B_0 + \frac{1}{3} (\Delta - 3)(3 - 2\Delta)\lambda v \right] , \end{aligned} \tag{3.5}$$

while the expectation value of the dual scalar operator is

$$\langle \mathcal{O} \rangle = \frac{\mu^{\Delta-3}}{8\pi G_N L} (3 - 2\Delta)v . \tag{3.6}$$

Where μ is an arbitrary scale that enters in the definition of the source for the dual operator $\lambda = \mu^{\Delta-3} J^{(0)}$.

⁴We are presenting the expansions as if $3/2 < \Delta < 5/2$, but they are valid for any $1/2 < \Delta < 3$, except for special values, when $2\Delta - 3$ is an integer.

The trace of the energy-momentum tensor satisfies the Ward identity

$$\langle T_\mu^\mu \rangle = \frac{1}{8\pi G_N L} (\Delta - 3)(3 - 2\Delta)\lambda v = (\Delta - 3)J^{(0)} \langle \mathcal{O} \rangle. \quad (3.7)$$

In order to compute correlation functions in the dual field theory we follow the usual analysis of linearized fluctuations of the metric and scalar field δg_{MN} , $\delta\phi$. We will work in the radial gauge $\delta g_{rM} = 0$ and expand in plane waves along the field theory directions

$$\delta g_{\mu\nu} = \int \frac{d^3k}{(2\pi)^3} e^{ik_\mu x^\mu} h_{\mu\nu}(r), \quad \delta\phi = e \int \frac{d^3k}{(2\pi)^3} e^{ik_\mu x^\mu} \varphi(r). \quad (3.8)$$

It is possible to repeat the derivation of the shear identity in this background by turning on only the h_{12} and h_{02} components of the metric. The structure of the equations is the same, with the only difference being that when the equations are written in the Schrödinger form the potentials depend on the background scalar.

For the present analysis we will turn on the minimal set of modes of the metric coupled to the scalar φ : h_{00} , h_{01} , h_{11} and h_{22} and we will fix the momentum to be $k^\mu = (\omega, k, 0)$ without loss of generality. It will be convenient to use a different basis of modes

$$\begin{aligned} y_1 &= \frac{1}{2} e^{-\frac{A}{2}} (e^{-2B} h_{00} + h_{11} + h_{22}), \\ y_2 &= \frac{1}{2} e^A (-e^{-2B} h_{00} + h_{11} + h_{22}), \\ y_3 &= e^{\frac{3}{2}A} \varphi, \\ y_4 &= \frac{1}{2} e^{-\frac{A}{2}} (h_{11} - h_{22}), \\ y_5 &= e^{-\frac{A}{2} - 2B} h_{01}. \end{aligned} \quad (3.9)$$

The dynamical equations of motion and the constraints are

$$y_i'' + a_{ij} y_j' + b_{ij} y_j = 0, \quad c_i^a y_i' + d_i^a y_i = 0, \quad a = 1, 2, 3. \quad (3.10)$$

Where the coefficients are given in the appendix B.2. The structure is such that, for $i \neq 5$

$$a_{5i} = a_{i5} = 0, \quad b_{i5}, b_{5i} \propto k, \quad c_5^1, c_5^3, c_i^2 \propto k, \quad d_5^5, d_5^3, d_i^2 \propto k. \quad (3.11)$$

While all the other coefficients are proportional to even powers of k . One can easily check that the equations are invariant under the parity transformation

$$k \longrightarrow -k, \quad h_{01} \longrightarrow -h_{01} \quad (y_5 \longrightarrow -y_5). \quad (3.12)$$

3.1 Constructing a probability current

For the shear modes it was quite easy to construct a constant probability current. This was actually possible because the structure of the equations is quite special. The existence of a constant probability current was pointed out before in other simple cases, such as a probe scalar field in the BTZ black hole [25] and for longitudinal fluctuations of a gauge field in a charged asymptotically AdS_4 black hole [26]. In those cases it is roughly proportional to

the on-shell action. As we will see, in the more complicated case of a scalar field coupled to the metric, the special structure of the vector modes is absent and in order to construct a constant current we need to introduce additional ingredients. Let us mention that it is possible to define in general a conserved ‘symplectic current’ w^μ [27, 28] that is useful to prove the conservation of Noether charges in the bulk and the first law of thermodynamics in holography (see [29, 30]). Similarly to the probability current, it is a bilinear functional of the fluctuations of the fields $w^\mu = w^\mu(\delta_1\Phi, \delta_2\Phi)$. However, in contrast to the probability current defined from the on-shell action, it vanishes for $\delta_1\Phi = \delta_2\Phi$. It would be interesting to see if the probability current we define below and the symplectic current are related.

Let us first consider zero momentum $k = 0$ with the background scalar field turned off $\phi_0 = 0$. The dynamical equations take a simpler form, with all the modes decoupled except for y_1 and y_2 . For each of the decoupled modes the equations are

$$y_i'' + a_{ii}y_i' + b_{ii}y_i = 0, \quad i = 3, 4, 5. \quad (3.13)$$

We can define new variables such that the equations take the form of Schrödinger equations. The new variables are

$$y_i(r) = e^{-\frac{1}{2} \int^r a_{ii}} \psi_i(r), \quad (3.14)$$

and the equations become

$$\psi_i'' - V_i \psi_i = 0, \quad V_i = -b_{ii} + \frac{1}{2} a_{ii}' - \frac{1}{4} a_{ii}^2. \quad (3.15)$$

Therefore, there is a constant probability current for each of these modes

$$j_i = \bar{\psi}_i' \psi_i - \bar{\psi}_i \psi_i'. \quad (3.16)$$

For the coupled modes, the coefficients of the dynamical equations are

$$a_{lm} = \begin{pmatrix} B' & e^{-\frac{3A}{2}} (A' - B') \\ 0 & 2B' \end{pmatrix}, \quad (3.17)$$

and

$$b_{lm} = \begin{pmatrix} -\frac{3}{4} A' (3A' + 4B') & -3e^{-\frac{3A}{2}} A' (A' - B') \\ e^{-\frac{A}{2} - 2B} \omega^2 & e^{-2A - 2B} \omega^2 - 9A' (A' + B') \end{pmatrix}, \quad l, m = 1, 2. \quad (3.18)$$

We can also put this equation in Schrödinger form by defining new variables

$$y_l = \Omega_{lm} \psi_m, \quad l, m = 1, 2. \quad (3.19)$$

The matrix Ω has to satisfy the differential equation

$$\Omega' = -\frac{a}{2} \Omega, \quad (3.20)$$

for which there is a formal solution

$$\Omega = \mathbb{1} + \sum_{n=1}^{\infty} \frac{(-1)^n}{2^n} \int^r dr_1 \int^{r_1} dr_2 \cdots \int^{r_{n-1}} dr_n a(r_1) a(r_2) \cdots a(r_n). \quad (3.21)$$

The equations become, in matricial form

$$\psi'' - \mathcal{V}\psi = 0, \quad \mathcal{V} = \Omega^{-1} \left[\frac{a^2}{4} + \frac{a'}{2} - b \right] \Omega. \quad (3.22)$$

However, in this case we cannot construct a simple probability current. Naïvely the general form would be

$$J = \psi^{\dagger'} Q \psi - \psi^{\dagger} Q^{\dagger} \psi', \quad (3.23)$$

with Q a constant matrix. In order for the current to be constant it is necessary that the matrix Q satisfies the algebraic equations

$$\mathcal{V}^{\dagger} Q - Q^{\dagger} \mathcal{V} = 0, \quad Q = Q^{\dagger}. \quad (3.24)$$

For the shear mode this was the case because \mathcal{V} can be expanded in Pauli matrices $\{\mathbb{1}, \sigma^3, i\sigma^2\}$ with real coefficients, so the algebraic relations are satisfied for $Q = \sigma^3$. However, for the scalar modes there is also a term in the potential proportional to σ^1 , so the algebraic constraints cannot be satisfied in general.

A possible way to generalize the probability current would be to allow non-constant coefficients, and define the current as

$$J = \psi^{\dagger'} \mathcal{A} \psi' + \psi^{\dagger'} \mathcal{B} \psi - \psi^{\dagger} \mathcal{B}^{\dagger} \psi' + \psi^{\dagger} \mathcal{C} \psi, \quad (3.25)$$

with $\mathcal{A}^{\dagger} = -\mathcal{A}$, $\mathcal{C}^{\dagger} = -\mathcal{C}$. The condition that the current is constant $J' = 0$ together with the equations of motion (3.22) gives differential equations for the coefficients. A current of this form could also be defined for the original system of equations (even if $k \neq 0$ and $\phi_0 \neq 0$), without having to write the equations in Schrödinger form. The current would be

$$J = y^{\dagger'} \mathcal{A} y' + y^{\dagger'} \mathcal{B} y - y^{\dagger} \mathcal{B}^{\dagger} y' + y^{\dagger} \mathcal{C} y. \quad (3.26)$$

Rather than constructing J in this way and solving the differential equations for the coefficients, we will try a different approach. We will add additional fields so the probability current becomes a Noether current with known coefficients and then we will fix the boundary conditions of the auxiliary fields in terms of the boundary conditions of the original modes.

First let us introduce a matrix K such that the equations can be written in the form

$$K^{-1} (Ky')' + by = 0, \quad K^{-1} K' = a \quad \Rightarrow \quad K' = Ka. \quad (3.27)$$

A formal solution is

$$K = \mathbb{1} + \sum_{n=1}^{\infty} \int^r dr_1 \int^{r_1} dr_2 \cdots \int^{r_{n-1}} dr_n a(r_n) \cdots a(r_2) a(r_1). \quad (3.28)$$

If we multiply by K on the left we get

$$(Ky')' + Kby = 0. \quad (3.29)$$

We can derive this from a Lagrangian by introducing new fields η . The number of auxiliary fields is the same as the number of original fluctuations and can be grouped in a vector of the same length. The Lagrangian that gives the equations for y is

$$\mathcal{L} = (\eta^\dagger)' K y' - \eta^\dagger K b y + (y^\dagger)' K^\dagger \eta' - y^\dagger b^\dagger K^\dagger \eta. \quad (3.30)$$

$$\left(K^\dagger \eta' \right)' + b^\dagger K^\dagger \eta = 0. \quad (3.31)$$

Note also that the equations are invariant under the parity transformation

$$k \longrightarrow -k, \quad \eta_5 \longrightarrow -\eta_5, \quad (3.32)$$

this will be important in the derivation of the new identities.

The equations for y and η become the same if $K = K^\dagger$ and $Kb = b^\dagger K$, in which case one can set $\eta = y$ and \mathcal{L} can be used as a Lagrangian for the original system of equations.

The action of the extended system has a $U(1)$ global symmetry

$$y \longrightarrow e^{i\alpha} y, \quad \eta \longrightarrow e^{i\alpha} \eta, \quad (3.33)$$

whose (anti-Hermitian) Noether current is

$$J = (\eta^\dagger)' K y - \eta^\dagger K y' + (y^\dagger)' K^\dagger \eta - y^\dagger K^\dagger \eta'. \quad (3.34)$$

The equations of motion imply that $J' = 0$. The current is invariant under the full parity symmetry acting on both y and η .

3.1.1 Current at the boundary

Our first goal is to compute the probability current at the boundary. For simplicity we will restrict to a quadratic potential for the scalar field $V(\phi) = \frac{1}{2}m^2\phi^2$, with $m^2 < 0$ but above the Breitenlohner-Freedman bound, as is appropriate for a field dual to a relevant operator. For arbitrary potentials $V(\phi)$ with a maximum at $\phi = 0$ we have checked that there are no qualitative changes in the boundary expansions, although coefficients do depend on third and fourth derivatives of the potential.

