



# Monitoring disturbance intervals in forests: a case study of increasing forest disturbance in Minnesota

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## Abstract

• **Key Message** We develop analytical methods and explore trends in disturbance interval via systematic forest inventory observations at a bioregional scale.

• **Context** Our study spans the dynamic ecotone at the intersection of southern boreal forest, mixed hardwood forest, and tall-grass prairie ecosystems in Minnesota, USA. Disturbance-related tree mortality is a major driver of demographic and successional change in this bioregion.

• **Aims** We aim to provide reliable disturbance estimates for forest ecology and economic research.

• **Methods** We develop methods applicable to any region with systematic forest inventory observations. We assess disturbances observed by the United States Department of Agriculture-Forest Service Forest Inventory and Analysis program on permanent sample plots in Minnesota, USA.

• **Results** A roughly 50% reduction in disturbance interval is apparent across all forest cover types and for most disturbance categories. The largest changes are for insect damage, disease, wind events, drought, and fire.

• **Conclusion** Publicly available forest inventory data captures the frequency of disturbance events across bioregional landscapes and over time. Our methods serve to highlight rapid changes in rates of damage to standing trees within the study area.

**Keywords** Forest inventory · Disturbance · Rotation interval · Field observation · Trends · Bioregional scale

## 1 Introduction

Disturbance plays an important role in the dynamics of natural forests. Biotic and abiotic changes can disrupt stand structure, resource availability, and/or the physical environment (Pickett and White 1985). Such disturbances can range spatially from small-scale to large-scale, stand-to-landscape replacing events. The rotation interval (RI) for disturbance (not necessarily stand replacing) varies widely with many factors including disturbance type, forest cover type, successional stage, geographic location, ownership, and management regime.

Moreover, these rates of disturbance may vary with time, depending on long-term climate trends, anthropogenic land use patterns, wildlife population cycles, and other factors. In total, these disturbances drive successional change in the forest (Guyette and Kabrick 2002; Reilly and Spies 2016) by, for example, determining where and when canopy openings occur, which seedlings and sprouts grow free of browse, and the average time between disturbance events.

Increasing disturbances associated with climate change, invasive species (both plant and animal), invasive tree pests like emerald ash borer (*Agrilus planipennis* Fair.), and diseases like Dutch elm disease (*Ophiostoma ulmi* Buism) have been reported in some parts of the world, including our study area. Extreme wind and precipitation-related events (e.g., either too much or too little) also appear to be on the rise. Indeed, it seems we must now plan for increased disturbance in the forest (Seidl et al. 2017). Thus, assessment of regional patterns and trends is needed.

Disturbance rates are relevant to timber production and harvest scheduling, but also to carbon sequestration, habitat management, biodiversity, and ecosystem services

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considerations. (For a few examples, see Rogers (1996), Thom and Seidl (2016), and Seidl et al. (2016).) Here, we use data from the ongoing cyclical forest inventory effort of the United States Department of Agriculture (USDA) Forest Service's Forest Inventory and Analysis Unit (FIA) to analyze recent disturbance trends. We believe this is also the first study to utilize the cyclic FIA data to estimate RIs for different types of disturbance.

Prior efforts to determine RIs have typically looked at a handful of disturbance and cover types across a modest geographic region using intensive field methods to assess dates and types of disturbance (Heinselman 1973; Frelich and Lorimer 1991; Frelich and Reich 1995). Those efforts typically examined tree data obtained using increment borer samples from the trunks of standing or downed trees. These samples allowed the assessment of fire dates, drought stress, times of release from suppression, or other indicators of disturbance across relatively long periods of one to four centuries.

However, forest inventory data can be used to assess disturbance-related mortality across large spatial extents (Reilly and Spies 2016). This research used data collected for periodic inventory efforts with a sample design unique to the Pacific Northwest Region. Others have used satellite imagery (Landsat) and light ranging and detection (lidar) to assess combined change from harvesting and natural disturbance (Vogeler et al. 2018; Matasci et al. 2018). The more recent, and nationally consistent, cyclic data collected by FIA (a 5-year cycle of data collection contributes to each estimate of forestland area in Minnesota) hold promise for informing similar analytic approaches. However, these data present various difficulties stemming from the timing and sequence of observations. We develop an approach, utilizing a broad-based representative forest inventory to inform a range of interests including estimates of natural and unplanned human-induced disturbance. While our methods are applicable nationally and elsewhere, our specific objective was to devise a method for using FIA estimates of area disturbed to study RIs and trends for various types of natural disturbances across the forest. We use Minnesota as a case study to highlight the utility of the technique. We also test the hypotheses that disturbance rates (other than harvesting, which represents a planned treatment rather than a disturbance) have increased, decreased, or remained the same during the period of observation.

## 2 Materials and methods

### 2.1 Study area

A combination of natural and anthropogenic disturbance, coupled with periods of regrowth, has shaped Minnesota's

forests over time. The exact nature of these dynamics varies widely depending upon timber markets, soils, physiography, and the specifics of disturbance leading to change. Frequent fires, windstorms, insect infestations, and diseases have all played a role in shaping Minnesota's forested landscape.

