

RESEARCH ARTICLE

Symplectic instanton bundles on \mathbb{P}^3 and 't Hooft instantons

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Abstract We study the moduli space $I_{n,r}$ of rank-2r symplectic instanton vector bundles on \mathbb{P}^3 with $r \ge 2$ and second Chern class $n \ge r+1$, $n-r \equiv 1 \pmod{2}$. We introduce the notion of tame symplectic instantons by excluding a kind of pathological monads and show that the locus $I_{n,r}^*$ of tame symplectic instantons is irreducible and has the expected dimension equal to 4n(r+1) - r(2r+1). The proof is inherently based on a relation between the spaces $I_{n,r}^*$ and the moduli spaces of 't Hooft instantons.

Keywords Vector bundles · Symplectic bundles · Instantons · Moduli space

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1 Introduction

A symplectic instanton vector bundle of rank-2r and charge n on the projective 3-space \mathbb{P}^3 is an algebraic vector bundle $E = E_{2r}$ of rank-2r on \mathbb{P}^3 which is equipped with a symplectic structure $\phi: E \xrightarrow{\sim} E^{\vee}, \phi^{\vee} = -\phi$ and satisfies the vanishing conditions $h^0(E) = h^1(E \otimes \mathcal{O}_{\mathbb{P}^3}(-2)) = 0$. The Chern classes $c_1(E)$ and $c_3(E)$ vanish, and we also assume $c_2(E) = n \ge 1$. We shall denote by $I_{n,r}$ the moduli space of symplectic (n, r)-instantons.

Rank-*r* symplectic instantons on \mathbb{P}^3 relate in a natural manner with "physical" **Sp**(*r*) instantons on the four-sphere S^4 , i.e., connections on principal **Sp**(*r*)-bundles on S^4 with self-dual curvature [1]; the moduli spaces of the former are in a sense a complexification of the moduli spaces of the latter. This relation is expressed by the so-called Atiyah–Ward correspondence [1,3], which relies on the fact that the projective space \mathbb{P}^3 is the twistor space of the four-sphere S^4 . The present paper and its companion [7] are the first to study the geometry of the moduli spaces $I_{n,r}$. While [7] studied the case $n \equiv r \pmod{2}$, with $n \ge r$, the present paper deals with the other case, $n \equiv r + 1 \pmod{2}$, with $n \ge r + 1$. The main result of this paper is that a component $I_{n,r}^*$ of $I_{n,r}$ that is singled out by a certain open condition (which rules out some "badly behaved" monads) is irreducible.

We exploit as usual the monad method [2,4-6,8,11,12], which allows one to study instantons by means of hyperwebs of quadrics. Namely, we realize $I_{n,r}$ as the quotient space of a principal $GL(H_n)/{\{\pm id\}}$ -bundle $\pi_{n,r}: MI_{n,r} \to I_{n,r}$, where $MI_{n,r}$ is a locally closed subset of the vector space S_n of hyperwebs of quadrics (precise definitions will be given later on). The tame locus $I_{n,r}^*$ being open in $I_{n,r}$, its irreducibility is equivalent to that of $MI_{n,r}^* = \pi_{n,r}^{-1}(I_{n,r}^*)$. The key ingredient of our approach is the reduction of the last problem to that of certain sets Z_{n-r+1} (see Sect. 3). The sets Z_i as locally closed subsets of some vector spaces related to S_n were first defined in [9]. It is shown in [9, Section 9] that Z_i can be interpreted essentially as open subsets of certain affine bundles over the monad spaces M_{2i-1}^{tH} of 't Hooft rank-2 mathematical instantons of charge 2i - 1—see more details in Sect. 3.2. Thus the irreducibility of Z_{n-r+1} , hence that of $I_{n,r}^*$, is reduced to the irreducibility of the moduli spaces of 't Hooft instantons of fixed charge, which is well known; see references in [9]. This nontrivial relation between the spaces $I_{n,r}^*$ and the moduli of 't Hooft instantons is crucial for the results in this paper. Note that this process of reduction from $I_{n,r}^*$ to the moduli of 't Hooft instantons somewhat resembles Barth's approach in [4] to the proof of irreducibility of the moduli space I_4 of instantons of charge 4. In that paper, Barth reduces the problem to the irreducibility of the space Q_n of commuting pairs of (good in some sense) pencils of quadrics for n = 4. In our case the role of spaces Q_n is played by the moduli spaces of 't Hooft instantons.

Notation and conventions. Throughout this paper, we consider an algebraically closed base field k of characteristic 0. All schemes will be Noetherian. By a general point of an irreducible (but not necessarily reduced) scheme \mathcal{X} we mean a closed point of a dense open subset of \mathcal{X} . An irreducible scheme is generically reduced if it is reduced

at all general points. We follow the notation of [9]. So, we fix an integer $n \ge 1$, and denote by H_n and V fixed vector spaces over \Bbbk of dimension n and 4, respectively, and set $\mathbb{P}^3 = P(V)$. Furthermore, \mathbf{S}_n (the *space of hyperwebs of quadrics*) will denote the vector space $S^2 H_n^{\vee} \otimes \wedge^2 V^{\vee}$. A hyperweb of quadrics $A \in \mathbf{S}_n$ is a skew-symmetric homomorphism $A: H_n \otimes V \to H_n^{\vee} \otimes V^{\vee}$, and we denote by W_A the vector space $H_n \otimes V/\ker A$ and by c_A the canonical epimorphism $H_n \otimes V \to W_A$. A choice of A induces a skew-symmetric isomorphism $q_A: W_A \xrightarrow{\sim} W_A^{\vee}$, and A is the composition

$$H_n \otimes V \xrightarrow{c_A} W_A \xrightarrow{q_A} W_A^{\vee} \xrightarrow{c_A^{\vee}} H_n^{\vee} \otimes V^{\vee}.$$

For any morphism of \mathcal{O}_X -sheaves $f: \mathcal{F} \to \mathcal{F}'$ we denote by the same letter f the induced morphism $\mathrm{id} \otimes f: U \otimes \mathcal{F} \to U \otimes \mathcal{F}'$, and analogously, for any homomorphism $f: U \to U'$ of k-vector spaces, the induced morphism $f \otimes \mathrm{id}: U \otimes \mathcal{F} \to U' \otimes \mathcal{F}$. For $A \in \mathbf{S}_n$ we denote by a_A the composition

$$H_n^{\vee} \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \xrightarrow{u} H_n \otimes V \otimes \mathcal{O}_{\mathbb{P}^3} \xrightarrow{c_A} W_A \otimes \mathcal{O}_{\mathbb{P}^3},$$

where *u* is the tautological subbundle morphism. By abuse of notation, we denote by the same symbol a k-vector space, say *U*, and the associated affine space $\mathbf{V}(U^{\vee}) =$ Spec (Sym^{*} U^{\vee}).