The expansions of the background and the matrix K can be found in appendix C.1, and the one for the auxiliary fields in appendix C.4. Since the equations are second order, there are in principle two independent solutions for each of the y_i and η_i . One corresponds to the non-normalizable solution, which for the original fluctuations maps to the metric or to a source for the scalar operator in the dual field theory. The other solution is normalizable and for the original fluctuations maps to the expectation value of the energy-momentum tensor and the scalar operator. Let us compare the leading terms of each of the independent solutions in the expansions of the auxiliary fields to those of the original fluctuations.

$$\begin{aligned} y_1 &\sim e^{\frac{3}{2}r} y_1^{(0)} + e^{-\frac{3}{2}r} y_1^{(3)}, \\ \eta_1 &\sim e^{\frac{3}{2}r} \eta_1^{(0)} + e^{-\frac{3}{2}r} \eta_1^{(3)} - \frac{1}{8}(k^2 + 2\omega^2) e^{\frac{5}{2}r} \eta_2^{(0)} + \dots, \end{aligned}$$

$$\begin{aligned}
y_2 &\sim e^{3r} y_2^{(0)} + e^{-3r} y_2^{(6)}, \\
\eta_2 &\sim e^{3r} \eta_2^{(0)} + e^{-3r} \eta_2^{(6)}, \\
y_3 &\sim e^{-(\frac{3}{2}-\Delta)r} y_3^{(3-\Delta)} + e^{(\frac{3}{2}-\Delta)r} y_3^{(\Delta)}, \\
\eta_3 &\sim e^{-(\frac{3}{2}-\Delta)r} \eta_3^{(3-\Delta)} + e^{(\frac{3}{2}-\Delta)r} \eta_3^{(\Delta)} + \frac{\Delta-3}{2} e^{(\frac{3}{2}+\Delta)r} \lambda \eta_2^{(0)} + \dots, \\
y_4 &\sim e^{\frac{3}{2}r} y_4^{(0)} + e^{-\frac{3}{2}r} y_4^{(3)}, \\
\eta_4 &\sim e^{\frac{3}{2}r} \eta_4^{(0)} + e^{-\frac{3}{2}r} \eta_4^{(3)} - \frac{k^2}{4} e^{\frac{5}{2}r} \eta_2^{(0)} + \dots, \\
y_5 &\sim e^{\frac{3}{2}r} y_5^{(0)} + e^{-\frac{3}{2}r} y_5^{(3)}, \\
\eta_5 &\sim e^{\frac{3}{2}r} \eta_5^{(0)} + e^{-\frac{3}{2}r} \eta_5^{(3)} - \frac{k\omega}{2} e^{\frac{5}{2}r} \eta_2^{(0)} + \dots.
\end{aligned}$$

The independent terms are the same, but the leading terms in the auxiliary fields start with a larger exponent due to the mixing with η_2 . This can be understood as follows, close to the boundary the coefficients $a \rightarrow 0$, making $K \rightarrow 1$ and b becomes diagonal. If all the modes had the same asymptotics, then we will be in the case where we can set $\eta = y$. However, this is not exactly true because η_2 grows faster than the other modes and even though the off-diagonal components of b and a go to zero at the boundary, they do not decay fast enough to avoid the mixing. Nonetheless, while we cannot impose the condition $\eta = y$, we can fix some relation between the leading coefficients of the independent solutions. There is an ambiguity in this choice, since different combinations may be formed. The simplest option is simply to match the leading coefficients of each of the independent solutions for y with the leading coefficients of the independent solutions for η

$$\begin{aligned}
\eta_i^{(0)} &= y_i^{(0)}, & \eta_i^{(3)} &= y_i^{(3)}, & i &= 1, 4, 5, \\
\eta_2^{(0)} &= y_2^{(0)}, & \eta_2^{(6)} &= -y_2^{(6)}, \\
\eta_3^{(3-\Delta)} &= y_3^{(3-\Delta)}, & \eta_3^{(\Delta)} &= y_3^{(\Delta)}.
\end{aligned} \tag{3.35}$$

This fixes completely the auxiliary modes in terms of the original fluctuations.⁵ We can group the non-normalizable coefficients in a vector H and the normalizable coefficients in another vector T ,

$$H^T = \left(y_1^{(0)} \ y_2^{(0)} \ y_3^{(3-\Delta)} \ y_4^{(0)} \ y_5^{(0)} \right), \quad T^T = \left(y_1^{(3)} \ y_2^{(6)} \ y_3^{(\Delta)} \ y_4^{(3)} \ y_5^{(3)} \right). \tag{3.36}$$

⁵The choice of sign for $\eta_2^{(6)}$ gives simpler expressions.

Since the equations for the fluctuations are of second order, there are in principle two independent solutions for each of the y_i and η_i , generically of the form $\sim (r - r_H)^\alpha$. The exponent α can be complex. For the fluctuations y_i we impose regularity (if α is real) or ingoing boundary conditions (if α is complex). Since we have already imposed the conditions (3.35), there is no freedom left to fix the behavior of the auxiliary fields η_i at the horizon. The leading order terms of each of the independent solutions are

$$\begin{aligned}
 y_1 &\sim y_1^H, \\
 \eta_1 &\sim (r - r_H)^{-ic_H \omega} \eta_1^H + (r - r_H)^{ic_H \omega} \tilde{\eta}_1^H, \\
 \\
 y_2 &\sim (r - r_H)^{-ic_H \omega} y_2^H, \\
 \eta_2 &\sim \eta_2^H + \frac{\tilde{\eta}_2^H}{r - r_H}, \\
 \\
 y_3 &\sim (r - r_H)^{-ic_H \omega} y_3^H, \\
 \eta_3 &\sim (r - r_H)^{-ic_H \omega} \eta_3^H + (r - r_H)^{ic_H \omega} \tilde{\eta}_3^H, \\
 \\
 y_4 &\sim (r - r_H)^{-ic_H \omega} y_4^H, \\
 \eta_4 &\sim (r - r_H)^{-ic_H \omega} \eta_4^H + (r - r_H)^{ic_H \omega} \tilde{\eta}_4^H, \\
 \\
 y_5 &\sim y_5^H \\
 \eta_5 &\sim \eta_5^H + \frac{\tilde{\eta}_5^H}{(r - r_H)^2}.
 \end{aligned}$$

Where we have defined $c_H = e^{-(A_H + B_H)}$. All the fluctuations are actually mixed, the expansion of the fluctuations and the auxiliary fields can be found in appendix C.5.

Let us group the coefficients of the solutions in the vectors y_H , η_H and $\tilde{\eta}_H$ with components

$$(y_H)_i = y_i^H, \quad (\eta_H)_i = \eta_i^H, \quad (\tilde{\eta}_H)_i = \tilde{\eta}_i^H. \quad (3.45)$$

The probability current evaluated at the horizon takes the form

$$J_H = \eta_H^\dagger \mathcal{M} y_H - y_H^\dagger \mathcal{M}^\dagger \eta_H + \tilde{\eta}_H^\dagger \mathcal{N} y_H - y_H^\dagger \mathcal{N}^\dagger \tilde{\eta}_H. \quad (3.46)$$

Where the non-zero entries of each matrix are

$$\begin{aligned}
 \mathcal{M}_{12} &= 2e^{-\frac{3}{2}A_H} (ic_H \omega - 1) K_{11}^H, & \mathcal{M}_{33} &= 2ic_H \omega K_{33}^H, & \mathcal{M}_{44} &= 2ic_H \omega K_{44}^H, \\
 \mathcal{N}_{21} &= e^{\frac{3}{2}A_H} K_{22}^H, & \mathcal{N}_{55} &= -2K_{55}^H.
 \end{aligned} \quad (3.47)$$

When we solve the linear equations of motion, we can write a general solution in terms of the boundary values using a boundary-to-bulk propagator

$$y_i = G_{ij}(r, \omega, k) y_j^{(0)}, \quad \eta_i = \tilde{G}_{ij}(r, \omega, k) \eta_j^{(0)}. \quad (3.48)$$

The parity symmetry of the equations of motion imply that the components $G_{5i}, G_{i5}, \tilde{G}_{5i}, \tilde{G}_{i5}$ for $i \neq 5$ are odd in momentum, while the rest of components are even. Since the elements $\mathcal{M}_{i5}, \mathcal{M}_{5i}, \mathcal{N}_{i5}$ and \mathcal{N}_{5i} are all zero for $i \neq 5$, the current evaluated at the horizon will be even in momentum when the parity odd sources are zero $y_5^{(0)} = \eta_5^{(0)} = 0$ or when the parity even sources are zero $y_{i \neq 5}^{(0)} = \eta_{i \neq 5}^{(0)} = 0$. In these two cases the current should be invariant under $k \rightarrow -k$:

$$J(k) = J(-k). \tag{3.49}$$

However, if both parity even and parity odd sources are nonzero, in general the current will have contributions that are odd in momentum, in contrast to the case of the shear viscosity. We will denote the odd part of the horizon current as

$$[J_H]_{\text{odd}} = \frac{1}{2} (J_H(k) - J_H(-k)). \tag{3.50}$$

3.2 Boundary coefficients and correlators

The asymptotic expansion of metric and scalar fluctuations takes the form

$$h_{\mu\nu} = e^{2r} \left(h_{\mu\nu}^{(0)} + e^{-3r} G_{\mu\nu}^T + \dots \right), \quad \delta\phi = e^{-(3-\Delta)r} \delta\lambda + e^{-\Delta r} G^O + \dots. \tag{3.51}$$

We can identify $h_{\mu\nu}^{(0)}$ with a change of the metric in the dual field theory $g_{\mu\nu}^{(0)} = \eta_{\mu\nu} + h_{\mu\nu}^{(0)}$, that acts as a source for the energy-momentum tensor. Similarly, $\delta\lambda = \mu^{\Delta-3} \delta J^{(0)}$ is a change of the coupling that acts as a source for the scalar operator. The changes in the expectation values of the energy-momentum tensor $\delta \langle T_{\mu\nu} \rangle$ and scalar $\delta \langle \mathcal{O} \rangle$ are proportional to the coefficients $G_{\mu\nu}^T$ and G^O respectively. We have used the holographic renormalization procedure to compute the change in the one-point functions relative to the background values given in (3.5) and (3.6)

$$\begin{aligned} \delta \langle \mathcal{O} \rangle &= \frac{\mu^{\Delta-3}}{8\pi G_N L} (3 - 2\Delta) G^O, \\ \delta \langle T_{\mu\nu} \rangle &= \frac{3}{16\pi G_N L} G_{\mu\nu}^T + \frac{(\Delta - 3)(\Delta - 1)}{2\Delta - 3} \left[\langle \mathcal{O} \rangle \left(J^{(0)} h_{\mu\nu}^{(0)} + \delta J^{(0)} \eta_{\mu\nu} \right) + J^{(0)} \delta \langle \mathcal{O} \rangle \eta_{\mu\nu} \right]. \end{aligned} \tag{3.52}$$

The coefficients G are not independent, but they will be fixed in terms of the sources once regularity or ingoing boundary conditions are imposed on the solutions. In general they will have an expansion

$$\begin{aligned} G_{\mu\nu}^T &= G_{\mu\nu}^{TT} \alpha\beta h_{\alpha\beta}^{(0)} + G_{\mu\nu}^{TO} \delta\lambda, \\ G^O &= G^{OT} \alpha\beta h_{\alpha\beta}^{(0)} + G^{OO} \delta\lambda. \end{aligned} \tag{3.53}$$

Where the coefficients G^{TT}, G^{TO}, G^{OT} and G^{OO} are functions of the frequency and the momentum.

We can derive the correlators of the energy-momentum tensor and scalar by taking variations with respect to the one-point functions

$$\begin{aligned} \Gamma_{\mu\nu\alpha\beta}^{TT} &= -\eta_{\alpha\sigma} \eta_{\beta\rho} \frac{\delta \langle T_{\mu\nu} \rangle}{\delta h_{\sigma\rho}^{(0)}}, & \Gamma_{\mu\nu}^{TO} &= \frac{\delta \langle T_{\mu\nu} \rangle}{\delta J^{(0)}}, \\ \Gamma_{\alpha\beta}^{OT} &= -\eta_{\alpha\sigma} \eta_{\beta\rho} \frac{\delta \langle \mathcal{O} \rangle}{\delta h_{\sigma\rho}^{(0)}}, & \Gamma^{OO} &= \frac{\delta \langle \mathcal{O} \rangle}{\delta J^{(0)}}. \end{aligned} \tag{3.54}$$

3.3 Ward identities

We have now all the ingredients to derive Ward identities. Let us start with the usual Ward identities for the conservation and the trace of the energy-momentum tensor. When we compute the solutions we find that not all the coefficients $G_{\mu\nu}^T$ are independent. They satisfy a linear relation, that in terms of the one-point functions becomes the trace Ward identity

$$\eta^{\mu\nu}\delta\langle T_{\mu\nu}\rangle - h^{(0)\mu\nu}\langle T_{\mu\nu}\rangle_T = (\Delta - 3)\left[\delta J^{(0)}\langle\mathcal{O}\rangle + J^{(0)}\delta\langle\mathcal{O}\rangle\right]. \quad (3.55)$$

Where $\langle T_{\mu\nu}\rangle_T$ is the thermal energy-momentum tensor determined in (3.5).

