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Temporal changes in the internal stresses and pore pressures in a large-scale submarine mass transport deposit

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Abstract

We examined the temporal changes in the internal stresses and pore fluid pressures of a submarine mass transport deposit (MTD) in the Akkeshi Formation of the Upper Cretaceous–Paleocene Nemuro Group, eastern Hokkaido Island, Japan. We first analyzed previous paleostress field results from meso-scale faults in the MTD blocks, which indicated two phases during the evolution of the debris flow: phase I, radial spreading of the flow body during downslope movement; phase II, the flow body underwent compression during deposition on the basin plain. We also estimated the pore fluid pressure ratio from the fault orientation distribution. There was a large increase in the pore fluid pressure ratio during the transition from phase I to phase II that continued to rise during the initial stage of phase II and then decreased in its latter stages, whereas the maximum horizontal compressive stress increased throughout phase II. This variation in pore fluid pressure relates to the dynamics and evolution of the debris flow, where the clasts in the central part of the flow were supported by the excess pore pressure due to the compression of the debris flow as the flow head decelerated. Although pore fluid pressure plays a critical role in the dynamics of debris flows, there was no previous methodology to quantify both the stress fields and pore fluid pressures in large debris flows and their resultant MTDs. Our results implemented for outcrop studies imply that meso-scale faults in MTDs can provide clues to better understand these paleoflow mechanisms.

Keywords: Stress, Meso-scale fault, Mass transport, Debris flow, Landslide, Subduction zone

Introduction

Mass transport deposits (MTDs) are the major components of depositional systems in deep-sea environments. For instance, when a large trench-type earthquake occurs in a subduction zone, it has the potential to initiate a large submarine mass transport process, which can in turn generate a hazardous tsunami (e.g., Genesseeux et al. 1980; Ward 2001; Harbitz et al. 2006; Ogata et al. 2012; Festa et al. 2016). Understanding the development of MTDs is therefore an important goal for landslide hazard mitigation (e.g., Morgan et al. 2009). The concept of mass transport deposits contains a broad spectrum of depositional facies, including undeformed slide blocks facies, slump facies composed of folded and boudinaged successions, and debris flow facies characterized by

block-in-matrix structures. Among these MTD facies, this study aims to understand the formative processes of the debris-flow type facies, focusing on a role of excess pore pressure in the flow. Various field observations of modern examples and outcrops have suggested that fluid overpressure represents the most significant mechanism to account for the long run-out and the high velocity of mass transport events (e.g., Canals et al. 2004). Here, we try to measure the extent of this excess pore pressure from outcrops by quantitative textural analysis.

As described above, the behavior of debris flow sediments can be largely controlled by their excess pore pressures (e.g., Iverson 1997; Ilstad et al. 2004a, 2004b; D'Agostino et al. 2013). For instance, the experiments of Iverson et al. (2011) indicated that the acceleration of a debris flow only occurs when there is a positive feedback between overpressure in the debris flow and the entrainment of basal sediment. Excess pore pressure helps to maintain the suspension of sediment in the debris flow,

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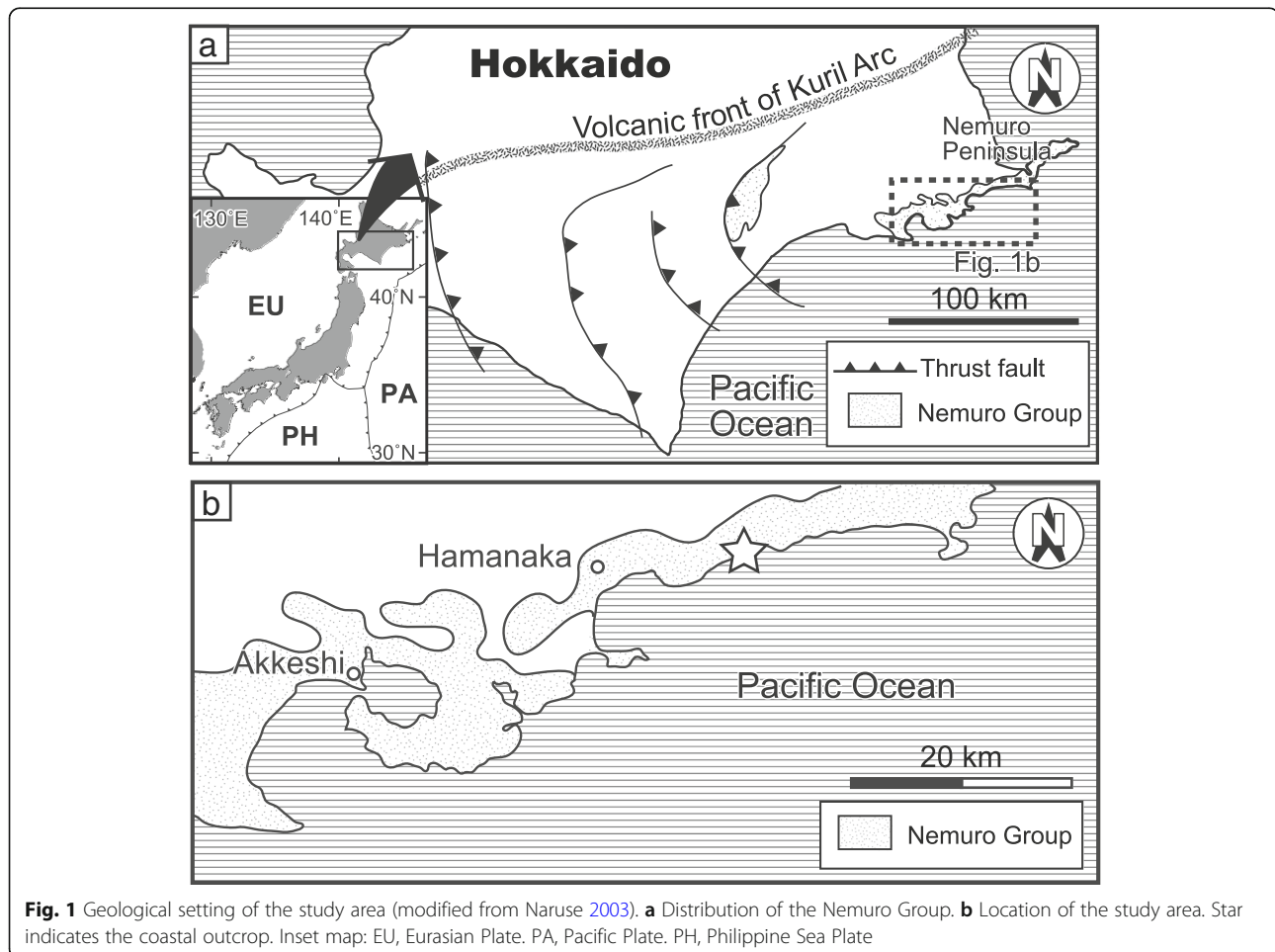
which can then scour the bed and reduce basal friction (Major and Iverson 1999). However, many approaches that model the behavior of debris flow sediments only adopt the simple viscoplastic (Bingham) fluid approximation with a constant pore pressure (e.g., Rickenmann et al. 2006). It is therefore necessary to examine the validity of applying this approximation to observed submarine debris flow processes, particularly since the relationships between pore pressure changes and fluid-flow behavior in debris flows are unclear.