2 Explicit construction of symplectic instantons

In this section we provide some examples and recall some facts about $MI_{n,r}$, in particular, its relation with the moduli space $I_{n,r}$ of symplectic instantons, see [7, Section 3]. Let us consider the *set of* (n, r)*-instanton hyperwebs of quadrics*

$$MI_{n,r} = \begin{cases} (i) \ \mathrm{rk}(A \colon H_n \otimes V \to H_n^{\vee} \otimes V^{\vee}) = 2n + 2r, \\ (ii) \ \mathrm{the morphism} \, a_A^{\vee} \colon W_A^{\vee} \otimes \mathbb{O}_{\mathbb{P}^3} \to H_n^{\vee} \otimes \mathbb{O}_{\mathbb{P}^3} \, (1) \text{ is surjective}, \\ (iii) \ h^0(E_{2r}(A)) = 0, \text{ where } E_{2r}(A) = \ker(a_A^{\vee} \circ q_A)/\operatorname{im} a_A \end{cases} \end{cases}.$$
(1)

Theorem 2.1 (i) For each $n \ge 1$, the space $MI_{n,r}$ of (n, r)-instanton nets of quadrics is a locally closed subscheme of the vector space \mathbf{S}_n , given locally at any point $A \in MI_{n,r}$ by

$$\binom{2n-2r}{2} = 2n^2 - n(4r+1) + r(2r+1)$$
(2)

equations obtained as the rank condition (i) *in* (1). (ii) *The natural morphism*

$$\pi_{n,r} \colon MI_{n,r} \to I_{n,r}, \qquad A \mapsto [E_{2r}(A)],$$

is a principal $GL(H_n)/{\pm id}$ -bundle in the étale topology. Hence $I_{n,r}$ is a quotient stack $MI_{n,r}/(GL(H_n)/{\pm id})$, and is therefore an algebraic space.

The fibre $F_{[E]} = \pi_n^{-1}([E])$ over a point $[E] \in I_{n,r}$ is a principal homogeneous space of $GL(H_n)/\{\pm id\}$, so that the irreducibility of $(I_{n,r})_{red}$ amounts to the irreducibility of the scheme $(MI_{n,r})_{red}$. Besides, (2) yields

$$\dim_A MI_{n,r} \ge \dim \mathbf{S}_n - (2n^2 - n(4r+1) + r(2r+1))$$

= $n^2 + 4n(r+1) - r(2r+1)$ (3)

at all points $A \in MI_{n,r}$. Thus, $\dim_{[E]} I_{n,r} \ge 4n(r+1) - r(2r+1)$ at all points $[E] \in I_{n,r}$, as $MI_{n,r} \to I_{n,r}$ is an étale principal $GL(H_n)/\{\pm id\}$ -bundle.

2.1 Symplectic (n+1, n)-instantons

We give a construction of symplectic (n+1, n)-instantons and describe their relation to usual rank-2 instantons with second Chern class $c_2 = 2n$. This will be established at the level of spaces of hyperwebs of quadrics $MI_{n+1,n}$ and $MI_{2n,1}$, regarded as spaces of monads.

Denote by $Isom_{n+1,n-1}$ the set of all isomorphisms

$$\zeta: H_{n+1} \oplus H_{n-1} \xrightarrow{\sim} H_{2n}. \tag{4}$$

This is the principal homogeneous space of the group GL(2n). Moreover, for any $\zeta \in \text{Isom}_{n+1,n-1}$, let $p_{\zeta} : \mathbf{S}_{2n} \twoheadrightarrow \mathbf{S}_{n+1}$ be the induced epimorphism, and, for any monomorphism $i : H_n \hookrightarrow H_{n+1}$, let $\text{pr}_{(i)} : \mathbf{S}_{n+1} \to \mathbf{S}_n$ be the induced epimorphism.

Note that $MI_{2n,1}$ is irreducible [10, Theorem 1.1], and one has the following result [10, Theorem 3.1].

Theorem 2.2 There exists a dense open subset $MI_{2n,1}^*$ of $MI_{2n,1}$ such that for any hyperweb $A \in MI_{2n,1}^*$ and a general $\zeta \in \text{Isom}_{n+1,n-1}$ the rank of the homomorphism $B = p_{\zeta}(A) \colon H_{n+1} \otimes V \to H_{n+1}^{\vee} \otimes V^{\vee}$ coincides with the rank of $A \colon H_{2n} \otimes V \to H_{2n}^{\vee} \otimes V^{\vee}$:

$$\operatorname{rk} B = \operatorname{rk} A = 4n + 2. \tag{5}$$

Set $W_{4n+2} = H_{2n} \otimes V/\ker A$ and define the skew-symmetric isomorphism $q_A \colon W_{4n+2}$ $\xrightarrow{\sim} W_{4n+2}^{\vee}$ and the morphism of sheaves $a_A \colon H_{2n} \otimes \mathbb{O}_{\mathbb{P}^3}(-1) \to W_{4n+2} \otimes \mathbb{O}_{\mathbb{P}^3}$ with H_{2n} and W_{4n+2} taken instead of H_n and W_A , respectively. The morphism a_A and its transpose ${}^ta_A = a_A^{\vee} \circ q_A \colon W_{4n+2} \otimes \mathbb{O}_{\mathbb{P}^3} \to H_{2n}^{\vee} \otimes \mathbb{O}_{\mathbb{P}^3}(1)$ yield a monad

$$\mathcal{M}_A: 0 \to H_{2n} \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \xrightarrow{a_A} W_{4n+2} \otimes \mathcal{O}_{\mathbb{P}^3} \xrightarrow{a_A} H_{2n}^{\vee} \otimes \mathcal{O}_{\mathbb{P}^3}(1) \to 0$$

with the cohomology sheaf $E_2(A)$, $[E_2(A)] \in I_{2n,1}$, see Theorem 2.1.