Heinselman (1973, 1996) spent much of his career mapping historic fires which shaped the mosaic of even-aged and multi-aged coniferous forests of Minnesota's Boundary Waters Canoe Area Wilderness. Fires also played a large role in shaping the forest following the removal of most white and red pine from accessible portions of Minnesota's northern forest between 1890 and 1920. These fires consumed the dense slash and damaged less desirable trees left on site. Aspen responded strongly to clearcuts followed by fire (Friedman and Reich 2005), dominating regrowth across much of Minnesota.

The famous blowdown of 1999, which affected a large swath of southern boreal forest, and mixed hardwood forest stretching from Minnesota across Southern Quebec, Canada, is another example of disturbance shaping Minnesota's forests. This massive straight-line windstorm toppled mature trees across 193,000 ha (an area roughly 48 km long and 6-18 km wide) in Minnesota alone (USDA Forest Service 2001). The blowdown released younger or smaller diameter stems of shade-tolerant species present in the understory. Regrowth in the path of the blowdown leans heavily towards northern white cedar (*Thuja occidentalis* L.), balsam fir (*Abies balsamea* (L.) Mill.), and black spruce (*Picea mariana* Mill.), a distinct change from the mature jack pine (*Pinus banksiana* (Lamb.)), red pine (*Pinus resinosa* Ait.), eastern white pine (*Pinus strobus* L.) and aspen (*Populus tremuloides* (Michx.)), *Populus balsamifera* (L.), and *Populus grandidentata* (Michx.) originally present on most of the landscape (Rich et al. 2007).

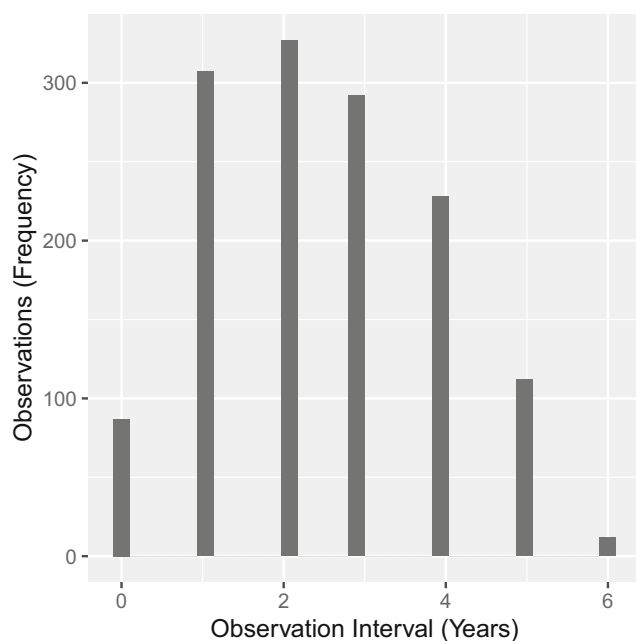
An explanatory mechanism for recent increases in insect outbreaks is their accelerated development rate and increased reproductive potential related to increasing temperatures (Porter et al. 1991; Ayres and Lombardero 2000). Additionally, the establishment of exotic insect and pathogen species in new locations (Aukema et al. 2010) may be more likely in a warmer climate (Virtanen and Neuvonen 1999; Lesk et al. 2017). The sporulation and colonization success of some forest pathogens may also respond to changes in temperature, precipitation, soil moisture, and relative humidity (Chakraborty et al. 1998). Hence, increasing temperatures also likely contribute to increased severity and shorter RIs for insect outbreaks, disease, fire, rot, and other events (Frelich and Reich 2010). Our methods may contribute to better understanding these processes and their implications for forest management.

## 2.2 FIA disturbance observations

Disturbances recorded during plot visits (FIA methods are summarized in [Appendix 2](#)) include biotic and abiotic agents such as insects, diseases, animals, fire, weather, and geologic events affecting individual trees ([Appendix 1](#)) (O'Connell et al. 2017). Following FIA procedure, we do not consider intentional disturbances such as harvesting or thinning here. In that context, human-induced disturbance includes things like tree removal not associated with a planned harvest, brush clearing that damages or removes trees, or other unplanned human activities resulting in damage or mortality of the specified threshold.

Per FIA instructions, disturbances must have occurred since the last plot visit (5 years prior in Minnesota, but 7 or 10 years for some states) or within a cycle length (a defined 5-year sample period) for new plots. FIA records the estimated year of disturbance along with the type. A disturbance must be at least 0.405 ha (1 acre) in size (clearly, this observation extends beyond the plot and includes assessment of conditions in the plot vicinity), with mortality or damage to 25% of the trees on the physical plot (e.g., the equivalent of at least one full subplot must be affected in most cases). Observations of disturbance are associated with the entire plot.

When a plot becomes inaccessible for any reason, it is replaced by a new observation (possibly from a different location) during the following field season. For this reason, observations of disturbances greater than 5 years old ([Fig. 1](#) and [Appendix 3 Table 4](#)) can be recorded. There are two caveats:



**Fig. 1** Distribution of time delay for observation of disturbances via FIA inventory in Minnesota. Note the observation interval corresponding to the largest number of disturbance observations (e.g., 2 years following the event). We calculate the focal year as the annum in which disturbances observed in a given year were most likely to have occurred

(1) Observations from five sequential panels must be used to produce estimates of total and disturbed area. (2) A temporal lag between disturbance events and their observation limits the sightability, relevance, or completeness of some observations.

