



# Evaluation of the maintenance window scheme using fuzzy soft set theory

Changan Xu<sup>1,2</sup> · Shaoquan Ni<sup>1,3,4</sup> · Dingjun Chen<sup>1,3,4</sup>

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**Abstract** The maintenance window scheme (MWS) is one of the most important railway transportation organizational plans and plays an important role in ensuring railway operational safety. However, MWS setting is a very complicated process, and most countries currently do so with the help of a computer-aided decision system. In general, a decision system can generate multiple alternatives for MWS within an acceptable time. Therefore, how to choose the best option from the alternatives is a vital decision. This paper presents a novel framework for MWS evaluation based on the Chinese railway system. Specifically, the requirements of each department related to MWS setting are analysed, and we construct an evaluation indicator system for MWS based on the preferences of different departments. Then, we apply the fuzzy soft set theory to MWS evaluation, a method that not only effectively deals with evaluation of uncertain information, but also gives flexibility for experts to input their subjective judgment. Additionally, using the “AND” operation of soft set theory allows combing evaluation information from multiple evaluators to give comprehensive results. Finally, a case

study illustrates the proposed framework, showing that the proposed evaluation indicator system and evaluation method are effective and practical.

**Keywords** Railway transportation · Maintenance window scheme · Evaluation indicator system · Fuzzy soft set theory

## 1 Introduction

Railway infrastructure maintenance is essential to ensure normal operation of railway systems. Both train operation and maintenance work use railway infrastructure, such that when a train is running, maintenance work is not allowed. To solve this problem, railway companies will reserve slots in the timetable, called maintenance window (MW), which have three basic elements: type, time length, and location in the timetable. For a particular railway line, there are a variety of scenarios for setting MW, each of which is referred to as a MWS.

At present, MWS setting is a challenging planning problem for railways. On the one hand, MWS setting must consider the requirements of many different departments, including the works department, electricity department, and signal department. Their requirements are often different and sometimes conflicting. Coordinating conflicting demands between different departments is a complicated problem. On the other hand, there is an interrelation between MWS setting and train timetable planning. Drawing of train paths will limit the time range of the MWS setting, and MWS setting also reduces train operation time and divides the timetable into two separated periods, which has a large impact on railway transportation

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✉ Changan Xu  
bk20085673@my.swjtu.edu.cn

<sup>1</sup> School of Transportation and Logistics, Southwest Jiaotong University, Chengdu 610031, China  
<sup>2</sup> Interuniversity Research Centre on Enterprise Networks, Logistics and Transportation (CIRRELT), Montreal, Canada  
<sup>3</sup> National Railway Train Diagram Research and Training Center, Southwest Jiaotong University, Chengdu 610031, China  
<sup>4</sup> National and Local Joint Engineering Laboratory of Comprehensive Intelligent Transportation, Chengdu 610031, China

capacity. Thus, reasonable MWS is needed to ensure safe railway operation and improve railway capacity.

In essence, MWS setting is a multi-objective programming problem whose complexity has been acknowledged [1–4]. Considering the complexity of this problem, most countries still use a computer-aided decision system operated by experienced planners to set the MWS. Because a computer can assist planners in designing a number of MWSs within an acceptable period of time and different personnel design schemes, it is important to evaluate the advantages and disadvantages of multiple MWSs and choose the best one.

Evaluation of MWS setting is a relatively new topic in the field of railway operation research. Several different indicators have been proposed to evaluate MWS in a few studies. Lidén [5] used maintenance cost and expected train traffic demand as a measure of MWS in Sweden. Meng [6] used occupancy time of MWS, the influence of MWS on trains, the safety of maintenance, and other factors to formulate an evaluation indicator system in China. Xiang et al. [7] constructed a selection evaluation model to determine reasonable MW locations in the timetable, using the number of affected trains, types of affected trains, and the nature of the conflict as indicators. Scholars have used fuzzy comprehensive evaluation [7, 8], entropy [6], and other uncertainty theories [9] for evaluation. It is worth mentioning that Lidén [5] proposed a MWS evaluation method based on cost measurement. However, application of this method is difficult because it requires complex calculations and large amounts of data.

On the whole, the existing research on MWS evaluation is inadequate for a number of reasons. First, few studies have focused on MWS evaluation, resulting in failure to form a comprehensive and rational MWS evaluation indicator system. Second, fuzzy comprehensive evaluation, AHP, and other commonly researched methods require each evaluation expert to consider the same set of evaluation indicators and give evaluation information for each MWS. However, evaluation experts often come from different areas, or from different organizations and departments, and each expert has different knowledge and experience [10]. Therefore, each evaluation expert may only focus on a few interesting or familiar indicators. This research addresses this gap.

In this paper, we use China's railway MWS setting as an example, achieving two important contributions. First, this paper constructs a MWS evaluation indicator system that considers the demands of different departments. Second, the fuzzy soft set is applied to MWS evaluation for the first time. Each expert utilizes a set of indicators based on personal preference during evaluation, and fuzzy soft set theory is used to integrate information obtained via expert evaluation to produce an overall evaluation.

The remainder of this paper is organized as follows: Sect. 2 establishes the MWS evaluation indicator system, Sect. 3 explains the application of fuzzy soft set theory for MWS evaluation, Sect. 4 presents a case study to verify the proposed method, and Sect. 5 offers conclusions.

## 2 MWS evaluation indicator system

Construction of evaluation indicator systems is important for MWS evaluation. Because MWS setting involves a number of departments such as transportation, maintenance, and dispatch, it is necessary to consider the needs of various departments when constructing the indicator system. In this section, we use a face-to-face survey method to obtain different departments' preference for evaluation indicators. Then, we integrate them to obtain the final evaluation indicator system for MWS setting. In addition, we adopt the paired comparison method [6] to calculate the weight of each indicator.

### 2.1 Evaluation indicators based on different departments' preferences

In the following, we individually analyse departments' preferences for MWS setting and propose corresponding indicators.

#### 1. Evaluation indicators based on preferences of transport department

The transport department is primarily concerned with the influence of MWS setting on the train timetable, with the goal of keeping the influence as small as possible. The indicators used to characterize the impact of the MWS setting on the train timetable drawing are mainly MW type, MW location in the timetable, influence on railway carrying capacity, influence on train travel speed, and influence on cross-line trains. Descriptions of these indicators are given in Table 1.

#### 2. Evaluation indicators based on preferences of maintenance department

Maintenance departments primarily include the public works department, the electrical department, and the signal department. These departments are primarily concerned with guaranteeing MW time and ensuring that MW setting can facilitate collaborative maintenance work between different departments. Thus, the indicators that these departments are concerned with mainly include maintenance environment safety, parallel operation adaptability, MW coordination of adjacent lines, and convenience of maintenance time. Descriptions of these indicators are given in Table 2.