Let $i_{\zeta}: H_{n+1} \hookrightarrow H_{2n}$ be the monomorphism defined by the isomorphism (4). The composition

$$a_B: H_{n+1} \otimes \mathbb{O}_{\mathbb{P}^3}(-1) \stackrel{\iota_{\zeta}}{\hookrightarrow} H_{2n} \otimes \mathbb{O}_{\mathbb{P}^3}(-1) \stackrel{a_A}{\longrightarrow} W_{4n+2} \otimes \mathbb{O}_{\mathbb{P}^3}$$

and its transpose ${}^{t}a_{B} = a_{B}^{\vee} \circ q_{A}$ yield a monad

$$\mathcal{M}_B: 0 \to H_{n+1} \otimes \mathbb{O}_{\mathbb{P}^3}(-1) \xrightarrow{a_B} W_{4n+2} \otimes \mathbb{O}_{\mathbb{P}^3} \xrightarrow{'a_B} H_{n+1}^{\vee} \otimes \mathbb{O}_{\mathbb{P}^3}(1) \to 0$$

with the cohomology sheaf

$$E_{2n}(B) = \ker^{t} a_B / \operatorname{im} a_B, \quad c_2(E_{2n}(B)) = n+1$$

The symplectic isomorphism $q_A \colon W_{4n+2} \xrightarrow{\sim} W_{4n+2}^{\vee}$ induces a symplectic structure on $E_{2n}(B)$,

$$\phi_B \colon E_{2n}(B) \xrightarrow{\sim} E_{2n}(B)^{\vee}. \tag{6}$$

Moreover, (5) implies an isomorphism $H_{n+1} \otimes V/\ker B \simeq W_{4n+2}$, hence a monomorphism of spaces of sections

$$h^0({}^ta_B): W_{4n+2} \otimes \mathbb{O}_{\mathbb{P}^3} \xrightarrow{{}^ta_B} H_{n+1}^{\vee} \otimes V^{\vee}$$

in the monad \mathcal{M}_B . Hence for this monad one has $h^0(E_{2n}(B)) = 0$. This together with (6) means that $E_{2n}(B)$ is a symplectic instanton

$$[E_{2n}(B)] \in I_{n+1,n}.$$

Note that, by construction, the monads \mathcal{M}_A and \mathcal{M}_B fit into the commutative diagram

$$0 \longrightarrow H_{n+1} \otimes \mathbb{O}_{\mathbb{P}^{3}}(-1) \xrightarrow{a_{B}} W_{4n+2} \otimes \mathbb{O}_{\mathbb{P}^{3}} \xrightarrow{q_{A}} W_{4n+2}^{\vee} \otimes \mathbb{O}_{\mathbb{P}^{3}} \xrightarrow{a_{B}^{\vee}} H_{n+1}^{\vee} \otimes \mathbb{O}_{\mathbb{P}^{3}}(1) \longrightarrow 0$$

$$\left| \begin{array}{c} i_{\zeta} \\ i_{\zeta} \\ 0 \end{array} \right| \xrightarrow{a_{A}} W_{4n+2} \otimes \mathbb{O}_{\mathbb{P}^{3}} \xrightarrow{q_{A}} W_{4n+2}^{\vee} \otimes \mathbb{O}_{\mathbb{P}^{3}} \xrightarrow{a_{A}^{\vee}} H_{2n}^{\vee} \otimes \mathbb{O}_{\mathbb{P}^{3}}(1) \longrightarrow 0.$$

$$(7)$$

In view of (6) and the canonical isomorphism $H_{2n}/i_{\zeta}(H_{n+1}) \simeq H_{n-1}$, this diagram yields the quotient monad

$$\mathcal{M}_{A,B}: 0 \to H_{n-1} \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \xrightarrow{a_{A,B}} E_{2n}(B) \xrightarrow{\phi_B} E_{2n}(B)^{\vee}$$
$$\xrightarrow{a_{A,B}^{\vee}} H_{n-1}^{\vee} \otimes \mathcal{O}_{\mathbb{P}^3}(1) \to 0$$

whose cohomology sheaf is $E_2(A) = \ker \left(a_{A,B}^{\vee} \circ \phi_B\right) / \operatorname{im} a_A$.

Deringer

2.2 A special family of symplectic (2n - r + 1, r)-instantons

For any integer *r*, $2 \leq r \leq n$, with $n \geq 2$, consider a monomorphism

$$\tau: H_{2n-r+1} \hookrightarrow H_{2n}$$

such that

$$\tau(H_{2n-r+1}) \supset i_{\zeta}(H_{n+1}). \tag{8}$$

The image of $A \in MI_{2n,1}$ under the projection $S_{2n} \twoheadrightarrow S_{2n-r+1}$ induced by τ produces a hyperweb of quadrics $A_{\tau} \in S_{2n-r+1}$. This corresponds to a monad

$$\mathcal{M}_{\tau} \colon 0 \to H_{2n-r+1} \otimes \mathcal{O}_{\mathbb{P}^{3}}(-1) \xrightarrow{a_{\tau}} W_{4n+2} \otimes \mathcal{O}_{\mathbb{P}^{3}}$$
$$\xrightarrow{a_{\tau}^{\vee} \circ q_{A}} H_{2n-r+1}^{\vee} \otimes \mathcal{O}_{\mathbb{P}^{3}}(1) \to 0,$$

whose cohomology is the rank-2r bundle

$$E_{2r}(A_{\tau}) = \ker\left(a_{\tau}^{\vee} \circ q_A\right) / \operatorname{im} a_{\tau},\tag{9}$$

where $a_{\tau} = a_A \circ \tau$. The bundle $E_{2r}(A_{\tau})$ has a natural symplectic structure