The momentum constraint equations give two more Ward identities related to the conservation of the energy-momentum tensor

$$\begin{aligned} 0 &= \omega\delta\langle T_{00}\rangle + k\delta\langle T_{10}\rangle + \varepsilon(\omega h_{00}^{(0)} + kh_{01}^{(0)}) + \frac{\varepsilon + P}{2}\omega(h_{11}^{(0)} + h_{22}^{(0)}) - \omega\delta J^{(0)}\langle\mathcal{O}\rangle, \\ 0 &= \omega\delta\langle T_{01}\rangle + k\delta\langle T_{11}\rangle - P(\omega h_{01}^{(0)} + kh_{11}^{(0)}) - \frac{\varepsilon + P}{2}kh_{00}^{(0)} + k\delta J^{(0)}\langle\mathcal{O}\rangle. \end{aligned} \quad (3.56)$$

These are consistent with the covariant form of the Ward identity expanded to linear order

$$\partial_\mu\left(\delta\langle T^\mu_\nu\rangle - h^{(0)\mu\alpha}\langle T_{\alpha\nu}\rangle_T\right) + \Gamma_{\mu\alpha}^{(0)\mu}\langle T^\alpha_\nu\rangle_T - \Gamma_{\mu\nu}^{(0)\alpha}\langle T^\mu_\alpha\rangle_T = -\partial_\mu\delta J^{(0)}\langle\mathcal{O}\rangle. \quad (3.57)$$

In order to derive a generalized Ward identity for the scalar modes we can use the same argument we used for the shear modes. The current evaluated at the horizon has a contribution $[J_H]_{\text{odd}}$ odd under $k \rightarrow -k$. Since the current is constant in the radial direction $J' = 0$, the current evaluated at the boundary must have the same property. This gives the conditions

$$[\mathcal{G}]_{\text{odd}} = \frac{\delta^2}{\delta H^\dagger \delta H}[J_H]_{\text{odd}}. \quad (3.58)$$

Where \mathcal{G} was defined in (3.42).

We use the basis of fluctuations y_i to compute the current, but then we change to the usual basis of metric and scalar fluctuations $h_{\mu\nu}$, $\delta\phi$ to extract \mathcal{G} and derive the Ward identities. The map between the leading order terms is

$$\begin{aligned} y_1^{(0)} &= \frac{1}{2}\left(h_{11}^{(0)} + h_{22}^{(0)} + h_{00}^{(0)}\right), & y_2^{(0)} &= \frac{1}{2}\left(h_{11}^{(0)} + h_{22}^{(0)} - h_{00}^{(0)}\right), & y_3^{(3-\Delta)} &= \delta\lambda, \\ y_4^{(0)} &= \frac{1}{2}\left(h_{11}^{(0)} - h_{22}^{(0)}\right), & y_5^{(0)} &= h_{01}^{(0)}. \end{aligned} \quad (3.59)$$

If we turn on only the parity odd source $y_5^{(0)}$, then $[J_H]_{\text{odd}} = 0$ and the Ward identity is simply

$$\left[\Gamma_{0101}^{TT} - \bar{\Gamma}_{0101}^{TT}\right]_{\text{odd}} = 0. \quad (3.60)$$

If we turn on only the parity even sources, we get a quite complicated expression. It becomes somewhat simpler if we impose on the source the tracelessness condition $\eta^{\mu\nu}h_{\mu\nu}^{(0)} = 0 \Rightarrow y_2^{(0)} = 0$ and set the source for the scalar field to zero $y_3^{(3-\Delta)} = 0$, but it does not lead to any expression that relates to the Ward identity we are interested in.

We have to allow for both parity odd and parity even sources. We find the following condition

$$\left[\Gamma_{1101}^{TT} + \bar{\Gamma}_{0111}^{TT} \right]_{\text{odd}} = -W_{\text{odd}}, \quad (3.61)$$

where the term that appears on the right hand side is schematically

$$W_{\text{odd}} = -\alpha_J \frac{\delta^2 [J_H]_{\text{odd}}}{\delta \bar{h}_{01}^{(0)} \delta h_{11}^{(0)}} + \lambda \left[\alpha_{01} \Gamma_{01}^{OT} + \alpha_{00} \Gamma_{00}^{OT} \right]_{\text{odd}} + \alpha k \omega (\omega^2 - k^2)^2. \quad (3.62)$$

α_{01} is a constant and α_{00} depends on the pressure and the expectation value of the scalar operator. The coefficients α_J and α are dimensionful constants determined by the overall factors that appear in the definition of the correlators Γ when we compute them using holographic renormalization.

If we multiply by k this equation and use the Ward identity (2.31) (with the source for the scalar fluctuation set to zero), such that $k\Gamma_{1101}^{TT} = -\omega\Gamma_{0101}^{TT} + \omega P$, then

$$\left[k\bar{\Gamma}_{0111}^{TT} - \omega\Gamma_{0101}^{TT} \right]_{\text{even}} = -\omega P - kW_{\text{odd}}. \quad (3.63)$$

Multiplying by ω and using (2.31), such that $\omega\bar{\Gamma}_{0111}^{TT} = -k\bar{\Gamma}_{1111}^{TT} + kP$, we obtain the expected form of the Ward identity

$$\left[\omega^2\Gamma_{0101}^{TT} + k^2\bar{\Gamma}_{1111}^{TT} \right]_{\text{even}} = (\omega^2 + k^2)P + k\omega W_{\text{odd}}. \quad (3.64)$$

This establishes a relation between the momentum or thermal conductivity and the bulk viscosity. However, in contrast to the identity for the shear, we do not know how to completely determine the relation without first solving the equations for the fluctuations.

4 Discussion

In order to derive relations of the form (1.4) in holography we have constructed a probability current J from linear fluctuations of the metric and a scalar field in an asymptotically AdS spacetime. This current is independent of the radial coordinate and invariant under parity. Using these properties and comparing the value of the current at the AdS boundary and at the horizon, we found the Ward identities (2.35) and (3.64)

$$\left[\omega^2\Gamma_{0202} + k^2\bar{\Gamma}_{1212} \right]_{\text{even}} = 0, \quad \left[\omega^2\Gamma_{0101}^{TT} + k^2\bar{\Gamma}_{1111}^{TT} \right]_{\text{even}} = (\omega^2 + k^2)P + k\omega W_{\text{odd}}. \quad (4.1)$$

An expression for W_{odd} is given in (3.62). In order to derive the second identity we had to introduce auxiliary fields that allowed us to construct a constant probability current. This introduces an ambiguity, we can choose arbitrarily the boundary conditions of the auxiliary fields. We impose the same boundary conditions for the original fluctuations and the auxiliary fields at the AdS boundary, so the current is completely determined by the solutions to the original fluctuations.

We can define the real part of the momentum conductivity κ and the shear and bulk viscosities η , ζ from the Kubo formulas⁶

$$\begin{aligned}\kappa_{ij} &= -\frac{1}{\omega} \text{Im} \Gamma_{0i0j}(\omega, k), \\ \eta &= -\frac{1}{\omega} \text{Im} \Gamma_{1212}(\omega, k), \\ \eta + \zeta &= -\frac{1}{\omega} \text{Im} \Gamma_{1111}(\omega, k).\end{aligned}\tag{4.2}$$

For low momentum k , we can expand each of the transport coefficients in powers of k

$$\kappa_{ij} \simeq \kappa_{ij}^{(0)} + (k^2 \delta^{ij} - k^i k^j) \kappa_T^{(2)} + k^i k^j \kappa_L^{(2)} + \dots, \quad \eta = \eta^{(0)} + O(k^2), \quad \zeta = \zeta^{(0)} + O(k^2).\tag{4.3}$$

We can also expand $W_{\text{odd}} \simeq kW_{\text{odd}}^{(1)} + \dots$. From the Ward identities we get the relations

$$\kappa_T^{(2)} = \frac{1}{\omega^2} \eta^{(0)}, \quad \kappa_L^{(2)} = \frac{1}{\omega^2} \left(\eta^{(0)} + \zeta^{(0)} - \text{Im} W_{\text{odd}}^{(1)} \right).\tag{4.4}$$

The first relation between the transverse component of the conductivity and the shear viscosity agree with field theory results. The second relation between the longitudinal conductivity and the bulk viscosity has the right structure, but we do not know from general arguments what is the contribution from W_{odd} . In general, W_{odd} is an asymmetry in the mixed correlators of momentum and stress. From (3.61)

$$\text{Im} W_{\text{odd}} = [\text{Im} \Gamma_{0111} - \text{Im} \Gamma_{1101}]_{\text{odd}}.\tag{4.5}$$

A naïve comparison with the Ward identity (2.23) at zero magnetic field in [13] would fix $\text{Im} W_{\text{odd}}^{(1)} = 0$. Although this probably holds in the holographic model, the correlators computed using holographic renormalization can differ by contact terms from the correlators that enter in the Ward identity in [13], so there might be additional contributions. It would be interesting to look for a general argument that fixes the asymmetry in holographic models.

In the calculation using the probability current W_{odd} contains two kind of contributions, one is coming from the evaluation of the probability current at the boundary and it is ambiguous because the probability current we have constructed depends on auxiliary fields whose boundary conditions can be fixed in different ways. The second kind of contribution depends on the value of the current at the horizon and it cannot be determined without explicitly solving the equations of motion. Since the correlators Γ are defined only in terms of the original fluctuations, the horizon and boundary ambiguities should cancel each other, but we cannot determine completely the Ward identity from parity invariance of the current alone. The situation is somewhat improved when only parity even or parity odd sources are turned on, in this case there are no spurious contributions from the horizon.

Even if we focus on the identity for the transverse component (2.35) our derivation of the Ward identity is not complete, it is restricted to terms that are even in momentum in the correlators. In principle we do not expect odd terms appearing in this identity when parity

⁶We expand the viscosity tensor as $\eta^{ijkl} = \eta(\delta^{ik} \delta^{jl} + \delta^{il} \delta^{jk} - \delta^{ij} \delta^{kl}) + \zeta \delta^{ij} \delta^{kl}$.

is not broken, but the argument we used for the even terms does not apply to odd terms. This suggests that there must be a different, more general, derivation of the Ward identities.

A natural generalization of this work would be to derive similar Ward identities in holographic models with broken parity, in particular the relation between Hall viscosity and Hall conductivity. This is a direction that has not been explored much, even though there are a large variety of models that exhibit a non-zero Hall conductivity: dyonic black holes [18, 31–35], D-brane intersections of different types [36–40] and others [41, 42]. However, the value of the Hall viscosity has been determined in a different class of holographic models dual to parity breaking superfluids [43–46]. It would be interesting to check if and when the models that have a Hall conductivity also have a Hall viscosity, since this is mostly the case in Quantum Hall systems and other topological states in condensed matter.

Besides the use we have made of it, the probability current might prove to be useful for other tasks. A possible application is to compute the spectrum of normalizable modes, as are for instance quasinormal modes in a black hole geometry. Let us consider a system with n coupled fluctuations y_i , $i = 1, \dots, n$ and the related auxiliary fields η_i . The expansion close to the AdS boundary will include the leading terms of the non-normalizable $y_i^{(d-\Delta_i)}$ (sources) and normalizable $y_i^{(\Delta_i)}$ (vev) solutions of the fluctuations, and similar terms appear in the auxiliary fields (even though the leading terms might be different due to mixing)

$$y_i \simeq y_i^{(d-\Delta_i)} e^{-(d-\Delta_i)r} + y_i^{(\Delta_i)} e^{-\Delta_i r}, \quad \eta_i \simeq \eta_i^{(d-\Delta_i)} e^{-(d-\Delta_i)r} + \eta_i^{(\Delta_i)} e^{-\Delta_i r} + \dots \quad (4.6)$$

If the sources are zero $y_i^{(d-\Delta_i)} = \eta_i^{(d-\Delta_i)} = 0$, the probability current will vanish. This will be independent of the value of the auxiliary fields at the horizon. The solutions for auxiliary fields can be computed by shooting from the AdS boundary with normalizable boundary conditions and do not have to satisfy any regularity conditions at the horizon. We will have n independent solutions that we can construct by imposing $\eta^{(\Delta_i)} = 0$ for all modes but one. Then, the condition that the probability current is zero at the horizon for each case will lead to n linear equations for the values of the fluctuations at the horizon y_i^H . In order to have a non-trivial solution the system must be degenerate, which will give a condition on the spectrum. This method is somewhat similar to the determinant method of [26], but there the system of linear equations is found by evaluating the solutions y_i with ingoing boundary conditions at a cutoff close to the boundary.

