Assessment of Farmers' Indigenous Technology Adoptions for Climate Change Adaptation in Nigeria

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Abstract

Agriculture has shown a considerable capacity to adapt to climate change. Many adaptations occur autonomously without the need for conscious response by farmers and agricultural planners. However, it is likely that the rate and magnitude of climate change may exceed that of normal change in agriculture that specific technologies and management styles may need to be adopted to avoid the

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most serious of effects. Thus areas likely to be most vulnerable to climate variability can be spared from its impacts through implementation of appropriate adaptation measures such as development of indigenous technologies.

Six hundred farmers from the six geopolitical zones of Nigeria were surveyed and they all possess different indigenous adaptation strategies ranging from swamp farming (Oyo State), application of neem seed (Kaduna State), soil erosion control (Enugu State), rainwater harvesting (Taraba State), land improvement (Cross River State) to farmland management (Benue State). They all have simple but profound technologies driving these schemes with much success. These indigenous adaptation techniques are majorly constrained by inadequate financial resources. Indigenous technology adoption is affordable with high revenue potential.

Keywords

Indigenous technology · Climate change adaptation · Farmers · Nigeria

Introduction

Historically, agriculture has shown a considerable capacity to adapt to changing climatic conditions. If climate change is gradual, the adaptation may go widely unobserved and the adjustment process largely independent (Parry et al. 2004). In the field of climate change, vulnerability has been described as the degree to which a system is susceptible to, or unable to cope with, adverse effects of climate change, including climate variability and extremes (Marrewijk 2011). Thus the need for climate change adaptation/mitigation measures to combat vulnerability. The major task of climate change adaptation and mitigation in agriculture is to produce more food efficiently and with clear reductions in greenhouse gas (GHG) releases from food manufacturing and marketing. “Adaptation” can be defined as societal or ecosystems efforts to prepare for or cope with future climate change. The coping methods can be defensive (i.e., being protective against adverse impacts of climate change), or adaptable (i.e., seizing the benefits of any advantageous effects of climate change) (USEPA 2014).

Nigeria along with other developing countries under UNFCCC is to focus on adaptation to make them able to cope with the new extremes in climatic regimes; they are also to concentrate on environmentally and economically sustainable development (Adesina and Odekunle 2011a). The argument is, the developing countries’ growth is being impaired by climate change effect and these countries produce only a small fraction of the GHG that is causing climate change. They also have fragile adaptive capability because their economies are still growing, thus they are highly vulnerable to the impacts of climate change.

Climate change adaptation is not novel; history has told how human societies whether by migration, improved crop varieties, or diversifying housing types have repeatedly proven strong capacities for adapting to different climates and environmental changes (Adger et al. 2007). However, the current rate of global climate change is unusually high compared to past changes that society has experienced and

thus new innovations are necessary to combat the challenge. In this era that the world is getting increasingly interdependent, adverse effects of climate change on one community or economic sector can have aftermaths around the world (United States Global Change Research Programme – USGCRP 2009).

Adaptation is globally important because climate change will not become history soon. Many greenhouse gases linger in the atmosphere for 100 years or more after their emission and because of their long-lasting effects, they will continue to warm Earth in the twenty-first century, even if additional greenhouse gases emission were to stop today. Therefore, steps must be taken now to prepare for, and respond to, the impacts of climate change that are already occurring, and those that are projected to occur in the years ahead (USGCRP 2009).

There is need for continuous actions to mitigate climate because there are limits to the ability to adapt. Adaptation alone on long-term basis may not be sufficient to cope with all the projected impacts of climate change, thus it will need to be continuously coupled with actions to lower greenhouse gas emissions (IPCC 2007).

Adaptation is based on the level of socioeconomic development of a country; the resilience and adaptive capacity of a country is dependent on its level of development with respect to economy and political stability. To improve adaptation strategies, a clear understanding of local susceptibilities to climate change and critical thresholds must be established. Adaptation strategies should be adequately flexible in order to accommodate future possible changes in climatic parameters which may be responsible for quick review of plans; the plan should be reviewed periodically.

