## RESEARCH

# Can diffusion tensor imaging lesion patterns predict the outcome of ischemic stroke?

Mervat Mostafa<sup>1</sup>, Maged Abdel Naseer<sup>1</sup>, Ramy Edward<sup>2</sup>, Mohammad Edrees<sup>1</sup> and Dalia M. Labib<sup>1\*</sup>

## Abstract

**Background:** Stroke can affect any portion of the central nervous system leading to a wide variety of symptoms depending on the part that is involved. Diffusion tensor imaging (DTI) is an emerging neuroimaging technique that allows measuring the integrity of white matter tracts.

**Objectives:** The objective of this study is to find out if the diffusion tensor tractography technique can provide prognostic information regarding clinical outcome following ischemic stroke.

**Subjects and methods:** Forty patients with acute ischemic stroke were studied with DTI. Size of infraction, degree of reduction of fractional anisotropy (FA), and pattern of corticospinal tract (CST) affection were identified. We assessed our patients according to the National Institute of Health Stroke Scale (NIHSS) and Medical Research Council (MRC) scale at onset of stroke and 3 months later.

**Results:** FA reduction was correlated with MRC and NIHSS on admission (P < 0.001, 0.004 respectively) and after 3 months (P < 0.001, 0.013 respectively). The pattern of CST affection was associated with NIHSS and MRC after 3 months (P = 0.035, 0.001 respectively).

Conclusion: DTI may be an indirect indicator of the ischemic stroke outcome.

Keywords: Diffusion tensor imaging, Tractography, Stroke, Prognosis, MRC, NIHSS

### Introduction

Stroke is the third common cause of disability and one of the leading causes of death worldwide. It can be further divided into ischemic or hemorrhagic [1]. The dysfunction can be physically, mentally, emotionally, and/or a combination of the three and it depends on the involved parts of the central nervous system (CNS) [2, 3].

A wide variety of factors can play a major role in the outcome of ischemic stroke including age, stroke severity, stroke mechanism, infarct location, comorbid conditions, interventions such as thrombolysis, stroke unit care, and rehabilitation [4-6]. Many authors were relying on the

\* Correspondence: dr.dalia.neuro@gmail.com

Full list of author information is available at the end of the article

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size of the infarction on magnetic resonance imaging (MRI) but with the emergence of MRI tractography, many authors suggested the location of infarction rather than its size to predict the prognosis following stroke [7]. Combining clinical scores with imaging the corticospinal tract (CST) integrity can improve predictions about the prognosis of motor outcome [8].

Diffusion tensor imaging (DTI) is an MRI technique for evaluating brain structure by measuring tissue water diffusion in 3-dimensional (3-D) space. Diffusion tensor tractography (DTT) visualizes the course of major white matter tracts which normally shows anisotropic diffusion in contrast to the grey matter thus DTI technique can be used to detect long patterns of orientation as well as the integrity of white matter tracts [9]. Also, it provides information about the course, the compression, displacement, or







<sup>&</sup>lt;sup>1</sup>Department of Neurology, Cairo University, Cairo, Egypt

interruption of white matter tracts in three dimensions thus it can be used to detect the prognosis following a stroke [10].

This work aimed to find out if brain imaging using diffusion tensor tractography technique can provide prognostic information regarding clinical outcome following ischemic stroke.

#### Patients and methods

Forty patients with first-ever acute or subacute ischemic stroke confirmed by conventional MRI and diffusionweighted imaging (DWI) were recruited and selected consecutively from the Neurology Department in Kasr Al-Ainy Hospital, Cairo University from May 2015 to November 2016. Patients were excluded if they had recurrent stroke, intracerebral hemorrhage, and contraindication to MRI assessment such as claustrophobia and/or cardiac pacemakers. All patients were treated with conservative medical therapies (no one received intravenous recombinant tissue plasminogen activator) and had started physiotherapy within 2 weeks after the stroke. Signed informed consent documents were provided by all patients or appropriate family members.