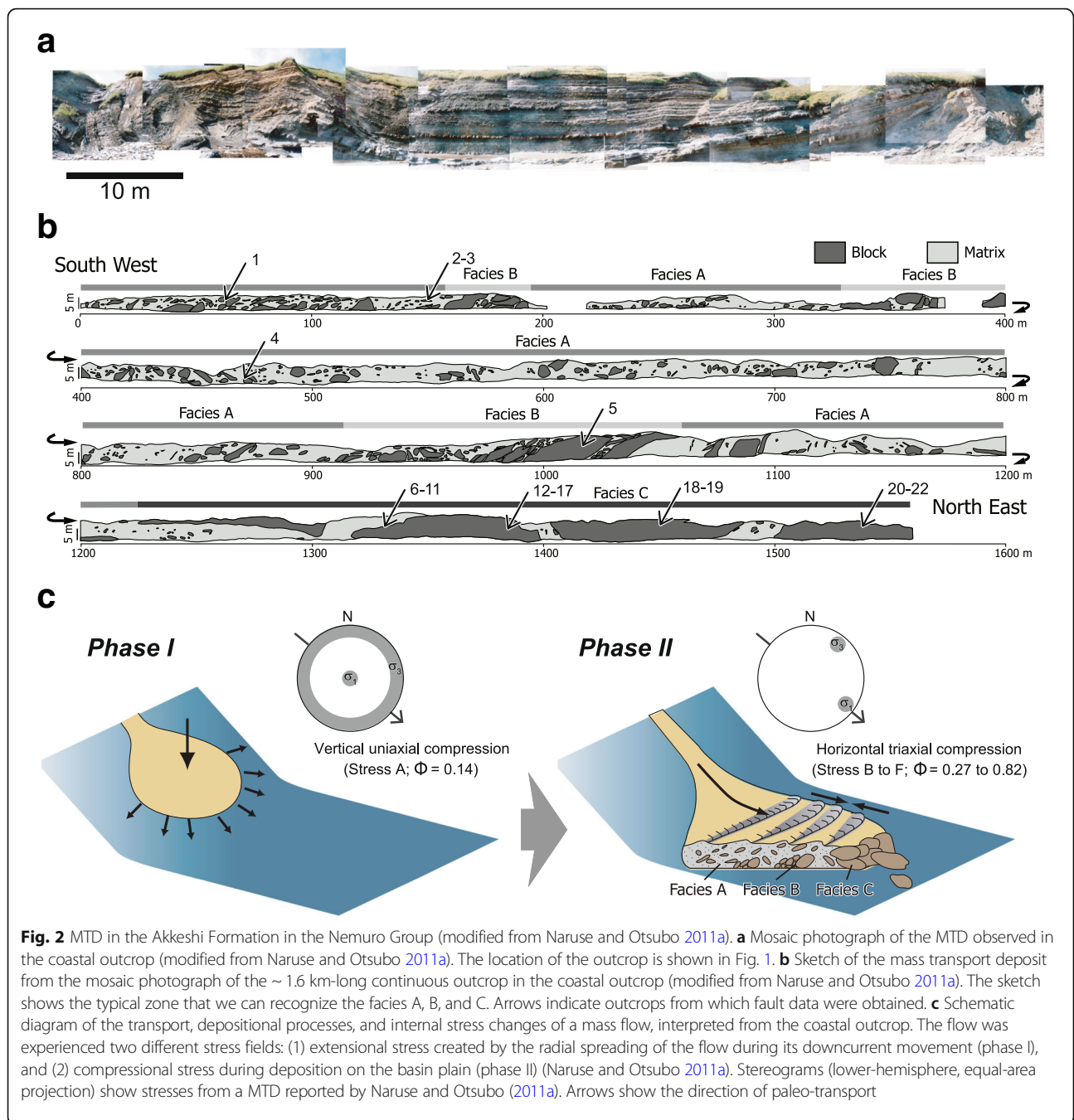
Here, we focus on the fluid-driven processes of a submarine MTD, which are related to both its flow dynamics and deformation processes. Faulting is generally attributed to overpressurization of the fault plane (e.g., Nur and Booker 1972; Sibson 2007). Previous studies have indicated that a zone of high pore fluid pressure is an important factor in the activation of faults, owing to the resultant reduction in frictional strength, which in turn leads to an instability on the fault plane (Terzaghi and Peck 1967; Rice 1992; Ogata et al. 2014a). Although basal shear stress and pore fluid pressure measurements have been made in

MTDs (e.g., Iverson 1997; Ilstad et al. 2004a, 2004b; D'Agostino et al. 2013), it is difficult to directly measure pore fluid pressures. Previous studies have therefore employed stress tensor inversions to reconstruct the paleostresses within gravitational slope failures (e.g., Husein et al. 2010; Naruse and Otsubo 2011a; Baroň et al. 2013, 2017).

Naruse and Otsubo (2011a) documented the internal structure of a submarine MTD in the Akkeshi Formation of the central section of the Upper Cretaceous–Paleocene Nemuro Group, eastern Hokkaido Island, northern Japan (Figs. 1 and 2). Paleostress analysis of meso-scale faults (Yamaji 2000; Otsubo and Yamaji 2006) within this large-scale MTD revealed that the evolution of the debris flow was defined by two phases: (1) an extensional stress regime due to radial spreading of the debris flow during downslope movement (phase I) and (2) a compressional stress regime during deposition of the debris on the basin plain (phase II) (Fig. 2c; Naruse and Otsubo 2011a).