**Table 1** Evaluation indicators based on preferences of the transport department

Indicator	Indicator type and description
MW type	Qualitative, including three types of segmented rectangular-shaped MW, V-shaped MW, and vertical rectangular-shaped MW
MW location	Quantitative, the time range of the MW on the timetable
Influence on carrying capacity	Qualitative, the number of trains affected by MW
Influence on train travel speed	Qualitative, the influence of MW on train speed
Influence on cross-line trains	Qualitative, the influence of MW on departure/arrival time ranges of cross-line trains at stations

**Table 2** Evaluation indicators based on preferences of the maintenance department

Indicator	Indicator type and description
Maintenance environment safety	Qualitative, the safety of MW is mainly related to MW type and whether the power is off during maintenance
Parallel operation adaptability	Qualitative, coordination when different departments share MW
MW coordination of adjacent lines	Qualitative, the time coordination of MW on adjacent lines will affect offline train operation
Maintenance time convenience	Quantitative, the convenience of maintenance during the daytime is higher than that during the night

3. Evaluation indicators based on preferences of the dispatch department

Due to unexpected events, trains often deviate from the timetable. In many cases, the dispatch department will adjust the MW time to bring the train back to normal operation as soon as possible. The dispatch department therefore wants MW time to be as long and flexible as possible. MWS setting indicators for the dispatch department therefore include parallel operation adaptability of different departments, MW coordination in adjacent sections, MW coordination of adjacent lines, and difficulty of changing the MWS. Detailed descriptions of these indicators are given in Table 3.

4. Evaluation indicators based on other departments' preferences

The above departments are most closely related to MWS setting. However, other railway departments also may be relevant to MWS setting. For example, the statistics department will collect the basic parameters for MWS setting, which can be used as a reference for the next MWS

**Table 3** Evaluation indicators based on preferences of the dispatch department

Indicator	Indicator type and description
MW time length	Quantitative, including 90-min, 120-min, and 240-min time lengths
MW adjustability	Qualitative, the degree of difficulty of changing the MW
Redundant time near MW	Qualitative, the amount of reserved redundant time near MW
Association of adjacent line MW	Qualitative, the degree of association between two adjacent rail lines' MWs

setting. Detailed descriptions of these indicators are given in Table 4.

2.2 Integration of evaluation indicators

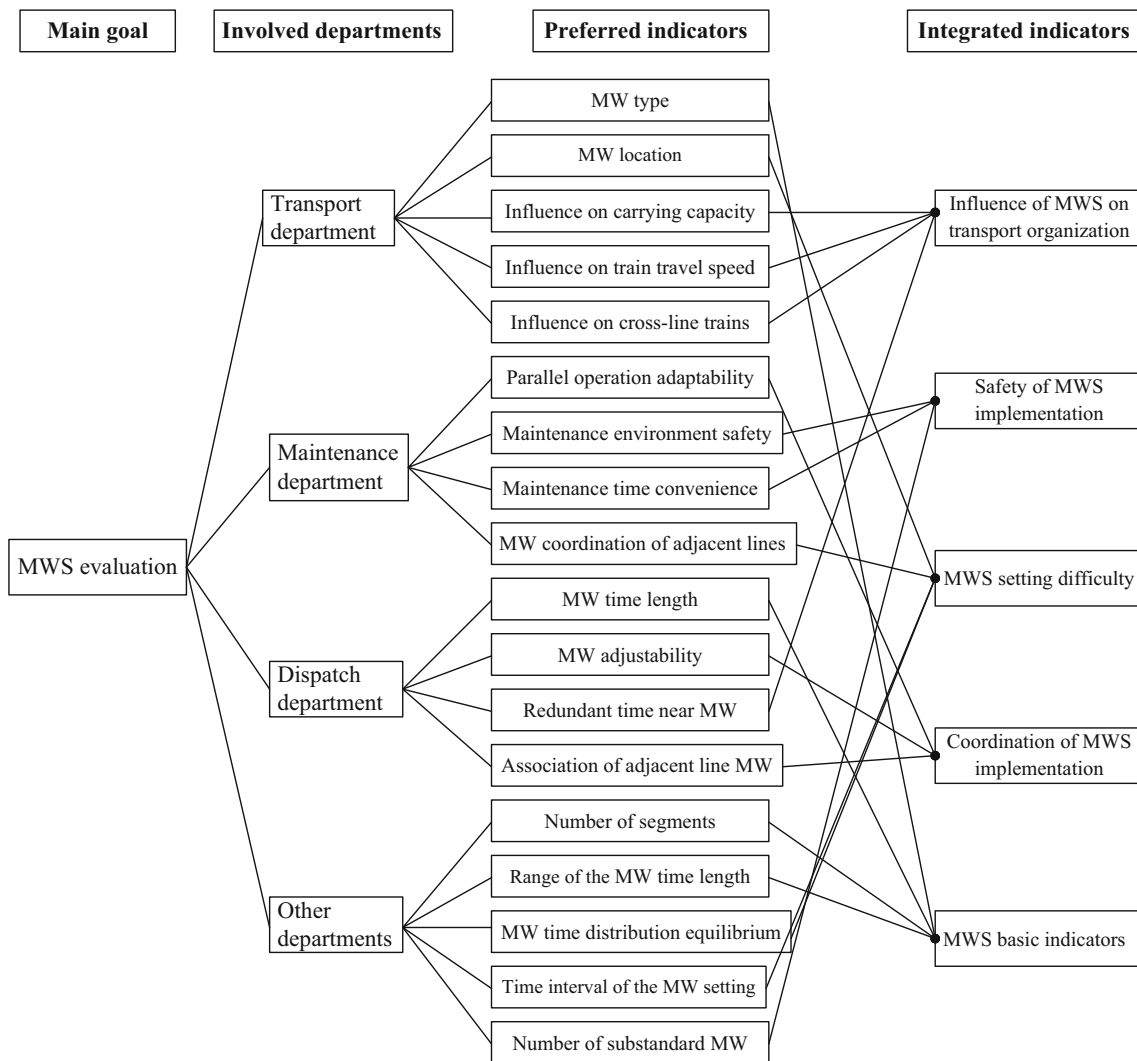
The evaluation indicators system established in this paper that considers the preferences of different departments contains too many indicators, and some indicators are related to each other. So we need to eliminate redundant indicators and associated indicators. However, the commonly used index reduction methods such as principal component analysis, factor analysis, and cluster analysis require a large amount of statistical data. But most MWS evaluation indicators lack related data. More troublesome is that there are both qualitative and quantitative indicators in the proposed evaluation indicators system. These characteristics make the existing index reduction method not applicable to this paper. Therefore, we use expert group decision-making method to integrate the indicators. Based on expert knowledge and engineering judgements, these indicators primarily fall into the five following categories: basic MWS indicators, MWS setting difficulty, influence of MWS on transportation organization, coordination of MWS implementation, and safety of MWS implementation. This allows us to obtain an integrated MWS evaluation indicator system (Fig. 1). When evaluating MWS setting, evaluation experts can give the integrated indicator values based on preference indicators. Qualitative indicator values can be calculated as given in Sect. 3.1, and quantitative indicators can be calculated as given in "Appendix 1".