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A Holographic renormalization

In order to compute expectation values and correlation functions of operators in the field theory dual, we follow the holographic renormalization prescription [14, 15]. We will write

the metric as

$$ds^2 = dr^2 + g_{\mu\nu} dx^\mu dx^\nu. \quad (\text{A.1})$$

The metric asymptotes an AdS space of radius L , $g_{\mu\nu} \sim e^{2r/L}$ when $r \rightarrow \infty$.

We can obtain the one-point functions of the scalar operator and the energy-momentum tensor by taking variation of the action with respect to the metric and the scalar field. Since the action is divergent we need to regularize it, this can be done by introducing a radial cutoff r_Λ . In order to have a well-defined variation with respect to the metric we have to add a Gibbons-Hawking term at the cutoff

$$S = \frac{1}{16\pi G_N} \int d^4x \sqrt{-g} (R - (\partial\phi)^2 - 2V(\phi)) + \frac{1}{8\pi G_N} \int_{r=r_\Lambda} d^3x \sqrt{-g} K. \quad (\text{A.2})$$

Where $K = g^{\mu\nu} K_{\mu\nu}$ and $K_{\mu\nu}$ is the extrinsic curvature on radial slices

$$K_{\mu\nu} = \frac{1}{2} \partial_r g_{\mu\nu}. \quad (\text{A.3})$$

The variation of the on-shell bulk action plus Gibbons-Hawking term is

$$\delta S_{\text{on-shell}} = \frac{1}{16\pi G_N} \int_{r=r_\Lambda} d^3x \sqrt{-g} [(K_{\mu\nu} - g_{\mu\nu} K) \delta g^{\mu\nu} - 2\partial_r \phi \delta \phi]. \quad (\text{A.4})$$

The on-shell action has divergent terms when $r_\Lambda \rightarrow \infty$. They can be removed by adding a counterterm action at the cutoff

$$S_{c.t.} = \frac{L}{8\pi G_N} \int_{r=r_\Lambda} d^3x \sqrt{-g} \left(-\frac{2}{L^2} + \frac{\Delta-3}{2L^2} \phi^2 - \frac{1}{2} \hat{R} \right), \quad (\text{A.5})$$

where \hat{R} is the Ricci scalar of the induced metric on the radial slice. The variation of the sources for the dual operators $\delta g^{(0)\mu\nu}$, $\delta J^{(0)}$ are identified as

$$\delta g^{\mu\nu} = e^{-2r/L} \delta g^{(0)\mu\nu}, \quad \delta \phi = \mu^{\Delta-3} \delta J^{(0)} e^{-(3-\Delta)r/L}. \quad (\text{A.6})$$

The one-point functions are computed from the variation of the action with respect to the sources

$$\langle T_{\mu\nu} \rangle = - \lim_{r_\Lambda \rightarrow \infty} \frac{2}{\sqrt{-g^{(0)}}} \frac{\delta S}{\delta g^{(0)\mu\nu}}, \quad \langle \mathcal{O} \rangle = - \lim_{r_\Lambda \rightarrow \infty} \frac{1}{\sqrt{-g^{(0)}}} \frac{\delta S}{\delta J^{(0)}}. \quad (\text{A.7})$$

The finite one-point functions are defined as

$$\begin{aligned} \langle T_{\mu\nu} \rangle &= - \lim_{r_\Lambda \rightarrow \infty} \frac{1}{8\pi G_N} e^{-2r_\Lambda/L} \frac{\sqrt{-g}}{\sqrt{-g^{(0)}}} \times \\ &\quad \times \left[K_{\mu\nu} - g_{\mu\nu} K + \frac{1}{L} g_{\mu\nu} \left(2 - \frac{\Delta-3}{2} \phi^2 \right) - L \left(\hat{R}_{\mu\nu} - \frac{1}{2} g_{\mu\nu} \hat{R} \right) \right]_{r=r_\Lambda}, \\ \langle \mathcal{O} \rangle &= \lim_{r_\Lambda \rightarrow \infty} \frac{\mu^{\Delta-3}}{8\pi G_N} e^{-(3-\Delta)r_\Lambda/L} \frac{\sqrt{-g}}{\sqrt{-g^{(0)}}} \left[\partial_r \phi - \frac{\Delta-3}{L} \phi \right]_{r=r_\Lambda}. \end{aligned}$$

B Equations of motion

In this appendix, we write down the equations of motion derived from the action (2.1). In principle, we are not interested on the full solution of neither the metric functions A, B , nor of the scalar field ϕ , since the form of the asymptotic expansions suffices in this work. The same applies to the fluctuations $\{y_i\}, \{\eta_i\}, i = 1, \dots, 5$.

B.1 Background equations

For the black brane background (3.3) plus scalar field $\phi = \phi_0(r)$ coupled to gravity, in absence of fluctuations the background equations of motion read (the r dependence is implicit)

$$0 = \phi_0' [3A' + B'] - V'(\phi_0) + \phi_0'', \quad (\text{B.1})$$

$$0 = A'' - A'B' + \frac{1}{2}\phi_0'^2, \quad (\text{B.2})$$

$$0 = B' [3A' + B'] + B'', \quad (\text{B.3})$$

$$V(\phi_0) = \frac{1}{2}\phi_0'^2 - A' [2B' + 3A'], \quad (\text{B.4})$$

where the constraint was employed to set the potential as a function of the derivatives of A, B and ϕ_0 alone.⁷ Although we have freedom to choose the potential V , for the sake of simplicity we will restrict ourselves to a quadratic potential on the field ϕ , i.e., $V(\phi) \propto \phi^2$. This choice does not affect the results but simplifies somewhat the formulas.

B.2 Fluctuation equations

The equations of fluctuations are

$$y_i'' + a_{ij} y_j' + b_{ij} y_j = 0, \quad c_i^a y_i' + d_i^a y_i = 0, \quad a = 1, 2, 3. \quad (\text{B.5})$$

Where the coefficients of the dynamical equations are

$$a_{ij} = \begin{pmatrix} B' & e^{-\frac{3A}{2}}(A' - B') & & & & \\ & 2B' & & & & \\ & e^{-\frac{3A}{2}}\phi_0' & B' & & & \\ & & & B' & & \\ & & & & B' & \\ & & & & & 3B' \end{pmatrix}, \quad (\text{B.6})$$

$$b_{12} = -e^{-\frac{7}{2}A} \left[\frac{k^2}{2} + 3e^{2A} A' (A' - B') \right], \quad b_{13} = V'(\phi_0),$$

$$b_{14} = e^{-2A} k^2, \quad b_{21} = e^{-\frac{A}{2}} \left(\frac{k^2}{2} + e^{-2B} \omega^2 \right),$$

$$b_{23} = 3e^{\frac{3A}{2}} V'(\phi_0), \quad b_{24} = e^{-\frac{A}{2}} k^2,$$

⁷The prime will denote derivative with respect to the radial coordinate, except for the potential $V(\phi_0)$ where it denotes derivative with respect to the field ϕ_0 .

$$\begin{aligned}
 b_{25} &= 2e^{-\frac{A}{2}}k\omega, & b_{32} &= -3e^{-\frac{3A}{2}}A'\phi'_0, \\
 b_{41} &= \frac{k^2}{2}e^{-2A}, & b_{42} &= -\frac{k^2}{2}e^{-\frac{7A}{2}}, \\
 b_{45} &= e^{-2A}k\omega, & b_{51} &= \frac{1}{2}e^{-2(A+B)}k\omega, \\
 b_{52} &= \frac{1}{2}e^{-\frac{7A}{2}-2B}k\omega, & b_{54} &= -e^{-2(A+B)}k\omega,
 \end{aligned}$$

$$\begin{aligned}
 b_{11} &= -\frac{1}{2}e^{-2A}k^2 + \frac{3}{4}[\phi_0'^2 - A'(3A' + 4B')], \\
 b_{22} &= \frac{3}{2}\phi_0'^2 + e^{-2A}\left(e^{-2B}\omega^2 - \frac{3k^2}{2}\right) - 9A'(A' + B'), \\
 b_{33} &= -3A'\left(B' + \frac{3}{4}A'\right) + \frac{3}{4}\phi_0'^2 + e^{-2A}(e^{-2B}\omega^2 - k^2) - V''(\phi_0), \\
 b_{44} &= e^{-2(A+B)}\omega^2 + \frac{3}{4}\phi_0'^2 - 3A'\left(B' + \frac{3}{4}A'\right), \\
 b_{55} &= \frac{3}{4}[\phi_0'^2 - A'(3A' + 8B')].
 \end{aligned}$$

The coefficients of the constraints are

$$c_i^1 = -\frac{\omega}{2} \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \\ \frac{k}{\omega}e^{2B(r)} \end{pmatrix}, \quad c_i^2 = -\frac{k}{4} \begin{pmatrix} 1 \\ -3 \\ 0 \\ 2 \\ \frac{2\omega}{k} \end{pmatrix}, \quad c_i^3 = \begin{pmatrix} \frac{B'}{2} \\ \frac{1}{2}(4A' + B') \\ -\phi_0' \\ 0 \\ 0 \end{pmatrix}, \quad (\text{B.7})$$

and

$$d_i^1 = \frac{\omega}{2} \begin{pmatrix} B'(r) \\ B'(r) \\ -2\omega\phi_0' \\ 0 \\ 0 \end{pmatrix}, \quad d_i^2 = \frac{k}{2} \begin{pmatrix} -B' \\ B' \\ 2\phi_0' \\ 0 \\ -\frac{2\omega}{k}B' \end{pmatrix}, \quad d_i^3 = 4e^{-2A} \begin{pmatrix} 2\omega^2e^{-2B} + k^2 \\ 2\omega^2e^{-2B} - 3k^2 \\ 4e^{2A}V'(\phi) \\ 2k^2 \\ k\omega \end{pmatrix}. \quad (\text{B.8})$$

B.3 Coefficients in the boundary current

The current evaluated at the boundary has the form

$$J_B = H^\dagger \mathcal{C} H + H^\dagger \mathcal{D} T - T^\dagger \mathcal{D}^\dagger H, \quad (\text{B.9})$$

where $\mathcal{C}^\dagger = -\mathcal{C}$. The non-zero coefficients of \mathcal{C} are

$$\begin{aligned}
 \mathcal{C}_{12} &= -\mathcal{C}_{21} = \frac{1}{64}(k^2 + 2\omega^2)(\omega^2 - k^2)^2 + \frac{13}{6}B_0 - \frac{1}{2}\Delta(\Delta - 3)\lambda v, \\
 \mathcal{C}_{13} &= -\mathcal{C}_{31} = -\frac{1}{3}\Delta(\Delta - 3)v, \\
 \mathcal{C}_{23} &= -\mathcal{C}_{32} = -\frac{\Delta(2\Delta - 9)}{2\Delta + 3}v + \frac{3}{4}\Delta(2\Delta - 7)B_0v - \frac{1}{32}(\Delta(2\Delta - 3)^2 - 81)\lambda v^2, \\
 \mathcal{C}_{24} &= -\mathcal{C}_{42} = -\frac{1}{32}k^2(\omega^2 - k^2)^2, \\
 \mathcal{C}_{25} &= -\mathcal{C}_{52} = -\frac{1}{16}k\omega(\omega^2 - k^2)^2.
 \end{aligned} \quad (\text{B.10})$$

The non-zero components of \mathcal{D} are

$$\begin{aligned}
 2\mathcal{D}_{11} &= \mathcal{D}_{22} = 2\mathcal{D}_{44} = 2\mathcal{D}_{55} = -4\mathcal{D}_{21} = 12, \\
 \mathcal{D}_{33} &= 2(2\Delta - 3), \\
 \mathcal{D}_{13} &= -\frac{1}{3}\Delta(\Delta - 3)\lambda, \\
 \mathcal{D}_{23} &= \frac{3}{4}(\Delta - 3)(2\Delta + 1)\lambda B_0 + \frac{(\Delta - 3)(2\Delta + 2)}{2\Delta - 9}\lambda + \frac{1}{32}(\Delta(2\Delta - 3)(2\Delta - 9) + 18(\Delta + 3))\lambda^2 v.
 \end{aligned} \tag{B.11}$$