The patients were assessed twice (on admission and after 3 months) for stroke severity using the National Institutes of Health Stroke Scale (NIHSS) [11] and for motor performances of the upper and lower extremities using Medical Research Council (MRC) scale [12, 13]. Stroke severity was categorized as mild (NIHSS score 1–4), moderate (NIHSS score 5–10), and severe stroke (NIHSS score  $\geq$  10). MRC scale of the hemiparetic side was categorized as mild (4), moderate (2–3), and severe (0–1). The clinical recovery was defined as a decrease of points in the NIHSS score and increase points of the MRC scale. The patients were considered improved if the scores of the both scales had been better categorized.

Radiological examinations were conducted using a standard 1.5 Tesla whole-body MR system (Gyroscan Intera T15, Philips Medical Systems, Best, Netherlands). All patients were submitted to the MR imaging without prior preparation or anesthesia. The technique was performed using a birdcage head coil suited for MRI. The sequences obtained were axial T1W, T2W, FLAIR, DW, and diffusion tensor. T1WI: TR 450 ms, TE 15 ms, flip 69°, matrix 180  $\times$  169, FOV 210  $\times$  236, number of excitation: 2, slice thickness: 6.0/1.5. T2WI: TR 3619 ms, TE 100 ms, flip 90°, matrix 192 × 165, FOV 210 × 236, number of excitation: 2, slice thickness: 6.0/1.5. FLAIR: TR/TI 6000/2000 ms, TE 120 ms, matrix 208 × 192, FOV 210 × 236, number of excitation: 2, slice thickness: 6.0/1.5 diffusion-weighted imaging (DWI): a diffusion weighting factor of zero and 1000 s/mm<sup>2</sup>, TR 4100 ms, TE 115 ms, flip 90°, matrix  $132 \times 105$ , FOV  $210 \times 236$ , number of excitation: 1, slice thickness: 6.0/1.5 diffusion tensor: a single shot, a spin-echo planar sequence in 12 encoding directions. A diffusion weighting factor of 800 s/mm<sup>2</sup>, TR 8000 ms, TE 67 ms, flip 90°, matrix 112  $\times$  110, FOV 210  $\times$  236 mm, number of excitations: 2, slice thickness: 2.0/00. The images were transferred to the Philips extended MR workstation for postprocessing and the maps obtained were Greyscale FA maps, directionally encoded color FA maps, and 3D fiber tractography: were performed using multi-ROI technique based on known anatomy. Regions of interest (ROIs) were drawn in the unaffected portion of the white matter tracts, and the software detects the white matter tracts that passed through the ROIs. Measurements were performed at the site of infarction and the corresponding area at the contralateral hemisphere. A specific color was assigned to tracts running in the three orthogonal planes: red was for the right to left tracts, green for the anteroposterior tracts, and blue for craniocaudal tracts. We categorized the patients according to the size of infarction: small or lacunar (< 3 cm), mediumsized (3-8 cm), and large (> 8 cm). The FA reduction value: mild (0.4), moderate (0.2-0.3), and severe (0.1). The CSTs [14]: disrupted tract (if it showed a marked reduction of the anisotropy so that it could not be identified on the FA maps and could not be traced by fiber tracking algorithm), displaced tract (if it showed abnormal location or abnormal orientation, but it maintains its normal anisotropy when compared to the corresponding contralateral tract), and preserved tract (if it maintains its normal location, orientation, and anisotropy compared to the corresponding contralateral normal tract). The CSTs were considered disrupted if at least one tract was disrupted.

#### Statistical analysis

Data were coded and entered using the statistical package SPSS (Statistical Package for the Social Science; SPSS Inc., Chicago, IL, USA) version 21. Data were summarized using mean, standard deviation, median minimum, and maximum in quantitative data and using frequency (count) and relative frequency (percentage) for categorical data. Comparisons between quantitative variables were done using the non-parametric Mann-Whitney test. Comparisons between values measured at admission and values measured after 3 months were done using the non-parametric Wilcoxon signed-rank test. For comparing categorical data, chi-square ( $\chi^2$ ) test was performed. The exact test was used instead when the expected frequency is less than 5. Correlations between quantitative variables were done using the Spearman correlation coefficient. The receiver operating characteristic (ROC) was constructed to obtain the most sensitive and specific cutoff value of FA with a calculation of area under the curve (AUC). Logistic regression was done to detect independent predictors of improvement. P values less than 0.05 were considered statistically significant.