2.3 Calculation of indicator weight

The importance of different indicators in the MWS evaluation process is different, and we can assign different weights to indicators based on their influences. Determination of weights is a complex task, and in this paper, we use the pair-wise comparison matrix method. This method

**Table 4** Evaluation indicators based on preferences of the transport department

Indicator	Indicator type and description
Number of segments	Quantitative, the total number of MW segments in a rail line
Range of MW time length	Quantitative, the difference between the maximum and the minimum of the MW time length
Time interval of MW setting	Quantitative, the average value of setting intervals between two adjacent MWs
Time distribution equilibrium of the MW	Quantitative, whether the time distribution of different MW segments is balanced
Number of substandard MW	Quantitative, the number of MW segments that have not reached the minimum time required for MWs in the rail line



**Fig. 1** MWS evaluation indicator system

combines experts' opinions and paired matrices to obtain the indicator weight. The process is as follows:

1. Indicator standardization

MWS evaluation indicators may have different dimensions, requiring indicators' dimensions to be standardized.

2. Establishment of pair-wise comparison matrix

Weight calculations rely on pair-wise comparison matrices established through comparisons of inner-group elements. A pair-wise comparison matrix can be expressed as

$$A = [a_{ij}]_{n \times n} \quad i, j = 1, 2, \dots, n,$$

where  $A$  is the pair-wise comparison matrix;  $a_{ij}$  is an element of the matrix;  $n$  is the number of all sub-indicators. In addition,  $a_{ij}$  satisfies the following conditions:

$$a_{ij} > 0; a_{ij} * a_{ji} = 1; a_{ij} = a_{ik} / a_{jk} \quad i, j, k = 1, 2, \dots, n.$$

### 3. Calculation of weights

After getting the pair-wise comparison matrix  $A$ , we can calculate the weights of different indicators according to Eq. (1):

$$W_i = \frac{\sum_{j=1}^n a_{ij}}{\sum_{j=1}^n \sum_{i=1}^n a_{ij}} \quad (1)$$

## 3 Application of fuzzy soft set theory to MWS evaluation

MWS setting involves different railway departments and is very complicated. When evaluating the MWS, the opinions of experts from different departments enhance the credibility of evaluation results. However, evaluation experts from different departments may only focus on some of the indicators that they are familiar with. If evaluation experts are required to evaluate all indicators, mistakes are likely, resulting in large differences in evaluation results. Fuzzy soft set theory [11] can handle this situation effectively. In this section, we first introduce the basic theory of fuzzy soft set theory and then give the main steps of using fuzzy soft set theory to evaluate MWS setting.

### 3.1 Preliminaries

In this subsection, we present the basic definitions and results of soft set theory that will be used in subsequent discussion. Soft set theory was first proposed by Molodtsov in 1999 and is commonly used as a mathematical tool for analysis of uncertain objects [12], fuzzy objects [13], or objects that cannot be precisely defined [14, 15]. Soft set theory is often applied to decision-making, evaluation, attribute reduction, soft algebra, prediction, and classification.

**Definition 1** (*Soft set*) Let  $U$  be the initial domain,  $E$  be the parameter set, and  $P(U)$  be the power set of  $U$ .  $(F, E)$  is a soft set on  $U$  if and only if  $F$  is a mapping of  $E$  to  $P(U)$ .  $\forall e \in E$ , and  $F(e)$  is the set of elements in  $U$  that exhibit the properties of attribute  $e$ , that is,  $F(e) \in U$ . A soft set  $(F, E)$

is an approximate set composed of multiple sets, each of which exhibits the properties of the elements in  $U$ .

**Definition 2** (*Fuzzy soft set*) Let  $U$  be the initial domain,  $E$  be the parameter set,  $\xi(U)$  be a fuzzy set defined on  $U$ , and  $A \subseteq E$ . Then,  $(F, A)$  is a fuzzy soft set on domain  $U$  if and only if  $F$  is a mapping of  $A$  to  $\xi(U)$ .

**Definition 3** (*“AND” operation*) Let  $(F, A)$  and  $(G, B)$  be two fuzzy soft sets on  $U$ . If  $\forall (\alpha, \beta) \in (A, B)$ ,  $H(\alpha, \beta) = F(\alpha) \cap G(\beta)$ , then  $(F, A) \wedge (G, B) = (H, A \times B)$  represents the “AND” operation of  $(F, A)$  and  $(G, B)$ .

### 3.2 Problem description of MWS evaluation

The formal description of the evaluation of railway MWS is as follows: Let  $M = \{m_1, m_2, \dots, m_n\}$  denote a set of MWS to be evaluated,  $m_i (i = 1, 2, \dots, n)$  denote the  $i$ th MWS;  $C = \{c_1, c_2, \dots, c_m\}$  is the set of evaluation indicators; and  $EX = \{ex_1, ex_2, \dots, ex_p\}$  represents the set of experts;  $w_j^i (j \in \{1, 2, \dots, m\})$  represents the weight of each indicator of MWS, where  $0 \leq w_j^i \leq 1$  and  $\forall i \in \{1, 2, \dots, n\}, \sum_j w_j^i = 1$ . Considering differences in knowledge, experience, and perspectives of the various experts, the evaluation indicator set of expert  $ex_k (k = 1, 2, \dots, p)$  is denoted by  $C_k = \{c_1^k, c_2^k, \dots, c_{l_k}^k\}$ , where  $c_j^k \subseteq C (j = 1, 2, \dots, l_k), l_k \leq m$ . The evaluation matrix of expert  $ex_k$  on evaluation indicator set  $C_k$  for the MWS set  $M$  is  $V_k = (v_{ij}^k)_{n \times l_k}$ , where  $v_{ij}^k$  denotes the value given by the expert to indicator  $c_j^k$  for  $m_i$ .

$$V_k = \begin{matrix} & c_1^k & c_2^k & \dots & c_{l_k}^k \\ m_1 & v_{11}^k & v_{12}^k & \dots & v_{1l_k}^k \\ m_2 & v_{21}^k & v_{22}^k & \dots & v_{2l_k}^k \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ m_n & v_{n1}^k & v_{n2}^k & \dots & v_{nl_k}^k \end{matrix} \quad (2)$$

MWS evaluation indicators include both quantitative and qualitative indicators. The dimension of different indicators is different, so normalization is necessary. However, certain indicators are difficult to describe as qualitative or quantitative, such as MW coordination in adjacent sections. Simple affirmation or negation cannot accurately express expert opinions, while phrases such as “very good”, “good”, and “fair” are useful, but ambiguous.