$$\phi_r \colon E_{2r}(A_\tau) \xrightarrow{\sim} E_{2r}(A_\tau)^{\vee} \tag{10}$$

induced by the antiselfduality of the monad \mathcal{M}_{τ} . Moreover, by (8), the monad \mathcal{M}_{τ} can be included into diagram (7) as a middle row, thus obtaining a three-row commutative, anti-self-dual diagram. Thus, in addition to the monad $\mathcal{M}_{A,B}$, we also have the monads

$$\mathcal{M}'_{\tau} \colon 0 \to H_{n-r} \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \xrightarrow{a'_{\tau}} E_{2n}(B) \xrightarrow{\phi} E_{2n}(B)^{\vee} \xrightarrow{a'_{\tau}^{\vee}} H_{n-r}^{\vee} \otimes \mathcal{O}_{\mathbb{P}^3}(1) \to 0,$$

with the cohomology $E_{2r}(A_{\tau}) = \ker \left(a_{\tau}^{\prime \vee} \circ \phi \right) / \operatorname{im} a_{\tau}^{\prime}$, and

$$\mathcal{M}_{\tau}'': \ 0 \to H_{r-1} \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \xrightarrow{a_{\tau}''} E_{2r}(A_{\tau}) \xrightarrow{\phi_{\tau}} E_{2r}(A_{\tau})^{\vee}$$
$$\xrightarrow{a_{\tau}''} H_{r-1}^{\vee} \otimes \mathcal{O}_{\mathbb{P}^3}(1) \to 0,$$

with the cohomology $E_2(A) = \ker \left(a''_{\tau} \circ \phi_{\tau} \right) / \operatorname{im} a''_{\tau}$.

Since $E_{2n}(B)$ is a symplectic instanton, $h^0(E_{2n}(B)) = h^i(E_{2n}(B)(-2)) = 0$, and the monad \mathcal{M}'_{τ} yields

$$h^{0}(E_{2r}(A_{\tau})) = h^{i}(E_{2r}(A_{\tau})(-2)) = 0, \quad i \ge 0, \quad c_{2}(E_{2r}(A_{\tau})) = 2n - r + 1.$$

This, together with (10), means that $[E_{2r}(A_{\tau})] \in I_{2n-r+1,r}$.

Remark 2.3 The maps τ lie in the set

$$N_{n,r} = \left\{ \tau \in \operatorname{Hom}(H_{2n-r+1}, H_{2n}) : \tau \text{ is injective and im } \tau \supset \operatorname{im} i_{\zeta} \right\}$$

which, for fixed $A \in MI_{2n,1}(\zeta)$, parameterizes a family of hyperwebs A_{τ} from $MI_{2n-r+1,r}$. Now, $N_{n,r}$ is a principal $GL(H_{2n-r+1})$ -bundle over an open subset of the Grassmannian Gr(n-r, n-1), so it is irreducible. As a result, the family of the three-row extensions of diagram (7) is parameterized by the irreducible variety $MI_{2n,1}(\zeta) \times N_{n,r}$. This in turn implies that the family $D_{n,r}$ of isomorphism classes of symplectic rank-2r bundles obtained from these diagrams by (9) is an irreducible, locally closed subset of $I_{2n-r+1,r}$. It is not clear a priori if the closure of $D_{n,r}$ in $I_{2n-r+1,r}$ is an irreducible component of $I_{2n-r+1,r}$.

Let $2 \leq r \leq n$. For every monomorphism $i: H_n \hookrightarrow H_{2n-r+1}$, denote by B(A, i) the image of $A \in MI_{2n-r+1,r}$ under the projection $\mathbf{S}_{2n-r+1} \twoheadrightarrow \mathbf{S}_n$ induced by i. It may be regarded as a homomorphism $B(A, i): H_n \otimes V \to H_n^{\vee} \otimes V^{\vee}$.

Definition 2.4 We say that $A \in MI_{2n-r+1,r}$ satisfies property (*) if there exists a monomorphism $i: H_n \hookrightarrow H_{2n-r+1}$ such that B(A, i) is invertible.

This is an open condition on *A*. By Theorem 2.1, $\pi_{2n-r+1,r} : MI_{2n-r+1,r} \to I_{2n-r+1,r}$ is a principal bundle, so that, if an element $A \in \pi_{2n-r+1,r}^{-1}([E_{2r}])$ satisfies (*), then any other point $A' \in \pi_{2n-r+1,r}^{-1}([E_{2r}])$ satisfies (*). A symplectic instanton E_{2r} from $I_{2n-r+1,r}$ is said to be *tame* if some (hence all) $A \in \pi_{2n-r+1,r}^{-1}([E_{2r}])$ satisfies property (*). This is an open condition on $[E_{2r}] \in I_{2n-r+1,r}$.

Remark 2.5 Using (8), we see that any $[E_{2r}] \in D_{n,r}$ is tame. We define

$$I_{2n-r+1,r}^* = I_{(1)} \cup \cdots \cup I_{(k)},$$

where $I_{(1)}, \ldots, I_{(k)}$ are the irreducible components of $I_{2n-r+1,r}$ whose general points are tame symplectic instantons. As $D_{n,r} \subset I_{2n-r+1,r}^*$ by definition, $I_{2n-r+1,r}^*$ is nonempty. If we define $MI_{2n-r+1,r}^* = \pi_{2n-r+1,r}^{-1}(I_{2n-r+1,r}^*)$, then the map $\pi_{2n-r+1,r}: MI_{2n-r+1,r}^* \to I_{2n-r+1,r}^*$ is a principal GL $(H_{2n-r+1})/\{\pm 1\}$ -bundle.