A myriad of possible adaptation strategies for agriculture are available; some of the most prominent in Nigeria are innovative indigenous technology options ranging from improved crop varieties, composting of organic waste, recycling and waste minimization, improved cultivation techniques, and cover cropping (Eze and Osahon 2015).

Reactive adaptations are those which occur after the impacts of climate change have been experienced, while anticipatory adaptations are proactive, undertaken before the impacts are fully felt. Planned adaptations are generally anticipatory, but can also be reactive (i.e., climate change effects are experienced). Farmers have access to various adaptations depending on their local environments and the specific farming system.

Some impact studies have suggested “adaptations” involving reductions and expansions of agricultural zones. This implies that some farmers would relocate, or others would completely change their type of farming while others would stop operations in some locations. Also, in other locations, there would be new farmers and some existing landowners would try new types of farming (Adesina and Odekunle 2011b). Although adaptations can be strategic at the farm level, the term “planned adaptation” is commonly used to describe actions taken by governments as a conscious policy response. Probable governments’ planned adaptations include reinforcement of technological adaptations, such as crop development and early warning systems, promotion of land and water use options, changes in diversification or intensity of production aid, transformed financial support in established programs, and impromptu compensation.

Issue Description

Smallholder farmers were facing a lot of climate change-induced challenges on their farms of which flooding, pest and disease invasion, high temperature, and low crop yield are the most prominent. The Nigerian government has promoted various adaptation measures as its effort to curtail these challenges. These include provision of improved crop varieties, fertilizers, irrigation schemes, and geodata (Adefolalu 2007). Wisner et al. (2004) report that farmers' vulnerability is not determined by the nature and magnitude of climate change as such, but by the interplay of the societal capacity to adapt and/or recuperate from environmental change. The adaptation capacity and degree of exposure is connected to environmental changes and also to changes in societal aspects such as land use and cultural practices. Most studies on climate change and agriculture in Africa have concentrated on actual and projected impacts as well as farmers' coping/adaptation strategies (Adejuwon 2004). There has been little or no work in the area of local/indigenous adaptation technologies and their challenges or success. This chapter will therefore attempt, through a questionnaire survey, Focus Group Discussions, and review of relevant literature, to fill this gap.

The objective of this chapter is to assess indigenous technology adaptation options being used by smallholder farmers in Nigeria.

Research Techniques and Findings

The survey area is Nigeria; for ease of data collection, the major food-producing state of each geopolitical zone in the country is sampled for the survey. After relevant literature and National Bureau of Statistics consultation, the following six states were selected for the survey:

North-Central Zone – Benue State
North East Zone – Kaduna State
North-West Zone – Taraba State
South-East Zone – Enugu State
South-South Zone – Cross River
South-West Zone – Oyo State

It is very important to assess the various indigenous technology adaptations in use by smallholder farmers in Nigeria so as to know which can be fully developed for national adoption. To achieve this objective, 600 questionnaires were administered to smallholder farmers in the six states to survey their various indigenously designed adaptation options to climate change. The questionnaires were designed to collect information on farmers' biometric data, years of experience and skills, farm size, types of crops cultivated, educational level, experiences on climate change effects, and indigenous adaptation techniques. Secondary data was obtained from NBS and the Agricultural Development Agencies of each of the States. The retrieved

questionnaires were imputed and analyzed using Microsoft Excel spreadsheet 2016 and the results are presented in frequencies and percentages.

A total of six hundred questionnaires were administered to smallholder farmers in the six states, and all were retrieved through the assistance of agricultural extension workers who administered them, the results are presented in Table 1.

In order to obtain realistic data, matured farmers are targeted, the average age is 53 years old. Majority (86%) of the farmers have more than 30 years of experience in farming with 60% of them owning more than one hectares of land. Female farm owners (42%) are mostly found in Southern Nigeria while men majorly own farmland in the north, and employ numerous women laborers. The most grown crops across Nigeria as detected in the data are cassava, maize, yam, rice, and vegetables.