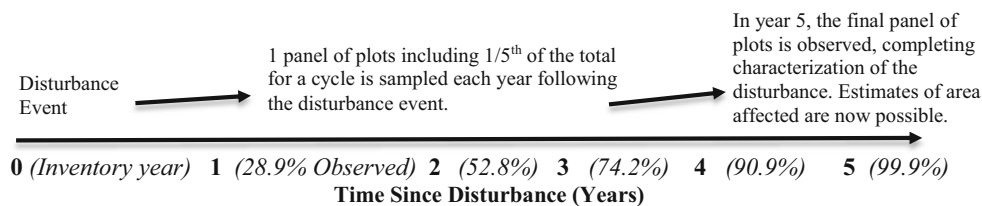
Recorded disturbances occurred between 1995 and 2016. Hence, we have a continuous set of observations, including any visible disturbance, spanning 21 years and ~7 million ha. Disturbances more than a few years old are clearly difficult to observe, with evidence of different disturbances likely persisting for different lengths of time. The record is reasonably complete for disturbance events occurring during the period 1998 to 2014 ([Appendix 3 Table 4](#)). Because most disturbances more than a few years old likely go unnoticed, disturbance RIs derived from these estimates are biased upwards (e.g., some level of disturbance went unnoticed).

## 2.3 Model for estimating disturbance

The standard defined by FIA is a 0.405-ha (1-acre) disturbance affecting at least 25% of the trees present on and around a subplot. Thus, our prediction capability using these data is for disturbances affecting at least 0.405 ha.

At times, more than one disturbance occurs between visits to a plot. We counted these disturbances (142 records) as unique disturbance observations. We assigned these secondary disturbances a distinct disturbance code and year and counted the disturbed area a second time in summation of disturbed area. Correlation of repeated measures was ignored, but may be an issue for these 142 observations (0.76% of our total plot observations; 142/18,789), where the nature of a first disturbance may have altered susceptibility to a future disturbance. Regardless, a downward bias in estimates of disturbed area resulting from loss of disturbance visibility over time ([Fig. 2](#)) likely makes our estimates conservative in terms of the RI calculated.

The rotation (or recurrence) interval is the usual measure used to discuss the frequency with which a defined portion of the landscape will experience one or more disturbances (Swift and Ran 2012; Pickett and White 1985). The forestry literature further provides a distinction between the disturbance return interval (a point-based concept) and the rotation interval (an area-based concept) (Bond and Keeley 2005). Our methods rely on area estimation and therefore are parallel to the rotation interval concept and terminology. Comparison of area disturbed over time against total area (e.g., for a cover type, or for the forest as a whole) enables calculation of a RI for disturbance. In this case, the RI is the expected period required for each 0.405 ha in a specified cover type, or stratum, to experience one or more disturbances. We also discuss the RI for specific types of disturbance. Those intervals reference the entire forest, rather than area of a specific cover type, unless otherwise noted.



**Fig. 2** Timeline for observation of disturbances by FIA in Minnesota. Percentages indicate the cumulative proportion of total observations for a given disturbance year made with each panel of a 5-year cycle

For each cover type group, and for the forest as a whole, we calculated RI as:

$$RI_t = \frac{\text{Total Area}_t}{\text{Disturbed Area}_t},$$

where  $t$  corresponds to the focal year for reporting of disturbances, and  $\text{Total Area}(t)$  is the then current estimate for total forest. In Minnesota, the focal period for observation of disturbed area lags 2 years behind the observation period for total area. An ordinary least squares regression model using focal year as the predictor and RI as the response was developed. We used Welch's  $t$  test (Welch 1951) and linear regression (R Core Team 2018) to test for significance of changes in disturbance frequency over the period of observation.

To assess likely the variability of disturbance rates, we use the `prop.test` function (R Core Team 2018) to calculate the 95% binomial confidence interval for each forest type group/disturbance type group combination, and for the forest as a whole. The size of the set of responses generated at each iteration was proportional to (disturbed area sampled / mean expansion factor) for that forest cover type. This method ensures that perceived precision of the estimate is proportional to the number of disturbance observations contributing to the estimate for a forest type. In addition to trend analysis, we present cross-tabulations of:

1. Disturbance RI (all disturbances combined) by forest cover type,
2. Disturbance RI (all cover types combined) by disturbance type group, and
3. Disturbance RI by forest cover type-disturbance type group combinations.

## 2.4 Statistical computing tools

Here, we propose a reproducible methodology for estimating disturbance RIs. We compile our scripts (Appendix 3) as R markdown documents (.Rmd) constructed and run using {knitr} (Xie 2014, 2015, 2018) in RStudio (RStudio Team 2016). Scripts used to obtain our results summarized by disturbance group (Table 2) are available

upon request. Similar methods were used to compile the disturbance data by forest cover type group (Table 3) and for the cross-tabulation of forest cover type group and disturbance type group (Appendix 3 Table 5).

