# A New Perspective on Understanding the Reduced Spring Dust Storm Frequency in Inner Mongolia, China

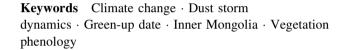
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**Abstract** Spatiotemporal patterns of dust storms are affected by climate change through changes in convective instability, regional meteorological characteristics, and local sediment supply. Linking dust storm dynamics to climate change helps the understanding of what controls the initiation of dust storms, and assists the prediction of future dust storm occurrence. This study examines the temporal dynamics of spring dust storms in Inner Mongolia, a major dust source area in East Asia. We found that severe spring dust storms have significantly declined from 1954 to 2007. Four dust storm types showed similar decreasing trends from 2001 to 2012. This change in spring dust storm dynamics is attributed to the shift in vegetation green-up dates based on the analysis of a satellite derived vegetation index. Earlier vegetation green-up has a dampening effect on spring dust storms. Suitable environmental conditions for vegetation green-up hinder the emergence of dust storms. This study expands our understanding of the dynamics of spring dust storms in the changing climate through a new perspective on vegetation phenology in the spring.

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### 1 Introduction

Dust storms occur in association with strong turbulent winds and exposed soil surfaces (Goudie 1983, 2009) and have wide-ranging implications for the natural system and human society (Goudie and Middleton 1992). East Asia (China, Mongolia, Japan, and the Korean Peninsula) accounts for one fifth of the world's population and includes large areas of arid and semiarid lands. These are some of the strongest source areas for dust storms and pose annual dust storm threats (Goudie and Middleton 1992; Liu et al. 2011).

Dust storms transport mineral nutrients from deserts and drylands to rain forests and oceans, providing bioavailable iron to photosynthetic microorganisms and supporting local ecosystems (Griffin and Kellogg 2004). Dust storm deposits form the fertile loess soils in northern China and the Midwestern US and were reclaimed as agricultural lands (Catt 1988); they became the cradle of Chinese culture. Dust storms also exert strong impacts on local and global climate by modifying radiative forcing, cloud physical properties, and biogeochemical cycling (Idso 1974; Creamean et al. 2013).

Dust storms impact socioeconomic systems significantly, including agricultural productivity, public health, mass transportation, and livelihoods (Schlesinger et al. 1990; Toon 2003). A single dust storm event that occurred on 5 May 1993 threatened 1.1 million km<sup>2</sup> of territory in China and resulted in a direct economic loss of CNY 560 million Yuan (about USD 70 million) (Wang et al. 1995). Understanding the controls and spatiotemporal dynamics of



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dust storms has become a growing concern for both scientific research and policy making (Fan et al. 2014).

This article reviews the primary controls of dust storm initiation and examines the long-term trends and variations of spring dust storms in Inner Mongolia—a major dust storm area with a local supply of source materials in East Asia. Two datasets of dust storm field observations were analyzed—severe dust storms from 1954 to 2007; and four dust storm types from 2001 to 2012. After a brief summary of the possible causes of these dust storm dynamics as reported in previous studies, we propose a new perspective to explain the variation of spring dust storms by linking dust storm dynamics to the shift of vegetation phenology in the spring. This study provides a novel view to understanding the variation of dust storms in a changing climate.

## 2 Primary Controls of Dust Storm Outbreaks

Atmospheric circulation systems, near-surface meteorological elements, and underlying surface conditions are the most influential controls of initiating dust storms (Goudie

1983; Qian et al. 2002; Wang et al. 2004; Shao and Dong 2006) (Fig. 1). Atmospheric thermodynamic instabilities determine the strength of turbulences and provide the climate conditions that are favorable for dust storm development (Yang et al. 2008; Li et al. 2014). For example, spring cold fronts from Siberia undercut warm air, the pressure gradient then increases, and winds become gusty in East Asia (Ebata and Tateishi 2001). The strong winds whip up dust materials, eventually forming dust storms (Qian et al. 2002). The convective instabilities make spring a dust storm prevailing season in East Asia (Wang et al. 2004; Shao and Dong 2006). Regional atmospheric conditions play an important role in determining the size, duration, and intensity of dust storms (Zhang et al. 1997; Oian et al. 2002; Shao and Dong 2006).