#### Results

This study included 27 males (57.5%) and 13 females (42.5%) with a mean age ( $\pm$  SD) of 62.75 years ( $\pm$ 8.33).

As regards the risk factors, hypertension was more common in patients (95%) followed by diabetes mellitus (DM) (70%), hyperuricemia (55%), dyslipidemia (52.5%), smoking (52.5%), ischemic heart disease (30%), and atrial fibrillation (AF) (15%).

The patients underwent MRI within the first 7 days of the stroke onset and we found that 22 (55%) of our patients had small-sized infarction, 37.5% had mediumsized infarction, whereas only 7.5% presented with large infarction. Thirty-three patients (82.5%) had infarcts in the distribution of anterior circulation while 7 patients (17.5%) had infarcts in the distribution of posterior circulation. As regards the site of the lesion, 22 patients (55%) had right-sided lesion and 18 patients (45%) had left-sided lesions. Only 5 patients (12.5%) had cortical infactions while 35 patients had subcortical infarctions.

On admission, clinical assessment of the patients was done using NIHSS scoring. Three patients had mild NIHSS with preserved CSTs pattern on MRI tractography. Thirtyone patients had moderate NIHSS with 35.5% of them showed disrupted tractography, 19.3% showed displaced pattern, and 45.2% showed preserved pattern. The last 6 patients were categorized as severe NIHSS with 66.7% of them showed disrupted form while the rest 33.3% showed preserved pattern. Some patients showed a decrease in the size of the CST, but it was intact throughout its extent. This was attributed to Wallerian degeneration.

The mean FA values inside the area of infarction (ROI) were statistically decreased (P < 0.001) in comparison to the contralateral unaffected area as their mean value was 0.26 ± 0.12 while the contralateral unaffected area, mean value was 0.5 ± 0.08.

A statistically significant difference was detected between the scores of NIHSS and MRC scale on admission and after 3 months. The patients gradually regressed with treatment and physiotherapy (Table 1).

The degree of reduction of FA at ROI was strongly correlated with NIHSS scale on admission (r = -0.446, P = 0.004) and after 3 months (r = -0.391, P = 0.013) (Table 2). The degree of reduction of FA at ROI was strongly correlated with MRC scale on admission (r = 0.629, P < 0.001) and after 3 months(r = 0.754, P < 0.001) (Table 3).

CST involvement was significantly correlated with the scores of the NIHSS after 3 months (P = 0.035). The NIHSS after 3 months of patients with preserved CST were lower than those with displaced CST, and those with displaced CST were significantly lower than those with disrupted CST. Therefore, the NIHSS scores of patients with disrupted CST after 3 months were significantly higher than patients with preserved and displaced CST (Table 4).

Table 1	Comparisor	n between	scores	of clinical	scales	on
admissic	on and 3 mo	nths after	stroke d	onset		

Clinical scales		On admission	After 3 months	P value
NIHSS score Mean ± SD		8.2 ± 3.16	5.72 ± 2.94	< 0.001
	Median(IQR)	8.5	5	
	Range	2–16	1-15	
	Mild Moderate Severe	3(7.5%) 31(77.5%) 6(15%)	14(35%) 23(57.5%) 3(7.5%)	
MRC scale	$Mean \pm SD$	$2 \pm 1.4$	3 ± 1.38	< 0.001
	Median (IQR)	2	4	
	Mild Moderate Severe	5(12.5%) 20(50%) 15(37.5%)	22(55%) 11(27.5%) 7(17.5%)	

*IOR* interquartile range, *MRC* Medical Research Council, *NIHSS* National Institute of Health Stroke Scale

\*Significant P value

Damage to the CST was significantly correlated with the degree of motor weakness measured by MRC on admission and after 3 months (P 0.002, 0.001 respectively) (Table 5).

There was a statistically significant association between the pattern of CST tracts involvement and axonal damage expressed as decreased FA values (P < 0.001) as patients with intact tract had normal FA values while those with interruption of the normal course of the tract showed variable degree of reduction of the FA values (Table 6).

So, the greater the reduction of rFA values, the more affection of the motor tract, and on follow-up, those patients showed less significant clinical improvement with treatment and physiotherapy.