## 3 Results

### 3.1 Total disturbance rates: USDA-FIA inventory years 1999–2016

We have records of 1780 disturbance events from 18,759 discrete observations (1999–2016) distributed across ~7 million ha of forestland. Of these, we used 1677 disturbance observations for events thought to have occurred from 1998–2014 to develop complete estimates of disturbance and to calculate RIs (Appendix 3 Table 4, bold). After excluding incomplete observations for events occurring at the extremes of the period of interest, we have a usable set of observations (Appendix 3 Table 4, shaded and bold) to develop estimates of disturbance RIs.

We depict frequency of disturbance as a RI by focal year (2001–2014) in Table 1 and Fig. 3. The expected RI declines from almost 23 years, to roughly 8 years over the course of observation. A linear model of the trend over time yields a highly significant slope ( $p < 0.0000012$ ,  $R^2 = 0.8584$ ) suggesting an average change in overall RI of  $-1.28$  years per year of observation. Similarly, one-sided Welch's  $t$  test ( $p = 0.01786$  with 172 degrees of freedom) suggests rejection of the null hypothesis that initial RIs for forest cover type-disturbance type combinations were either less than or equal to those observed at the end of the observation period. We therefore accept the alternative hypothesis that disturbance RIs have declined since 2001. Sample size limits our ability to estimate RI and confidence bounds for disturbance/cover type pairs with very few disturbance occurrences. Those with sufficient observations appear in Appendix 3 Table 5 along with binomial confidence bounds (e.g., RI.025 and RI.975). Examination of the temporal trend (Figs. 3, 4, and 5) shows that variation in the RI observed for different disturbances and cover types has decreased along with the interval.

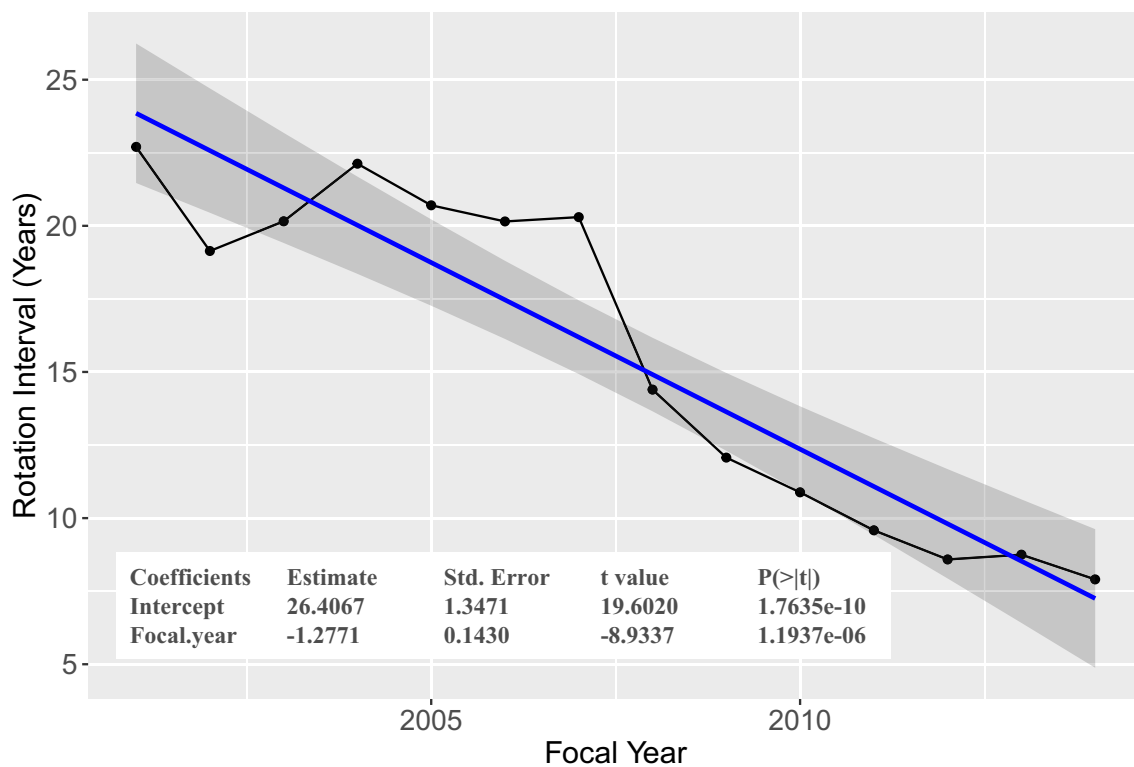
**Table 1** Total area of forestland and disturbance for evaluation groups\* 2003–2016