Local near-surface meteorological elements and underlying surface conditions also contribute to the emergence of dust storms by affecting the access to dust materials (Shao and Dong 2006). Sand composition on the land surface is the material foundation of the formation of a dust storm (Lee and Sohn 2011). A dry or less-precipitation climate tends to create the conditions for the initiation of

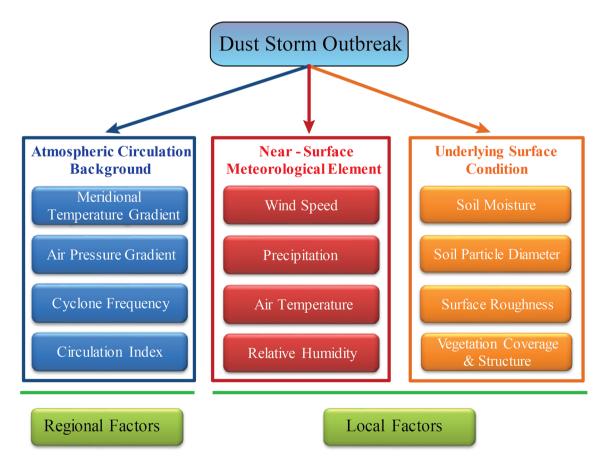


Fig. 1 Primary controls of dust storm initiation. At the regional scale, convective instability provides the driving force of dust storm outbreaks. Locally, near-surface meteorological conditions and

underlying surface conditions determine the availability of dust materials, the speed of surface friction wind, and the threshold wind speed for blowing up dust materials



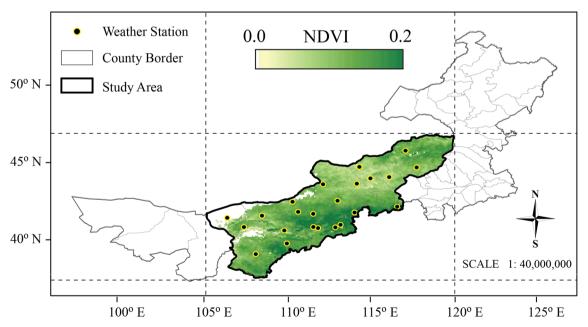
dust storms (Qian et al. 2002) and dust storms tend to appear in dry places with an annual precipitation of 25–300 mm (Xu and Hu 1997). In an arid or semiarid climate, the loosely held soil surface particles increase susceptibility of the area to dust storms (Cowie et al. 2013). A greater surface roughness (such as higher vegetation coverage) is likely to slow down the friction velocity and restrain the occurrence of dust storms (Mao et al. 2013). At the same time, soil moisture, soil particle size, and the stability of the underlying surface together determine the threshold wind speed needed to blow up dust materials and affect the frequency of dust storm outbreaks (Song et al. 2005; Kurosaki et al. 2011).

Along with the varying climate, regional atmospheric conditions and local meteorological elements are changing as well, and climate change-induced variations in surface conditions also occur. The pattern and variability of dust storms are inevitably affected by the changing climate (Sun et al. 2001; Fan et al. 2014). Linking dust storm dynamics to climate change not only helps the comprehension of the physical mechanisms that control dust storm outbreaks, but also assists the prediction of dust storm emergence and their impacts on social-ecological systems and people's livelihoods (Schlesinger et al. 1990; Toon 2003; Creamean et al. 2013).