In this study, all indicators are set with an indicator parameter, whose meaning is a certain state description of the indicator. For example, for the indicator “single MW time duration”, we can set the parameters to be “single



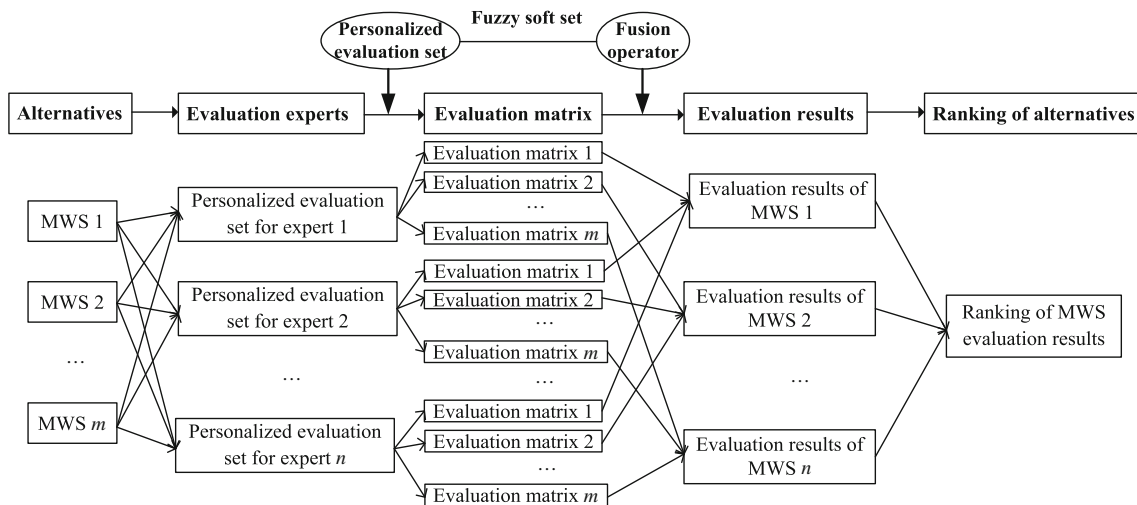


Fig. 2 Flowchart of MWS evaluation process using fuzzy soft set theory

MW time duration is reasonable”. For the indicator “the coordination between MWS and rail line conditions”, we can set the parameters as “high coordination between MWS and rail line conditions”. The expert evaluations of railway MWS are scaled as  $H = \{0, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0\}$ . We then have  $v_{ij}^k \in H$ , where the closer the  $v_{ij}^k$  value is to 1, the more likely the evaluation expert  $ex_k$  thinks  $m_i$  is consistent with the target parameters  $c_j^k$ . On the other hand, the closer the value of  $v_{ij}^k$  is to 0, the more likely the evaluation expert  $ex_k$  considers  $m_i$  not to conform to the target parameters  $c_j^k$ .

3.3 Evaluation procedure

When the MWS evaluation set and MWS evaluation index system are given, each evaluation expert gives a personal evaluation index set and an evaluation matrix. Then, the fuzzy soft set theory is used to fuse the evaluation value of all evaluation experts. We can then obtain the final evaluation results of various MWS. The detailed evaluation process is shown in Fig. 2, which contains the following three key components:

1. Conversion of evaluation matrix and fuzzy soft set

Based on the evaluation indicator set  $C_k$  and the evaluation matrix  $V_k$  of each expert  $ex_k$ , evaluations are converted into a fuzzy soft set  $(F_k, C_k)$  according to the following equations:

$$(F_k, C_k) = \begin{cases} c_1^k = \{m_1/v_{11}^k, m_2/v_{21}^k, \dots, m_n/v_{n1}^k\}, \\ c_2^k = \{m_1/v_{12}^k, m_2/v_{22}^k, \dots, m_n/v_{n2}^k\}, \\ \dots \\ c_{l_k}^k = \{m_1/v_{1l_k}^k, m_2/v_{2l_k}^k, \dots, m_n/v_{nl_k}^k\} \end{cases} \quad (3)$$

2. Information integration

The comprehensive evaluation matrix can be obtained after the evaluation information of each expert is fused through “AND” operations. We denote the calculation result as  $(G, E)$ :

$$(G, E) = (G, C_1 \times C_2 \times \dots \times C_p) = (F_1, C_1) \wedge (F_2, C_2) \wedge \dots \wedge (F_p, C_p). \quad (4)$$

Obviously,  $(G, E)$  is also a fuzzy soft set, with the parameters composed by the evaluation indicator sets  $C_1, C_2, \dots, C_p$  of the  $p$  experts. Assume that  $(G, E)$  consists of  $L$  parameters, and let  $E = \{e_1, e_2, \dots, e_L\}$ . Then,  $(G, E)$  can be expressed as

$$(G, E) = \begin{cases} e_1 = \{m_1/\mu_{11}, m_2/\mu_{21}, \dots, m_n/\mu_{n1}\} \\ e_2 = \{m_1/\mu_{12}, m_2/\mu_{22}, \dots, m_n/\mu_{n2}\} \\ \vdots \\ e_L = \{m_1/\mu_{1L}, m_2/\mu_{2L}, \dots, m_n/\mu_{nL}\} \end{cases} \quad (5)$$

where  $\mu_{ij}$  indicates the degree to which the evaluated MWS  $m_i$  matches the synthesized parameter  $e_j (j = 1, 2, \dots, L)$ . The value of  $\mu_{ij}$  is based on the following two cases:

If  $C_1 \cap C_2 \cap \dots \cap C_p = \emptyset$ , that is, the individual evaluation indicators of the evaluation experts are completely different, then:

$$\mu_{ij} = \min\left\{\min\left\{v_{ij}^k\right\} \mid i \in \{1, \dots, n\}, j \in \{1, 2, \dots, l_k\}, k \in \{1, 2, \dots, m\}\right\} \tag{6}$$

If  $C_1 \cap C_2 \cap \dots \cap C_p \neq \emptyset$ , that is, the individual evaluation indicators of the evaluation experts are the same, then,

$$\begin{aligned} \mu_{ij} &= \min\left\{\min\left\{v_{ij}^k\right\}, \lambda_i \mid i \in \{1, \dots, n\}, j \in \{1, 2, \dots, l_k\}, k \in \{1, 2, \dots, m\}\right\}, \\ \lambda_i &= \text{average}\left\{v_{ij}^k \mid k \in \{k_1, k_2, \dots, k_m\}\right\}. \end{aligned} \tag{7}$$

At present, most of the fuzzy soft set methods only focus on different situations between parameter sets, which may not agree with reality. The method in this paper takes into account the existence of parameter set intersections.