Cycle	Sub-cycle	Hectares sampled	Plots	Focal year	INVYR	Forestland hectares**	Ha *** disturbed	Rotation interval
12	5	1,488,782	1057	2001	2003	6,556,421	288,808	22.70
13	1	1,287,691	1009	2002	2004	6,540,442	341,726	19.14
13	2	1,386,390	1095	2003	2005	6,583,608	326,639	20.16
13	3	1,308,898	1045	2004	2006	6,619,617	299,187	22.13
13	4	1,435,492	1141	2005	2007	6,753,672	326,228	20.70
13	5	1,416,394	1120	2006	2008	6,858,256	340,360	20.15
14	1	1,344,651	1059	2007	2009	6,929,365	341,382	20.30
14	2	1,383,301	1086	2008	2010	6,966,10	483,884	14.40
14	3	1,316,940	1027	2009	2011	6,991,409	579,250	12.07
14	4	1,473,964	1138	2010	2012	7,015,636	644,765	10.88
14	5	1,428,845	1102	2011	2013	6,995,125	730,027	9.58
15	1	1,375,504	1056	2012	2014	7,035,684	819,511	8.59
15	2	1,419,429	1104	2013	2015	7,007,405	800,999	8.75
15	3	1,380,699	1059	2014	2016	7,084,527	896,469	7.90

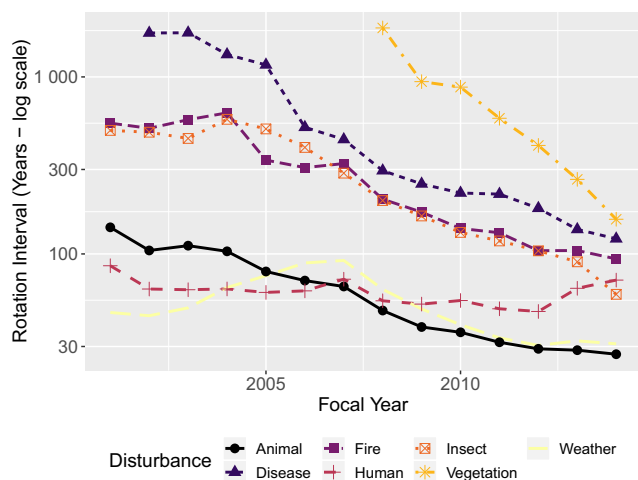
\*Evaluation group refers to a collection of 5 sequential panels (e.g., sub-cycles). Each panel contributes 1/5th of the plot observations for a full evaluation period. The collection of all plots associated with an evaluation group form the basis for estimates of forested area

\*\*Sampling error for total forestland hectares is approximately 0.52% ( $\pm 91,000$  ha)

\*\*\*Sampling error for hectares disturbed varies from 3.3 to 6.0% ( $\pm 43,000$ – $75,000$  ha)



**Fig. 3** Disturbance rotation interval (RI) trend, 2001–2014. The trend line is calculated as:  $E[RI] = 26.41 - 1.28 \times \Delta \text{Focal year}$ , with variance weighted by number of plots contributing to each 5-year estimate. The expected reduction in RI for each 1-year increase in focal year is 1.28 years ( $p < 0.0001$ )

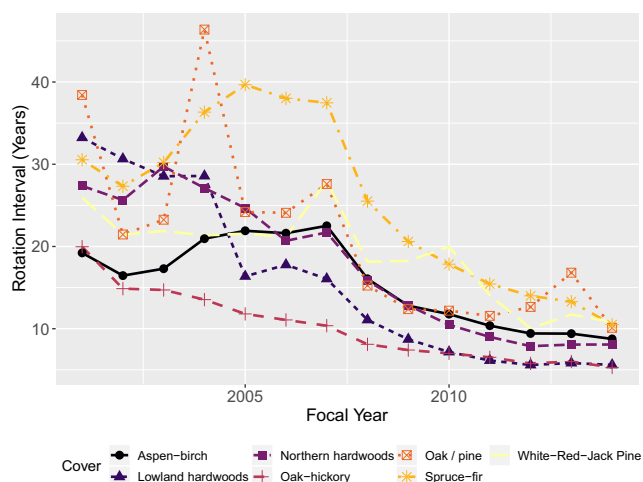


**Fig. 4** Rotation interval (RI) (years) by disturbance type for focal years 2001–2014 (focal year refers to the annum in which most disturbances observed in the 5-panel evaluation group are thought to have occurred). Geologic and unknown disturbances are omitted for visual simplicity

### 3.2 Details by disturbance and forest cover type groups

Frequency of disturbance and uncertainty associated with RIs varies greatly by disturbance type (Table 2). The most frequent disturbances were weather, animal, and human. RIs have generally declined for all disturbance types except human and geologic. The greatest decreases have occurred for disease, vegetation, insects, and fire (Fig. 4).

There was an almost 3-fold difference in disturbance RIs among forest cover types (Table 3). Although the aspen-birch group has suffered the greatest amount of absolute disturbance (hectares), both the oak-hickory and lowland hardwood groups have shorter RIs for disturbance. RIs have generally decreased over the study period for all forest type groups (Fig. 5). The RIs for total disturbance in a given cover type



**Fig. 5** Disturbance rotation interval (RI) for major forest cover types occurring in Minnesota

(Table 3) are much shorter than those for a given disturbance type (Table 2). For example, in order for the entire forest to experience *insect* damage or mortality to at least 25% of trees across at least an acre, we would need to wait approximately 180 years (Table 2). On the other hand, for all *aspen* to experience any kind of disturbance, we would only need to wait about 14 years (Table 3).