3 Irreducibility of $I_{2n-r+1,r}^*$

3.1 A dense open subset of $MI_{2n-r+1,r}^*$

We want to obtain the irreducibility of $I_{n,r}^*$ by reducing it to that of $X_{n,r}$, a dense open subset of $MI_{2n-r+1,r}^*$. The subset $X_{n,r}$ is a locally closed subset of the product of an affine space and an affine cone over a Grassmannian. Given an integer $n \ge 1$, we define the following dense open subset of S_n :

$$\mathbf{S}_n^0 = \{ A \in \mathbf{S}_n : A \colon H_n \otimes V \to H_n^{\vee} \otimes V^{\vee} \text{ an invertible map} \}.$$

We need some more notation. By definition, an element $B \in \mathbf{S}_n^0$ is an invertible antiself-dual map $H_n \otimes V \to H_n^{\vee} \otimes V^{\vee}$. Its inverse $B^{-1}: H_n^{\vee} \otimes V^{\vee} \to H_n \otimes V$ is also anti-self-dual. Consider the vector space $\Sigma_{n,r} = H_{n-r+1}^{\vee} \otimes H_n^{\vee} \otimes \wedge^2 V^{\vee}$. An element $C \in \Sigma_{n,r}$ can be viewed as a linear map $C: H_{n-r+1} \otimes V \to H_n^{\vee} \otimes V^{\vee}$, and its dual $C^{\vee}: H_n \otimes V \to H_{n-r+1}^{\vee} \otimes V^{\vee}$. As the composition $C^{\vee} \circ B^{-1} \circ C$ is anti-self-dual, we can consider it as an element of $\wedge^2 (H_{n-r+1}^{\vee} \otimes V^{\vee}) \simeq \mathbf{S}_{n-r+1} \oplus \wedge^2 H_{n-r+1}^{\vee} \otimes S^2 V^{\vee}$. Thus the condition

$$D - C^{\vee} \circ B^{-1} \circ C \in \mathbf{S}_{n-r+1}, \quad D \in \wedge^2 (H_{n-r+1}^{\vee} \otimes V^{\vee}),$$

makes sense.

Under an arbitrary direct sum decomposition

$$\xi: H_n \oplus H_{n-r+1} \xrightarrow{\sim} H_{2n-r+1} \tag{11}$$

we can represent the hyperweb $A \in \mathbf{S}_{2n-r+1}$, regarded as a homomorphism

$$A: H_n \otimes V \oplus H_{n-r+1} \otimes V \to H_n^{\vee} \otimes V^{\vee} \oplus H_{n-r+1}^{\vee} \otimes V^{\vee},$$

as the $(8n - 4r + 4) \times (8n - 4r + 4)$ -matrix of homomorphisms

$$A = \begin{pmatrix} A_1(\xi) & A_2(\xi) \\ -A_2(\xi)^{\vee} & A_3(\xi) \end{pmatrix},\tag{12}$$

where

$$A_1(\xi) \in \mathbf{S}_n, \quad A_2(\xi) \in \mathbf{\Sigma}_{n,r} = \operatorname{Hom}\left(H_n, H_{n-r+1}^{\vee}\right) \otimes \wedge^2 V^{\vee}, \quad A_3(\xi) \in \mathbf{S}_{n-r+1}.$$

With this notation, decomposition (11) induces an isomorphism

$$\widetilde{\xi} : \mathbf{S}_{2n-r+1} \xrightarrow{\sim} \mathbf{S}_n \oplus \mathbf{\Sigma}_{n,r} \oplus \mathbf{S}_{n-r+1}, \quad A \mapsto (A_1(\xi), A_2(\xi), A_3(\xi)).$$
(13)

Let $\text{Isom}_{n,r}$ be the set of all isomorphisms ξ in (11). According to Definition 2.4, there exists $\xi \in \text{Isom}_{n,r}$ such that the set

$$MI_{2n-r+1,r}^{*}(\xi) = \{A \in MI_{2n-r+1,r} : A \text{ satisfies property } (*) \text{ for the monomorphism} \\ i_{\xi} : H_n \hookrightarrow H_{2n-r+1} \text{ determined by } \xi \}$$

is a dense open subset of $MI_{2n-r+1,r}^*$. Now take $A \in MI_{2n-r+1,r}^*(\xi)$ and consider A as a matrix of homomorphisms as in (12). By definition, the submatrix $A_1(\xi)$ is invertible. By a suitable elementary transformation we reduce the matrix A to an equivalent matrix \widetilde{A} of the form

$$\widetilde{A} = \begin{pmatrix} \mathrm{id}_{H_n \otimes V} & A_1(\xi)^{-1} \circ A_2(\xi) \\ 0 & A_2(\xi)^{\vee} \circ A_1(\xi)^{-1} \circ A_2(\xi) + A_3(\xi) \end{pmatrix}.$$

Since $\operatorname{rk} \widetilde{A} = \operatorname{rk} A = 2(2n - r + 1) + 2r = 4n + 2$, we obtain the following relation between the matrices $A_1(\xi)$, $A_2(\xi)$ and $A_3(\xi)$:

$$\operatorname{rk}\left(A_{2}(\xi)^{\vee} \circ A_{1}(\xi)^{-1} \circ A_{2}(\xi) + A_{3}(\xi)\right) = 2.$$
(14)

Consider the embedding of the Grassmannian

$$G = \operatorname{Gr}\left(2, H_{n-r+1}^{\vee} \otimes V^{\vee}\right) \hookrightarrow P\left(\wedge^{2}\left(H_{n-r+1}^{\vee} \otimes V^{\vee}\right)\right),$$

and let $KG \subset \wedge^2(H_{n-r+1}^{\vee} \otimes V^{\vee})$ be the affine cone over G. Set $KG^* = KG \setminus \{0\}$. We can now rewrite (14) as

$$A_2(\xi)^{\vee} \circ A_1(\xi)^{-1} \circ A_2(\xi) + A_3(\xi) \in KG^*,$$
(15)

where

$$A_{2}(\xi)^{\vee} \circ A_{1}(\xi)^{-1} \circ A_{2}(\xi) \in \wedge^{2} (H_{n-r+1}^{\vee} \otimes V^{\vee}), \quad A_{3}(\xi) \in \mathbf{S}_{n-r+1}.$$
(16)

Now consider the set

$$\widetilde{X}_{n,r} = \left\{ (B, C, D) \in \mathbf{S}_n^0 \times \mathbf{\Sigma}_{n,r} \times KG^* : D - C^{\vee} \circ B^{-1} \circ C \in \mathbf{S}_{n-r+1} \right\}.$$
(17)