# 3 Temporal Variation of Spring Dust Storms in Inner Mongolia in Recent Decades

Fluctuations of dust storm activity vary at the global scale (Goudie 2009; Shao et al. 2013). Among the three major dust storm source regions—North Africa, the Middle East, and East Asia (Chen 2010; Creamean et al. 2013), an increasing trend of dust storm outbreaks has been observed in North Africa (for example, the Sahel zone) and the Middle East (Goudie and Middleton 1992). East Asia (including the Taklimakan Desert, Northeastern China, and Mongolia) has shown a declining trend in dust storm frequency since the late twentieth century, except for a spike in dust storm activity in 2001 and 2002 (Zhou et al. 2001; Qian et al. 2002; Wang et al. 2004; Shao and Dong 2006). Using the dust storm intensity index calculated from 186 stations in China, Tan et al. (2014) supported the decreasing trend of dust storm days from 1980 to 2008.

To examine the long-term dynamics of spring dust storms in northern China, we analyzed the observations of severe dust storms from 23 meteorological stations in Inner Mongolia (37.61°–46.78° N, 105.24°–119.89° E) (Fig. 2) over 54 years, from 1954 to 2007. This severe dust storm dataset is maintained by the China Meteorological Data Sharing Service System, and can be downloaded from



**Fig. 2** The study area in central Inner Mongolia (37.61°–46.78° N, 105.24°–119.89° E). *Black dots* indicate the 23 meteorological stations within the study area, where dust storm observations and associated meteorological data used in this study were recorded. The study area is primarily covered by grassland, semidesert shrubs, and desert (Liu et al. 2013). The spring (February–June) normalized difference vegetation index (NDVI) (shown in *green*) for the study

area was averaged from 1982 to 2008 by using the global inventory modeling and mapping studies (GIMMS) normalized difference vegetation index (NDVI) product derived from observations of the advanced very high resolution radiometer (AVHRR) instruments onboard the National Oceanic and Atmospheric Administration (NOAA) satellites (Tucker et al. 2005)



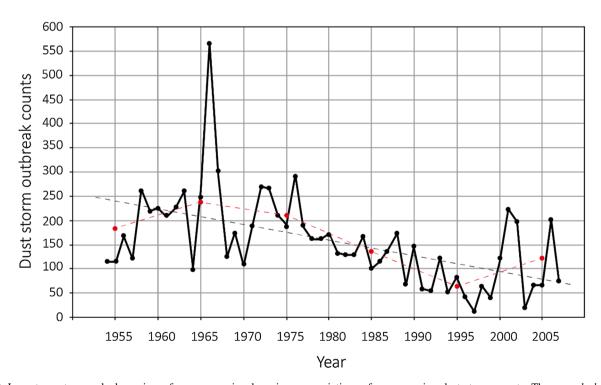
http://cdc.cma.gov.cn. The dataset defines severe dust storms by visibility ( $\leq$ 200 m) and instantaneous extreme wind speed ( $\geq$ 20 m/s).

Annual and decadal variations of severe spring dust storms are shown in Fig. 3. Over the study period, a total of 8451 severe spring dust storms were observed at the 23 ground stations, with an average of 156.5 severe spring dust storms per year. The maximum number of severe spring dust storms (566) was recorded in 1966, and the lowest number was observed in 1997 (Fig. 3). At the decadal scale, the 1960s experienced the highest number of severe spring dust storms (annual average 232.4), and severe spring dust storm occurrence was most restrained in the 1990s (annual average 64.1) (Fig. 3). A significant (p < 0.01) linearly decreasing trend of annual spring dust storms indicates a decrease of 2.99 severe spring dust storm events per year from 1954 to 2007.