For reference, we estimate the RI for fire between 24 and 148 years (Appendix 3 Table 5) in the mixed pine woodlands of northern Minnesota with a mean of 60.5 years. Our overall estimate of RI for fire in the Border Lakes ecological subsection encompassing the Boundary Waters Canoe Area and Wilderness is 54.6 years.

## 4 Discussion

Previous research supports non-harvest tree fall recurrence intervals of 50–200+ years in mesic hardwood and mixed forests (Runkle 1982; Frelich and Lorimer 1991; Seymour et al. 2002). Frelich and Lorimer report 145–175 years for canopy residence times in sugar maple and hemlock, while at the 0.5-ha scale, recurrence intervals range from 69 years for > 10% canopy removal to 1920 years for > 60% canopy removal, also similar to results from this study (using a 25% canopy damage, not removal, threshold). Somewhat shorter RIs (~ 129 years) predominate across Canada's western Boreal Shield (interpreted from White et al. 2017), which extends south into Minnesota's Border Lakes ecological subsection. Intervals are even shorter (50–100 years) in the southern boreal forests of northern Minnesota (Heinselman 1973). These observations are consistent with the higher frequency of minor disturbance events compared with major events resulting in substantial tree mortality.

Results of the current study, as well as casual observation, support the conclusion that more frequent disturbances are now shaping Minnesota's forests. For example, emerald ash borer, oak wilt, Dutch elm disease, tent caterpillar, a severe drought, and several large wind and fire events have all occurred in Minnesota during the period analyzed.

Continued characterization of disturbance patterns using spatially and temporally representative data, like FIA, is needed to interpret changes in the forest, and processes affecting the forest, across larger spatial and temporal scales. The picture we can construct from FIA will improve as the length of time with consistently spaced repeat observations increases. The upper confidence bounds for many uncommon disturbance type/cover type combinations (Appendix 3, Table 5) will likely decrease as we acquire additional observations.

**Table 2** Forest disturbance (1998–2014) observed by USDA-FIA in Minnesota (1999–2015)

Disturbance type	Disturbance group	Frequency (1998–2014)*	Rotation interval (years)**	Hectares affected per year	Hectares affected per 5 years
No disturbance	0	16,321	N/A	1,235,491	6,177,453
Insect damage	10	101	179.9	7441	37,203
Disease	20	47	363.0	3689	18,447
Fire	30	84	169.1	7916	39,580
Animal	40	347	50.5	26,525	132,624
Weather (wind/water/-temp)	50	415	41.8	32,046	160,232
Vegetation	60	13	1341.3	1000	5000
Unknown	70	7	2758.0	486	2431
Human	80	308	58.5	22,884	114,419
Geologic	90	3	6,051.3	221	1103
Disturbance	All	1325	13.1	102,208	511,038
Total Observations	All Plots	17,646	–	1,337,698	6,688,491

\*For undisturbed plots, the observation period is 1999–2015. Calculation of RI considers disturbance observations from the period 1998–2014

\*\*We calculate RI as total hectares/hectares disturbed across the entire forest

Disturbance frequencies fluctuate over time, especially those for large infrequent disturbances, making variability of estimates quite high. This complicates interpretation of the observed trend towards declining RIs. Nevertheless, the trend is significant, and the change is substantial (~ 50%), reaching very low recurrence intervals in the latest 5-year period (even considering the confidence intervals). This trend may indicate a shift

in response to warming climate (Dale et al. 2001; Seidl et al. 2017), or change in other factors including anthropogenic species introductions/extinctions, fire suppression/ignition, or land clearing for agriculture. These changes could also be part of a natural fluctuation. If it is a regime shift characterized by increased frequency of storms, droughts, and invasive insect pests and tree diseases, it may still be temporary and shift back to longer

**Table 3** Total disturbance by forest cover type group

Forest type group	Group code	Plots*	Hectares sampled	Hectares disturbed	Mean hectares	Rotation interval
Aspen-birch	900	6818	8,762,894	645,960	2,577,322	13.6
Spruce-fir	120	4060	5,203,921	254,643	1,530,565	20.4
Oak-hickory	500	2137	2,773,439	326,826	815,717	8.5
L. hardwoods	700	1609	2,049,837	207,626	602,893	9.9
N. hardwoods	800	1231	1,575,893	112,888	463,498	14.0
W-R J Pine	100	997	1,339,501	82,050	393,971	16.3
Oak-pine	400	302	402,672	23,164	118,433	17.4
Non-stocked	999	246	315,721	43,691	92,859	7.2
Other hardwoods	960	209	269,682	39,334	79,318	6.9
Other softwoods	170	20	25,694	1349	7557	19.0
All disturbed		1610	1,737,531	1,737,531	511,038	13.1
All undisturbed		16,019	20,981,722	0	6,171,095	N/A
All plots		17,629	22,719,253	1,737,531	6,682,133	1.0

\*17 plots (roughly 21,500 ha) corresponding to Scotch pine (8 plots) and exotic hardwoods (9 plots) were omitted from the summary presented here, as all such plots appeared undisturbed

recurrence intervals because susceptible trees die off, in a self-regulating, homeostatic process described by Runkle (1982).

