ORIGINAL RESEARCH



# Healthcare Costs Among Adults with Type 2 Diabetes Initiating DPP-4 Inhibitors

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## ABSTRACT

*Introduction*: Oral antidiabetes medications, including dipeptidyl peptidase-4 inhibitors (DPP-4is) saxagliptin and sitagliptin, are used for the treatment of type 2 diabetes (T2D). The study objective was to compare all-cause and diabetes-related costs following initiation of saxagliptin or sitagliptin.

*Methods*: Patients aged  $\geq 18$  years initiating saxagliptin or sitagliptin between January 1, 2009 and January 31, 2012 in the Truven Health MarketScan Commercial and Medicare Supplemental databases were identified. Patients were required to have continuous  $\geq$ 365 days before enrollment for and  $\geq$  365 days after the index date (date of the

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I. Kalsekar Johnson & Johnson, New Brunswick, NJ, USA first saxagliptin sitagliptin claim). or Additionally, patients were required to have a claim with a T2D diagnosis (ICD-9-CM  $250.\times0$ ,  $250.\times2$ ) and no claims for a DPP-4i medication before the index date. All-cause and diabetes-related medical costs and total costs (including pharmacy costs) were captured over the 1-year follow-up period. Generalized linear models with log link and gamma distribution were fit to compare costs between the two cohorts using cost ratios, controlling for patient baseline characteristics. Recycled prediction methods were used to generate adjusted predicted costs and confidence intervals.

Results: The final sample comprised 3354 saxagliptin initiators and 26,895 sitagliptin initiators. The average age of saxagliptin and sitagliptin initiators was 57 years and just over 50% were males. After adjusting for baseline characteristics, saxagliptin patients had significantly lower average all-cause medical costs (cost ratio = 0.901, P < 0.001; predicted mean costs: \$8687 vs. \$9646) compared with sitagliptin patients over the 1-year follow-up. Findings were consistent for diabetes-related medical costs (cost ratio = 0.890, P < 0.001; predicted mean costs: \$2180 vs. \$2450). Total costs were also lower for saxagliptin initiators (cost ratio = 0.950, P = 0.002; predicted mean costs: \$13,911 vs. \$14,651) over the 1-year follow-up period.

*Conclusion*: Initiation of treatment with saxagliptin was associated with lower medical costs over 1 year compared with initiation of sitagliptin among adults with T2D.

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**Keywords:** Dipeptidyl peptidase-4 inhibitors; Healthcare costs; Saxagliptin; Sitagliptin; Type 2 diabetes mellitus

## INTRODUCTION

The American Diabetes Association reports that between 2007 and 2012, the total cost of diabetes in the United States increased 41% from \$174 billion (2007 USD) to \$245 billion (2012 USD) [1]. Direct medical costs accounted for \$176 billion [1]. The primary components of the costs were direct medical costs for inpatient care, prescription medications to treat diabetes-related complications, and antidiabetes therapies and supplies. responsible for 43%, 18%, and 12% of the \$176 billion sum, respectively [1]. An additional \$69 billion was attributed to lost productivity [1]. Compared with individuals without diabetes, patients diagnosed with diabetes have 2.3 times greater healthcare costs, averaging \$13,741 annually compared with \$5853 [1]. Therefore, an estimated \$7888 in excess costs per year per person may be associated with diabetes [1].

For patients with type 2 diabetes (T2D), the primary goal of treatment is to achieve and maintain glycemic control [2], as poor glycemic control is associated with numerous microvascular and macrovascular complications including, but not limited to, diabetic nephropathy, retinopathy, coronary artery disease, and stroke [3]. For patients with T2D, standards of care recommend metformin first for appropriate patients in combination with dietary and lifestyle modifications, and if treatment failure occurs, the addition of a supplementary non-insulin agent such as a glucagon-like peptide-1 receptor agonist (GLP-1 RA), sodium glucose cotransporter 2 inhibitor (SGLT-2i), dipeptidyl peptidase-4 inhibitor (DPP-4i), thiazolidinedione (TZD), sulfonylurea (SU), or meglitinide (GLN) [2–4].

In the United States, two commonly used DPP-4i medications are saxagliptin and sitagliptin. Compared with patients who initiated sitagliptin, saxagliptin initiators have been found to have better medication adherence and persistence [5]. Additionally, saxagliptin initiators were reported to have lower all-cause and diabetes-related medical costs over the 6 months following initiation [6]. Direct cost comparisons between patients treated with one of these two DPP-4i medications over longer periods of time are not available. This retrospective claims-based study sought to add to the body of available evidence comparing the healthcare by utilization and costs among patients with T2D who initiated saxagliptin to those who initiated sitagliptin in the 12 months following initiation.