B.4 Coefficients in constraints

The components of \mathcal{C}^a and \mathcal{D}^a are

$$\begin{aligned}
 \mathcal{C}_i^1 &= -\omega \left\{ \frac{1}{6}[3B_0 + (\Delta - 3)\Delta\lambda v], B_0, -\frac{\Delta v}{3}(\Delta - 1), 0, \frac{k}{6\omega}[(\Delta - 3)\Delta\lambda v - 3B_0] \right\}, \\
 \mathcal{D}_i^1 &= \left\{ \omega, 0, \frac{1}{3}(\Delta - 3)(\Delta - 2)\lambda\omega, 0, k \right\}, \\
 \mathcal{C}_i^2 &= -k \left\{ \frac{1}{12}[(\Delta - 3)\Delta\lambda v - 15B_0], B_0, \frac{1}{6}\Delta(3\Delta - 5)v, \right. \\
 &\quad \left. \frac{1}{6}[(\Delta - 3)\Delta\lambda v - 3B_0], \frac{\omega}{6k}[(\Delta - 3)\Delta\lambda v - 15B_0] \right\}, \\
 \mathcal{D}_i^2 &= \left\{ \frac{k}{2}, 0, -\frac{1}{6}(\Delta - 3)(3\Delta - 4)k\lambda, k, \omega \right\}, \\
 \mathcal{C}_1^3 &= B_0^2(k^2 + \omega^2), \quad \mathcal{C}_4^3 = \frac{1}{6}B_0k^2(3B_0 - (\Delta - 3)\Delta\lambda v), \quad \mathcal{C}_5^3 = 2B_0^2k\omega, \\
 \mathcal{C}_2^3 &= \frac{B_0}{2(\Delta - 3)\Delta\lambda v(k^2 - 2\omega^2)} \left[2 + \frac{3B_0(5\omega^2 - 4k^2)}{(\Delta - 3)\Delta\lambda v(k^2 - 2\omega^2)} - \frac{(2(\Delta - 3)\Delta + 9)(8(\Delta - 3)\Delta - 9)\lambda v}{48B_0(\Delta - 3)\Delta} \right], \\
 \mathcal{C}_3^3 &= -\frac{v}{3} \left\{ \frac{2B_0\Delta[(2\Delta - 5)\omega^2 + k^2]}{(k^2 - 2\omega^2)} + \frac{1}{72}(2(\Delta - 3)\Delta + 9)(8(\Delta - 3)\Delta - 9)\lambda v \right\}, \\
 \mathcal{D}_{1,5}^3 &= 0, \quad \mathcal{D}_2^3 = \frac{4}{3}(k^2 - 2\omega^2), \quad \mathcal{D}_4^3 = B_0k^2, \\
 \mathcal{D}_3^3 &= \frac{1}{3}(\Delta - 3)\lambda(k^2 - 2\omega^2) \left\{ \frac{2B_0[(1 - 2\Delta)\omega^2 + k^2]}{k^2 - 2\omega^2} - \frac{(2(\Delta - 3)\Delta + 9)(8(\Delta - 3)\Delta - 9)\lambda v}{72(\Delta - 3)} \right\}.
 \end{aligned}$$

C Series expansions

In this appendix, we will detail the form of the on-shell series expansions which have been used in this work, both for the background functions and for the (original-auxiliary) fluctuations.

C.1 Background at the boundary

Since AdS is an asymptotic fixed point when $r \rightarrow \infty$, we must impose that at leading order $A \sim r, B \sim 0, \phi_0 \sim 0$ at the boundary. We express the subleading contribution as the sums

$$\tilde{A}(r) \sim \sum_{n,m} a_{(n,m)} e^{-(n+m\Delta)r}, \tag{C.1}$$

$$B(r) \sim \sum_{n,m} b_{(n,m)} e^{-(n-\Delta)r}, \quad (\text{C.2})$$

$$\phi_0(r) \sim \sum_{n,m} \phi_{(n,m)} e^{-(3n+\Delta m)r} \quad (\text{C.3})$$

where $A(r) = r + \tilde{A}(r)$. $a, b, \phi_{(n,m)}$ are real-valued coefficients and $\phi_{(1,-1)} = \lambda$, $\phi_{(0,1)} = v$, $b_{(1,0)} = B_0$. Combining (C.1)–(C.3) with (B.1)–(B.5) we find

$$\tilde{A}(r) = a_{(2,-2)} e^{-2(3r-\Delta)r} + a_{(1,0)} e^{-3r} + a_{(0,2)} e^{-2\Delta r} + \dots, \quad (\text{C.4})$$

$$B(r) = B_0 e^{-3r} + b_{(3,-2)} e^{-(9-2\Delta)r} + b_{(2,0)} e^{-6r} + b_{(1,2)} e^{-(3+2\Delta)r} + \dots, \quad (\text{C.5})$$

$$\begin{aligned} \phi_0(r) = & \lambda e^{-(3-\Delta)r} + v e^{-\Delta r} + \phi_{(3,-3)} e^{-3(3-\Delta)r} + \phi_{(2,-1)} e^{-(6-\Delta)r} + \\ & + \phi_{(1,1)} e^{-(3+\Delta)r} + \phi_{(0,3)} e^{-3\Delta r} + \dots, \end{aligned} \quad (\text{C.6})$$

$$\begin{aligned} a_{(2,-2)} &= -\frac{\lambda^2}{8}, & a_{(1,0)} &= \frac{1}{9} [(\Delta-3)\Delta\lambda v - 3B_0], \\ a_{(0,2)} &= -\frac{v^2}{8}, & b_{(3,-2)} &= \frac{9B_0\lambda^2}{72-16\Delta}, \\ b_{(2,0)} &= -\frac{1}{6} B_0(\Delta-3)\Delta\lambda v, & b_{(1,2)} &= \frac{9B_0v^2}{8(2\Delta+3)}, \\ \phi_{(3,-3)} &= \frac{3(\Delta-3)\lambda^3}{8(4\Delta-9)}, & \phi_{(2,-1)} &= \frac{\Delta(4\Delta-15)\lambda^2 v}{24}, \\ \phi_{(1,1)} &= -\frac{\lambda v^2}{24}(\Delta-3)(4\Delta+3), & \phi_{(0,3)} &= \frac{3\Delta v^3}{8(4\Delta-3)}, \end{aligned} \quad (\text{C.7})$$

with $V(\phi) \sim \Delta(\Delta-3)\phi^2$.

C.2 Background at the horizon

As it was stated in section 3.1.2, we impose regularity of each of the background functions A, ϕ_0 but B , which diverges logarithmically at the horizon

$$A(r) = \sum_{n=0} A_H^{(n)} (r-r_H)^n, \quad B(r) = \log(r-r_H) + \sum_{n=0} B_H^{(n)} (r-r_H)^n, \quad \phi_0(r) = \sum_{n=0} \phi_H^{(n)} (r-r_H)^n. \quad (\text{C.8})$$

Plugging these expansions into the equations of motion,

$$A(r) = A_H + (r-r_H)^2 A_H^{(2)} + (r-r_H)^4 A_H^{(4)} + (r-r_H)^6 A_H^{(6)} + \dots, \quad (\text{C.9})$$

$$\begin{aligned} B(r) = & \log(r-r_H) + B_H + (r-r_H)^2 B_H^{(2)} + (r-r_H)^4 B_H^{(4)} + \\ & + (r-r_H)^6 B_H^{(6)} + \dots, \end{aligned} \quad (\text{C.10})$$

$$\phi_0(r) = \phi_H + (r-r_H)^2 \phi_H^{(2)} + (r-r_H)^4 \phi_H^{(4)} + (r-r_H)^6 \phi_H^{(6)} + \dots, \quad (\text{C.11})$$

up to $\mathcal{O}(r-r_H)^7$, $A_H^{(0)} = A_H$, $B_H^{(0)} = B_H$, $\phi_H^{(0)} = \phi_H$ in eq.(3.43) and

$$A^{(4)} = -\left(\frac{1}{2}A_H^{(2)2} + \frac{1}{64}V'(\phi_H)^2\right), \quad A_H^{(6)} = \frac{3}{160}V'(\phi_H)^2 A_H^{(2)} + \frac{2}{5}A_H^{(2)3} - \frac{1}{768}V'(\phi_H)^2 V''(\phi_H),$$

$$\begin{aligned}
 B_H^{(2)} &= -A_H^{(2)}, & B_H^{(4)} &= \frac{7}{10}A_H^{(2)2} + \frac{3}{320}V'(\phi_H)^2, \\
 \phi_H^{(2)} &= \frac{1}{4}V'(\phi_H), & \phi_H^{(4)} &= \frac{1}{64}V'(\phi_H)\left(V''(\phi_H) - 8A_H^{(2)}\right),
 \end{aligned}$$

$$\begin{aligned}
 B_H^{(6)} &= -\frac{3}{160}V'(\phi_H)^2A_H^{(2)} - \frac{62}{105}A_H^{(2)3} + \frac{V'(\phi_H)^2V''(\phi_H)}{1792}, \\
 \phi_H^{(6)} &= V'(\phi_H)\left(\frac{1}{10}A_H^{(2)2} - \frac{1}{96}V''(\phi_H)A_H^{(2)} + \frac{1}{480}V'(\phi_H)^2 + \frac{V''(\phi_H)^2}{2304}\right). \quad (\text{C.12})
 \end{aligned}$$

C.3 Matrix K

Near the horizon, since $e^B \sim (r - r_H)$, we take

$$\begin{aligned}
 K_{11,33,44} &= \sum_{n=0} \widehat{K}_{11,33,44}^{(n)}(r - r_H)^{2n+1}, & K_{22} &= \sum_{n=0} \widehat{K}_{22}^{(n)}(r - r_H)^{2n+2}, \\
 K_{55} &= \sum_{n=0} \widehat{K}_{55}^{(n)}(r - r_H)^{2n+3}, & K_{12} &= \sum_{n=0} \widehat{K}_{12}^{(n)}(r - r_H)^{2n+1} + \widehat{K}_{12}^{(n,1)}(r - r_H)^{2n+2}, \\
 K_{32}^H &= \sum_{n=0} \widehat{K}_{32}^{(n)}(r - r_H)^{2n+2} + \widehat{K}_{32}^{(n,1)}(r - r_H)^{2n+3},
 \end{aligned}$$

Truncating these expansions at fair enough order,

$$\begin{aligned}
 K_{11} &\sim (r - r_H)K_{11}^H + (r - r_H)^3\widehat{K}_{11}^{(1)} + (r - r_H)^5\widehat{K}_{11}^{(2)} + \dots, \\
 K_{12} &\sim (r - r_H)K_{12}^H + (r - r_H)^2\widehat{K}_{12}^{(0,1)} + (r - r_H)^3\widehat{K}_{12}^{(1)} + (r - r_H)^4\widehat{K}_{12}^{(1,1)} + (r - r_H)^6\widehat{K}_{12}^{(2,1)} \dots, \\
 K_{22} &\sim (r - r_H)^2K_{22}^H + (r - r_H)^4\widehat{K}_{22}^{(1)} + (r - r_H)^6\widehat{K}_{22}^{(2)} + \dots, \\
 K_{32} &\sim (r - r_H)^2K_{32}^H + (r - r_H)^3\widehat{K}_{32}^{(0,1)} + (r - r_H)^4\widehat{K}_{32}^{(1)} + (r - r_H)^5\widehat{K}_{32}^{(1,1)} + (r - r_H)^6\widehat{K}_{32}^{(2)} \dots, \\
 K_{33} &\sim (r - r_H)K_{33}^H + (r - r_H)^3\widehat{K}_{33}^{(1)} + (r - r_H)^5\widehat{K}_{33}^{(2)} + \dots, \\
 K_{44} &\sim (r - r_H)K_{44}^H + (r - r_H)^3\widehat{K}_{44}^{(1)} + (r - r_H)^5\widehat{K}_{44}^{(2)} + \dots, \\
 K_{55} &\sim (r - r_H)^3K_{55}^H + (r - r_H)^5\widehat{K}_{55}^{(2)} + \dots,
 \end{aligned}$$

with

$$\begin{aligned}
 \widehat{K}_{11}^{(1)} &= -A_H^{(1)}K_{11}^H, & \widehat{K}_{12}^{(1,1)} &= -2A_H^{(1)}\widehat{K}_{12}^{(0,1)}, \\
 \widehat{K}_{12}^{(1)} &= \frac{5}{2}K_{11}^He^{-\frac{3}{2}A_H^{(0)}}A_H^{(1)}, & \widehat{K}_{12}^{(0,1)} &= e^{-\frac{3}{2}A_H^{(0)}}K_{11}^H, \\
 \widehat{K}_{22}^{(1)} &= -2A_H^{(1)}K_{22}^H, & \widehat{K}_{32}^{(1)} &= -2A_H^{(1)}K_{32}^H, \\
 \widehat{K}_{32}^{(0,1)} &= \frac{1}{2}K_{33}^HV'(\phi_H)e^{-\frac{3}{2}A_H^{(0)}}, & \widehat{K}_{33,44}^{(1)} &= -A_H^{(1)}K_{33,44}^H, \\
 \widehat{K}_{32}^{(1,1)} &= \frac{K_{32}^H}{48}V'(\phi_H)e^{-\frac{3A_H^{(1)}}{2}}\left(V''(\phi_H) - 60A_H^{(2)}\right), & \widehat{K}_{55}^{(2)} &= -3A_H^{(1)}K_{55}^H,
 \end{aligned}$$

$$\begin{aligned}
 \frac{\widehat{K}_{22}^{(2)}}{K_{22}^H} &= \frac{\widehat{K}_{12}^{(2,1)}}{K_{12}^H} = \frac{\widehat{K}_{32}^{(2)}}{K_{32}^H} = \frac{1}{160}\left[544A_H^{(1)2} + 3V'(\phi_H)^2\right], \\
 \frac{\widehat{K}_{ii}^{(2)}}{K_{ii}^H} &= \frac{3}{320}\left[128A_H^{(2)2} + V'(\phi_H)^2\right], \quad i = 1, 3, 4. \quad (\text{C.13})
 \end{aligned}$$

up to $\mathcal{O}(r - r_H)^7$. On the other hand, near the boundary,

$$K_{ij}^B = \delta_{ij} + \sum_{n,m} \tilde{K}_{ij}^{(n,m)} e^{-(3n+\Delta m)r}, \quad K_{12,32}^B = e^{-\frac{3r}{2}} \sum_{n,m} \tilde{K}_{12,32}^{(n,m)} e^{-(3n+\Delta m)r}. \quad (\text{C.14})$$