Here, we examine the temporal changes in the internal stresses and pore fluid pressures in the above-





mentioned submarine MTD using the relationships between its principal stress axes and fault plane attitudes. We first introduce the internal structures and stresses in the submarine MTD. Secondary, we explain how to estimate the pore fluid pressure ratio. Thirdly, we then estimate the pore fluid pressure ratio in this submarine MTD. Finally, we conclude by discussing its dynamic processes. On the internal structures and stresses in the submarine MTD in the Akkeshi Formation, we introduce the results by Naruse and Otsubo (2011a).

Geological background

The submarine MTD in the Akkeshi Formation is from the central section of the Upper Cretaceous–Paleocene Nemuro Group in the southern Nemuro Peninsula, eastern Hokkaido Island, Japan (Fig. 1), and is interpreted to have been deposited in the fore-arc basin of the Kuril Arc (Kiminami 1983). The Nemuro Group is composed of the Nokkamappu, Otadai, Monshizu, Hamanaka, Akkeshi, and Kiritappu formations, in ascending order, and is unconformably overlain by the Eocene Urahoro

Group. The Nemuro Group is composed of submarine fan deposits that contain hemipelagic mudstone, as well as turbidites and submarine slump deposits (Kiminami 1978; Naruse 2003). The Akkeshi Formation has been interpreted as a series of submarine channel–levee complexes with large-scale MTDs (Naruse 2003).

Here, we analyze a coastal outcrop that shows a single continuous MTD bed over a distance of >1600 m (Naruse and Otsubo 2011a). Although the upper and lower boundaries are not exposed, the outcrop extends parallel to the strike of the bed and the paleo-transport directions (from northwest to southeast), such that lateral variations in the bed can be observed at nearly the same horizon. The MTD in this outcrop is subdivided into three lithofacies based on the size and spatial arrangement of accumulated blocks (Fig. 2; Naruse and Otsubo 2011a): Facies A consists of relatively small blocks that are supported by a matrix of gravelly mudstone, facies B contains clast-supported, moderate-sized blocks, and facies C is composed mainly of large (up to 100 m long) blocks.

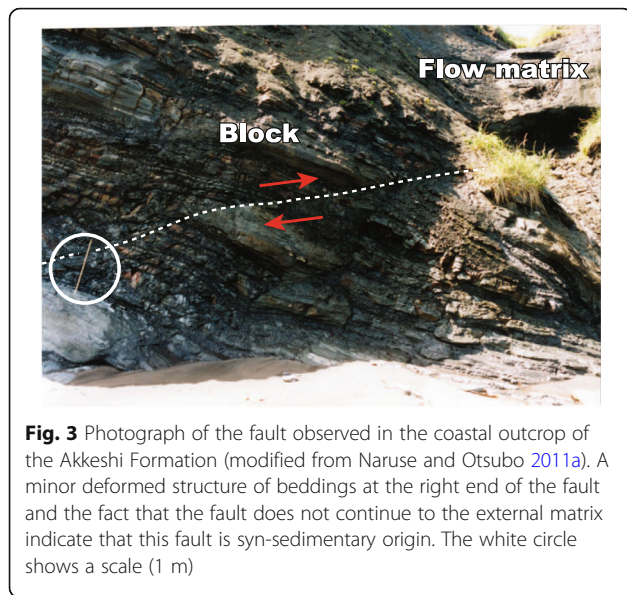
Facies A and B occur primarily toward the proximal end of the outcrop, while facies C occurs only at the distal end.

Syn-sedimentary stress analysis of the mass transport deposits

Naruse and Otsubo (2011a) analyzed 22 syn-sedimentary meso-scale faults in this submarine MTD and assessed the associated stress fields during the evolution of the debris flow (Table 1). Here, we briefly summarize the results of their analysis. The observed sedimentary blocks in the MTD are generally deformed. The small blocks (<5 m in diameter) commonly exhibit heavy deformation in the form of slump folding, and the larger blocks (~5–100 m) frequently contain small internal faults (Naruse and Otsubo 2011a). The small faults in the MTD blocks do not extend into the flow matrix, suggesting a syn-sedimentary origin. Naruse and Otsubo (2011a) measured the strike and dip of these small faults with the slickensides on the fault planes (Fig. 3). NW–SE and NNW–SSW trending meso-scale faults are commonly

Table 1 Fault-slip dataset of the meso-scale faults obtained by Naruse and Otsubo (2011a) and misfit angles on the meso-scale faults. When a stress with a misfit of <30° is judged to be compatible (e.g., Nemcok and Lisle 1995), then stresses A, C, D, and F can explain slip vectors on 20 faults (excluding the data for faults 4 and 7). This result indicates that only stresses A, C, D, and F can be adopted as the optimum solution in this study. In other words, stresses B and E are not needed to explain the data

No.	Faults				Misfit angle (°)					
	Strike (°)	Dip (°)	Rake (°)	Sense	Stress A	Stress B	Stress C	Stress D	Stress E	Stress F
1	N23W	74S	R85E	N	2	3.3	93.5	146.2	149.4	3.6
2	N24W	62S	R80E	N	2.3	2.3	90.2	140.7	117.2	34.6
3	N34W	48S	R90W	N	8.2	25.2	95.8	172.7	122.3	144.2
4	N14W	88S	R13W	N	92.4	91.3	164.7	142.2	31.9	101.5
5	N40E	68N	R74E	R	156.8	146.5	13.3	9.4	136.9	34.7
6	N18W	64S	R87E	N	5.8	12.3	97.4	141.7	110.8	6.8
7	N30W	80S	R85E	N	2.3	4.9	97.2	155.4	164.9	0.3
8	N45W	72N	R73E	N	1.3	120.7	8	19.3	65.5	161.8
9	N50W	66S	R86E	N	3.4	14.5	131.1	155.1	151.4	32.3
10	N16W	40S	R25W	R	102.9	41.9	10	3.2	2.2	92.6
11	N4E	40N	R16E	R	93.4	8.3	15.6	17.7	7	104.4
12	N23W	68S	R76E	N	6.6	3.8	85.6	136.8	118.6	12.3
13	N22W	50S	R52E	R	151.5	167.9	116.9	77.7	100.8	4.3
14	N16W	27S	R60E	R	167.6	55.2	105.6	143.4	99.5	1.2
15	N12E	40N	R30E	R	108.1	31.5	9.4	7.5	5.8	92.1
16	N8W	60S	R45W	R	124.6	111.9	30.6	2.8	42.7	65.6
17	N33W	62S	R62E	R	157.7	157.9	107	40.1	68.4	122.6
18	N10W	20S	R20W	R	85.6	60.8	0.8	57.9	2.6	104
19	N68W	58S	R54E	R	144.9	135.2	3.7	19	68.3	53.6
20	N30W	82N	R34W	N	76	131	23.6	29.7	148.5	112.2
21	N64W	84N	R50E	N	3.6	91.9	8.1	5	27.7	121.9
22	N42W	82S	R20W	R	117.9	123.4	17	73.1	78.7	115.5