Almost all the farmers (97%) complained of the various losses they have incurred due to devastation effects of climate change ranging from drought encroachment in the north to flooding in the south. The totality of the respondent farmers have poor knowledge of the scientific explanations behind climate change, but experience taught them that nature (weather) is no longer their friend and they have to devise strategies to make it work in their favor. Their understanding is that nature (or the gods) is fighting them through the massive attack of pests and diseases, flooding, erratic rainfall, high temperature, and low yield. As a result, they devise different techniques to adapt to climate change effects including sacrificing to the gods. These indigenous adaptation techniques vary from zone to zone, depending on the

Table 1 Socioeconomic characteristics of sampled smallholder farmers

Variables	Categories	Benue	Cross River	Enugu	Kaduna	Oyo	Taraba
		Freq/%	Freq/%	Freq/%	Freq/%	Freq/%	Freq/%
Sex	Male	67	41	46	72	52	66
	Female	33	59	54	28	48	34
Age(years)	≤ 40	2	3	0	1	0	4
	41–50	39	37	30	26	29	29
	51–60	54	51	59	58	61	59
	61–70	5	9	11	15	10	8
Marital	Single	3	2	4	0	7	0
Status	Married	97	96	91	99	89	98
	Widowed	0	2	5	1	4	2
Levels of	Informal	61	14	17	48	12	68
Educational	Primary	32	52	32	38	23	30
Attainment	Secondary	07	34	51	14	65	02
Farm	≤ 1	25	63	67	31	33	21
Size(ha)	2–5	57	26	29	42	55	56
	Above 5	18	11	4	27	12	23
Farming	≤ 30	13	19	18	11	17	6
Experience (years)	31–40	60	55	53	58	56	62
	Above 41	27	26	29	31	27	32

Source: Fieldwork

prevalent climate change effect in the area. Swamp farming was devised in Oyo State, discovery, and application of neem seed to enhance soil fertility in Kaduna State, improved soil erosion control in Enugu State, rainwater harvesting in Taraba State, intentional cover cropping in Cross River State to farmland management (organic manure) in Benue State. They all have simple but profound technologies driving these schemes which will be discussed in details.

This finding harmonized with that of Eze and Osahon (2015) who listed adaptation strategies as improved crop varieties, composting of organic waste, recycling, and waste minimization, improved cultivation techniques, and cover cropping.

Devastating Effects of Climate Change on Smallholder Farmers

All the smallholder farmers surveyed have in their more than 30 years of experience suffered different adverse effects of climate change. The prominent effect in Northern Nigeria is erratic rainfall and unusual heat (heatwave). They are also faced with desert encroachment advancing into Nigeria from Niger republic and pest invasion. Their counterparts in the South are majorly faced with flooding, infertility, increase in temperature, and pest invasion. The major effect in North Central is the increase in temperature, soil infertility, and pest invasion.

The finding is in agreement with the work of Lybbert and Sumner (2010) that temperature increase is an indication of climate change, and also the work of Adegoke et al. (2014), who stated that weeds, pests, and fungi thrive under warmer temperatures, wetter climates, and increased carbon dioxide (CO₂) levels.

The different climate change effects being experienced in different zones in Nigeria dictate the corresponding indigenous adaptation techniques, and these are presented in Tables 2 and 3.

Indigenous Adaptation Techniques in Use in Nigeria

North-Central Zone – Benue State

Benue State is the food basket of Nigeria, the state is popular for large-scale food and fruit production including yam, cassava, sweet potato, beans, maize, millet, guinea corn, vegetables, soybeans, rice, and citrus. The smallholder farmers in Benue State are faced with climate change impacts such as soil infertility, increase in temperature, pests and diseases invasion, crop failure, and increased weed. To mitigate/adapt to these effects, the adaptation strategies common to smallholder farmers in the state are mixed cropping, growing pest/disease-resistant crop varieties, use of cover crops, and making bigger ridges.

