Physically-Based Validation of Deformable Medical Image Registration

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Abstract. We propose a new approach for validating deformable image registration algorithms. Since difference images do not necessarily reflect the 3D correspondence of organs, we propose to use the deformation fields generated in our FEM-based simulations to assess the displacement resulted from other registration methods. Unlike traditional FEM-based registration methods, the boundary condition for the target organ is not given explicitly. Instead it is driven by inter-organ contact forces generated by boundary conditions on surrounding organs to reduce the uncertainty induced by geometry-based surface matching. To validate our system, real CT images of the male pelvis are analyzed, and the prostate can be reasonably registered without matching its surface to the image. Several registration methods are then evaluated using our system.

1 Introduction

In image-guided radiation cancer therapy, it is essential to establish correspondence between images taken on different days in order to assess the accumulated dosage. While rigid image registration is relatively easy, deformable registration is often the missing key for good correspondence. Image analysis techniques such as optical flow [1,2] or feature tracking [3] have been used to drive the deformations. Optical flow, however, may fall into local minima, and feature tracking requires local features that are not always easy to find. Some methods rely on minimizing an energy function based on image difference [4,5]. If the optimization or matching process only makes use of a sparse set of points, the deformation field can be interpolated using simple radial basis functions [6] or physically-inspired basis functions [7]. Model fitting on segmented images can also give a deformation [8], but they only fit surface vertices, so the accuracy depends on the interpolation method. Image- or model-based methods are not necessarily physically accurate [9].

Several physically-based models have been applied to registration, such as the viscous fluid model [10,5], which can handle large deformations, but fluid-like deformations are not always realistic for human organs. The finite element method (FEM) and the linear elasticity model [11] have been introduced into medical image analysis [12] and registration [13,14,15,16]. Organs are modeled

as elastic bodies, and the boundary conditions in the domain can be the gradient field [13], or computed from fitted models [14,15]. FEM-based methods can model the material properties better than the fluid flow methods and can provide a more global solution to the entire domain, but the accuracy is dependent upon the surface matching algorithm and the estimation of material properties.

The validation of registration algorithms has been limited to using image differences, but our goal is to assess the physical accuracy of 3D deformations of organs, not merely the intensity match of 2D images. Also, there is a lack of a common set of testing images. Arbitrarily deformed images are often used for testing, but they are not physically accurate. An FEM-based validation method has been proposed [9], in which a deformation field produced by another registration method is fed into the linear system generated during FEM simulations, and the external forces on non-boundary nodes can be an estimation of the quality of the deformation field.

In this paper, we propose using the deformation field generated by our multi-organ FEM system to generate realistic test images for registration methods. A volumetric mesh is built for the entire region of interest, including all organs and the tissue between them. We focus on the prostate and nearby organs because it is a site where organ motion is of particular concern. We do not apply boundary conditions on target organs to avoid the uncertainty induced by surface matching. The organs without explicit boundary conditions are deformed by inter-organ contact forces during simulation. This approach is similar to the one proposed by Hensel et al. [16], but they apply a translation to surrounding organs to align the prostate prior to deformable registration in order to cope with extreme deformations due to insertion of the endorectal coil, and the bladder and the rectum are modeled as solid objects, while we model them as hollow objects to reflect their actual structure. Our physical model is more accurate partly due to the fact that the deformation of the organs is not extreme and somewhat constrained by inter-organ interaction as observed in a human body. To verify our system, we perform an experimental registration. Although the model of the prostate itself is not fitted to the real image, the resulting deformation can register the model quite well. We then use our method to perform a basic evaluation of several registration algorithms. A set of physically accurate deformation fields and synthetic test images are generated with the simulator. The deformation field produced by the registration method is compared against the one that generated the test image to assess the accuracy of the registration.

2 Method

2.1 Mathematical Model and FEM Solver

In our method, each organ is modeled as a three-dimensional elastic object. Here we briefly introduce the formulation of linear elasticity and the finite element method. Assuming linear elasticity, the potential energy of an elastic body can be expressed as

$$E = \frac{1}{2} \int_{\Omega} \sigma^T \varepsilon d\Omega + \int_{\Omega} \mathbf{F} \mathbf{u} d\Omega \quad (1)$$

where $\mathbf{F}$ is the external force, $\mathbf{u} = [u, v, w]^T$ is the deformation vector, ε is the strain, and σ is the stress [11]. When expressed in vector form, the strain is written as $\varepsilon = \left[\frac{\partial u}{\partial x}, \frac{\partial v}{\partial y}, \frac{\partial w}{\partial z}, \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x}, \frac{\partial v}{\partial z} + \frac{\partial w}{\partial y}, \frac{\partial w}{\partial z} + \frac{\partial u}{\partial x} \right]^T = \mathbf{L} \mathbf{u}$, and the stress is a linear transformation of the strain, $\sigma = [\sigma_x, \sigma_y, \sigma_z, \tau_{xy}, \tau_{yz}, \tau_{xz}]^T = \mathbf{D} \varepsilon$, where $\mathbf{D}$ is a matrix defined by the material properties of the body. Assuming isotropic material properties, $\mathbf{D}$ is composed of two parameters: Young's modulus E , which measures the stiffness of a material, and Poisson's ratio ν , which measures the tendency of contract in other directions when stretched in one direction.