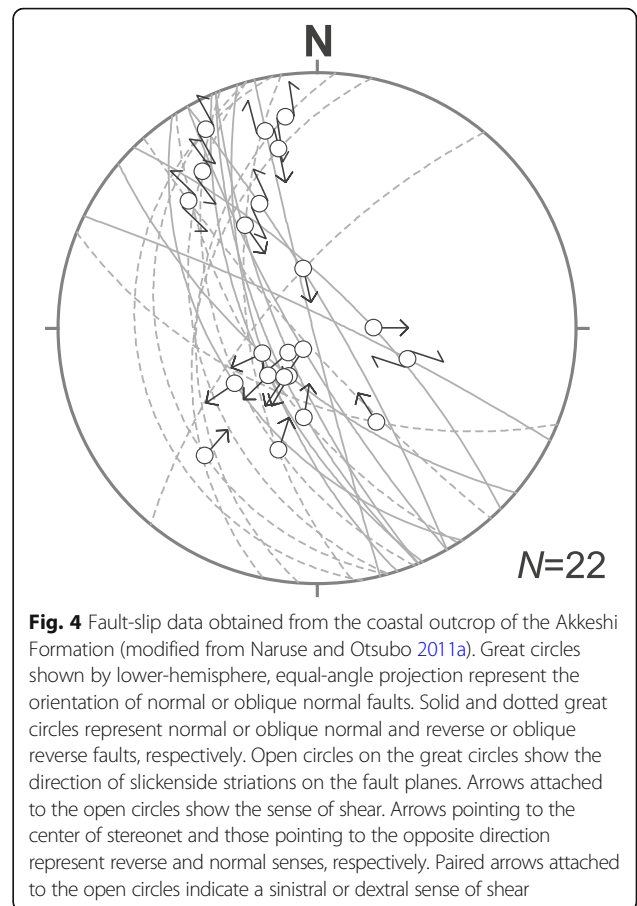
observed in the blocks (Fig. 4). Most of the faults are reverse faults, and there are few normal faults (Fig. 4). Furthermore, six paleo-stresses (stresses A–F) were detected from the meso-scale fault data in the MTD blocks using the multiple inverse method (Yamaji 2000; Otsubo and Yamaji 2006). These stresses were classified into two groups: (1) a vertical uniaxial compression stress field with the axis of maximum compressive stress (σ_1) oriented normally to bedding (stress A) and (2) horizontal triaxial compression stresses with the σ_1 -axis oriented parallel to the paleocurrent direction (stresses B–F) (Figs. 2c and 5; Table 2; Naruse and Otsubo 2011a).

Methods/Experimental

Here, we attribute the different fault orientations to the variable pore fluid pressure regimes along the individual faults, which then allow us to estimate the pore fluid pressure ratio (Fig. 6) defined as an indicator of the pore fluid pressure regime (Hubbert and Rubey 1959). This approach can be explained using Mohr circles, where the normal and shear stresses acting on a fault of any attitude (i.e., strike and dip) within a given stress regime are described by a point on the Mohr diagram (Jaeger and Cook 1979). We assume that the shear stress (τ) acting on a fault is described by Byerlee’s law, such that

$$\tau = \mu(\sigma_n - P_f), \tag{1}$$

where μ is the friction coefficient (usually 0.6–0.85; Byerlee 1978), σ_n is the normal stress (with compression being positive), and P_f is the pore fluid pressure. We can therefore determine the orientation of a fault plane, along with its associated normal and shear stresses, by identifying where



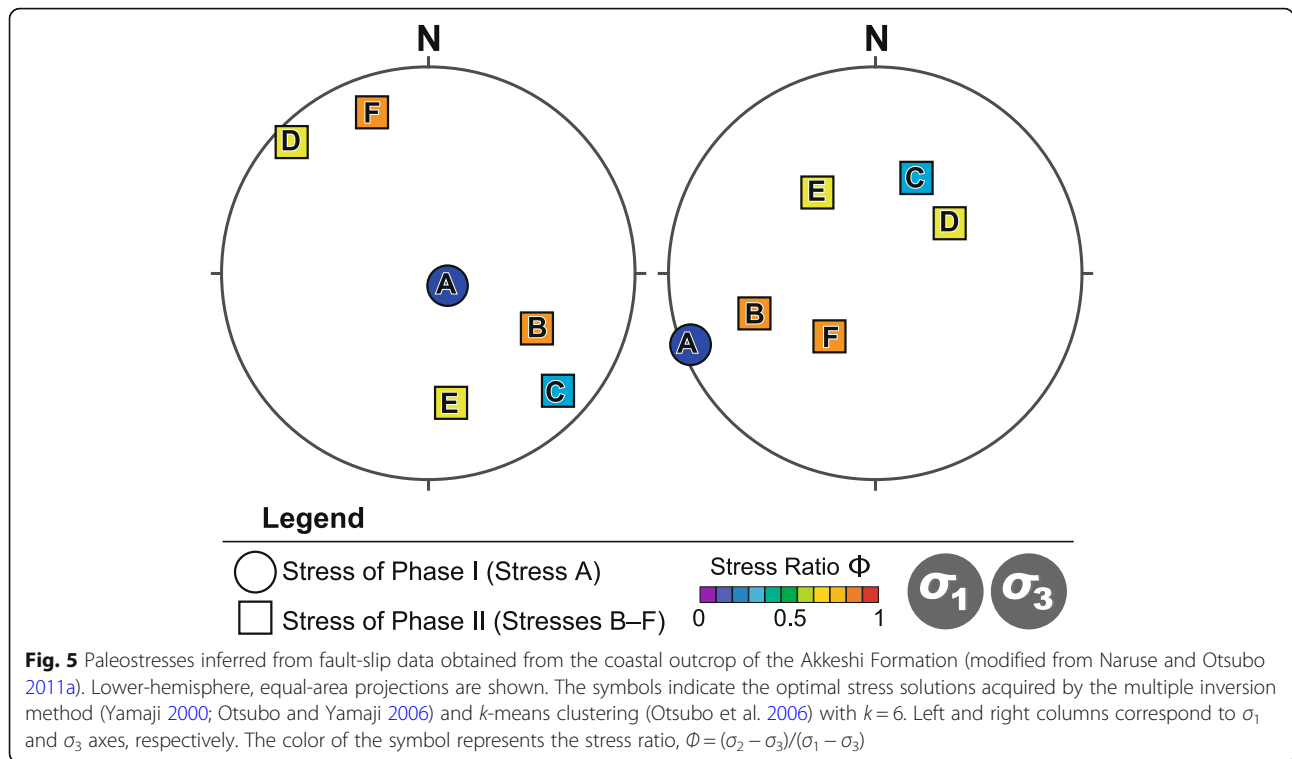
it is located on the Mohr diagram. Any variation in the attitudes of the faults is attributed to heterogeneities in the fault strength that are due to changes in the effective friction coefficient, which is represented by the ratio of the normal stress to shear stress on each fault (Angelier 1989). Equation 1 is therefore rewritten as