### 3. Overall evaluation

On the basis of  $(G, E)$ , we can calculate the weighted comparison matrix  $C$ .  $\forall x, y \in \{1, 2, \dots, n\}, \forall l \in \{1, 2, \dots, L\}, \forall i_1, i_2, \dots, m \in \{1, 2, \dots, n\}, C = (c_{xy})_{n \times n}$ , where

$$c_{xy} = \sum_j \hat{\omega}_l \gamma_{xy}^j, \tag{8}$$

$$\gamma_{xy}^j = \begin{cases} 1, & u_{xj} \geq u_{yj}, \\ 0, & u_{xj} < u_{yj}, \end{cases} \tag{9}$$

$$\hat{\omega}_l = \omega_{i_1}^1 \omega_{i_2}^2 \omega_{i_m}^m, \tag{10}$$

and  $c_{xy}$  indicates the number of evaluation indicators that are assigned with higher values for  $m_x$  than assigned to them for  $m_y$ . In general,  $0 \leq c_{xy} \leq 1$ ,  $c_{xy} = 1$  if and only if  $x = y$ . If  $c_{xy} > c_{yx}$ , then  $m_x$  is better than  $m_y$ , and vice versa.  $\hat{\omega}_l$  indicates the weight of the synthesized parameter.

According to the weighted comparison matrix  $C$ , the dominance score  $s(m_x), s(m_y)$  can be calculated by

$$s(m_x) = \sum_{y=1}^n (c_{xy} - c_{yx}), \tag{11}$$

$$s(m_y) = \sum_{x=1}^n (c_{yx} - c_{xy}), \tag{12}$$

where  $s(m_x)$  shows the superiority of  $m_x$  in the alternative MWS. The higher the dominance score of  $s(m_x)$ , the better the corresponding MWS  $m_x$ . The same is true for  $s(m_y)$ .

## 4 Case study

This section presents a case study of our proposed MWS evaluation framework. We take a rail line section from the Yibinnan station to Dengjiawan station on the Neijiang to Kunming railway as an example. This rail section is a

single-track rail line operated by the Chengdu Railway Bureau in China. It covers a distance of approximately 185 km and has 19 stations. The planner needs to arrange a 90-min segmented rectangular-shaped MW in the timetable for maintenance work.

With the help of the Railway Train Timetable Generation System developed by the National Railway Train Diagram Research and Training Centre in China, the planners have developed three alternative MWS (see Fig. 3), denoted by  $M = \{m_1, m_2, m_3\}$ . The evaluation indicator set is  $C = \{c_1, c_2, c_3, c_4, c_5\}$ , where  $c_1, c_2, c_3, c_4$ , and  $c_5$ , respectively, indicate “The basic indicator of MWS is good”, “The design of MWS is not difficult”, “The influence of MWS on transportation organization is small”, “Coordination of the MWS is good”, and “MWS have a high degree of safety implementation”.

Evaluation experts set can be expressed as  $EX = \{ex_1, ex_2, ex_3\}$ , which represent three experts from the transportation department, dispatch department, and maintenance department, respectively. Each domain expert scores only the indicators they are familiar with. The expert also needs to determine the relative indicator weights based on personal knowledge and expertise.

The evaluation indicator set of experts from the transportation department is  $E_1 = \{c_1, c_2, c_4\}$ , with indicator weights of  $\omega_1^1 = 0.4, \omega_2^1 = 0.3, \omega_4^1 = 0.3$ . The evaluation indicator set of experts from the transportation department is  $E_2 = \{c_1, c_4, c_5\}$ , with indicator weights of  $\omega_1^2 = 0.2, \omega_4^2 = 0.3, \omega_5^2 = 0.5$ . The evaluation indicator set of experts from the transportation department is  $E_3 = \{c_1, c_3, c_5\}$ , with indicator weights of  $\omega_1^3 = 0.1, \omega_3^3 = 0.4, \omega_5^3 = 0.5$ .

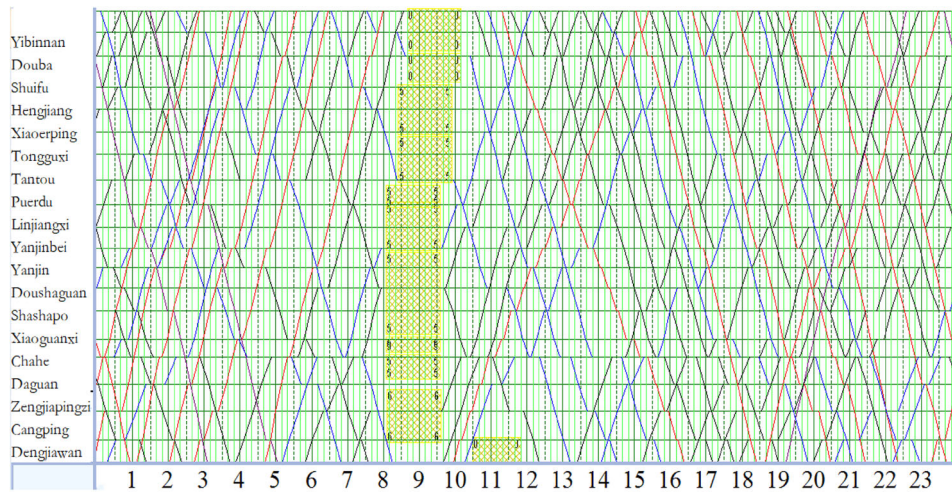
Based on their knowledge and experience, each expert provided corresponding evaluation matrices  $V_1, V_2$ , and  $V_3$  as follows:

$$V_1 = \begin{matrix} & c_1 & c_2 & c_4 \\ m_1 & \begin{bmatrix} 0.8 & 0.6 & 0.7 \\ 0.7 & 0.7 & 0.7 \\ 0.8 & 0.5 & 0.8 \end{bmatrix} \end{matrix},$$

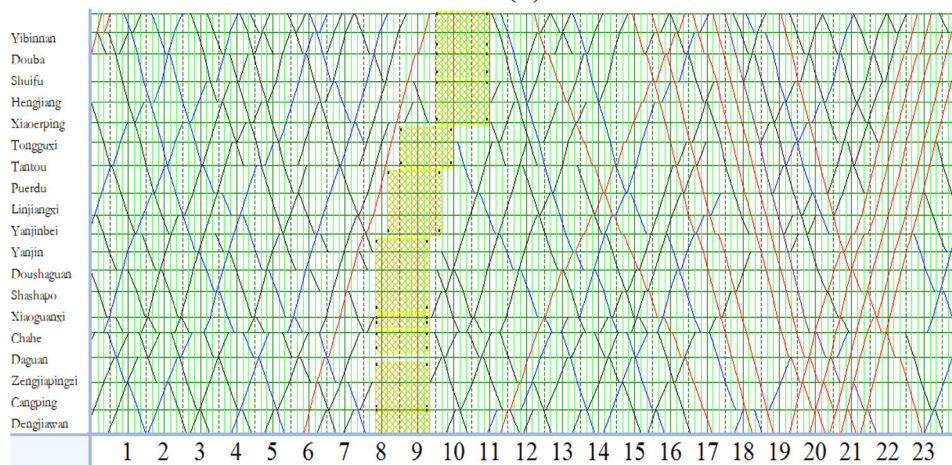
$$V_2 = \begin{matrix} & c_1 & c_4 & c_5 \\ m_1 & \begin{bmatrix} 0.7 & 0.6 & 0.8 \\ 0.7 & 0.7 & 0.8 \\ 0.6 & 0.7 & 0.6 \end{bmatrix} \end{matrix},$$

$$V_3 = \begin{matrix} & c_1 & c_3 & c_5 \\ m_1 & \begin{bmatrix} 0.8 & 0.9 & 0.7 \\ 0.8 & 0.9 & 0.7 \\ 0.8 & 0.7 & 0.5 \end{bmatrix} \end{matrix}.$$