Since for an arbitrary point $y = (B, C, D) \in \tilde{X}_n$ the point $\tilde{\xi}^{-1}(B, C, D - C^{\vee} \circ B^{-1} \circ C)$ lies in \mathbf{S}_{2n-r+1} , it may be considered as a homomorphism $A_y \colon H_{2n-r+1} \otimes V \to H_{2n-r+1}^{\vee} \otimes V^{\vee}$ of rank 4n + 2, and we have a well-defined (4n+2)-dimensional vector space $W_{4n+2}(y) = H_{2n-r+1} \otimes V/\ker A_y$ together with a canonical epimorphism $c_y \colon H_{2n-r+1} \otimes V \to W_{4n+2}(y)$ and an induced skew-symmetric isomorphism $q_y \colon W_{4n+2}(y) \xrightarrow{\sim} W_{4n+2}(y)^{\vee}$ such that $A_y = c_y^{\vee} \circ q_y \circ c_y$. Now, similarly to the morphism $a_A \colon H_{2n-r+1} \otimes \mathbb{O}_{\mathbb{P}^3}(-1) \to W_{4n+2} \otimes \mathbb{O}_{\mathbb{P}^3}$ (see Sect. 2.1), a morphism of sheaves

$$a_y = c_y \circ u \colon H_{2n-r+1} \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \to W_{4n+2}(y) \otimes \mathcal{O}_{\mathbb{P}^3}$$

is defined, together with its transpose ${}^{t}a_{y} = a_{y}^{\vee} \circ q_{y}$: $W_{4n+2}(y) \otimes \mathbb{O}_{\mathbb{P}^{3}} \to H_{2n-r+1}^{\vee} \otimes \mathbb{O}_{\mathbb{P}^{3}}(1)$. We now introduce the following open subset $X_{n,r}$ of the set $\widetilde{X}_{n,r}$:

$$X_{n,r} = \left\{ y \in \widetilde{X}_{n,r} : \begin{array}{c} \text{(i)} \ {}^{t}a_{y} \text{ is epimorphic,} \\ \text{(ii)} \ \left[\ker {}^{t}a_{y} / \operatorname{im} a_{y} \right] \in I_{2n-r+1,r}^{*} \right\}.$$
(18)

Since conditions (i) and (ii) on a point $y \in \widetilde{X}_{n,r}$ in (18) are open, from (15) and (16) we obtain the following result.

Proposition 3.1 There exist a decomposition $\xi \in \text{Isom}_{n,r}$, a dense open subset $MI_{2n-r+1,r}^*(\xi)$ of $MI_{2n-r+1,r}^*$ and an isomorphism of reduced schemes

$$f_{n,r}: MI_{2n-r+1,r}^*(\xi) \xrightarrow{\sim} X_{n,r}, \quad A \mapsto (A_1(\xi), A_2(\xi), A_3(\xi)).$$

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The inverse isomorphism is given by the formula

$$f_{n,r}^{-1} \colon X_{n,r} \xrightarrow{\sim} MI_{2n-r+1,r}^*(\xi), \quad (B, C, D) \mapsto \widetilde{\xi}^{-1}(B, C, D - C^{\vee} \circ B^{-1} \circ C),$$

where $\tilde{\xi}$ is defined in (13).

The following theorem will be proved in Sect. 3.2.

Theorem 3.2 The set $X_{n,r}$ is irreducible of dimension $(2n - r + 1)^2 + 4(2n - r + 1)(r + 1) - r(2r + 1)$.

Proposition 3.1 and Theorem 3.2 imply that $MI_{2n-r+1,r}^*$ is irreducible of dimension $(2n - r + 1)^2 + 4(2n - r + 1)(r + 1) - r(2r + 1)$ for any $n \ge 2$ and $2 \le r \le n$. Thus, for these values of *n* and *r*, the space $I_{2n-r+1,r}^*$ is irreducible and has dimension 4(2n - r + 1)(r + 1) - r(2r + 1). Substituting $2n - r + 1 \mapsto n$, we obtain the main result of this paper.

Theorem 3.3 For any integer $r \ge 2$ and for any integer $n \ge r+1$ such that $n \equiv r+1 \pmod{2}$, the moduli space $I_{n,r}^*$ of tame symplectic instantons is an open subset of an irreducible component of $I_{n,r}$ of dimension 4n(r+1) - r(2r+1).

3.2 Proof of irreducibility of $X_{n,r}$

We prove now Theorem 3.2. Consider the set $\widetilde{X}_{n,r}$ defined in (17). Since $X_{n,r}$ is an open subset of $\widetilde{X}_{n,r}$, it is enough to prove the irreducibility of $\widetilde{X}_{n,r}$. In view of the isomorphism $\mathbf{S}_n^0 \xrightarrow{\sim} (\mathbf{S}_n^{\vee})^0 \colon B \mapsto B^{-1}$, we rewrite $\widetilde{X}_{n,r}$ as

$$\widetilde{X}_{n,r} = \left\{ (B, C, D) \in (\mathbf{S}_n^{\vee})^0 \times \mathbf{\Sigma}_{n,r} \times KG^* : D - C^{\vee} \circ B \circ C \in \mathbf{S}_{n-r+1} \right\}.$$

If a direct sum decomposition

$$H_n \xrightarrow{\sim} H_{n-r+1} \oplus H_{r-1}$$

has been fixed, any linear map

$$C \in \mathbf{\Sigma}_{n,r} = \operatorname{Hom}\left(H_{n-r+1}, H_n^{\vee} \otimes \wedge^2 V^{\vee}\right), \quad C \colon H_{n-r+1} \otimes V \to H_n^{\vee} \otimes V^{\vee},$$

can be represented as a homomorphism

$$C: H_{n-r+1} \otimes V \to H_{n-r+1}^{\vee} \otimes V^{\vee} \oplus H_{r-1}^{\vee} \otimes V^{\vee},$$

and also as a block matrix

$$C = \begin{pmatrix} \phi \\ \psi \end{pmatrix},\tag{19}$$

with

$$\phi \in \operatorname{Hom}\left(H_{n-r+1}, H_{n-r+1}^{\vee}\right) \otimes \wedge^{2} V^{\vee} = \Phi_{n-r+1},$$

$$\psi \in \Psi_{n,r} = \operatorname{Hom}\left(H_{n-r+1}, H_{r-1}^{\vee}\right) \otimes \wedge^{2} V^{\vee}.$$