$$\tau = \mu(1-\lambda)\sigma_n, \tag{2}$$

where λ is the pore fluid pressure ratio, which is defined by $P_f = \lambda\sigma_n$. This implies that λ ($0.0 \leq \lambda \leq 1.0$) for a given fault plays an important role in determining τ . An increase in P_f weakens the fault, such that the Coulomb failure criterion (Eq. 1) can still be satisfied if the faults are misoriented or the pore fluid is overpressurized (Terakawa et al. 2010) (Fig. 6). The normal and shear stresses acting on a given plane depend on the orientation of the plane when the principal stresses are known (Jaeger 1969), such that

$$\sigma_n = \sigma_1 l^2 + \sigma_2 m^2 + \sigma_3 n^2 \tag{3}$$

and



$$\tau = \sqrt{(\sigma_1 - \sigma_2)^2 l^2 m^2 + (\sigma_2 - \sigma_3)^2 m^2 n^2 + (\sigma_3 - \sigma_1)^2 l^2 n^2}, \tag{4}$$

where *l*, *m*, and *n* are the direction cosines of the normal to the plane with respect to the principal stress axes, and σ_1 , σ_2 , and σ_3 are the maximum, intermediate, and minimum compressive principal stresses, respectively. Here, the shear and normal stresses are normalized by the maximum shear stress (τ_{max}). We prepared two synthetic fault planes (A and B) and a reverse faulting stress regime with the σ_1 -axis oriented NW–SE and the sub-vertical σ_3 -axis to demonstrate the model (Fig. 6a). We obtained λ values of 0.00 and 0.42 for the fault planes A and B, respectively, when we set $\mu = 0.6$ (Fig. 6b). The result means that P_f for fault plane B is larger than that for fault plane A under same stress regime.