## **METHODS**

### **Study Design**

This retrospective observational cohort study used administrative claims data to analyze the all-cause and diabetes-related healthcare resource utilization and costs for patients with T2D who initiated saxagliptin or sitagliptin between January 1, 2009, and January 31, 2012. The patients included in this analysis were a subset of a previously identified sample of patients with T2D [5]. Among these patients, healthcare resource utilization and costs were compared among saxagliptin initiators and sitagliptin initiators over the 12 months following DPP-4i initiation.

### **Data Sources**

Two Truven Health MarketScan<sup>®</sup> research databases were used in this study: the Commercial Claims and Encounters Database (Commercial) and the Medicare Supplemental Coordination of Benefits Database and (Medicare Supplemental). The Commercial database contains the inpatient and outpatient medical and outpatient prescription drug experience of several million lives annually. The Medicare Supplemental database contains the healthcare experience (both medical and pharmacy) of individuals with Medicare supplemental insurance paid for by employers. Both databases provide detailed cost, use, and data for healthcare outcomes services performed in both inpatient and outpatient settings across a variety of fee-for-service, fully capitated, and partially capitated health plans. The health plans include preferred provider organizations. point of service plans. indemnity plans, and health maintenance organizations. The medical claims are linked to outpatient prescription drug claims and person-level enrollment data through the use of unique enrollee identifiers.

#### **Inclusion Criteria**

The Commercial and Medicare Supplemental databases were used to identify adults (age  $\geq$ 18 years) with at least one prescription for saxagliptin or sitagliptin during the period

2012. The service date on the first observed prescription for saxagliptin or sitagliptin was defined as the index date and the drug filled on the index date was designated the index drug. Patients were required to have at least 28 days of continuous days supplied of the index drug to qualify for the study. Additionally, patients were required to have continuous medical and pharmacy benefits enrollment for at least 12 months prior to and following the index date. Patients were required to have a medical claim with a diagnosis of T2D (International Classification of Diseases, Ninth Edition, Clinical Modification [ICD-9-CM] code 250.×0 or  $250.\times2$ ); however, patients were excluded if they had a prescription for a DPP4-i medication in the year prior to index or a diagnosis of type 1 diabetes mellitus (ICD-9-CM code 250.×1 or  $250.\times3$ ) or gestational diabetes (ICD-9-CM code  $648.8\times$ ) on a medical claim at any time during the study period. Patients were allowed to have prescription claims for other antidiabetes medications prior to the index date. These patient selection criteria have been published previously [5]. Patients were stratified into two cohorts based on index drug: saxagliptin initiators or sitagliptin initiators. This was an intent-to-treat analysis.

extending from January 1, 2009 to January 31,

#### Outcomes

Healthcare utilization and costs were measured during the 12 months following the index date (follow-up period). Both all-cause and diabetes-related resource utilization and costs were captured. Diabetes-related measures were defined as medical claims with a primary or non-primary diagnosis of T2D (ICD-9-CM  $250.\times0, 250.\times2$ ) or an outpatient claim for an antidiabetes medication. The following service categories were captured: inpatient admissions,

emergency room (ER) visits, outpatient office physician visits, other outpatient services (including laboratory and radiology services, ambulatory care, dialysis, etc.), which were all considered medical costs, and outpatient pharmacy fills. Pharmacy fills for index drug (saxagliptin or sitagliptin) were also captured. Costs were the paid amount on claims, including insurer- and patient-paid portions. Medical, pharmacy, and total costs (the sum of medical and pharmacy costs) were adjusted to 2013 US dollars using the medical care component of the Consumer Price Index [7], as the 12 months of follow-up extended into 2013 for some patients. Costs for capitated claims were imputed using a payment proxy based on the payment of non-capitated claims with the same procedure code in the same year, from the region. The primary outcomes of interest were all-cause and diabetes-related medical costs, all-cause and diabetes-related pharmacy costs, and total all-cause and diabetes-related healthcare costs. Secondary outcomes were presence of all-cause and diabetes-related inpatient admissions and inpatient costs, all-cause and diabetes-related other outpatient medical costs, and index drug (saxagliptin or sitagliptin) pharmacy costs, as these were the main drivers of costs in this population.