Before we can find an optimal deformation $\mathbf{u}$ given the boundary conditions, we need a means to approximate derivatives. The finite element method is suitable for solving partial differential equations with non-regular domains. The domain is subdivided into polyhedral elements with vertices called nodes. The deformation field $\mathbf{u}$ in each element is approximated by a weighted sum $\hat{\mathbf{u}} = \sum_{i=1}^{n_n} N_i^{el} \mathbf{u}_i^{el}$, where $N_i^{el}(\mathbf{x})$ is the shape function, $\mathbf{u}_i$ is the deformation vector at node i , and n_n is the number of nodes. The superscript *el* indicates that the node numbering is local to each element. To represent the shape of organs, we use tetrahedral elements with linear shape functions, with $n_n = 4$. The linear shape function N_i is chosen such that it has value 1 at node i and has value zero at all other nodes. Now we substitute $\hat{\mathbf{u}}$ for $\mathbf{u}$, take the derivative with respect to $\mathbf{u}_i^{el}$, and drive it to zero:

$$\int_{\Omega} \sum_{j=1}^{n_n} \mathbf{B}_i^{elT} \mathbf{D} \mathbf{B}_j \mathbf{u}_j^{el} d\Omega = - \int_{\Omega} \mathbf{F} N_i^{el} d\Omega \quad (2)$$

When expressed in matrix form, (2) becomes $\mathbf{K}^{el} \mathbf{u}^{el} = -\mathbf{F}^{el}$, where matrix $\mathbf{K}^{el}$ and vector $\mathbf{F}^{el}$ are defined by $K_{ij}^{el} = \int_{\Omega} \mathbf{B}_i^{elT} \mathbf{D} \mathbf{B}_j^{el} d\Omega$ and $F_i^{el} = \int_{\Omega} \mathbf{F} N_i^{el} d\Omega$, respectively. The linear system is solved with Krylov subspace methods [17].

2.2 Boundary Conditions for FEM-Based Simulation

To solve (2), we need to specify boundary conditions. Two classes of boundary conditions are used in this work: one is the given external force F_i , and the other are the Dirichlet (first kind) boundary conditions [11], which specify the values of the deformation vectors at boundaries. External forces can be used to generate synthetic deformations, and Dirichlet boundary conditions can be given by surface matching algorithms for deformable registration. However, surface matching is not necessarily physically accurate.

To validate our model, we use a boundary condition based on organ-organ interactions: the deformation of the target organ is driven by contact forces between surrounding organs rather than the result of surface matching. We only match the surfaces of surrounding organs, such as the rectum and the bladder, instead of the surface of the target organ, such as the prostate, assuming the

bones are fixed. Since the entire region of interest is simulated, the contact force between organs and the surrounding tissues will then make the target organ deform in a physically realistic way.

2.3 Validation of Deformation Fields

Validating a deformation field by a difference image of the deformed image and target image does not show 3D correspondence due to organ deformations. Therefore, we propose using the deformation field generated by a simulation to evaluate a registration algorithm. We begin with one image $I_m(\mathbf{x})$ and perform an FEM-based simulation given a set of boundary conditions. Let the resulting deformed image be $I'_m(\mathbf{x})$ and the deformation field be a function $\mathbf{d}(\mathbf{x})$ that maps each point to a new location. Then we use another registration algorithm to register I'_m toward I_m and generate a deformation field $\hat{\mathbf{d}}'(\mathbf{x})$. While there can be infinitely many deformation fields that can register the two images, we are interested in finding the physically-accurate one, which should be the function inverse of $\mathbf{d}(\mathbf{x})$, to the extent that our FEM model is correct. Arbitrarily deformed images have been widely used for testing, but our method uses a physically-plausible deformation to achieve better physical accuracy.

3 Experiments and Results

Input surface meshes are built from hand-segmented CT images. After mesh simplification and smoothing [18], the entire region of interest is tetrahedralized with a three-dimensional Delaunay triangulator [19]. The organs include the bladder, rectum, and prostate, along with the bones. The surface and volumetric meshes are shown in Fig. 1.

Fig. 1. The surface mesh (left) and a slice of tetrahedralized mesh (right)

The bones are fixed since no joints are included in the simulated region. The bladder and rectum are modeled as hollow objects. The prostate and surrounding tissues are modeled with isotropic elastic materials, which are defined by two parameters: Young's modulus E and Poisson's ratio ν . We set $E = 40$ kPa and $\nu = 0.4$ for the prostate, and $E = 1$ kPa and $\nu = 0.35$ for the pelvic tissue. These parameters can differ from patient to patient. The parameters for the prostate are adapted from Zhang et al. [20], and the values for surrounding tissues are optimized during the verification process. The assembly and solving of the linear system is based on the library PETSc [21], and the medical imaging library used is the Insight Toolkit (ITK) [22].