Using the detailed dust storm observations archived in the SYNOP (surface synoptic observations) reports from the 23 ground stations (Fig. 2), we examined the temporal dynamics of four dust storm types—floating dust, blowing sand, moderate dust storm, and severe dust storm—from 2001 to 2012. The SYNOP reports were downloaded from the China Meteorological Data Sharing Service System. The weather conditions associated with a dust storm event

are included in the SYNOP weather reports and are coded. Different types of dust storm events are defined as: floating dust (airborne dust particles are uplifted in the air when a dust storm event has occurred and can reduce horizontal visibility to <10 km; SYNOP code 06); blowing sand (winds carrying large amounts of dust and sand can reduce horizontal visibility to 1-10 km; SYNOP code 07-08); moderate dust storm (strong winds carrying large amounts of dust and sand can reduce horizontal visibility to <1 km; SYNOP code 09, 30-32, and 98); and severe dust storm (strong winds carrying large amounts of dust and sand can reduce horizontal visibility to <500 m; SYNOP code 33-35) (Lim and Chun 2006). Figure 4 shows similar temporal variations for different dust storm types as Fig. 3. The 2001–2012 period shows a declining trend in spring dust storms for each dust storm type. The results in Figs. 3 and 4 together demonstrate the decreasing trend in spring dust storm frequency in northern China since the middle of last century, regardless of dust storm type.

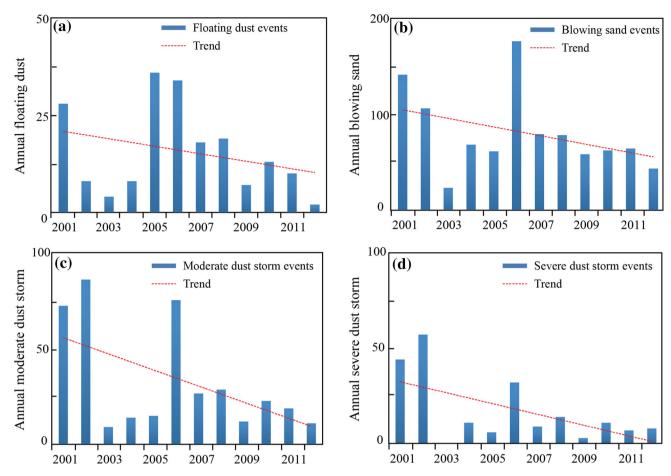
This declining trend of dust storms could be attributed to: (1) changes in the atmospheric circulation background (for example, meridional temperature gradients, cyclone frequencies, and air pressure index) (Ding et al. 2005), (2) changes in near-surface meteorological elements (for example, surface wind and precipitation) (Kurosaki and



**Fig. 3** Long-term temporal dynamics of severe regional spring (February–June) dust storms in central Inner Mongolia from 1954 to 2007, based on field observations from 23 ground stations. The *black line* and the *red dashed line* indicate the annual and decadal

variations of severe spring dust storm counts. The *grey dashed line* indicates the linear decreasing trend of severe spring dust storms, regressed by using the annual severe spring dust storm outbreaks





**Fig. 4** Temporal dynamics and trends of different types of spring (February–June) dust storms in central Inner Mongolia from 2001 to 2012. Dust storm data are from the SYNOP (surface synoptic

observations) reports from 23 ground stations. *Histograms* indicate the annual counts of spring dust storms. The *red lines* indicate the temporal trends of spring dust storms from 2001 to 2012

Mikami 2003), and (3) changes in ground roughness (for example, vegetation coverage and land cover) (Qian et al. 2002; Zou and Zhai 2004; Wang et al. 2006). Because of the heterogeneity in global warming, that is, high latitudes tend to warm faster than low latitudes, the meridional temperature gradient and air pressure gradient are reduced, dampening the activity of atmospheric circulation, resulting in surface wind stilling (Vautard et al. 2010). The increased temperature induced more active photosynthesis of vegetation, accumulating more biomass on the ground, which increased surface roughness (Vautard et al. 2010). The slower surface wind speed and higher surface roughness limited the frequency of dust storms in a warming global climate (Fig. 5).