As adherence and persistence to medications may be associated with higher diabetes-related pharmacy costs and lower diabetes-related medical costs, adherence to and persistence with saxagliptin and sitagliptin over the 12-month follow-up period was also measured. Proportion of days covered (PDC) was used to measure adherence. PDC was calculated by dividing the number of days the patient was covered on index drug during the 12-month follow-up period by 365 days. If there was an overlap in index drug, the overlapping days supply was appended to the previous prescription's end. Patients with PDC  $\geq 0.80$  were considered adherent. Discontinuation of the initiated drug was also evaluated. Patients were followed starting with their index prescription and were considered to have 'discontinued' if they had a gap of more than 60 days without any drug supply available during the 12-month follow-up.

#### Covariates

Patient demographics and baseline characteristics were captured. Demographic characteristics were measured at index date and included age, gender, geographic region, insurance plan type, and index year. Use of other antidiabetes medications, including insulin, was captured during the 12 months prior to the index date based on pharmacy claims. Comorbid conditions based on diagnosis and procedure codes on medical claims, and healthcare costs were also measured during this period. Index drug was classified as mail order or non-mail order. Cost sharing for the index drug, defined as the average patient out-of-pocket cost for a 30-day supply on index drug for patients in each insurance plan, was also calculated [8]. Lastly, claims for non-DPP-4i antidiabetes medications filled around the time of the index date were evaluated to determine if a patient was using any other classes of antidiabetes medications in combination with saxagliptin or sitagliptin. Based on these claims, a patient's 'index regimen' was determined and classified as polytherapy or monotherapy. Patients were considered to be on polytherapy if they had (A) one pharmacy claim in the 60 days prior to the index date and a second pharmacy claim in the 45 days following index for a non-DPP-4i drug; (B) had a pharmacy claim for a non-DPP-4i drug during the time window index date -60 days to index date +45 days that overlapped with index drug for at least 30 days in the first 45 days following index date; or (C) indexed on a fixed-dose metformin combination drug [5]. All other patients were considered to be on a monotherapy regimen [5]. A full list of study covariates is included in Table 1.

### **Statistical Analyses**

Demographic, clinical, treatment regimen characteristics, and outcomes (Tables 1, 2, 3) were compared between the saxagliptin and sitagliptin cohorts using t tests for continuous variables and Chi-squared tests for categorical variables. Multivariable generalized linear models (GLMs) with a log link and gamma error distribution were used to compare costs among patients initiating saxagliptin and sitagliptin. A log link and gamma error used to distribution were handle the non-normal cost distributions. If the P value for the cost ratio of the cohort coefficient from the model was <0.05, the difference between the saxagliptin and sitagliptin cohorts was considered statistically significant. To present adjusted costs on the dollar scale, the recycled prediction method was used to generate predicted mean costs. In the recycled prediction method, mean costs are calculated for two pseudo-samples (one saxagliptin and one sitagliptin), the size of both pseudo-samples being the total number of patients. Each pseudo-sample is a combination of observed values for those patients who had the treatment concerned and predicted counterfactuals for those patients who had the other treatment.

Although the same methods of GLMs with log link and gamma error distribution, followed by use of the recycled prediction method to calculate adjusted costs on the dollar scale, were used to analyze all cost variables in separate models, the actual process followed was different for the inpatient cost variables and the others, i.e., total, medical, other outpatient medical and pharmacy costs. The reason for this is that high difference а percentage (approximately 90%) of inpatient costs were zero, i.e., the patient had no such costs, whereas for the other cost variables, hardly any patients had zero costs.

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Therefore, for the inpatient costs only, a two-part modeling approach was used to estimate predicted probability of all-cause and diabetes-related inpatient admission and inpatient costs to account for patients with \$0. First, logistic regression models were fit to model the odds of inpatient admission and the estimates of coefficients from these models were used to generate predicted probabilities of inpatient admission. Second, GLMs with log link and gamma error distribution were fit to obtain predicted inpatient costs among patients with non-zero costs. To obtain average inpatient costs for each cohort, the predicted probability of inpatient admission was multiplied by the predicted costs. Bootstrapping, using 1000 resamples of the observed data, was used to generate 95% confidence intervals around probability of inpatient admission and average inpatient costs, these estimates of intervals and averages being taken from the bootstrapping distributions of the 1000 resamples.