ROC revealed that the rFA value of 0.3 can be used as a cutoff value to predict the prognosis of stroke patients. The sensitivity of this cutoff value was 64% with 73% specificity. The positive and negative predictive values were estimated to be 56.2% and 79.1% respectively. The AUC for the cutoff value was 0.774.

**Table 2** Correlation between the degree of FA reduction and NIHSS on admission and 3 months after ischemic stroke

FA reduction at ROI	NIHSS (	on admissic	n) NIHSS( after 3 mont			ıs)
	$\begin{array}{l} \text{Mild} \\ (n=3) \end{array}$	Moderate $(n = 31)$	Severe (n = 6)	$\frac{\text{Mild}}{(n = 14)}$	Moderate $(n = 23)$	Severe (n = 3)
Mild (n = 11)	3	6	2	5	5	1
Moderate (n = 18)	0	17	1	8	10	0
Severe (n = 11)	0	8	3	1	8	2
P value	0.004			0.013		

FA fractional anisotropy, NIHSS National Institute of Health Stroke Scale, ROI region of interest

\*Significant P value

FA reduction at ROI	MRC (on admission)			MRC (after 3 months)			
	Mild $(n = 5)$	Moderate ( $n = 20$ )	Severe ( $n = 15$ )	Mild ( $n = 22$ )	Moderate ( $n = 11$ )	Severe $(n = 7)$	
Mild ( <i>n</i> = 11)	5	4	2	9	2	0	
Moderate (n = 18)	0	13	5	13	4	1	
Severe ( <i>n</i> = 11)	0	3	8	0	5	6	
P value	< 0.001			<sup>&lt;</sup> 0.001			

Table 3 Correlation between the degree of FA reduction at ROI and MRC on admission and 3 months after ischemic stroke

FA fractional anisotropy, MRC Medical Research Council, ROI region of interest \*Significant P value

There was no significant association between infarct size and the CST involvement (P = 0.105), NIHSS or MRC scales neither on admission (P = 0.717, P = 0.133 respectively) nor after 3 months (P = 0.244, P = 0.596 respectively) (Fig. 1).

We used multivariate logistic regression to find the confounding factors affecting recovery. We found no independent predictors affecting recovery as all variables were not significant (P > 0.05) (Table 7).

#### Discussion

The early recovery from the stroke has been attributed to the resolution of tissue edema and mass effect associated with infarction. While relative preservation of the integrity of the white matter tracts particularly, the CST plays an important role in long-term recovery and indicates a better clinical outcome [15, 16].

In our study, we use both tools of the DTI which are the quantitative one represented by measuring the FA value in both the affected and unaffected sides of ischemic stroke and the other qualitative one by evaluating the corticospinal tract involvement within the infarcts.

This study revealed a statistically significant reduction in the FA values on the affected sides compared with the contralateral sides with a significant correlation between the FA value and the motor outcome of the ischemic stroke patients. Our results were in agreement with other studies [17–20]; and this reduction in the FA value in the infarction area signifies the loss of cellular integrity with irreversible cellular injury [21].

As diffusion anisotropy mirrors the fiber integrity and the degree of the fiber organization in the white matter tract [22], Pierpaoli and his colleagues [23] added that axonal loss, gliosis, and an accompanying increase in the extracellular matrix have been considered to be the determinants of the decrease in diffusion anisotropy in Wallerian degeneration [24]. So, FA is more sensitive to detect Wallerian degeneration than these conventional MR imaging parameters which requires at least 4–5 weeks after the stroke to detect it using T2-weighted images or conventional MRI parameters.

These explanations could find an answer about why there was a strong significant association between the pattern of CST affection and the FA reduction value indicating that the more severe affection of CST, the lower the FA reduction value (P < 0.001).

In agreement with previous studies [20, 25–27], we found a strong correlation between the FA values as measured by DTI and the NIHSS on admission (P < 0.004) and after 3 months of admission (P < 0.013). Moreover, a significant association (P = 0.001) and correlation (P < 0.001) between the FA values and the degree of weakness as measured by MRC scale on admission. The previous findings indicate that the FA value is strongly linked to the clinical presentation of the stroke patients either in the acute stage or during their follow-up period.