In the East Asia region, vegetation green-up occurs within the dust storm prevailing season (March–May) (Cong et al. 2012). The earlier vegetation green-up date may be another cause of the reduced dust storm frequency under warmer temperatures. Although the restraining effect of vegetation on dust storm outbreaks has been recognized in some studies (Sun et al. 2001; Engelstädter et al. 2003), only the spatial

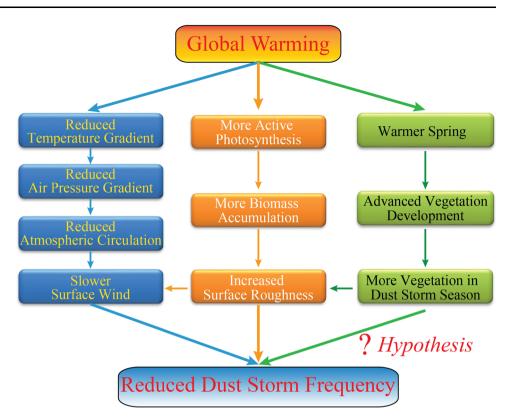
aspects of vegetation impacts on dust storm frequency (such as vegetation coverage and biomass) were considered, drawing the general conclusion that the more extensive the vegetation coverage and/or the better the vegetation growth, the fewer the dust storms (Kimura 2012; Lee and Kim 2012). However, the potential effects of a shift in vegetation phenology—that is, the timing of the onset of plant regeneration in the spring season and its relation to changes in the seasonal cycles of the climate—on dust storms were ignored (Fan et al. 2014). It is possible that in addition to vegetation coverage (a spatial index), vegetation green-up onset (a temporal index) also affects dust storm outbreaks.

# 4 Understanding the Reduced Spring Dust Storm Frequency from the Shift in Vegetation Phenology

From the temporal point of view, the same amount of vegetation is likely to exert different influences on dust storms when vegetation growing time shifts. When the



Fig. 5 Reduced dust storm frequency in a warming global climate. Heterogeneous global warming reduces the air pressure gradient and induces surface wind stilling. Increased temperatures enhance photosynthesis, leading to more biomass accumulation, which increases surface roughness and the wind speed needed to blow up dust materials. In the East Asia region, vegetation greenup occurs within the dust storm prevailing season (March-May). A shift in vegetation green-up date may be another cause of the change in the dynamics of spring dust storms under warmer temperatures



vegetation growing season lags behind the dust storm prevailing season, impacts from vegetation on dust storms weaken, regardless of how well the vegetation grows. Both vegetation amount and growing time might affect dust storm activity. In East Asia, dust storms prevail from March to May, and the vegetation growing season generally lasts from April to October (Jeong et al. 2011). The effective time span for studying the relationship between vegetation and dust storms is between April and May, when the dust storm season and the vegetation growing season overlap.

In previous studies (Lee and Sohn 2011), the average growing season NDVI was usually used to indicate the vegetation condition during the dust storm season. The average growing season NDVI is primarily determined by vegetation growth in summer, when vegetation greenness reaches its maximum. The variation of the NDVI during the dust storm season has limited influence on the average growing season NDVI. The average spring NDVI is dampened by the poor vegetation conditions before the green-up date when plant leaves begin to turn green after winter dormancy, which fails to represent the actual vegetation conditions during the dust storm season. Both the average growing season NDVI and the average spring NDVI are not temporally matched with the dust storm prevailing season in East Asia. Therefore, the average growing season/spring NDVI could not accurately characterize the restrictive effects of vegetation growth on spring dust storms (Fan et al. 2014).

To better assess the impact of vegetation on dust storm occurrence, this study uses the green-up date (occurring in the dust storm season) as an indicator of vegetation condition. The green-up date represents the end of the soil exposure period and the start of rapid vegetation growth. Compared to the average growing season/spring NDVI, the green-up date is more effective to represent the vegetation dynamics during the dust storm season and is better at characterizing the restraining effects of the vegetation on dust storms (Fan et al. 2014). Vegetation green-up dates from 1982 to 2008 were determined by tracing the temporal changes of the satellite-derived vegetation index (NDVI). We first calculated the multiyear (1982-2008) average annual NDVI time series using the original 15-day resolution NDVI data. The NDVI ratio (NDVI<sub>ratio</sub>) was defined as (Piao et al. 2006):

$$NDVIratio(t) = [NDVI(t+1) - NDVI(t)]/[NDVI(t)],$$
(1)

where t is the day of the year (at a 15-day resolution). We then located the date when the  $NDVI_{ratio}$  reached the maximum, and used the corresponding NDVI(t) value as the threshold.