For total, medical, other outpatient medical and pharmacy costs, only the GLMs with log link and gamma error distribution were fit (essentially discarding patients with zero costs), and bootstrapping was not used. The recycled prediction estimate of cost on the dollar scale for these outcomes was from the single analysis of the observed data. For these costs, the estimates of averages and 95%

Characteristics	DPP-4i initiators		Saxagliptin initiators		Sitagliptin initiators		P value
	$\overline{N=30}$	,249	N = 3354		N = 26,895		-
Age (mean, SD)	56.8	11.7	57.0	11.5	56.8	11.7	0.253
Male ( <i>N</i> , %)	15,244	50.4%	1697	50.6%	13,547	50.4%	0.805
Geographic region (N, %)							
Northeast	4978	16.5%	520	15.5%	4458	16.6%	< 0.001
North Central	7296	24.1%	766	22.8%	6530	24.3%	
South	13,444	44.4%	1700	50.7%	11,744	43.7%	
West	4286	14.2%	360	10.7%	3926	14.6%	
Unknown	245	0.8%	8	0.2%	237	0.9%	
Population density (N, %)							
Metro	25,270	83.5%	2780	82.9%	22,490	83.6%	< 0.001
Non-metro	4746	15.67%	566	16.9%	4180	15.5%	
Unknown	233	0.8%	8	0.2%	225	0.8%	
Presence of capitated services (N, %)	2405	8.0%	143	4.3%	2262	8.4%	< 0.001
Primary payer (N, %)							
Commercial	23,538	77.8%	2606	77.7%	20,932	77.8%	0.864
Medicare	6711	22.2%	748	22.3%	5963	22.2%	
Plan type (N, %)							
Comprehensive	4148	13.7%	548	16.3%	3600	13.4%	< 0.001
EPO	276	0.9%	42	1.3%	234	0.9%	
НМО	4427	14.6%	348	10.4%	4079	15.2%	
POS	2968	9.8%	389	11.6%	2579	9.6%	
PPO	15,587	51.5%	1661	49.5%	13,926	51.8%	
POS with capitation	120	0.4%	8	0.2%	112	0.4%	
CDHP/HDHP	1171	3.9%	136	4.1%	1035	3.8%	
Unknown	1552	5.1%	222	6.6%	1330	4.9%	
Index year (N, %)							
2009	16,001	52.9%	359	10.7%	15,642	58.2%	< 0.001
2010	13,656	45.1%	2823	84.2%	10,833	40.3%	
2011	592	2.0%	172	5.1%	420	1.6%	
Unique number 3-digit ICD-9-CM diagnosis codes in baseline period (mean, SD)	10.3	7.6	10.6	7.7	10.2	7.6	0.020

Table 1 Demographic, clinical, and treatment regimen characteristics

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Characteristics	$\frac{\text{DPP-4i}}{N = 30,249}$		Saxagliptin initiators $\overline{N = 3354}$		Sitagliptin initiators $\overline{N = 26,895}$		P value
Deyo CCI in baseline period (mean, SD)	1.6	1.3	1.6	1.3	1.6	1.3	0.416
Renal impairment in baseline period ( $N$ , %)	1958	6.5%	227	6.8%	1731	6.4%	0.461
Microvascular disease in baseline period ( $N$ , %)	3838	12.7%	416	12.4%	3422	12.7%	0.599
Macrovascular disease in baseline period (N, %)	6430	21.3%	724	21.6%	5706	21.2%	0.621
Pregnancy in follow-up period (N, %)	60	0.2%	5	0.1%	55	0.2%	0.496
Metformin in baseline period (N, %)	18,366	60.7%	2220	66.2%	16,146	60.0%	< 0.001
Insulin in baseline period (N, %)	2289	7.6%	246	7.3%	2043	7.6%	0.589
Endocrinologist visit in baseline period (N, %)	3020	10.0%	324	9.7%	2696	10.0%	0.507
Cardiologist visit in baseline period (N, %)	7634	25.2%	835	24.9%	6799	25.3%	0.629
Total healthcare costs in baseline period (mean, SD)	\$11,984	\$27,932	\$11,341	\$19,626	\$12,064	\$28,800	0.158
Diabetes medication costs in baseline period (mean, SD)	\$376	\$927	\$374	\$969	\$377	\$922	0.897
Index prescription part of fixed-dose combination with metformin ( <i>N</i> , %)	10,174	33.6%	36	1.1%	10,138	37.7%	<0.001
Mail-order index study class prescription ( <i>N</i> , %)	6716	22.2%	645	19.2%	6071	22.6%	< 0.001
Index drug cost-sharing index (mean, SD)	\$24	\$14	\$26	\$13	\$24	\$14	< 0.001
Index drug regimen category (N, %)							
Monotherapy	9432	31.2%	1539	45.9%	7893	29.3%	< 0.001
DPP-4i plus 1 other NIAD	17,648	58.3%	1561	46.5%	16,087	59.8%	
DPP-4i plus 2+ other NIAD	1457	4.8%	86	2.6%	1371	5.1%	
DPP-4i plus insulin	643	2.1%	90	2.7%	553	2.1%	
DPP-4i plus insulin and other NIAD	1069	3.5%	78	2.3%	991	3.7%	