Despite in this current study, there was no significant association between the pattern of CST involvement in DTI and the NIHSS on admission (P = 0.178), there was still an association between the pattern of CST and the NIHSS after 3 months (P = 0.035). In agreement with previous studies [28, 29], there was a strong association between the pattern of CST involvement and the degree

Table 4 Correlation between CST involvement and NIHSS on admission and 3 months after ischemic stroke

		NIHSS (on admission)			NIHSS (after3 months)			
		$Mild\ (n=3)$	Moderate ( $n = 31$ )	Severe ( $n = 6$ )	Mild (n = 14)	Moderate ( $n = 23$ )	Severe $(n = 3)$	
CST P value	Disrupted	0	11	4	1	12	2	
	Displaced	0	6	0	2	4	0	
	Preserved	3	14	2	11	7	1	
P value	2	0.178			0.035			

*CST* corticospinal tract, *NIHSS* National Institute of Health Stroke Scale \*Significant *P* value

		MRC (on admission)			MRC (after 3 months)		
		Mild ( <b>n</b> = 5)	Moderate ( <b>n</b> = 20)	Severe ( <b>n</b> = 3)	Mild ( <b>n</b> = 22)	Moderate ( <b>n</b> = 11)	Severe ( <b>n</b> = 7)
CST P value	Disrupted	0	4	11	0	8	7
	Displaced	0	5	1	5	1	0
	Preserved	5	11	3	17	2	0
P value	2	0.002			0.001		

Table 5 Correlation between CST involvement and MRC on admission and 3 months after ischemic stroke

CST corticospinal tract, MRC Medical Research Council

\*Significant P value

of weakness measured by MRC on admission and after 3 months (P = 0.002) (P = 0.001) respectively. This could be explained by the fact that CST is the main tract responsible for voluntary motor power which is the main domain measured by MRC scale. In contrast, NIHSS which measures many other domains in addition to motor function as the level of consciousness, eye movement, gaze, sensation, ataxia, and dysarthria. So, we found that the pattern of CST involvement on admission can give us a good idea about the stroke prognosis after 3 months.

In our study, we found all the patients with disruption of corticospinal tracts had residual deficits on clinical follow-up, whereas the patients with displaced and preserved tracts had a near-complete neurological recovery. Our findings are in agreement with the previously reported studies [25, 30]. This indicates that fiber tractography is well-correlated with the clinical outcome of the patients which means the patients with severe white matter (WM) affection will have an unfavorable clinical outcome.

Although it seems logical that larger lesions would correlate with greater deficits [31], the results in the current study join a longstanding debate regarding the relationship between infarct size and clinical outcome measures of stroke. In agreement with previous studies [4, 32, 33], we found that the size of the lesion was not significantly associated with neither the pattern of CST involvement (P = 0.105), NIHSS on admission (P = 0.7), NIHSS after 3 months (P = 0.244), MRC on admission (P = 0.133) nor the MRC after 3 months (P = 0.596).

In agreement with the previous study of [34] where the size of the infarction is not stated, the main

**Table 6** Association between the pattern of CST affection and the FA at ROI

		CST	<b>P</b> value		
		Displaced	Disrupted	Preserved	
FA (ROI)	Mild	0	0	11	< 0.001
	Moderate	6	4	8	
	Severe	0	11	0	

CST corticospinal tract, FA fractional anisotropy, ROI region of interest \*Significant P value

influential factor of the clinical picture and infarction site and the degree of disruption of nerve fibers tracts may have roles. Whereas subcortical strokes are normally smaller than cortical strokes, the patients with subcortical infarcts have worse motor outcomes than those with cortical strokes. These findings may be explained by the extent of damage specifically within the CST which is a major determinant of motor deficit and not the size of the lesion.