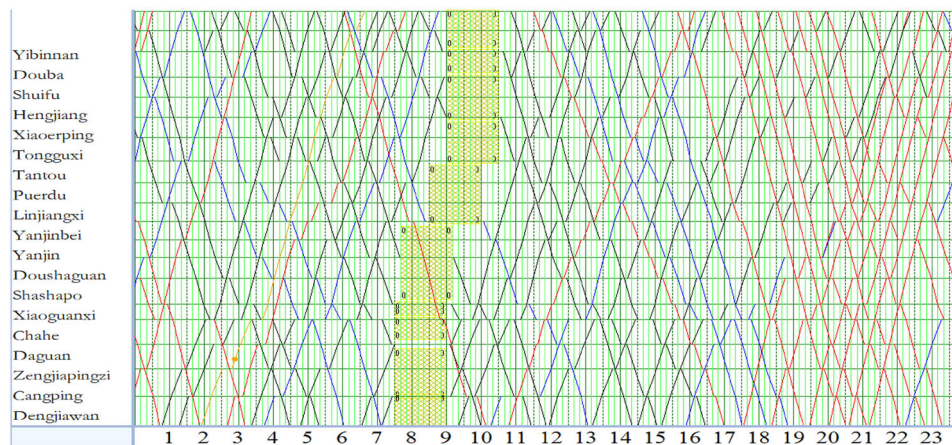
Then, according to Eq. (3), we transform the evaluation matrices  $V_1, V_2$ , and  $V_3$  into fuzzy soft sets  $(F_1, C_1), (F_2, C_2)$ , and  $(F_3, C_3)$ .



(a)



(b)



(c)

**Fig. 3** Alternative MWSs for Yibinnan–Dengjiawan railway line. **a** MWS 1, **b** MWS 2, **c** MWS 3



$$(F_1, C_1) = \begin{cases} c_1 = \{m_1/0.8, m_2/0.7, m_3/0.8\} \\ c_2 = \{m_1/0.6, m_2/0.7, m_3/0.5\} \\ c_4 = \{m_1/0.7, m_2/0.7, m_3/0.8\}, \end{cases}$$

$$(F_2, C_2) = \begin{cases} c_1 = \{m_1/0.7, m_2/0.7, m_3/0.6\} \\ c_4 = \{m_1/0.6, m_2/0.7, m_3/0.7\} \\ c_5 = \{m_1/0.8, m_2/0.8, m_3/0.6\}, \end{cases}$$

$$(F_3, C_3) = \begin{cases} c_1 = \{m_1/0.8, m_2/0.8, m_3/0.8\} \\ c_3 = \{m_1/0.9, m_2/0.9, m_3/0.7\} \\ c_5 = \{m_1/0.7, m_2/0.7, m_3/0.5\}. \end{cases}$$

Performing “AND” operations on the fuzzy soft sets  $(F_1, C_1)$ ,  $(F_2, C_2)$  and  $(F_3, C_3)$ . Then  $(G, E) = (G, C_1 \times C_2 \times C_3) = (F_1, C_1) \wedge (F_2, C_2) \wedge (F_3, C_3)$ . As  $C_1 \cap C_2 \cap C_3 \neq \emptyset$ , the number of synthetic parameters in fuzzy soft set  $(G, E) = (G, C_1 \times C_2 \times C_3)$  is less than  $L = 3 * 3 * 3 = 27$ .

Assuming that the sets  $C_1, C_2$ , and  $C_3$  each provide a parameter to form  $\hat{E} = \{\hat{e}_1, \hat{e}_2, \dots, \hat{e}_{27}\}$ , the parameters of  $\hat{E}$  are as given in Table 5.

Table 5 shows that  $\hat{e}_3 = \hat{e}_7 = \hat{e}_9 = c_1c_5$ ,  $\hat{e}_4 = \hat{e}_{19} = \hat{e}_{22} = c_1c_4$ ,  $\hat{e}_5 = \hat{e}_{20} = c_1c_3c_4$ ,  $\hat{e}_6 = \hat{e}_{21} = \hat{e}_{25} = c_1c_4c_5$ ,  $\hat{e}_{12} = \hat{e}_{16} = c_1c_2c_5$ , and  $\hat{e}_{24} = \hat{e}_{27} = c_4c_5$ . So the number of parameters in set  $E$  is 18. Let  $E = \{e_1, e_2, \dots, e_{18}\}$ . The parameters of  $E$  are given in Table 6.

Computing fuzzy soft set  $(G, E)$  according to Eq. (5) produces the results given in Table 7. Combining Eq. (8) to (10) and calculating the weight values of the synthesized parameter lead to the results given in Table 8. The weight

value indicates the degree of importance of each parameter after synthesis.

Based on Eq. (8) to (10), the weighted comparison matrix  $C$  can be obtained:

$$C = \begin{matrix} & \begin{matrix} m_1 & m_2 & m_3 \end{matrix} \\ \begin{matrix} m_1 \\ m_2 \\ m_3 \end{matrix} & \begin{bmatrix} 1.000 & 0.411 & 0.904 \\ 0.936 & 1.000 & 0.988 \\ 0.128 & 0.156 & 1.000 \end{bmatrix} \end{matrix}.$$

Combining Eq. (11) to (12), we can obtain the dominance score of each MWS:

$$s(m_1) = \sum_{k=1}^3 (c_{1k} - c_{k1}) = 0 + (0.411 - 0.936) + (0.904 - 0.128) = 0.251,$$

$$s(m_2) = \sum_{k=1}^3 (c_{2k} - c_{k2}) = (0.936 - 0.411) + 0 + (0.988 - 0.156) = 1.357,$$

$$s(m_3) = \sum_{k=1}^3 (c_{3k} - c_{k3}) = (0.128 - 0.904) + (0.156 - 0.988) + 0 = -1.608.$$

It can be seen that  $s(m_2) > s(m_1) > s(m_3)$ , so we can say that  $m_2$  is the best one of the three alternative MWSs;  $m_3$  is the worst of the three alternatives;  $m_1$  is intermediate between  $m_2$  and  $m_3$ .