The on-shell series expansions read

$$\begin{aligned} K_{11}^B &= 1 + e^{-3r} \tilde{K}_{11}^{(1,0)} + e^{-(9r-2\Delta)r} \tilde{K}_{11}^{(3,-2)} + e^{-6r} \tilde{K}_{11}^{(2,0)} + e^{-(3r-2\Delta)r} \tilde{K}_{11}^{(1,2)} + \dots, \\ K_{12}^B &= e^{-\frac{3r}{2}} \left[\tilde{K}_{12}^{(0,0)} + e^{-2(3-\Delta)r} \tilde{K}_{12}^{(2,-2)} + e^{-3r} \tilde{K}_{12}^{(1,0)} + e^{-2\Delta r} \tilde{K}_{12}^{(0,2)} + \dots \right], \\ K_{22}^B &= 1 + e^{-3r} \tilde{K}_{22}^{(1,0)} + \dots, \\ K_{32}^B &= e^{-\frac{3r}{2}} \left[e^{-(3-\Delta)r} \tilde{K}_{32}^{(1,-1)} + e^{-\Delta r} \tilde{K}_{32}^{(0,1)} + \dots \right], \\ K_{ii}^B &= 1 + e^{-3r} \tilde{K}_{ii}^{(1,0)} + \dots, \quad i = 3, 4, 5 \end{aligned}$$

with

$$\begin{aligned} \tilde{K}_{11}^{(1,0)} &= B_0, & \tilde{K}_{11}^{(3,-2)} &= \frac{9B_0\lambda^2}{8(9-2\Delta)}, & \tilde{K}_{11}^{(2,0)} &= \frac{1}{6} B_0 [3B_0 - (\Delta-3)\Delta\lambda v], \\ \tilde{K}_{11}^{(1,2)} &= \frac{9B_0v^2}{8(2\Delta+3)}, & \tilde{K}_{11}^{(0,0)} &= -\frac{2}{3}, & \tilde{K}_{12}^{(2,-2)} &= -\frac{\lambda^2}{8}, \\ \tilde{K}_{12}^{(1,0)} &= \frac{1}{9} [(\Delta-3)\Delta\lambda v - 19B_0], & \tilde{K}_{12}^{(0,2)} &= -\frac{v^2}{8}, & \tilde{K}_{22}^{(1,0)} &= 2B_0, \\ \tilde{K}_{32}^{(1,-1)} &= \frac{2(\Delta-3)\lambda}{2\Delta-9}, & \tilde{K}_{32}^{(0,1)} &= \frac{2\Delta v}{2\Delta+3}, & \tilde{K}_{33}^{(1,0)} &= \tilde{K}_{44}^{(1,0)} = \frac{\tilde{K}_{55}^{(1,0)}}{3} = B_0, \end{aligned} \quad (\text{C.15})$$

plus higher order terms.

C.4 Fluctuations at the boundary

The near boundary series expansion of the fluctuations is proposed analogously as for the background functions. However, in this case, in order to capture all possible contributions from backreaction and gravity, we will assume a more complex series expansion than the one considered for a CFT. This time,

$$y_{1,4,5}(r) = e^{\frac{3r}{2}} \sum_{n,m>0} \left(\sum_{l=1}^4 y_{1,4,5}^{(n,m,-l)} e^{-l(3-\Delta)r} + \sum_{l=0}^4 y_{1,4,5}^{(n,m,l)} e^{-l\Delta r} \right) e^{-(2n+\frac{3}{2}m)r}, \quad (\text{C.16})$$

$$y_2(r) = e^{3r} \sum_{n,m>0} \left(y_2^{(n,m,-3)} e^{-3(2-\Delta)r} + \sum_{l=1,2,4} y_2^{(n,m,-l)} e^{-l(3-\Delta)r} + \sum_{l=0}^4 y_2^{(n,m,l)} e^{-l\Delta r} \right) e^{-(2n+\frac{3}{2}m)r}, \quad (\text{C.17})$$

$$y_3(r) = e^{-\frac{3r}{2}} \sum_{n,m>0} \left(y_3^{(n,m,0)} + e^{3r} \sum_{l=1}^4 y_3^{(n,m,-l)} e^{-l(3-\Delta)r} + y_3^{(n,m,l)} e^{-l\Delta r} \right) e^{-(2n+\frac{3}{2}m)r}, \quad (\text{C.18})$$

where the exponential pre-factors are due to the changes of variables (3.9). The non-normalizable modes are identified as $\{y_3^{(0,0,-1)}, y_1^{(0,0,0)}\}$, whereas the normalizable as $\{y_1^{(0,2,0)}, y_2^{(0,4,0)}, y_3^{(0,0,1)}\}$. Up to the non-normalizable mode for each fluctuation,

$$\begin{aligned} y_1 &= e^{\frac{3r}{2}} \left\{ y_1^{(0)} + y_1^{(1)} e^{-2(3-\Delta)r} + y_1^{(2)} e^{-2r} + y_1^{(3)} e^{-3r} + \dots \right\}, \\ y_2 &= e^{3r} \left\{ y_2^{(0)} + y_2^{(2)} e^{-2r} + y_2^{(\Delta)} e^{-2(3-\Delta)r} + y_2^{(3)} e^{-3r} + y_2^{(2\Delta)} e^{-2(4-\Delta)r} + y_2^{(3\Delta)} e^{-2(1+\Delta)r} + \dots \right\} \end{aligned}$$

$$\begin{aligned}
 & + y_2^{(5)} e^{-5r} + y_2^{(4\Delta)} e^{-4(3-\Delta)r} + y_2^{(5\Delta)} e^{-(9-2\Delta)r} + y_2^{(6)} e^{-6r} + \dots \}, \\
 y_3 & = e^{-\frac{3}{2}r} \left\{ y_3^{(3-\Delta)} e^{\Delta r} + y_3^{(\Delta)} e^{-(\Delta-3)r} + \dots \right\}, \\
 y_{4,5} & = e^{\frac{3}{2}r} \left\{ y_{4,5}^{(0)} + y_{4,5}^{(1)} e^{-2r} + y_{4,5}^{(2)} e^{-2(3-\Delta)r} + y_{4,5}^{(3)} e^{-3r} + \dots \right\},
 \end{aligned} \tag{C.19}$$

where we have replaced the (n, m, l) numeration by other suited to the one employed along the work

$$\begin{aligned}
 y_1^{(1)} & = -\frac{\lambda}{16} \left(3\lambda y_1^{(0)} + 4y_3^{(3-\Delta)} \right), \\
 y_1^{(2)} & = -\frac{1}{16} \left[4k\omega y_5^{(0)} + k^2 \left(5y_1^{(0)} - 6y_4^{(0)} + y_2^{(0)} \right) + 2\omega^2 \left(y_1^{(0)} + y_2^{(0)} \right) \right], \\
 y_2^{(2)} & = \frac{1}{16} \left[4k\omega y_5^{(0)} + k^2 \left(y_1^{(0)} + 2y_4^{(0)} - 3y_2^{(0)} \right) + 2\omega^2 \left(y_1^{(0)} + y_2^{(0)} \right) \right], \\
 y_2^{(\Delta)} & = -\frac{3\lambda}{8} \left(2y_3^{(3-\Delta)} + \lambda y_2^{(0)} \right), \\
 y_2^{(3)} & = \frac{1}{3} \left\{ (\Delta-3)\Delta \left[v \left(y_3^{(3-\Delta)} + \lambda y_2^{(0)} \right) + \lambda y_3^{(\Delta)} \right] - 3B_0 y_2^{(0)} \right\}, \\
 y_2^{(2\Delta)} & = \frac{(10-3\Delta)\lambda}{128(\Delta-4)(2\Delta-5)} \left\{ 4\lambda k\omega y_5^{(0)} + k^2 \left[\lambda \left(y_1^{(0)} + 2y_4^{(0)} - 3y_2^{(0)} \right) - 16y_3^{(3-\Delta)} \right] \right. \\
 & \quad \left. + 2\omega^2 \left[\lambda \left(y_1^{(0)} + y_2^{(0)} \right) + 8y_3^{(3-\Delta)} \right] \right\}, \\
 y_2^{(5)} & = \frac{-1}{720\mathcal{G}_1} \left\{ -3B_0\mathcal{G}_1 \left[k^2 \left(13y_1^{(0)} - 3y_2^{(0)} + 26y_4^{(0)} \right) + 52k\omega y_5^{(0)} - 2\omega^2 \left(35y_1^{(0)} + 47y_2^{(0)} \right) \right] \right. \\
 & \quad + 2 \left\{ k^2 \left[(\Delta-3)\Delta \left(3(16(\Delta-3)\Delta + 47)\lambda v y_2^{(0)} + 2\mathcal{G}_2 \lambda v y_4^{(0)} + 72(\Delta-1)(2\Delta-1)v y_3^{(3-\Delta)} \right) \right. \right. \\
 & \quad \left. \left. + 72(\Delta-2)(2\Delta-5)\lambda y_3^{(\Delta)} \right] - 36\mathcal{G}_1 y_1^{(3)} - 72\mathcal{G}_1 y_4^{(3)} \right\} + 4k\omega \left[(\Delta-3)\Delta (\mathcal{G}_2 \lambda v y_5^{(0)} - 36\mathcal{G}_1 y_5^{(3)}) \right] + \\
 & \quad + 2\omega^2 \left[(\Delta-3)\Delta \left(-6(8\Delta^2 - 6\Delta + 1) v y_3^{(3-\Delta)} + (-16(\Delta-3)\Delta - 47)\lambda v y_2^{(0)} \right) \right. \\
 & \quad \left. - 6(8\Delta^2 - 42\Delta + 55) \lambda y_3^{(\Delta)} - 36\mathcal{G}_1 y_1^{(3)} \right\} + 2(\Delta-3)\Delta \mathcal{G}_2 \lambda v y_1^{(0)} (k^2 + 2\omega^2) \left. \right\}, \\
 \mathcal{G}_1 & = 4(\Delta-3)\Delta + 5, \quad \mathcal{G}_2 = 8(\Delta-3)\Delta - 17, \\
 y_2^{(3\Delta)} & = \frac{(3\Delta+1)v}{128(2\Delta^2 + \Delta - 1)} \left\{ k^2 \left[v \left(y_1^{(0)} - 3y_2^{(0)} + 2y_4^{(0)} \right) - 16y_3^{(\Delta)} \right] + 4k\omega y_5^{(0)} \right. \\
 & \quad \left. + 2\omega^2 \left[v \left(y_1^{(0)} + y_2^{(0)} \right) + 8y_3^{(\Delta)} \right] \right\}, \\
 y_2^{(4\Delta)} & = -\frac{3\lambda}{4(2\Delta-9)(4\Delta-9)} \left\{ B_0(9-4\Delta) \left[(2\Delta-9)y_3^{(3-\Delta)} + (\Delta-3)\lambda y_2^{(0)} \right] \right. \\
 & \quad \left. + \Delta(\Delta-3)^2 \lambda \left(3v y_3^{(3-\Delta)} + \lambda v y_2^{(0)} + \lambda y_3^{(\Delta)} \right) \right\}, \\
 y_2^{(5\Delta)} & = \frac{9\Delta\lambda^3(4y_3^{(3-\Delta)} + \lambda y_2^{(0)})}{128(4\Delta-9)}, \quad y_4^{(1)} = \frac{1}{4} \left[k^2 \left(y_1^{(0)} - y_2^{(0)} \right) + 2k\omega y_5^{(0)} + 2\omega^2 y_4^{(0)} \right], \\
 y_{4,5}^{(2)} & = -\frac{3}{16} \lambda^2 y_{4,5}^{(0)}, \quad y_5^{(1)} = \frac{1}{4} k\omega \left(y_1^{(0)} + y_2^{(0)} - 2y_4^{(0)} \right).
 \end{aligned} \tag{C.20}$$