The prominent indigenous adaptation practice in the state is local farmland management. The Benue farmers devised a farmland management practice to adapt to climate change. The practice entails conscious efforts to reduce temperature on farmlands and is very similar to organic agriculture. It is a complete management system with high organic matter content (mulching), soil cover (cover crops/tree

Table 2 Devastating effects of climate change on smallholder farmers

Variables	Benue	Cross river	Enugu	Kaduna	Oyo	Taraba
	Mean (M)	Mean (M)	Mean (M)	Mean (M)	Mean (M)	Mean (M)
Soil infertility	4.2	2.1	1.9	4.4	2.7	3.0
Increase in temperature	4.3	4.0	4.3	4.2	4.4	4.1
Pest invasion	4.6	4.7	4.5	4.4	4.5	4.4
Crop failure	3.6	4.2	3.9	4.0	3.5	3.7
Increased weed	3.9	3.8	3.1	2.8	3.4	4.2
Drought	1.2	0.8	1.5	3.8	1.1	3.7
Land degradation	2.3	2.8	2.5	3.6	3.1	3.2
Flooding	3.2	3.8	4.1	3.6	3.9	2.9
Access to water	2.4	0.5	1.5	3.7	1.2	3.4
Decrease in soil moisture	3.1	1.5	2.4	3.2	2.1	3.7
Erosion	3.4	3.2	3.9	3.1	3.8	2.9

Source: Fieldwork

planting), and high soil fertility (crop rotation, organic manures, and legume planting) thus retaining nutrient and water, building more floods, drought, and land degradation resilient soils.

North West Zone – Kaduna State

Kaduna is a state in which 80% of the people are actively engaged in farming. They produce crops ranging from yam, maize, beans, guinea corn, millet, rice, and cassava. The prevalent climate change impacts in the state are drought, pests and diseases invasion, crop failure, land degradation, increase in temperature, flooding, access to water and soil infertility. The widely adopted adaptation practices are mixed farming, mixed cropping, growing drought-resistant crop varieties, growing pest-resistant crop varieties, crop rotation, irrigation, roof water harvesting, and making bigger ridges.

The unique indigenous adaptation method in use in the State is the application of neem seed for pest control (used as fumigant, pesticide), compost (used as fertilizer, manure), and soil fertility (used as soil conditioner and urea coating agent).

North-East Zone – Taraba State

Taraba State just as his North-West counterpart is 80% agrarian. They produce crops ranging from maize, millet, sorghum, rice, yam, cassava, and sweet potatoes. They experience climate change effects such as high rate of weeds, drought, decrease in soil moisture, increase in temperature, decrease in crop yields, and high rate of pests and disease incidence. The adaptation measures generally in use in the State are growing drought-resistant crop varieties, crop rotation, irrigation, integration of livestock farming system, changing crop varieties, mulching, and intercropping.

Table 3 General adaptation techniques in use in Nigeria

Variables	Benue	Cross river	Enugu	Kaduna	Oyo	Taraba
Mean max 5	Mean (M)	Mean (M)	Mean (M)	Mean (M)	Mean (M)	Mean (M)
Mixed cropping	3.7			3.8		
Growing pest/disease resistant crop varieties	3.9	3.7	3.7	4.1	4.4	3.4
Use of cover crops	3.6	3.7	2.9	3.3	3.4	3.1
Making bigger ridges	3.5	3.3	3.3	3.7	3.4	3.3
Mixed farming	3.2	2.7	2.8	4.4	3.1	3.4
Growing drought resistant crop varieties	3.1	2.1	2.8	3.6	2.8	3.5
Mulching	3.1	4.2	3.3	3.2	3.9	4.4
Crop rotation	3.4	2.1	2.4	3.7	2.7	4.1
Irrigation	3.4	3.3	3.4	4.0	4.2	4.1
Roof water harvesting	3.1	2.7	2.8	3.7	2.5	3.1
Integration of livestock farming system	2.3	1.2	1.8	3.3	2.9	3.6
Changing crop varieties	2.6	2.2	2.4	3.1	3.3	3.6
Intercropping	3.2	2.3	2.8	3.1	3.3	3.8
Use of pesticides/herbicides	2.4	2.5	3.9	2.7	4.2	3.1
Construction of drainage systems	1.2	3.7	4.2	2.2	2.9	2.8
Contour cropping	2.1	2.0	3.9	3.1	3.4	3.1
Diversification in crop planting	2.4	3.6	3.8	2.8	3.2	3.0
Ridge construction	2.8	2.7	3.3	3.4	3.3	3.5
Crop substitution	3.4	2.1	2.8	3.3	3.4	3.7
Changing planting dates	3.1	3.1	3.0	3.3	3.2	3.5