In the same way, any $B \in (\mathbf{S}_n^{\vee})^0 \subset \mathbf{S}_n^{\vee} = S^2 H_n \otimes \wedge^2 V \subset \operatorname{Hom} (H_n^{\vee} \otimes V^{\vee}, H_n \otimes V)$ can be represented as

$$B = \begin{pmatrix} B_1 & \lambda \\ -\lambda^{\vee} & \mu \end{pmatrix},\tag{20}$$

with

$$B_{1} \in \mathbf{S}_{n-r+1}^{\vee} \subset \operatorname{Hom}\left(H_{n-r+1}^{\vee} \otimes V^{\vee}, H_{n-r+1} \otimes V\right),$$

$$\lambda \in \mathbf{L}_{n,r} = \operatorname{Hom}\left(H_{r}^{\vee}, H_{n-r+1}\right) \otimes \wedge^{2} V, \quad \mu \in \mathbf{M}_{r-1} = S^{2} H_{r-1} \otimes \wedge^{2} V.$$
(21)

By (19) and (20), the composition

$$C^{\vee} \circ B \circ C \colon H_{n-r+1} \otimes V \to H_{n-r+1}^{\vee} \otimes V^{\vee}, \quad C^{\vee} \circ B \circ C \in \wedge^{2} (H_{n-r+1}^{\vee} \otimes V^{\vee}),$$

can be written in the form

$$C^{\vee} \circ B \circ C = \phi^{\vee} \circ B_1 \circ \phi + \phi^{\vee} \circ \lambda \circ \psi - \psi^{\vee} \circ \lambda^{\vee} \circ \phi + \psi^{\vee} \circ \mu \circ \psi.$$
(22)

In view of (19)–(21), we have

$$\mathbf{S}_{n}^{\vee} \times \boldsymbol{\Sigma}_{n,r} = \mathbf{S}_{n-r+1}^{\vee} \times \boldsymbol{\Phi}_{n-r+1} \times \boldsymbol{\Psi}_{n,r} \times \mathbf{L}_{n,r} \times \mathbf{M}_{r-1},$$

well-defined morphisms

$$\widetilde{p}\colon X_{n,r}\to \mathbf{L}_{n,r}\times \mathbf{M}_r\times KG, \quad (B_1,\phi,\psi,\lambda,\mu,D)\mapsto (\lambda,\mu,D),$$

and

$$p = \widetilde{p} | \overline{X}_{n,r} \colon \overline{X}_{n,r} \to \mathbf{L}_{n,r} \times \mathbf{M}_{r-1} \times KG$$

Here $\overline{X}_{n,r}$ is the closure of $\widetilde{X}_{n,r}$ in $(\mathbf{S}_n^{\vee})^0 \times \mathbf{\Sigma}_{n,r} \times KG$. Moreover, we have

Proposition 3.4 Let $n \ge 2$. For any $B \in (\mathbf{S}_n^{\vee})^0$ and for a general choice of the decomposition $H_n \simeq H_{n-r+1} \oplus H_{r-1}$, the block B_1 of B in (20) is nondegenerate.

Proof By applying [9, Proposition 7.3], *r* times, one obtains a decomposition $H_n \xrightarrow{\sim} H_{n-r+1} \oplus H_{r-1}$ such that $B_1: H_{n-r+1}^{\vee} \otimes V^{\vee} \to H_{n-r+1} \otimes V$ in (20) is nondegenerate, that is, $B_1 \in (\mathbf{S}_{n-r+1}^{\vee})^0$.

If \mathfrak{X} is any irreducible component of $X_{n,r}$, taken with its reduced structure, and $\overline{\mathfrak{X}}$ is its closure in $\overline{X}_{n,r}$, we pick up a point $z = (B_1, \phi, \psi, \lambda, \mu, D) \in \mathfrak{X}$ not lying in the components of $X_{n,r}$ different from \mathfrak{X} , and such that the decomposition $H_n \simeq$

 $H_{n-r+1} \oplus H_{r-1}$ is general. Then, by Proposition 3.4, $B_1 \in (\mathbf{S}_{n-r+1}^{\vee})^0$. Consider the morphism

$$f: \mathbb{A}^1 \to \overline{\mathfrak{X}}, \quad t \mapsto (B_1, t^2 \phi, t \psi, t \lambda, t^2 \mu, t^4 D), \qquad f(1) = z.$$

This is well defined as a consequence of (22). The point $f(0) = (B_1, 0, 0, 0, 0, 0)$ lies in the fibre $p^{-1}(0, 0, 0)$, so that $p^{-1}(0, 0, 0) \cap \overline{\mathcal{X}} \neq \emptyset$. In different terms,

$$\rho^{-1}(0,0,0) \neq \emptyset, \text{ where } \rho = p | \overline{\mathfrak{X}}.$$
(23)

By (22) and the definition of $\widetilde{X}_{n,r}$, one has

$$\widetilde{p}^{-1}(0,0,0) = \{ (B_1,\phi,\psi) \in (\mathbf{S}_{n-r+1}^{\vee})^0 \times \mathbf{\Phi}_{n-r+1} \times \mathbf{\Psi}_{n,r} : \\ \phi^{\vee} \circ B_1 \circ \phi \in \mathbf{S}_{n-r+1} \}.$$
(24)