3.1 Verification of Simulation

In order to verify that our model is physically accurate, we conduct an experimental registration of the prostate with real CT images. In the experiment we would like to find a deformation field $\mathbf{d}$ to warp the moving image I_m toward the target image I_t . Both images are segmented, and surface meshes of organs are extracted. Here we are interested in the correspondence of the prostate.

We apply a Dirichlet boundary condition on the rectum and the bladder to match their surfaces and perform the simulation. The resulting image and deformation field is shown in Fig. 2, where the red contour in the figure represents the prostate surface in I_t , and the blue one shows the surface before warping. The prostate matches reasonably well. The average distance to the target organ decreased from 0.44 cm to 0.17 cm, and the standard deviation of the distance decreased from 0.24 cm to 0.08 cm. The result supports the use of organ-organ interactions and indicates that our system produces physically plausible deformation suitable for validation of image registration.

Fig. 2. Result of verification: left and center: image deformed by our simulator; red contour: prostate in I_t ; blue contour: prostate in I_m ; right: the resulting deformation

3.2 Validation of Deformation Fields

In the validation process, we register the synthesized image I'_m toward the original image I_m . Two optical-flow-based methods, the Demons method [1] and a level-set method [2] implemented in ITK [22], and a physically-based method, the viscous fluid flow method [10], are evaluated. We chose to test these methods because local features are harder to track around the prostate, and testing an FEM-based method against itself is not appropriate.

The I'_m for the first experiments is the result from Sect. 3.1, and the I'_m for the second experiment is generated by applying a constant inward pressure on the boundary of the bladder. The registered images and the deformation fields $\hat{\mathbf{d}}'$ of the three methods composed with $\mathbf{d}$ are shown in Fig. 3.

Ideally, the deformation fields $\mathbf{d}$ and $\hat{\mathbf{d}}'$ should be the inverse mapping of each other, and therefore the composition should have values close to zero. We use the values $\epsilon_{abs} = \text{length}(\hat{\mathbf{d}}'(\mathbf{d}(\mathbf{x})))$ and $\epsilon_{rel} = \text{length}(\hat{\mathbf{d}}'(\mathbf{d}(\mathbf{x}))) / \text{length}(\mathbf{d}(\mathbf{x}))$ as the measures of absolute and relative error of $\hat{\mathbf{d}}'$. Table 1 shows the errors in

Fig. 3. Validation of the three registration methods. (a-c) the results of the Demons, level-set, and fluid flow method in the first experiment; (d-f) the results of the three method in the second experiment. The first and third row: registered images; the second and fourth row: composed deformation field.

the entire domain and inside the prostate. While all three methods generate well registered images, the errors in the deformation field vary. The Demons method produces the best results. The fluid flow method, although it is physically-based, does not perform better. This may due to the fact that the driving force is still derived from the image, and that human organs do not actually flow like fluid. The level-set method produces sharper images but has errors larger than

Table 1. The mean (std. deviation) of ϵ_{abs} and ϵ_{rel} generated by the three methods

	Experiment 1			Experiment 2		
	Demons	Level-Set	Fluid Flow	Demons	Level-Set	Fluid Flow
ϵ_{abs} (cm)	0.26 (0.41)	1.10 (0.91)	0.37 (0.37)	0.05 (0.03)	0.72 (1.00)	0.17 (0.16)
ϵ_{rel}	0.41 (1.55)	2.50 (15.58)	0.82 (3.93)	0.75 (5.21)	11.77 (127.16)	2.10 (10.33)
ϵ_{abs} (cm) in prostate	0.16 (0.18)	1.12 (0.57)	0.30 (0.19)	0.04 (0.03)	0.35 (0.36)	0.04 (0.03)
ϵ_{rel} in prostate	0.16 (0.13)	1.28 (0.61)	0.32 (0.12)	0.57 (0.57)	4.62 (5.97)	0.50 (0.41)

the other two methods have. This may be a result of scaling the deformation vectors by a function of the difference of intensities in the two images. While the directions of the deformation may be correct, the magnitude may not be physically accurate. Zhong et al. [9] reported that the Demons method performs worse than a feature tracking method. The Demons method may not be as stable as the feature tracking or the fluid flow method when the deformation is very large, which is not the case in our experiments.

4 Discussion

We have presented a new method to validate a deformable registration method. While FEM-based methods are dependent on boundary conditions, we propose using inter-organ contact forces on target organs instead of specifying boundary conditions for them explicitly. A similar multi-organ method has been proposed [16], but we model the surrounding organs more accurately, and our deformations are not as extreme and is thus suitable for linear elasticity model. Our system is verified by a test registration, and we are able to register the target organ quite well without applying boundary conditions on it.

Our system provides physically accurate deformed images with known deformation fields for testing other image registration algorithms. Comparing a deformation against it provides results with a clear geometric interpretation. By comparison, the FEM approach of [9] is harder to interpret and lacks a definitive ground truth, but it can be used with pairs of real world images.

In the future, we would like to improve the mechanical model, such as by adding anisotropic thin layers and muscles, and apply it on different organs such as the the liver. Better collision detection algorithms may be incorporated into our system to handle self-collision of deformable models. We would also like to explore ways to evaluate the material properties of organs with simulations.

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