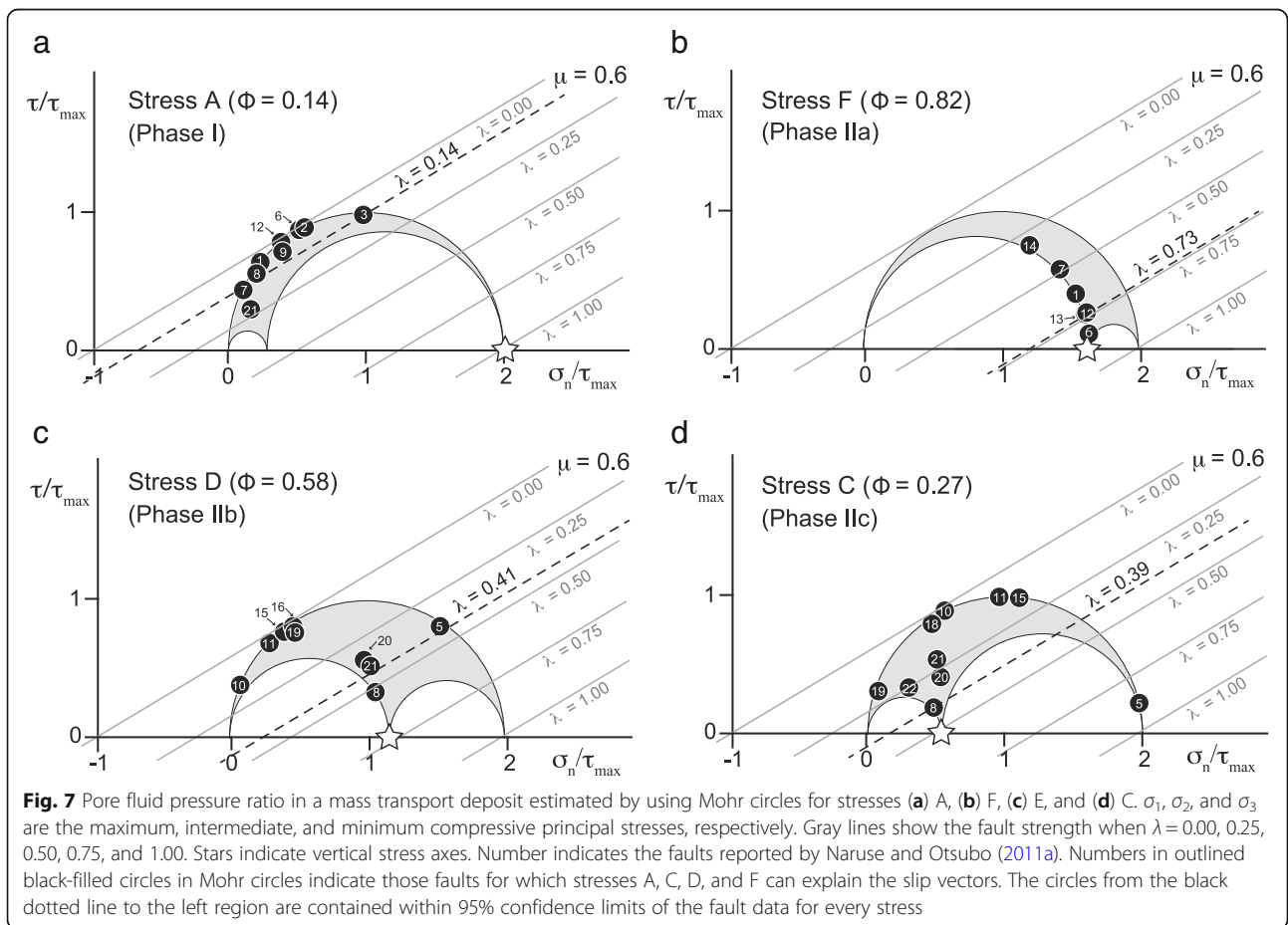
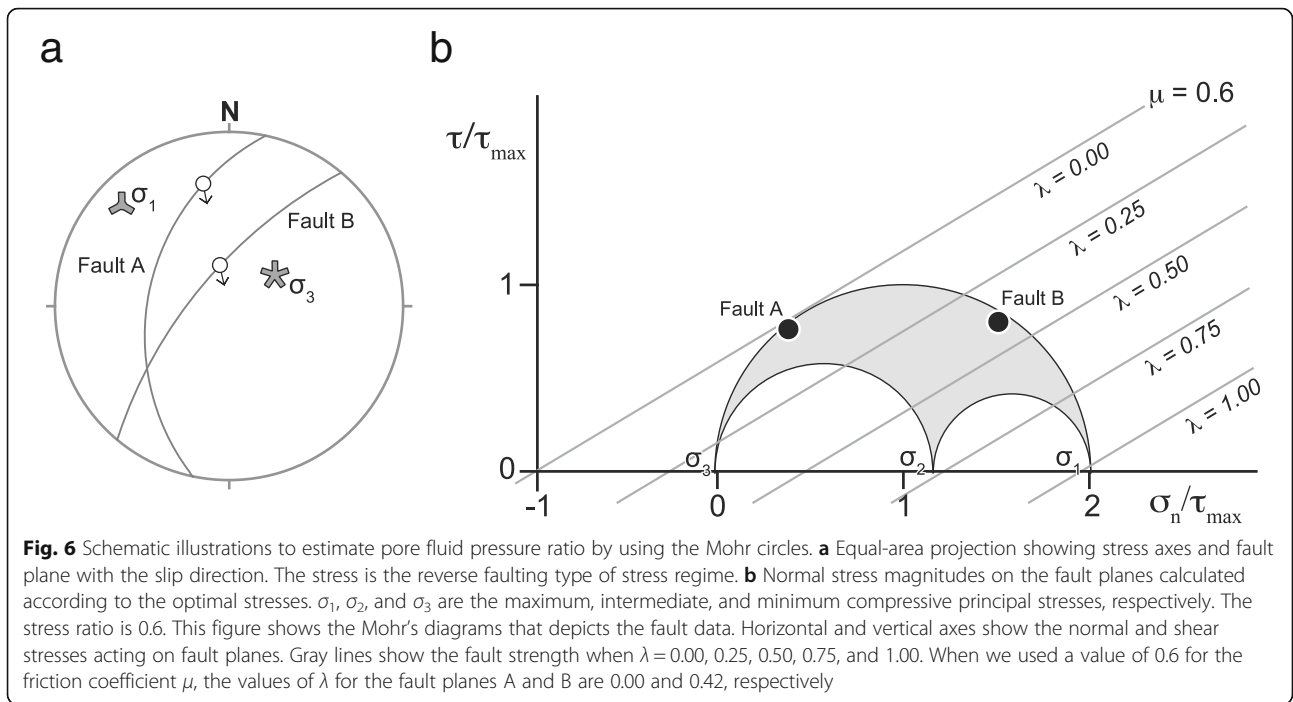
Table 2 List of paleostresses inferred from the meso-scale faults obtained by Naruse and Otsubo (2011a)

Stress	σ_1 -axes		σ_3 -axes		Stress ratio Φ
	Azimuth	Plunge	Azimuth	Plunge	
A	130	81	248	4	0.14
B	119	40	250	38	0.79
C	133	16	23	49	0.27
D	314	9	45	55	0.58
E	171	36	323	51	0.62
F	340	19	219	56	0.82

Results

We examined whether all the inferred stresses are required to parameterize the 22 MTD faults, with the six sets of stresses (stresses A–F) used as candidates for determining the optimal solution to the data (Yamaji et al. 2006). We calculated the angular misfits between the slip directions that were predicted from the inferred stresses and those observed from the fault data based on the Wallace–Bott hypothesis (Wallace 1951; Bott 1959), which states that the slip vector of a fault is parallel to the resolved shear stress on the fault. Stresses A, C, D, and F can explain the slip vectors on 20 faults (not faults 4 and 7; Table 1) when we assume that a stress with a misfit of $< 30^\circ$ is sufficient to characterize a fault (e.g., Nemcok and Lisle 1995). These results indicate that only stresses A, C, D, and F are needed to explain the data.

The faults characterized by stresses A, C, D, and F were plotted on Mohr diagrams, where we applied the Mohr circles to these 20 faults to estimate λ (Fig. 7). All representative points (faults) for each stress are shown in the gray shaded regions in Fig. 7. The points to the left of each black dashed line in the Mohr diagrams are within the 95% confidence limits of the fault data for every stress (Fig. 7). In this study, we define the 95% confidence limits that represent the optimal λ for the stresses. The λ values for stresses A, C, D, and F are 0.14, 0.39, 0.41, and 0.71, respectively, for $\mu = 0.6$ (Fig. 7). In the case of stress C, we excluded fault 5 from the λ discussions, because it lies far outside of the 95%



confidence limit for stress C and plots to the far right of the Mohr diagram (Fig. 7d). We can then calculate the pore fluid pressure coefficient for the MTDs from the Mohr diagrams.