To further illustrate the effectiveness of fuzzy soft set theory in MWS evaluation, we compare the proposed method with the fuzzy comprehensive evaluation method adopted in Ref. [8]. Assume that the appraisal set of the MWS is  $V = [\text{very reasonable, relatively reasonable,}$

**Table 5** Parameters of  $\hat{E}$

Synthesized	Original	Synthesized	Original	Synthesized	Original
$\hat{e}_1$	$c_1$	$\hat{e}_{10}$	$c_1c_2$	$\hat{e}_{19}$	$c_1c_4$
$\hat{e}_2$	$c_1c_3$	$\hat{e}_{11}$	$c_1c_2c_3$	$\hat{e}_{20}$	$c_1c_3c_4$
$\hat{e}_3$	$c_1c_5$	$\hat{e}_{12}$	$c_1c_2c_5$	$\hat{e}_{21}$	$c_1c_4c_5$
$\hat{e}_4$	$c_1c_4$	$\hat{e}_{13}$	$c_1c_2c_4$	$\hat{e}_{22}$	$c_1c_4$
$\hat{e}_5$	$c_1c_3c_4$	$\hat{e}_{14}$	$c_2c_3c_4$	$\hat{e}_{23}$	$c_3c_4$
$\hat{e}_6$	$c_1c_4c_5$	$\hat{e}_{15}$	$c_2c_4c_5$	$\hat{e}_{24}$	$c_4c_5$
$\hat{e}_7$	$c_1c_5$	$\hat{e}_{16}$	$c_1c_2c_5$	$\hat{e}_{25}$	$c_1c_4c_5$
$\hat{e}_8$	$c_1c_3c_5$	$\hat{e}_{17}$	$c_2c_3c_5$	$\hat{e}_{26}$	$c_3c_4c_5$
$\hat{e}_9$	$c_1c_5$	$\hat{e}_{18}$	$c_2c_5$	$\hat{e}_{27}$	$c_4c_5$

**Table 6** Parameters of  $E$

Synthesized	Original	Synthesized	Original	Synthesized	Original
$e_1$	$c_1$	$e_7$	$c_1c_3c_5$	$e_{13}$	$c_2c_4c_5$
$e_2$	$c_1c_3$	$e_8$	$c_1c_2$	$e_{14}$	$c_2c_3c_5$
$e_3$	$c_1c_5$	$e_9$	$c_1c_2c_3$	$e_{15}$	$c_2c_5$
$e_4$	$c_1c_4$	$e_{10}$	$c_1c_2c_5$	$e_{16}$	$c_3c_4$
$e_5$	$c_1c_3c_4$	$e_{11}$	$c_1c_2c_4$	$e_{17}$	$c_4c_5$
$e_6$	$c_1c_4c_5$	$e_{12}$	$c_2c_3c_4$	$e_{18}$	$c_3c_4c_5$

**Table 7** Fuzzy soft set ( $G, E$ )

$\mu_{ij}$	$e_1$	$e_2$	$e_3$	$e_4$	$e_5$	$e_6$	$e_7$	$e_8$	$e_9$	$e_{10}$	$e_{11}$	$e_{12}$	$e_{13}$	$e_{14}$	$e_{15}$	$e_{16}$	$e_{17}$	$e_{18}$	
$m_1$	0.77	0.7	0.7	0.6	0.6	0.6	0.8	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.6	0.65	0.7	0.7	
$m_2$	0.73	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.7	
$m_3$	0.73	0.7	0.55	0.75	0.7	0.5	0.6	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.7	0.55	0.6

**Table 8** The weight values for the synthesized parameter

$e_1$	$e_2$	$e_3$	$e_4$	$e_5$	$e_6$	$e_7$	$e_8$	$e_9$
0.064	0.032	0.1	0.012	0.048	0.06	0.08	0.006	0.024
$e_{10}$	$e_{11}$	$e_{12}$	$e_{13}$	$e_{14}$	$e_{15}$	$e_{16}$	$e_{17}$	$e_{18}$
0.015	0.009	0.036	0.045	0.06	0.075	0.036	0.075	0.06

general, and unreasonable], according to the fuzzy comprehensive evaluation solution steps, we can get the fuzzy evaluation vectors for the three MWSs. The detailed solution process is given in Appendix 2.

$$B^{(1)} = (0.32, 0.47, 0.21, 0),$$

$$B^{(2)} = (0.3, 0.57, 0.13, 0),$$

$$B^{(3)} = (0.22, 0.33, 0.4, 0.05).$$

Based on the principle of maximum membership, we can take the largest proportion of the rating of the appraisals as the overall appraisal result. That is to say, most experts think  $m_1$  is relatively reasonable, accounting for 47%. Thirty-two percentage experts think that  $m_1$  is very reasonable, and 21% think  $m_1$  is general reasonable. For  $m_2$  and  $m_3$ , we can get the similar conclusion.

Considering the fuzzy comprehensive evaluation method cannot directly compare different MWSs, we need to normalize the comprehensive evaluation vector and then rank the three alternative MWSs. The results are as follows:

$$S(m_2) \succ S(m_1) \succ S(m_3).$$

It can be seen that the results obtained by the two methods are consistent. However, the fuzzy comprehensive evaluation method based on the principle of maximum membership can only obtain the largest proportion of the appraisal grades, which will waste part of the evaluation information. In comparison, the proposed fuzzy soft set method allows experts to use individual, personalized sets of evaluation indicators, thus allowing overlap among indicator sets. This is more consistent with reality and can improve evaluation accuracy.

### 5 Conclusions

MWS evaluation has a feedback on MWS setting, which is an important way to improve the quality of MWS setting. However, there is little research on MWS evaluation. This paper presents an MWS evaluation index system and develops an MWS evaluation framework based on fuzzy soft set theory. The established index system can take into account the needs of different departments. To the best of the authors' knowledge, this paper is the first attempt to construct an MWS evaluation index system using this approach. Application of fuzzy soft sets to MWS evaluation can effectively describe the experts' subjective judgment and allow each expert to utilize a set of indicators based on personal preference. In addition, the proposed method integrates information obtained via expert evaluation to obtain an overall evaluation. A case study shows the applicability of this framework to provide a valuable tool for planners to select the best of multiple-alternative MWS.

Future research will focus on improving the MWS evaluation indicator system, as well as investigating other group decision-making methods such as the modified FAHP method [16].

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### Appendix 1: Calculation of some quantitative indicators

For a given rail line or section, assume that the length of each MW segment is  $t_1, t_2, \dots, t_n$ , and the time intervals between adjacent MW segments are  $t_{1,2}, t_{2,3}, \dots, t_{n-1,n}$ . Let

$t_{\max} = \max\{t_1, t_2, \dots, t_n\}$  and  $t_{\min} = \min\{t_1, t_2, \dots, t_n\}$ ; then the range of MW time durations can be represented as  $R(t) = t_{\max} - t_{\min}$ .