Source: Fieldwork

The indigenous adaptation practice unique to Taraba State is rain harvesting. Access to water is an issue in the state and thus the need for irrigation. Rain harvesting is used to augment the water used for irrigation. The most common is roof water harvesting which is channeled into catchment tanks or concrete reservoirs.

South-East Zone – Enugu State

Enugu State has a diversified economy dominated by agriculture. Major crops produced in the State are yam, cassava, maize, rice, cowpea, sweet potatoes, and plantain. Their crop production is impaired by climate change effects such as increase in temperature, flood, soil erosion, pests and diseases invasion, and crop failure. Adaptation practices widely in use in the State include planting pest/disease-resistant crop varieties, use of pesticides/herbicides, construction of drainage systems, contour cropping, and diversification in crop planting.

Soil erosion is the major problem affecting smallholder farmers in Enugu State, and its volume was aggravated by the effects of climate change. Their key indigenous adaptation strategy is soil erosion control using stone and sandbags to divert

erosion away from the farms, ridging, and use of wrapped weeds or grasses to cover planted heaps. Stems from previous harvests are also arranged or scattered on the soil in bands to reduce soil erosion. Tree planting on the borders of the farm also prevents soil erosion as the trees shield the farm from direct impact of rainfall.

South-South Zone – Cross River

Cross River State famously known for tourism and fishing is also fully involved in agriculture. The crops mainly cultivated in the State are cassava, yam, plantain, rice, and maize. Although it is a coastal state, they also have their share of climate change effects. They are affected by increased weeds, pests and diseases invasion, increase in temperature, crop failure, and flooding. They adapt by planting pest-resistant crop varieties, diversification of crops, planting cover crops, mulching, and construction of drainage systems.

Indigenous land improvement methods are the key indigenous adaptation practice in Cross River State. These methods include organic addition to the land (mulching, compost, and manure), cover tree planting, planting of legume crops (mixed cropping), and polyculture. Water harvesting from runoffs also helps to maintain soil moisture during dry season.

South-West Zone – Oyo State

Oyo State is an industrialized state with many educational institutions. It also enjoys diversified economy with agriculture as the major occupation. Smallholder farmers in Oyo State are faced with climate change effects like their counterparts in other states, this includes flooding, pests and diseases invasion, soil erosion, crop failure, and increased temperature. Their general adaptation methods are ridge construction, use of pesticides and pest-resistant crop variety, irrigation, crop substitution, and changing planting dates.

The major captivating indigenous adaptation method practiced by the smallholder farmers in Oyo State is swamp farming. There are lots of swamp areas in Oyo State, where smallholders resort to when there is prolonged delay in rainfall season. This initiative allows early and late farming as there is constant access to water. Some farmers in Oke Ogun area of the state channel their domestic wastewater to wet their vegetable farms all year round.

These findings are in agreement with that of Adger et al. (2007) that listed adaptation strategies as migration, improved crop varieties, or building different types of shelter, while Anríquez and Stamoulis (2007) list include changing the timing of operations, adoption of conservation tillage practices, and diversification in production systems, improvement of irrigation schemes, modification of farm support programs, and development of new plant varieties.