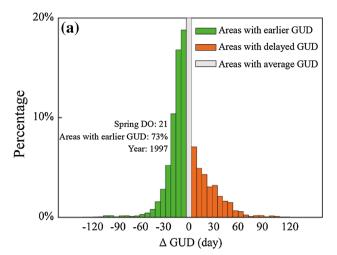
The annual vegetation green-up date was calculated as the day of a study year when the corrected (anomalies

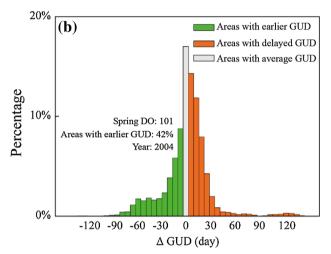


caused by clouds, snow cover, orbital drifts and volcanic stratospheric aerosol) interpolated 1-day resolution NDVI crossed the threshold value. If the maximum NDVI value of a certain pixel in a year was smaller than 0.2, this pixel was considered bare land in this year and excluded from green-up date identification. The NDVI time series used in this study are from the global inventory monitoring and modeling studies (GIMMSs) database obtained from the advanced very high resolution radiometer (AVHRR) remote-sensed observations (Tucker et al. 2005). Specific descriptions of vegetation green-up date extractions from NDVI time series can be found in Fan et al. (2014).

Figure 6 shows the spring dust storm outbreaks and pixel-scale vegetation green-up date anomalies of the study area in 1997, 2004, and 2006. These 3 years were selected to represent recent extremely weak (1997), medium (2004), and extremely strong (2006) dust storm years. In 1997, only 21 dust storm events were observed in the spring (February–June) in central Inner Mongolia, and 73 % of the study area had an advanced green-up date (Fig. 6a). In the medium dust storm year (2004), 101 spring dust storms were recorded, and the satellite-derived area with earlier vegetation green-up was 42 % of the study area (Fig. 6b). However, in the extremely strong dust storm year (2006), when satellite data suggest that only 18 % of the study area showed advanced vegetation spring phenology, 319 spring dust storms were observed (Fig. 6c). Figure 6 indicates a clear dampening effect of earlier vegetation green-up on dust storm frequency.

Generally, after green-up, vegetation grows rapidly and forms a more complex canopy structure (Bazzaz 1979; Zhang et al. 2003). A wind slowdown area appears behind plants and friction velocity declines. Higher vegetation coverage after green-up also increases surface roughness, raising the threshold wind speed for initiating a dust storm and thus reducing the possibility of a dust storm outbreak (Kimura 2012; Lee and Kim 2012). Due to better vegetation coverage, soil surface moisture may increase by hydraulic lift, when plant roots absorb water from deep soils (Dawson 1993). Greater vegetation coverage also leads to less surface runoff, which further increases surface soil moisture content and increases adsorption between soil particles and forms soil aggregates. Soil aggregates decrease the susceptibility of the land surface to strong winds (Nickling and Neuman 2009). Additionally, an earlier vegetation green-up pushes forward the end of the soil exposure period. Even when considering the duration of high soil surface exposure remains unchanged, with earlier green-up the soil exposure period that occurs earlier in the spring season corresponds to a time period with less strong wind events, thus resulting in a decrease in total spring dust storms (Fan et al. 2014). If the shortening of the soil exposure period due to earlier green-up is taken into