 Table 7
 Multivariate linear regression model against clinical improvement

Variables	Stroke patients				Р		
		Not im	proved	Improved		value	
		Count	Percent	Count	Percent		
HTN	Yes	27	96.4%	11	91.7%	0.515	
	No	1	3.6%	1	8.3%		
DM	Yes	20	71.4%	8	66.7%	1	
	No	8	28.6%	4	33.3%		
Smoking	Yes	14	50.0%	7	58.3%	0.629	
	No	14	50.0%	5	41.7%		
Dyslipidemia	Yes	17	60.7%	4	33.3%	0.112	
	No	11	39.3%	8	66.7%		
Hyperuricemia	Yes	14	50.0%	8	66.7%	0.332	
	No	14	50.0%	4	33.3%		
ECG	Normal	21	75.0%	10	83.3%	0.838	
	IHD	5	17.9%	1	8.3%		
	AF	2	7.1%	1	8.3%		
ECHO	Normal	23	82.1%	11	91.7%	1	
	IHD	4	14.3%	1	8.3%		
	AF	1	3.6%	0	0.0%		
SIZE of infarction	Small	13	46.4%	9	75.0%	0.230	
	Medium	12	42.9%	3	25.0%		
	Large	3	10.7%	0	0.0%		
Side of infarction	Rt	16	57.1%	6	50.0%	0.677	
	Lt	12	42.9%	6	50.0%		

AF atrial fibrillation, DM diabetes mellitus, ECG electrocardiogram, ECHO echocardiography, HTN hypertension, IHD ischemic heart disease, Lt left, Rt right



**Fig. 1** Shows a female patient 70 years old, diabetic and hypertensive presented with left hemiplegia: **a**. axial T2 WIs shows the cortical and subcortical area of the high signal at the right frontoparietal region measuring about 13 × 4 cm in diameters. **b**. axial diffusion WIs (b1000) shows restricted diffusion of the lesion. **c**. coronal FA color-coded map overlaid over the coronal FLAIR image with fiber tractography of the CSTs. **d**. axial FA color-coded map overlaid over axial FLAIR image with 3D tractography of the CSTs showing disruption of the right CST (red) at the level of the infarction with reduced caliber of the rest of the tract. **e**. axial FA color-coded map overlaid over axial FLAIR image with fiber tractography of the IFOF. **f**. sagittal FA color-coded map overlaid over sagittal FLAIR image with fiber tractography of the inferior fronto-occipital fasciculus (IFOF) showing disruption of the anterior part of the right IFOF (yellow) compared with the left one (blue)

The advantage of DTI lies in the fact that it gives direct and superior visualization of the involved white matter tracts in vivo, which is currently not possible by conventional imaging and DWI and it is technically feasible to incorporate such a DTI sequence into the routine imaging protocol for stroke patients.

#### Conclusion

As relative preservation of CST results in subsequent good clinical recovery, so we concluded that DTI can be used as an indirect indicator for the ischemic stroke outcome.

#### Abbreviations

AUC: Area under the curve; CNS: Central nervous system; ROC: Receiver operating characteristic; CST: Corticospinal tract; DTI: Diffusion tensor imaging; DTT: Diffusion tensor tractography; DWI: Diffusion-weighted imaging; FA: Fractional anisotropy; IFOF: Inferior fronto-occipital fasciculus; MRC: Medical Research Council; MRI: Magnetic resonance imaging; NIHSS: National Institute of Health Stroke Scale; ROI: Regions of interest; SPSS: Statistical Package for the Social Science; WM: White matter

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#### Informed consent

An informed written consent was taken from each patient involved in this study prior to the conduct of any study-related activities. All data obtained from every patient were confidential and were not used outside the study. The patients have the right to withdraw from the study at any time without giving any reason.

#### Authors' contributions

MM and MAN contributed to the research idea, data acquisition, data analysis, interpretation, and manuscript review. DML contributed to research idea, data acquisition, data analysis, interpretation, and manuscript writing and review. RE contributed to the interpretation of radiological data. ME contributed to data acquisition, data analysis, and interpretation. All authors read and approved the final manuscript.

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#### Availability of data and materials

The datasets generated and/or analyzed during the current study are not publicly available due to the current Cairo University regulations and Egyptian legislation but are available from the corresponding author on reasonable request and after institutional approval.

#### Ethics approval and consent to participate

All procedures performed in the study were in accordance with the ethical standards of the institutional research committee and with the 1964 Helsinki declaration and its later amendments. Our study was approved by the ethical committee of the Department of Neurology, Faculty of Medicine, Cairo University, on the 4th of May 2015, but Cairo University does not provide approval reference number.

#### Consent for publication

Not applicable

#### Competing interests

None of the authors has any conflict of interest.

#### Author details

<sup>1</sup>Department of Neurology, Cairo University, Cairo, Egypt. <sup>2</sup>Department of Radio-diagnosis, Cairo University, Cairo, Egypt.

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