Time duration distribution equilibrium of MW can be represented as

$$\text{Var}(t) = \frac{1}{n} \left[ \sum_{i=1}^n (t_i - \bar{t})^2 \right].$$

The average time interval of the MW time duration can be represented as

$$\bar{t} = \frac{\sum_{i=1}^n t_{i-1,i}}{n}.$$

In general, the maintenance convenience of different times during the day is not the same at different times within the day. It is convenient to carry out maintenance activities during the daytime, because at night lighting equipment will add additional costs, security risks may exist, and so on. We therefore define the following piecewise linear functions to describe the maintenance convenience of different times of the day.

$$C(t) = \begin{cases} \frac{t - c_1}{c_2 - c_1}, & c_1 \leq t < c_2 \\ 1, & c_2 \leq t < c_3 \\ \frac{c_4 - t}{c_4 - c_3}, & c_3 \leq t < c_4 \\ 0, & t < c_1, t \geq c_4 \end{cases}.$$

The range of  $C(t)$  is  $[0, 1]$ , with the greater the value, the higher the convenience (Fig. 4). When the MW time is located in  $[c_1, c_2]$ , the degree of maintenance convenience increases gradually (generally between 7 am and 8 am). When the MW time is located in  $[c_3, c_4]$ , the degree of maintenance convenience gradually decreases (generally between 18 pm and 20 pm). When the MW time is located in  $[c_2, c_3]$ , the degree of maintenance convenience is at a maximum constant value (generally between 8 am and 18 pm).

### Appendix 2: MWS evaluation using fuzzy comprehensive evaluation method

For the MWS selection case in Sect. 4, we use the fuzzy comprehensive evaluation method [8] to solve it. Similar to Sect. 4, we have MWS set  $M = \{m_1, m_2, m_3\}$ , evaluation indicator set  $C = \{c_1, c_2, c_3, c_4, c_5\}$ , and evaluation expert set  $EX = \{ex_1, ex_2, ex_3\}$ .

Let the appraisal set of the MWS is  $V = [\text{very reasonable, relatively reasonable, general, unreasonable}] = [1-4]$ . Then the procedures of the fuzzy comprehensive

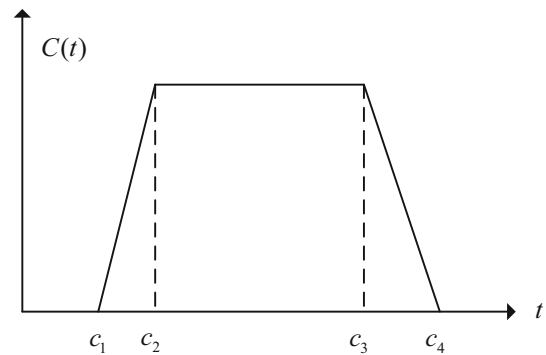


Fig. 4 Maintenance convenience degree function

Table 9 The evaluation form for the three alternative MWS

	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$
$m_1$					
$ex_1$	2	1	2	1	1
$ex_2$	3	2	1	1	2
$ex_3$	2	2	3	2	3
$m_2$					
$ex_1$	1	2	1	1	2
$ex_2$	2	1	1	2	2
$ex_3$	2	2	2	3	3
$m_3$					
$ex_1$	2	1	2	1	1
$ex_2$	4	3	3	3	2
$ex_3$	3	2	3	2	3

evaluation model for the MWS selection problem can be described by the following steps.

#### Step 1 Determining the set of appraisal grades

According to the appraisal set  $V$ , the evaluation expert gives a rating for each indicator of each MWS and obtains the evaluation form as given in Table 9.

#### Step 2 Establishing a fuzzy evaluation matrix

Determining the evaluation vector for every indicator according to the proportion of the four evaluation categories. Take MWS 1 ( $m_1$ ) as example, for evaluation indicator  $c_1$ , two of the three experts select relatively reasonable, one expert select general, and we can represent the first indicator of the  $m_1$  as  $R_{11} = (0, 0.67, 0.33, 0)$ . Then we can derive the fuzzy appraisal matrix of all 5 factors for all three MWS. We denote them as  $R^{(1)}$ ,  $R^{(2)}$  and  $R^{(3)}$ :

$$\mathbf{R}^{(1)} = \begin{bmatrix} 0 & 0.67 & 0.33 & 0 \\ 0.33 & 0.67 & 0 & 0 \\ 0.33 & 0.33 & 0.34 & 0 \\ 0.67 & 0.33 & 0 & 0 \\ 0.33 & 0.33 & 0.34 & 0 \end{bmatrix},$$

$$\mathbf{R}^{(2)} = \begin{bmatrix} 0.33 & 0.67 & 0 & 0 \\ 0.33 & 0.67 & 0 & 0 \\ 0.67 & 0.33 & 0 & 0 \\ 0.33 & 0.33 & 0.34 & 0 \\ 0 & 0.67 & 0.33 & 0 \end{bmatrix},$$

$$\mathbf{R}^{(3)} = \begin{bmatrix} 0 & 0.33 & 0.33 & 0.34 \\ 0.33 & 0.33 & 0.34 & 0 \\ 0 & 0.33 & 0.67 & 0 \\ 0.33 & 0.33 & 0.34 & 0 \\ 0.33 & 0.33 & 0.34 & 0 \end{bmatrix}.$$

*Step 3* Getting the overall appraisal result

Assume that the weight vector  $\omega = (0.15, 0.25, 0.2, 0.1, 0.3)$ , then the overall appraisal result for each MWS can be obtained by multiplying weight vector and the fuzzy appraisal matrix.

$$\begin{aligned} \mathbf{B}^{(1)} &= \omega \circ \mathbf{R}^{(1)} = (0.15, 0.25, 0.2, 0.1, 0.3) \circ \mathbf{R}^{(1)} \\ &= (0.32, 0.47, 0.21, 0), \end{aligned}$$

$$\begin{aligned} \mathbf{B}^{(2)} &= \omega \circ \mathbf{R}^{(2)} = (0.15, 0.25, 0.2, 0.1, 0.3) \circ \mathbf{R}^{(2)} \\ &= (0.3, 0.57, 0.13, 0), \end{aligned}$$

$$\begin{aligned} \mathbf{B}^{(3)} &= \omega \circ \mathbf{R}^{(3)} = (0.15, 0.25, 0.2, 0.1, 0.3) \circ \mathbf{R}^{(3)} \\ &= (0.22, 0.33, 0.4, 0.05). \end{aligned}$$

*Step 4* Ranking the alternatives according to the overall attributes values.

Because the maximum membership principle only takes the rating scale of the maximum specific gravity, it will waste part of the evaluation information, and it is difficult to sort the alternatives. Using the normalized fuzzy vector method [16], the scores of each scheme can be synthesized and the schemes can be sorted. For example, give median scores for 4 appraisal, that is  $\mathbf{D} = (95, 83, 67, 45)$ , then we can get the score for each MWS:

$$S(m_1) = (0.32, 0.47, 0.21, 0) \times (95, 83, 67, 45)^T = 83.48,$$

$$S(m_2) = (0.3, 0.57, 0.13, 0) \times (95, 83, 67, 45)^T = 84.52,$$

$$\begin{aligned} S(m_3) &= (0.22, 0.33, 0.4, 0.05) \times (95, 83, 67, 45)^T \\ &= 77.34. \end{aligned}$$

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