Discussion

Here, we discuss temporal changes in the stress fields detected in the submarine MTD based on the outcrop-based approach. The two groups of the stress fields detected from the internal structures of a submarine MTD in the Akkeshi Formation were interpreted to represent the two flow phases (phases I and II) during the evolution of the debris flow (Naruse and Otsubo 2011a, 2011b). Numerical simulations of debris flows revealed that the flow initially expands in the downstream region (Naruse and Otsubo 2011b), where the blocks in the flow undergo vertical compression and lateral extension during this flow phase (phase I). This phase I stress field, which indicates uniaxial vertical compression, is best represented by stress A. The head of the debris flow reaches the low-gradient downstream region and then decelerates rapidly after lateral expansion of the flow, whereas the main body of the flow continues to move. This results in the shortening of the slide body due to the velocity difference between the head and the body, which produces compressional ridges on the surface of the deposit (Naruse and Masuda 2006; Naruse and Otsubo 2011b). This phase II stress field, which indicates triaxial compression with the σ_1 -axis oriented parallel to the horizontal flow direction (from northwest to southeast; Naruse and Otsubo 2011a), is best represented by stresses B–F (Naruse and Otsubo 2011a, 2011b). In this study, we ignore the rotation of block during the phase II because the orientation of σ_1 -axis coincides with the paleo-current direction (Naruse and Otsubo 2011a).

The numerical experiments suggest that the maximum horizontal compressive stress (σ_{hmax}) increases during phase II, when the debris flow is deposited on the basin plain (Naruse and Otsubo 2011b). This may cause a variation in the stress ratio (Φ), which is defined as:

$$\Phi = \frac{\sigma_2 - \sigma_3}{\sigma_1 - \sigma_3} \tag{5}$$

We therefore subdivided phase II into three subphases on the basis of the stress ratio: phases IIa, IIb, and IIc, which have high ($\Phi \sim 0.8$), intermediate ($\Phi \sim 0.6$), and low ($\Phi \sim 0.3$) stress ratios, respectively (Fig. 7). Phase IIa underwent an axial tension with $\sigma_1 \approx \sigma_2 (= \sigma_v) > \sigma_3$, where σ_v is the overburden pressure, whereas phase IIc underwent an axial compression with $\sigma_1 > \sigma_2 (= \sigma_v) \approx \sigma_3$. Therefore, the increase in Φ represents the increase in σ_{hmax} during phase II. We interpreted that stresses F, D, and C

must be the primary stresses of phases IIa, IIb, and IIc, respectively, to ensure a consistent relationship between σ_v and σ_{hmax} .

We estimated σ_{hmax} in phase II normalized by the differential stress of phase I (stress A; $\sigma_v^A - \sigma_{hmin}^A$) (Fig. 8), where σ_{hmin} is the minimum horizontal compressive stress. We observe that σ_v is highest in phase I and then decreases by a factor of two during phase II, as inferred from the temporal changes in the basal normal stress that are revealed in Fig. 5 of Iverson (1997). Here, the direction of σ_{hmin} is approximately orthogonal to the MTD paleocurrent direction (Naruse and Otsubo 2011b). σ_{hmax} during phase IIc is estimated to be approximately four times larger than σ_{hmax} during phase IIa (Fig. 8) when σ_{hmin} is held constant relative to σ_v and σ_{hmax} .

The estimated λ in phase II is larger than that in phase I (Fig. 8), which indicates the important relationship between pore fluid pressure and faulting during phase II. λ reaches a maximum value during phase IIa and then decreases to a stable value by phase IIb, whereas σ_{hmax} increases throughout phase II (Fig. 8). We interpret that the increased pore fluid pressure during phase IIa is reduced during the faulting in phases IIb and IIc. These temporal changes in the MTD pore fluid pressures are consistent with the temporal changes in the basal pore pressure revealed by Iverson (1997).

Figure 9 shows a schematic illustration of the dynamic processes during phase II of the submarine MTD