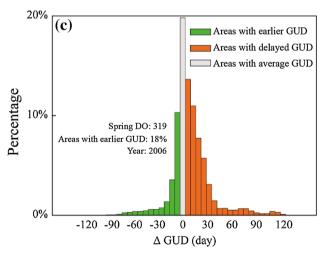
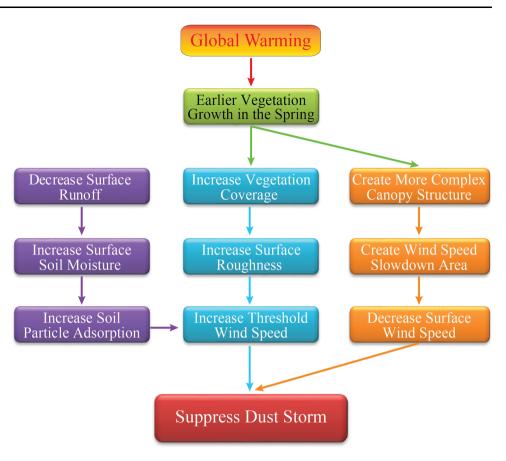


Fig. 6 The dampening effect of an earlier vegetation green-up date (GUD) on spring dust storm frequency in central Inner Mongolia, showing the dust storm outbreaks (DO) and pixel-scale ( $8 \times 8 \text{ km}^2$ ) GUD anomalies ( $\Delta$ GUD) in **a** an extremely weak dust storm year (1997), **b** a medium dust storm year (2004), and **c** an extremely strong dust storm year (2006). *Histograms* display the percentage of pixels within the study area with earlier GUD (indicated by *green bars*), average GUD (indicated by *grey bars*), and delayed GUD (indicated by *orange bars*)



Fig. 7 Possible mechanisms for the reduced spring dust storm frequency in central Inner Mongolia caused by earlier vegetation growth in the spring. Due to earlier green-up, vegetation coverage increases and canopy structure becomes more complex, leading to a greater restrictive effect of the vegetation on surface wind speed in the dust storm season. Together with improved surface soil moisture conditions, the threshold wind speed needed to blow up surface dust materials is also increased. Therefore, spring dust storm frequency declines in years with earlier vegetation green-up dates (GUDs)



account, the restraining effect of earlier vegetation growth on spring dust storms is more significant. Therefore, after vegetation green-up, dust storms decrease (Fan et al. 2014) (Fig. 7).

Among the different influencing factors of dust storm emergence, such as gust, sediment supply, and ground roughness, the condition of the vegetation is just one factor determining the frequency of dust storms (Fan et al. 2014). Hydrothermal conditions are the ultimate factor influencing dust storm outbreaks. However, the relationship between hydrothermal conditions and dust storms is not simply linear (Fan et al. 2014). No significant correlation was found between spring temperature/precipitation and spring dust storm occurrence in previous studies (Qian et al. 2002; Zou and Zhai 2004). Vegetation green-up is an integrated indicator of the hydrothermal conditions of the plant growth environment. Suitable conditions for vegetation green-up—a warm spring with enough precipitation—are adverse conditions for dust storm outbreaks. Unlike the nonlinear relationship between dust storms hydrothermal conditions, a linear correlation can be established between vegetation green-up date and dust storms (Fan et al. 2014). Because vegetation green-up is a synthetical expression of hydrothermal conditions, there is a significant impact from the shift in vegetation green-up on dust storm outbreaks. Therefore, variations in vegetation spring phenology (indicating a balance between overall local hydrothermal conditions) supplement the understanding of the dynamics of spring dust storms in East Asia in the changing climate.

### 5 Conclusion

Based on the ground observations of dust storm events in central Inner Mongolia, we demonstrated a significant declining trend of severe spring dust storms from 1954 to 2007. Four types of dust storm events displayed similar decreasing trends in the spring from 2001 to 2012. This kind of reduced spring dust storm frequency in East Asia has been attributed to changes in atmospheric circulation background, near-surface meteorological elements, and ground roughness under the warmer temperatures. This study used percentages of the study area with advanced vegetation green-up onset as an explanatory factor to explain the variations in spring dust storm occurrences. We demonstrated a dampening effect of earlier vegetation growth in the spring on regional spring dust storm frequency.



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