Now for each $i \ge 1$ consider the set Z_i mentioned in the introduction. This set Z_i is defined in [9, Section 7] as

$$Z_{i} = \left\{ (B, \phi) \in (\mathbf{S}_{i}^{\vee})^{0} \times \mathbf{\Phi}_{i} : \phi^{\vee} \circ B \circ \phi \in \mathbf{S}_{i} \right\},$$
(25)

and has a natural structure of closed subscheme of $(\mathbf{S}_i^{\vee})^0 \times \Phi_i$. The key point in the sequel is the fact that Z_i is an integral scheme of dimension 4i(i+2)—see [9, Theorem 7.2]. This statement is based on the following relation between Z_i for $i \ge 2$ and the moduli space of 't Hooft instantons of charge 2i - 1. Fix a monomorphism $j: H_{i-1} \hookrightarrow H_i$. For an arbitrary point $z = (B, \phi) \in Z_i$, let E_{2i} be a symplectic vector bundle of rank-2*i* defined as a cokernel of a morphism of sheaves $\widetilde{B}: H_i \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \to H_i^{\vee} \otimes \Omega_{\mathbb{P}^3}(1)$ naturally induced by *B*. Let $s(z): H_i \to H^0(E_{2i}(1))$ be the composition of ϕ understood as a homomorphism $H_i \to H_i^{\vee} \otimes \Lambda^2 V^{\vee}$ and of the evaluation map $H_i^{\vee} \otimes \Lambda^2 V^{\vee} \to H^0(E_{2i}(1))$, and let s_z be the composition

$$s_z \colon H_i \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \xrightarrow{s(z)} H^0(E_{2i}(1)) \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \xrightarrow{\mathrm{ev}} E_{2i},$$

where ev is the evaluation morphism. Using the symplecticity of E_{2i} , one obtains an antiselfdual monad

$$M(z): 0 \to H_{i-1} \otimes \mathcal{O}_{\mathbb{P}^3}(-1) \xrightarrow{s_z \circ j} E_{2i} \xrightarrow{t(s_z \circ j)} H_{i-1}^{\vee} \otimes \mathcal{O}_{\mathbb{P}^3}(1) \to 0$$

with a rank-2 cohomology vector bundle $E_2(z)$ with $c_1 = 0$ and $c_2 = 2i - 1$. A standard diagram chase yields a monomorphism $H_i/j(H_{i-1}) \otimes \mathbb{O}_{\mathbb{P}^3}(-1) \rightarrow E_2(z)$ showing that $h^0(E_2(z)(1)) \neq 0$, i.e., that $E_2(z)$ is a 't Hooft instanton vector bundle. Thus the association $z \rightsquigarrow M(z)$ yields a morphism of Z_i to the space M_{2i-1}^{H} of the 't Hooft monads, which is irreducible since the moduli space of 't Hooft instantons of charge 2i - 1 is known to be irreducible. It is shown in [9, Section 9] that this

morphism $Z_i \to M_{2i-1}^{tH}$ is a composition of a dense open embedding and the structure map of an affine bundle over M_{2i-1}^{tH} . This implies the irreducibility of Z_i .

Now, comparing (25) for i = n - r + 1 with (24), we obtain scheme-theoretic inclusions

$$\rho^{-1}(0,0,0) \subset p^{-1}(0,0,0) \subset \widetilde{p}^{-1}(0,0,0) = Z_{n-r+1} \times \Psi_{n,r}.$$
 (26)

By the above, Z_{n-r+1} is an integral scheme of dimension 4(n-r+1)(n-r+3). This together with (26) implies that

$$\dim \rho^{-1}(0,0,0) \leqslant \dim p^{-1}(0,0,0) \leqslant \dim Z_{n-r+1} + \dim \Psi_{n,r}$$

= 4(n-r+1)(n-r+3) + 6(r-1)(n-r+1) (27)
= (n-r+1)(4n+2r+6).

Hence, in view of (23),

$$\dim \overline{\mathcal{X}} \leq \dim \rho^{-1}(0, 0, 0) + \dim \mathbf{L}_{n,r} + \dim \mathbf{M}_{r-1} + \dim KG$$

$$\leq (n - r + 1)(4n + 2r + 6) + 6(r - 1)(n - r + 1) + 3(r - 1)r + (8n - 8r + 5)$$

$$= (2n - r + 1)^{2} + 4(2n - r + 1)(r + 1) - r(2r + 1).$$
(28)

On the other hand, formula (3)—with *n* replaced by 2n - r + 1—and Proposition 3.1 show that, for any point $x \in \mathcal{X}$ such that $A = f_{n,r}^{-1}(x) \in MI_{2n-r+1,r}^*(\xi)$,

$$(2n - r + 1)^{2} + 4(2n - r + 1)(r + 1) - r(2r + 1) \leq \dim_{A} MI_{2n - r + 1, r}^{*}(\xi)$$

= dim $\overline{\mathfrak{X}}$. (29)

Comparing (28) with (29), we see that all inequalities in (27)–(29) are equalities. In particular,

$$\dim \rho^{-1}(0,0) = \dim(Z_{n-r+1} \times \Psi_{n,r}) = \dim \overline{\mathfrak{X}} - \dim(\mathbf{L}_{n,r} \times \mathbf{M}_{r-1} \times KG).$$
(30)

Since, by [9, Theorem 7.2], the scheme Z_{n-r+1} is integral and so $Z_{n-r+1} \times \Psi_{n,r}$ is integral as well, (26) and (30) yield the coincidence of the integral schemes

$$\rho^{-1}(0,0,0) = p^{-1}(0,0,0) = \widetilde{p}^{-1}(0,0,0) = Z_{n-r+1} \times \Psi_{n,r}.$$
 (31)

We need now the following easy lemma, which is a slight generalization of [9, Lemma 7.4].

Lemma 3.5 Let $f: X \to Y$ be a morphism of reduced schemes, with Y an integral scheme. Assume that there exists a closed point $y \in Y$ such that, for any irreducible component X' of X,

(a) dim $f^{-1}(y) = \dim X' - \dim Y$,

(b) the scheme-theoretic inclusion of fibres (f|_{X'})⁻¹(y) ⊂ f⁻¹(y) is an isomorphism of integral schemes.

Then

- (i) there exists an open subset U of Y containing y such that the morphism $f|_{f^{-1}(U)} \colon f^{-1}(U) \to U$ is flat, and
- (ii) X is integral.

By applying this lemma to $X = X_{n,r}$, $X' = \mathcal{X}$, $Y = \mathbf{L}_{n,r} \times \mathbf{M}_{r-1} \times KG$, y = (0, 0), f = p, also in view of (30) and (31), one obtains that $X_{n,r}$ is integral and is of dimension

$$(2n - r + 1)^{2} + 4(2n - r + 1)(r + 1) - r(2r + 1).$$

Theorem 3.2 is thus proved.

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