The Generic Environmental Impact Statement on Timber Harvesting in Minnesota (Jaakko Pöyry Consulting, Inc. 1992), as well as more recent cross-tabulations of cover type area (Wilson and Ek 2018), shows that there is a regular and somewhat predictable exchange of area among cover types. Disturbance interacts with age-related growth and susceptibility patterns to determine the success of individual stems and hence the direction and velocity of forest succession. This hypothesis is supported by much experimental and observational data and may be corroborated through comparison of disturbance rates against successional and demographic changes among forest cover types and ecoregions. To this end, we enable assessment of disturbance, as well as differences among forest cover types, across relevant spatial and temporal scales.

## 5 Conclusions

Further investigation of disturbance in other regions may corroborate the trend towards shorter disturbance intervals observed here (e.g., Reilly and Spies 2016) as part of a broader pattern, or show that they are a local phenomenon. Trends reported here appear to be both meaningful in terms of their magnitude and are significant from a statistical perspective. Methods presented are transferrable to other states or regions monitored by systematic forest inventory and may aid in illustrating the spatial and temporal scale of observed changes. Finally, regional or national application of this methodology could help estimate RIs for different forest and disturbance types across their geographic ranges.

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**Contributions** Alan Ek provided the research direction and early interpretations of disturbance observations recorded by USDA-FIA. Alan's participation in early discussions on how to use the FIA data for disturbance analysis was critical to sorting through the methodology involved. Alan also provided substantial review and critical expertise in honing the final manuscript.

Lee Frelch provided the disturbance analysis and forest ecology expertise to couch the current research in terms of past and ongoing efforts to assess return intervals for forest disturbance. Lee's descriptions of the study area and the relevant disturbance ecology were also very helpful in outlining the natural history of the study area and relevance of the research.

Randall Morin provided the FIA insider view of the data collected, field procedures, and past analyses using FIA data for area estimation related to disturbance. Randall also participated in several rounds of review and contributed substantially to the final wordsmithing.

David Wilson is the lead author and performed all of the scripting and analysis presented in the current paper. David wrote early drafts, provided synthesis of multiple authors' contributions, and developed the methodology and explanations presented herein.

**Data availability** The datasets generated and/or analyzed during the current study are available in the Forest Inventory and Analysis Database.

June 20, 2019. Forest Inventory and Analysis Database, St. Paul, MN: U.S. Department of Agriculture, Forest Service, Northern Research Station. <https://apps.fs.usda.gov/fia/datamart/datamart.html>

All scripts noted in this report are available from the corresponding author upon request.

## Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

## Appendix

### Appendix 1. Disturbance codes and descriptions recorded by USDA-FIA (O'Connell et al. 2014)

Code	Group	Description
0	0	No visible disturbance
10	10	Insect damage
11	10	Insect damage to understory vegetation
12	10	Insect damage to trees, including seedlings and saplings
20	20	Disease damage
21	20	Disease damage to understory vegetation
22	20	Disease damage to trees, including seedlings and saplings
30	30	Fire damage (from crown and ground fire, either prescribed or natural)
31	30	Ground fire damage
32	30	Crown fire damage
40	40	Animal damage
41	40	Beaver (includes flooding caused by beaver)
42	40	Porcupine
43	40	Deer/ungulate
44	40	Bear (core optional)
45	40	Rabbit (core optional)
46	40	Domestic animal/livestock (includes grazing)
50	50	Weather damage
51	50	Ice
52	50	Wind (includes hurricane, tornado)
53	50	Flooding (weather induced)



54	50	Drought
60	60	Vegetation (suppression, competition, vines)
70	70	Unknown/not sure/other (include in notes)
80	80	Human-induced damage—any significant threshold of human-caused damage not described in the TREATMENT codes
90	90	Geologic disturbances
91	90	Landslide
92	90	Avalanche track
93	90	Volcanic blast zone
94	90	Other geologic events
95	90	Earth movement/avalanches

## Appendix 2. USDA-FIA sampling protocol and analysis

FIA conducts an inventory of forest attributes across the USA. Early inventories represented a periodic effort (e.g., FIA completed a snapshot inventory every 10–15 years). Recent methods involve an ongoing annual effort in which data collected from five overlapping panels of plots provides a complete snapshot of the state's forests. The sampling design uses a tessellation of the land base into hexagons approximately 2428 ha in size with at least one permanent plot established in each hexagon. Tree and site attributes are observed for plots on forestland. At each plot, observations and measurements are made on four 7.32-m fixed-radius subplots (Bechtold and Patterson 2005). A bounding box around the subplots (arranged in an equilateral triangle with a central subplot) encompasses approximately 0.3 ha. A 17.96-m radius macro-plot is defined around each subplot for standardization of the area used in reporting stand and vicinity level observations. Here, we focus on observations of disturbances (Section 2.1) made between 1999 and 2016.