For the auxiliary fields $\{\eta_i\}$, we write

$$\begin{aligned}
 \eta_{1,4,5} & = e^{\frac{5}{2}r} \sum_{n>0} \left(\sum_{l=0}^4 e^{-l\Delta r} \eta_{1,4,5}^{(n,l)} + \sum_{l=1}^4 e^{-l(3-\Delta)r} \eta_{1,4,5}^{(n,-l)} \right) e^{-\frac{n}{2}r}, \\
 \eta_2 & = e^{3r} \sum_{n>0} \left(\eta_2^{(n,-3)} e^{-3(2-\Delta)r} + \sum_{l=1,2,4} \eta_2^{(n,-l)} e^{-l(3-\Delta)r} + \sum_{l=0}^4 e^{-l\Delta r} \eta_2^{(n,l)} \right) e^{-\frac{n}{2}r},
 \end{aligned}$$

$$\eta_3 = e^{\frac{3}{2}r} \sum_{n>0} \left(\eta_3^{(n,0)} + \sum_{l=1}^4 \eta_3^{(n,-l)} e^{\Delta r} e^{-l(3r-\Delta r)} + \eta_3^{(n,m,l)} e^{-(l\Delta-3)r} \right) e^{-\frac{n}{2}r}, \quad (\text{C.21})$$

with (after imposing the boundary conditions (3.35))

$$\begin{aligned} \eta_1 &= e^{\frac{5}{2}r} \left\{ \eta_1^{(0,0)} + y_1^{(0)} e^{-r} + \eta_1^{(4,0)} e^{-2r} + \eta_1^{(0,-2)} e^{-2(3-\Delta)r} + \eta_1^{(6,0)} e^{-3r} + \eta_1^{(0,2)} e^{-2\Delta r} + \right. \\ &\quad \left. + \eta_1^{(2,-2)} e^{-(7-2\Delta)r} + y_1^{(3)} e^{-4r} + \dots \right\}, \\ \eta_2 &= e^{3r} \left\{ y_2^{(0)} + \eta_2^{(4,0)} e^{-2r} + \eta_2^{(0,-2)} e^{-2(3-\Delta)r} + \eta_2^{(6,0)} e^{-3r} + \eta_2^{(0,2)} e^{-2\Delta r} + \eta_2^{(8,0)} e^{-4r} + \right. \\ &\quad \left. + \eta_2^{(4,-2)} e^{-2(4-\Delta)r} + \eta_2^{(10,0)} e^{-5r} + \eta_2^{(4,2)} e^{-2(1+\Delta)r} + \eta_2^{(0,-4)} e^{-4(3-\Delta)r} + \right. \\ &\quad \left. + \eta_2^{(6,-2)} e^{-(9-2\Delta)r} + y_2^{(6)} e^{-6r} + \dots \right\}, \\ \eta_3 &= e^{\frac{3}{2}r} \left\{ y_3^{(3-\Delta)} e^{-(3-\Delta)r} + y_3^{(\Delta)} e^{-\Delta r} + \eta_2^{(0)} \frac{\Delta-3}{2} \lambda e^{\Delta r} + \dots \right\}, \\ \eta_{4,5} &= e^{\frac{5}{2}r} \left\{ \eta_{4,5}^{(0)} + y_{4,5}^{(0)} e^{-r} + \eta_{4,5}^{(4,0)} e^{-2r} + \eta_{4,5}^{(0,-2)} e^{-2(3-\Delta)r} + \eta_{4,5}^{(6,0)} e^{-3r} + \eta_{4,5}^{(0,2)} e^{-2\Delta r} + \right. \\ &\quad \left. + \eta_{4,5}^{(2,-2)} e^{-(7-2\Delta)r} + y_{4,5}^{(3)} e^{-4r} + \dots \right\}, \end{aligned} \quad (\text{C.22})$$

$$\begin{aligned} \eta_1^{(4,0)} &= -\frac{1}{16} y_2^{(0)} (k^4 + k^2 \omega^2 - 2\omega^4), & \eta_1^{(0)} &= -\frac{y_2^{(0)}}{8} (k^2 + 2\omega^2), \\ \eta_1^{(0,-2)} &= \frac{(6\Delta - 13) \lambda^2 y_2^{(0)} (k^2 + 2\omega^2)}{128(2\Delta - 5)}, & \eta_1^{(0,2)} &= \frac{(6\Delta - 5) v^2 y_2^{(0)} (k^2 + 2\omega^2)}{128(2\Delta - 1)}, \\ \eta_1^{(2,-2)} &= -\frac{3}{16} \lambda^2 y_1^{(0)}, & \eta_2^{(4,0)} &= \frac{1}{24} y_2^{(0)} (2\omega^2 - 5k^2), \\ \eta_2^{(0,-2)} &= \frac{(4\Delta^2 - 24\Delta + 45)}{8(2\Delta - 9)} \lambda^2 y_2^{(0)}, & \eta_2^{(8,0)} &= \frac{1}{48} y_2^{(0)} (k^4 - 5k^2 \omega^2 + 4\omega^4), \\ \eta_2^{(0,2)} &= -\frac{(4\Delta^2 + 9)}{8(2\Delta + 3)} v^2 y_2^{(0)}, & \eta_2^{(0,-4)} &= \frac{3888 - \Delta \{4\Delta [\Delta(20\Delta - 141) + 207] + 1701\}}{128(2\Delta - 9)(2\Delta - 7)(4\Delta - 9)} \lambda^4 y_2^{(0)}, \\ \eta_4^{(0,2)} &= \frac{(6\Delta - 5)}{64(2\Delta - 1)} k^2 v^2 y_2^{(0)}, & \eta_5^{(0,2)} &= \frac{(6\Delta - 5)}{32(2\Delta - 1)} k\omega v^2 y_2^{(0)}, \\ \eta_4^{(4,0)} &= \frac{1}{8} k^2 y_2^{(0)} (\omega^2 - k^2), & \eta_4^{(0,-2)} &= \frac{(6\Delta - 13)}{64(2\Delta - 5)} k^2 \lambda^2 y_2^{(0)}, \\ \eta_4^{(0)} &= -\frac{1}{4} k^2 y_2^{(0)}, & \eta_4^{(2,-2)} &= -\frac{3}{16} \lambda^2 y_4^{(0)}, & \eta_5^{(0)} &= -\frac{1}{2} k\omega y_2^{(0)}, \\ \eta_5^{(4,0)} &= \frac{1}{4} k\omega y_2^{(0)} (\omega^2 - k^2), & \eta_5^{(0,-2)} &= \frac{(6\Delta - 13)}{32(2\Delta - 5)} k\omega \lambda^2 y_2^{(0)}, & \eta_5^{(2,-2)} &= -\frac{3}{16} \lambda^2 y_5^{(0)}, \end{aligned}$$

$$\begin{aligned} \eta_1^{(6,0)} &= \frac{1}{144} \left\{ \eta_2^{(0)} [B_0 (33k^2 - 78\omega^2) - 2(\Delta - 3)\Delta \lambda v (k^2 + 2\omega^2)] + \right. \\ &\quad \left. + 12 [k^2 (3y_4^{(0)} - 4y_1^{(0)} + 3k\omega y_5^{(0)} - 2\omega^2 y_2^{(0)})] \right\}, \\ \eta_2^{(4,-2)} &= \frac{\lambda^2 y_2^{(0)}}{192 (4\Delta^3 - 44\Delta^2 + 157\Delta - 180)} \left[2 (48\Delta^4 - 600\Delta^3 + 2830\Delta^2 - 5959\Delta + 4716) \omega^2 + \right. \\ &\quad \left. + (-96\Delta^4 + 1200\Delta^3 - 5654\Delta^2 + 11867\Delta - 9324) k^2 \right], \\ \eta_2^{(6,0)} &= \frac{3y_2^{(0)} (B_0(27 - 4(\Delta - 3)\Delta) - 12(\Delta - 3)\Delta \lambda v)}{3(2\Delta - 9)(2\Delta + 3)} + \frac{y_1^{(0)}}{3}, \\ \eta_2^{(10,0)} &= \frac{1}{2160(2\Delta - 9)(2\Delta + 3)} \left\{ k^2 [y_2^{(0)} [102B_0 (4\Delta^2 - 12\Delta - 27) + \right. \end{aligned}$$

$$\begin{aligned}
 & +\Delta (532\Delta^3 - 3192\Delta^2 + 14157\Delta - 28107) \lambda v] - 336 (4\Delta^2 - 12\Delta - 27) y_1^{(0)} + \\
 & +36 (4\Delta^2 - 12\Delta - 27) y_4^{(0)} + 2\omega^2 \{y_2^{(0)} [\Delta (-236\Delta^3 + 1416\Delta^2 - 7011\Delta + 14661) \lambda v \\
 & -798B_0 (4\Delta^2 - 12\Delta - 27)] - 156 (4\Delta^2 - 12\Delta - 27) y_1^{(0)}\} + 468 (4\Delta^2 - 12\Delta - 27) k\omega y_5^{(0)} \}, \\
 \eta_2^{(4,2)} &= \frac{v^2 y_2^{(0)}}{192 (4\Delta^3 + 8\Delta^2 + \Delta - 3)} \left[(96\Delta^4 + 48\Delta^3 + 38\Delta^2 - 25\Delta - 15) k^2 \right. \\
 & \left. -2 (48\Delta^4 + 24\Delta^3 + 22\Delta^2 - 5\Delta - 3) \omega^2 \right], \\
 \eta_2^{(6,-2)} &= \frac{1}{96(2\Delta - 15)(2\Delta - 9)(2\Delta - 7)(2\Delta + 3)(4\Delta - 9)} \left\{ (\Delta - 3)\lambda \right. \\
 & \times [-\lambda y_2^{(0)} (24B_0(\Delta - 3)(2\Delta - 7)(2\Delta - 3)(2\Delta + 3)(4\Delta - 9) + \\
 & +\Delta \{8\Delta [2\Delta (\Delta \{4\Delta (8\Delta - 133) + 3669\} - 11889) + 13500] + 25839\} - 485757) \lambda v] \\
 & \left. -96(2\Delta - 15)(2\Delta - 7)(2\Delta + 3)(4\Delta - 9) y_3^{(3-\Delta)} \right\}, \\
 \eta_4^{(6,0)} &= \frac{1}{72} \left(k^2 \{y_2^{(0)} [33B_0 - 2(\Delta - 3)\Delta \lambda v] + 24y_1^{(0)}\} - 36k\omega y_5^{(0)} + 36\omega^2 y_4^{(0)} \right), \\
 \eta_5^{(6,0)} &= -\frac{1}{36} k\omega \left\{ y_2^{(0)} [2(\Delta - 3)\Delta \lambda v - 15B_0] + 12y_1^{(0)} - 18y_4^{(0)} \right\}. \tag{C.23}
 \end{aligned}$$

C.5 Fluctuations at the horizon

From the indicial polynomials of the dynamical equations for each fluctuation, we infer 3 roots,

$$P_0 = 0, \quad P_{\pm} = \pm i\omega c_H, \quad c_H = e^{-(A_H + B_H)}. \tag{C.24}$$