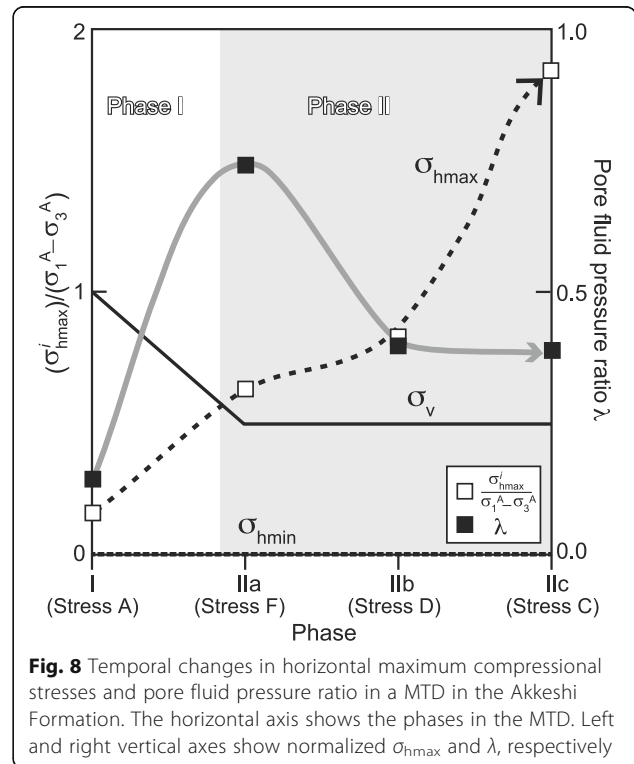
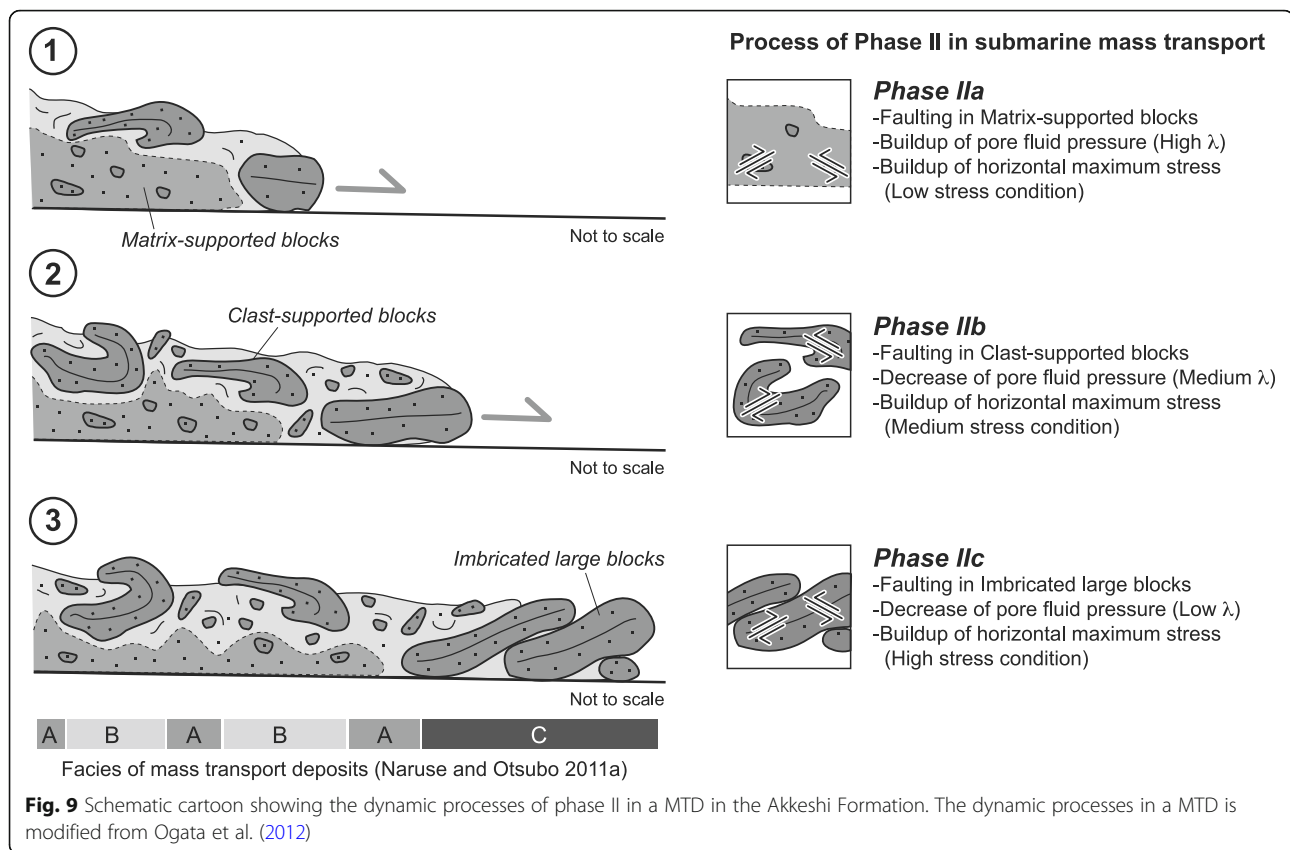


Fig. 8 Temporal changes in horizontal maximum compressional stresses and pore fluid pressure ratio in a MTD in the Akkeshi Formation. The horizontal axis shows the phases in the MTD. Left and right vertical axes show normalized σ_{hmax} and λ , respectively



depositional sequence. We infer that the buildup of pore fluid pressure during phase IIa was caused by the rapid and undrained shortening deformation of the debris flow under matrix-supported conditions (Fig. 9a; Ogata et al. 2012, 2014a, 2014b). However, the decrease in pore fluid pressure caused an increase in the effective stress during the drained deformation of the debris flow following phase IIb, which resulted in the variable faulting patterns from phase IIa to phase IIc (Fig. 9b, c). These phenomena may have promoted shortening deformation of the debris flow during phase IIc (Fig. 9c), because faults 18–22 (observed at the distal end of the outcrop) were activated by the phase IIc stress field (Fig. 9d). The above results therefore indicate that it is possible to estimate the temporal changes in the internal stresses and pore fluid pressures in MTDs from the relationships between the principal stress axes and the orientations of fault planes. Although the entire path of the flow may exceed tens of kilometers, this study implies that the 1.6 km-long outcrop was large enough for examining sufficient number of blocks that can record the whole history of stress in the flow.

Our results suggest that a drop in pore fluid pressure plays a significant role in the deceleration and subsequent deposition of large-scale submarine debris flows (Ogata et al. 2012, 2014a, 2014b). This is the first known

documented attempt to infer pore fluid pressures from outcropping submarine MTDs. Although previous studies have reported that the behavior of subaerial debris flows is controlled primarily by pore pressure (Iverson 1997, 2005), numerical modeling of submarine debris flows (e.g., Imran et al. 2001) generally assumes that the pore fluid pressure in such flows is constant, because they generally contain large amounts of clay particles that impede a drop in excess pore fluid pressure. However, the large-scale MTDs investigated here indicate the necessity to develop models that incorporate the process of pore fluid pressure. Future work will focus on comprehensive studies of the process by integration of the outcrop investigation and numerical study.

Conclusions

We examined temporal changes in the internal stresses and pore fluid pressures of a submarine mass transport deposit in the Akkeshi Formation from the central section of the Upper Cretaceous–Paleocene Nemuro Group, eastern Hokkaido Island, Japan. The evolution of this debris flow comprised of two phases: phase I consisted of radial spreading of the debris flow during downslope movement, and phase II consisted of compression during deposition on the basin plain. The distribution of fault orientations was sensitive to the internal stress phases, which can be

attributed to the variable pore fluid pressure along the individual faults. There was a large increase in the pore fluid pressure ratio during the transition from phase I to phase II from the fault orientation distribution. Our analysis suggests that this drop in pore fluid pressure plays a significant role in the deceleration and subsequent deposition of large-scale submarine debris flows. The dynamics of submarine debris flow processes are poorly known, due to the extreme difficulties in directly observing them. The large-scale submarine mass transport deposit investigation here highlights the need to develop models that incorporate the dynamics of pore fluid pressure.

Abbreviation

MTD: Mass transport deposit

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Availability of data and materials

Please contact the author for data requests.

Authors' contributions

MO proposed the topic and conceived and designed the study. MO carried out the estimation of the internal pore pressure ratio, drafted the figures, and contributed to the assessment and discussion of the results. HN and AM contributed to the discussion of the results. All authors read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

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