Constraints to the Development of Indigenous Adaptation Techniques in Nigeria

The various indigenous adaptation strategies assessed have developmental constraints. The major is inadequate financial resources; adaptation practices are cost-

intensive and these smallholder farmers cannot afford elaborate adaptation strategies. Even the indigenous methods need funding to develop, e.g., the planting and processing of neem seed in Kaduna State and soil erosion control in Enugu State. Other constraints include inadequate farm labor due to express increase in rural-urban migration; poor extension services, insufficient drought-resistant varieties, and strict adherence to local varieties (see Table 4).

Indigenous Adaptation Techniques Contributing Factors

The results of the partial least squares (Ringle et al. 2005) are presented in Fig. 1, and showed the factor loadings for all observed variables, R^2 value of the unobserved endogenous (dependent) variable as well as regression coefficients between exogenous and endogenous unobserved variables. Nine (9) observed variables

Table 4 Constraints to the development of indigenous adaptation techniques in Nigeria

Variables	Benue	Cross river	Enugu	Kaduna	Oyo	Taraba
	Freq /%	Freq /%	Freq /%	Freq /%	Freq /%	Freq /%
Finance	93	92	88	95	91	86
Farm labor	34	62	57	37	48	33
Poor extension services	23	42	38	31	54	48
Insufficient drought-resistant varieties	76	84	63	67	66	52
Strict adherence to local varieties	62	33	28	56	14	51

Source: Fieldwork

Fig. 1 Structural model of indigenous technology adoption

with high loadings were retained for further analysis, while items with low factor loadings were removed. The R^2 value of 0.412 indicates that about 41% variance observed in the choice of indigenous adaptation techniques employed by farmers can be explained by farmers' perceived effect of climate change, need for crop yield increase, and perception of changing weather.

Reliability and validity of unobserved variables is presented in Table 5. The results show that composite reliability (CR) which indicates the convergent validity in all the constructs is adequate and above 0.7 the minimum threshold (Hair et al. 2010). The average variance extracted (AVE) which is a more conservative method of measuring convergent validity than CR (Malhotra and Dash 2011) is also within the recommended threshold (>0.5). On the contrary, only the value of Cronbach's alpha for perceived effects was below the recommended threshold (0.7).

The results of the T-statistics showing the significant level of regression coefficients are presented in Table 6. This result showed that indigenous adaptation techniques by respondents is influenced by their perceived changes ($2.703; p < 0.05$) and yield increase ($6.665; p < 0.05$). The result suggest that respondent's choice of indigenous adaptation techniques depends on the aspect of perceived changes in climatic parameters as well as the usefulness of climatic information.

Table 5 Reliability and validity of unobserved variables

	AVE	Composite reliability	Cronbach's alpha	Communality	Redundancy
Indigenous technology	0.617	0.828	0.700	0.617	0.056
Perceived changes	0.942	0.970	0.938	0.942	
Perceived effects	0.589	0.737	0.317	0.589	
Yield increase	0.807	0.893	0.761	0.807	

Table 6 Path analysis

	Original sample (O)	Sample mean (M)	Standard deviation (STDEV)	Standard error (STERR)	T-statistics (O/STERR)
Perceived changes - > indigenous technology	-0.196	-0.198	0.072	0.072	2.703
Perceived effects - > indigenous technology	0.145	0.156	0.081	0.081	1.782
Yield increase - > indigenous technology	0.516	0.519	0.077	0.077	6.665

Conclusion

The chapter examined the various climate change effects affecting smallholder farmers across Nigeria. The general adaptation techniques adopted in each geopolitical zone of the nation were assessed as well as peculiar indigenous adaptation techniques initiated and in use in each zone. The constraints to the development of the indigenous adaptation techniques were also investigated. Going forward, these indigenous techniques need to be developed and commercialized; the state and federal government agricultural schemes and agencies can fund this project and support the efforts of the smallholder farmers. It is also essential for a functional link to be established between the farmers' indigenous innovation and the academia to foster research and development.

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