We demand regularity if the roots are real and ingoing condition if they are complex. Therefore, near the horizon, the original fluctuations may admit the general series expansion

$$y_i = \sum_{n=0} y_i^{(0,n)} (r - r_H)^{2n} + (r - r_H)^{P_-} \sum_{n=0} y_i^{(1,n)} (r - r_H)^{2n}, \tag{C.25}$$

with $i = 1, \dots, 5$ and we have ruled out the P_+ root. As stated in section 3.1.2, the choice of the boundary conditions (3.35) fixes the series expansions of the auxiliary fields, regardless if the $\{y_i\}$ fields have a well defined near-horizon behavior. Therefore, we shall consider

$$\begin{aligned}
 \eta_i &= \sum_n \left[\eta_i^{(0,n)} (r - r_H)^{n-2} + (r - r_H)^{P_-} \eta_i^{(1,n)} (r - r_H)^n + \right. \\
 & \left. + (r - r_H)^{P_+} \eta_i^{(2,n)} (r - r_H)^n + \eta_i^{(2,n)} \log(r - r_H) \right]. \tag{C.26}
 \end{aligned}$$

The on-shell series expansions read

$$\begin{aligned}
 y_i &= y_i^{(0,0)} + y_i^{(0,1)} (r - r_H)^2 + (r - r_H)^{P_-} \left[y_i^{(1,0)} + y_i^{(1,1)} (r - r_H)^2 \right] + \dots, \quad i \neq 3, 4 \\
 y_3 &= (r - r_H)^{P_-} \left[y_3^{(1,0)} + y_3^{(1,1)} (r - r_H)^2 \right] + \dots, \\
 y_4 &= y_4^{(0,1)} (r - r_H)^2 + (r - r_H)^{P_-} \left[y_i^{(1,0)} + y_i^{(1,1)} (r - r_H)^2 \right] + \dots, \tag{C.27}
 \end{aligned}$$

$$\eta_1 = \eta_1^{(0,2)} + \eta_1^{(1,0)} (r - r_H)^{P_-} + \eta_1^{(2,0)} (r - r_H)^{P_+} + \eta_1^{(0,3)} (r - r_H) + \dots,$$

$$\begin{aligned}
 \eta_2 &= \frac{\eta_2^{(0,1)}}{r-r_H} + \eta_2^{(0,2)} + \eta_2^{(1,0)}(r-r_H)^{P-} + \eta_2^{(2,0)}(r-r_H)^{P+} + \\
 &\quad + (r-r_H) \left[\eta_2^{(0,3)} + \eta_2^{(1,2)}(r-r_H)^{P-} + \eta_2^{(2,2)}(r-r_H)^{P+} \right] + \dots, \\
 \eta_3 &= \eta_3^{(1,0)}(r-r_H)^{P-} + \eta_3^{(2,0)}(r-r_H)^{P+} + \dots, \\
 \eta_4 &= \eta_4^{(0,2)} + \eta_4^{(1,0)}(r-r_H)^{P-} + \eta_4^{(2,0)}(r-r_H)^{P+} + \dots, \\
 \eta_5 &= \frac{\eta_5^{(0,0)}}{(r-r_H)^2} + \eta_5^{(0,2)} + \eta_5^{(1,0)}(r-r_H)^{P-} + \eta_5^{(2,0)}(r-r_H)^{P+} + \dots, \tag{C.28}
 \end{aligned}$$

where most of the coefficients are not independent from each other. For the main fluctuations,

$$\begin{aligned}
 y_1^{(0,1)} &= \frac{1}{6 \left(\frac{4}{c_H^2} + \omega^2 \right)} \left\{ y_1^{(0,0)} \left[9A_H^{(1)} \left(\frac{4}{c_H^2} + \omega^2 \right) - 4k^2 e^{2B_H} \right] - 4k\omega y_5^{(0,0)} e^{2B_H} \right\}, \\
 y_1^{(1,0)} &= \frac{i}{\omega} y_2^{(1,0)} e^{B_H - \frac{A_H}{2}}, \\
 y_1^{(1,1)} &= \frac{e^{B_H - \frac{3A_H}{2}}}{4\omega \left(\frac{1}{c_H} - i\omega \right) \left(\frac{2}{c_H} - i\omega \right) \left(\frac{3}{c_H} - i\omega \right)} \left\{ y_2^{(1,0)} \left[2e^{A_H} A_H^{(1)} \left(\frac{12i\omega^2}{c_H} + \frac{21\omega}{c_H^2} + \frac{18i}{c_H^3} + 11\omega^3 \right) + \right. \right. \\
 &\quad \left. \left. + k^2 e^{B_H} \left(\frac{1}{c_H} - 3i\omega \right) \left(\omega + \frac{2i}{c_H} \right) \right] - 4\omega e^{\frac{3A_H}{2} + B_H} \left[k^2 y_4^{(1,0)} \left(\frac{2}{c_H} - i\omega \right) + \right. \right. \\
 &\quad \left. \left. + y_3^{(1,0)} V'(\phi_H) e^{2A_H} \left(\frac{3}{c_H} - 2i\omega \right) \right] \right\}, \\
 y_2^{(0,0)} &= -y_1^{(0,0)} e^{\frac{3A_H}{2}}, \\
 y_2^{(0,1)} &= -\frac{1}{3} e^{\frac{3A_H}{2}} \left[9y_1^{(0,0)} A_H^{(1)} + \frac{4k e^{2B_H} c_H \left(k y_1^{(0,0)} + \omega y_5^{(0,0)} \right)}{\omega^2 c_H + 4} \right], \\
 y_2^{(1,1)} &= \frac{e^{B_H - A_H}}{4\omega \left(\frac{2}{c_H} - i\omega \right) \left(\frac{3}{c_H^2} - \frac{4i\omega}{c_H} - \omega^2 \right)} \left\{ y_2^{(1,0)} \left[-\frac{100i\omega^2}{c_H} A_H^{(1)} e^{2A_H} + \frac{72\omega}{c_H^2} A_H^{(1)} e^{2A_H} \right. \right. \\
 &\quad \left. \left. - 36\omega^3 e^{2A_H} A_H^{(1)} - \frac{2ik^2}{c_H^3} + \frac{2k^2\omega}{c_H^2} - \frac{3ik^2\omega^2}{c_H} - k^2\omega^3 \right] \right. \\
 &\quad \left. - \frac{4k^2\omega}{c_H} y_4^{(1,0)} e^{\frac{A_H}{2}} - 4\omega y_3^{(1,0)} V'(\phi_H) e^{\frac{7A_H}{2}} \left(\frac{3}{c_H^2} - \frac{3i\omega}{c_H} - \omega^2 \right) \right\}, \\
 y_3^{(1,1)} &= \frac{1}{8 \left(\frac{1}{c_H} - i\omega \right)} \left[2y_3^{(1,0)} \left(k^2 e^{B_H - A_H} + \frac{6A_H^{(1)}}{c_H} - 2i\omega A_H^{(1)} + \frac{V''(\phi_H)}{c_H} \right) + \right. \\
 &\quad \left. + i\omega y_2^{(1,0)} V'(\phi_H) e^{-\frac{3A_H}{2}} \right], \\
 y_4^{(0,1)} &= -\frac{k e^{2B_H} \left(k y_1^{(0,0)} + \omega y_5^{(0,0)} \right)}{\frac{4}{c_H^2} + \omega^2}, \\
 y_4^{(1,1)} &= \frac{1}{\frac{8\omega}{c_H} - 8i\omega^2} \left[y_2^{(1,0)} k^2 e^{B_H - \frac{3A_H}{2}} \left(-\omega e^{-A_H} + i e^{B_H} \right) + 2k\omega^2 y_5^{(1,0)} e^{B_H - A_H} + \right. \\
 &\quad \left. + y_4^{(1,0)} \left(\frac{12\omega A_H^{(1)}}{c_H} - 4i\omega^2 A_H^{(1)} \right) \right], \\
 y_5^{(0,1)} &= \frac{3}{2} y_5^{(0,0)} A_H^{(1)}, \\
 y_5^{(1,0)} &= \frac{k}{2\omega \left(\omega + \frac{2i}{c_H} \right)} \left[y_2^{(1,0)} e^{-\frac{A_H}{2}} \left(\omega e^{-A_H} + i e^{B_H} \right) - 2\omega y_4^{(1,0)} \right], \tag{C.29}
 \end{aligned}$$

whilst for the auxiliary fluctuations,

$$\begin{aligned}
\eta_1^{(0,2)} &= -\frac{k\tilde{\eta}_5^H K_{55}^H + 2\omega e^{\frac{3A_H}{2}} \tilde{\eta}_2^H K_{22}^H}{2\omega K_{11}^H}, \\
\eta_1^{(0,3)} &= \frac{\omega e^{\frac{3A_H}{2}}}{2\left(\frac{1}{c_H^2} + \omega^2\right) (K_{11}^H)^2} \left[k\tilde{\eta}_5^H K_{12}^H K_{55}^H + 2\omega K_{22}^H \left(e^{\frac{3A_H}{2}} \tilde{\eta}_2^H K_{12}^H - \eta_2^H K_{11}^H \right) \right], \\
\eta_2^{(1,0)} &= -\frac{\eta_1^H K_{12}^H + \eta_3^H K_{32}^H}{K_{22}^H}, \\
\eta_2^{(2,0)} &= -\frac{\tilde{\eta}_1^H K_{12}^H + \tilde{\eta}_3^H K_{32}^H}{K_{22}^H}, \\
\eta_2^{(0,3)} &= \frac{e^{-\frac{3A_H}{2}}}{2\omega K_{22}^H} \left\{ A_H^{(1)} \left(8\omega e^{\frac{3A_H}{2}} \tilde{\eta}_2^H K_{22}^H - 3k\tilde{\eta}_5^H K_{55}^H \right) \right. \\
&\quad \left. - \frac{\omega^2 e^{3A_H} K_{12}^H \left[K_{12}^H \left(k\tilde{\eta}_5^H K_{55}^H + 2\omega e^{\frac{3A_H}{2}} \tilde{\eta}_2^H K_{22}^H \right) - 2\omega \eta_2^H K_{11}^H K_{22}^H \right]}{(K_{11}^H)^2 \left(\frac{1}{c_H^2} + \omega^2 \right)} \right\}, \\
\eta_2^{(1,2)} &= \frac{e^{-\frac{3A_H}{2}}}{2K_{22}^H \left(-\frac{3i\omega}{c_H} + \frac{2}{c_H^2} - \omega^2 \right)} \left\{ 2\eta_1^H K_{11}^H \left[2k^2 e^{2B_H} + A_H^{(1)} \left(\frac{7i\omega}{c_H} + \frac{6}{c_H^2} + 5\omega^2 \right) \right] + \right. \\
&\quad \left. + \eta_3^H \omega K_{33}^H V'(\phi_H) \left(\omega + \frac{2i}{c_H} \right) + 2k^2 \eta_4^H K_{44}^H e^{2B_H} \right\}, \\
\eta_2^{(2,2)} &= \frac{e^{-\frac{3A_H}{2}}}{2K_{22}^H \left(3i\frac{\omega}{c_H} + \frac{2}{c_H^2} - \omega^2 \right)} \left\{ 2\tilde{\eta}_1^H K_{11}^H \left[2k^2 e^{2B_H} + A_H^{(1)} \left(-7i\frac{\omega}{c_H} + \frac{6}{c_H^2} + 5\omega^2 \right) \right] + \right. \\
&\quad \left. + \omega \tilde{\eta}_3^H K_{33}^H V'(\phi_H) \left(\omega - 2ie^{A_H+B_H} \right) + 2k^2 e^{2B_H} \tilde{\eta}_4^H K_{44}^H \right\}, \\
\eta_4^{(0,2)} &= \frac{k\tilde{\eta}_5^H K_{55}^H}{\omega K_{44}^H}, \\
\eta_5^{(1,0)} &= -\frac{ike^{2B_H} (2\eta_1^H K_{11}^H + \eta_4^H K_{44}^H)}{K_{55}^H \left(\frac{2}{c_H} - i\omega \right)}, \\
\eta_5^{(2,0)} &= \frac{ike^{2B_H} (2\tilde{\eta}_1^H K_{11}^H + \tilde{\eta}_4^H K_{44}^H)}{\left(\frac{2}{c_H} + i\omega \right) K_{55}^H}, \tag{C.30}
\end{aligned}$$

plus higher order terms. $\eta_{1,3,4}^{(1,0)} = \eta_{1,3,4}^H$, $\eta_{1,3,4}^{(2,0)} = \tilde{\eta}_{1,3,4}^H$, $\eta_2^{(0,2)} = \eta_2^H$, $\eta_2^{(0,1)} = \tilde{\eta}_2^H$, $\eta_5^{(0,2)} = \eta_5^H$ and $\eta_5^{(0,0)} = \tilde{\eta}_5^H$, as it appears in section 3.1.2.

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