Over 5 years, data is collected from approximately 6000 permanent sample plots located on forest lands throughout Minnesota. Plots are divided into 5 annual panels, each of which represents a sparse, but representative sample of the forest. When combined across a full 5-year cycle, these data provide a detailed look at the regional forest resource. These data are compiled, analyzed, managed, and publicly distributed as a PostgreSQL 10 database (Miles 2017). Inventory data can be accessed via: <https://apps.fs.usda.gov/fia/datamart/datamart.html>. Statistical methods used by FIA to produce forest summaries are described by Bechtold and Patterson (2005) and implemented by Miles (2017). FIA methods are largely replicated here. Statistical methods described by Bechtold and Patterson (2005) detail a moving average

method, which we use here to blend panels across cycles, creating a rolling estimate of forest and disturbed area.

We processed the raw FIA data in R (R Core Team 2018) for efficiency and flexibility. Total area of disturbed and undisturbed forestland was summarized for each 5-year period. We used a dual moving window analysis (in the temporal sense) to produce representative estimates of base (2003–2016) and disturbed (2002–2015) forestland area for reporting periods ending between 2003 and 2016. Bechtold and Patterson (2005) detail methods for calculation of a moving average across multiple panels and cycles. The number of disturbance events observed at each time step was also recorded to illustrate the sequence of events leading to observation of a disturbance during plot visits over the subsequent 5 years.

Auto-correlation potentially arising from repeated measurement of plots over time is not a large issue for the current analysis. We view the FIA sampling scheme for disturbance as a case of change detection involving observation of fixed permanent plots (with limited partial replacement due to inaccessibility, denied entry, or change to a non-forested condition) systematically placed across the area of interest. Thus, we assume the occurrence of a disturbance on any given plot has no effect on the probability of a future event. However, for growth and yield, and in limited cases where one disturbance might change future susceptibility to a secondary disturbance (e.g., a blowdown, beetle kill, or severe drought), correlation effects resulting from repeated measurement of plots should be considered.

## Appendix 3. Brief example using the Bache/Wickham piping procedure and workflow

We take advantage of several functions and tools provided by the R user community, especially the suite of packages included in {tidyverse} (Wickham 2017a, b). This suite of interlocking packages includes {ggplot2} (Wickham 2009), {dplyr} (Wickham et al. 2017a, b), {tidyr} (Wickham 2017a, b), {readr} (Wickham et al. 2017a, b), {purrr} (Henry and Wickham 2017), and {tibble} (Müller and Wickham 2017). Tidyverse also uses custom notation from the {magrittr} package (Bache and Wickham 2014) for directing data to a specified workflow and output using efficient methods via the “% > %”, or pipe, operator. Because {tidyverse} allows us to define several processes within the “summarize” wrapper function, we can create a data output tailored to our analytical needs. A brief example showing assignment to an output, direction of data to a function, and definition of the output follows.



**Table 5** (continued)

Forest type group	Disturbance group	<i>n</i> <sup>*</sup> (disturbed)	Total hectares disturbed	Mean hectares disturbed	RI.025	Return interval (years)	RI.975	Mean cover type hectares <sup>**</sup>
Lowland hardwoods								
Lowland hardwoods	Weather	79	101,930	29,979	12.70	19.05	29.09	570,995
Lowland hardwoods	Human	17	21,650	6368	36.30	89.67	245.27	570,995
Northern hardwoods	Animal	28	37,189	10,938	20.24	40.17	84.07	439,415
Northern hardwoods	Weather	25	30,312	8915	23.03	49.29	112.90	439,415
Northern hardwoods	Human	26	32,556	9575	22.03	45.89	101.72	439,415
Aspen-birch	Insect	36	45,102	13,265	97.63	183.95	358.26	2,440,215
Aspen-birch	Disease	9	12,708	3738	201.27	652.87	2607.37	2,440,215
Aspen-birch	Fire	43	61,769	18,167	78.27	134.32	235.48	2,440,215
Aspen-birch	Animal	120	152,695	44,910	38.72	54.34	76.78	2,440,215
Aspen-birch	Weather	160	212,217	62,417	29.39	39.10	52.26	2,440,215
Aspen-birch	Human	121	150,958	44,399	39.09	54.96	77.82	2,440,215
Other Hardwoods	Animal	13	16,493	4851	5.88	15.45	49.92	74,953
Other Hardwoods	Weather	8	12,814	3769	6.62	19.89	78.35	74,953
Other Hardwoods	Human	5	6868	2020	8.41	37.11	295.66	74,953
Non-stocked	Animal	5	6453	1898	9.91	46.07	404.19	87,442
Non-stocked	Weather	8	10,002	2942	8.48	29.73	148.27	87,442
Non-stocked	Human	8	10,557	3105	8.30	28.16	132.92	87,442
Mean Expectation*	(1998-2014)	1677	1,732,599	509,588	12.5	13.16	13.86	6,709,120

\*Although forest cover type-disturbance type combinations with fewer than five observations of disturbance were omitted from this summary table, those observations were included in the larger analysis and the bottom-line totals presented here

\*\*The current summation considers only primary disturbance events (e.g., the most recent event observed) to avoid double counting and can be considered a conservative estimate based on area estimation methods described by Bechtold and Patterson (2005)

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