CCI Charlson Comorbidity Index, CDHP Consumer-directed health plan, DPP-4i dipeptidyl peptidase-4 inhibitor, EPO exclusive provider organization, HDHP high-deductible health plan, HMO health maintenance organization, NIAD non-insulin antidiabetes drug, POS point of service, PPO preferred provider organization, SD standard deviation

confidence intervals for costs on the dollar scale were from the distributions of the two pseudo-samples.

All aforementioned models controlled the following variables: age, sex, presence of capitated services, payer, region, population density (metro vs. non-metro), plan type, index year, indicator for fixed-dose metformin index drug, indicator for index drug filled via mail order, index regimen (monotherapy, index drug plus additional non-insulin antidiabetic drugs [NIAD], index drug plus insulin), baseline total healthcare costs and diabetes prescription expenditures, index diabetes medication class

	DPP-4i initiators		Saxagliptin initiators		Sitagliptin initiators		P value
	N = 30,	249	N = 3354		N = 26,895		
All-cause							
Inpatient admissions							
Patients with an inpatient admission $(N, \%)$	3727	12.3%	372	11.1%	3355	12.5%	0.022
Inpatient admission costs (mean, SD)	\$3034	\$16,511	\$2976	\$15,421	\$3042	\$16,642	0.829
ER visits							
Patients with an ER visit $(N, \%)$	6558	21.7%	745	22.2%	5813	21.6%	0.428
ER visit costs (mean, SD)	\$250	\$1073	\$237	\$851	\$252	\$1098	0.444
Outpatient office visits							
Patients with an outpatient office visit ( $N$ , %)	29,726	98.3%	3311	98.7%	26,415	98.2%	0.035
Outpatient office visit costs (mean, SD)	\$857	\$748	\$839	\$685	\$859	\$756	0.145
Other outpatient services							
Patients with other outpatient services (N, %)	29,674	98.1%	3289	98.1%	26,385	98.1%	0.867
Other outpatient services costs (mean, SD)	\$5471	\$18,541	\$5162	\$12,603	\$5510	\$19,152	0.306
Total all-cause medical costs (mean, SD)	\$9613	\$27,513	\$9215	\$22,075	\$9663	\$28,117	0.374
Outpatient pharmacy prescriptions							
Patients with an outpatient pharmacy prescription $(N, \%)$	30,249	100.0%	3354	100.0%	26,895	100.0%	
Outpatient pharmacy prescription costs (mean, SD)	\$5228	\$5245	\$5626	\$6064	\$5178	\$5131	<0.001
Total all-cause healthcare costs (mean, SD)	\$14,841	\$28,758	\$14,841	\$24,012	\$14,841	\$29,296	1.000
Diabetes-related <sup>a</sup>							
Inpatient admissions							
Patients with a diabetes-related inpatient admission ( <i>N</i> , %)	2194	7.3%	225	6.7%	1969	7.3%	0.197
Diabetes-related inpatient admission costs (mean, SD)	\$1014	\$9625	\$998	\$8231	\$1016	\$9785	0.917
ER visits							
Patients with a diabetes-related ER visit ( $N$ , %)	2289	7.6%	250	7.5%	2039	7.6%	0.792
Diabetes-related ER visit costs (mean, SD)	\$72	\$557	\$67	\$460	\$72	\$568	0.608
Outpatient office visits							
Patients with a diabetes-related outpatient office visit (N, %)	26,342	87.1%	2923	87.1%	23,419	87.1%	0.904

Table 2 Unadjusted all-cause and diabetes-related healthcare utilization and costs over 12-month follow-up

Table 2 cor	ntinued
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	DPP-4i initiators		Saxagliptin initiators		Sitagliptin initiators		P value
	N = 30	,249	N = 3354		N = 26,895		
Diabetes-related outpatient office visit costs (mean, SD)	\$288	\$272	\$279	\$260	\$290	\$273	0.035
Other outpatient services							
Patients with a diabetes-related other outpatient services ( <i>N</i> , %)	26,455	87.5%	2949	87.9%	23,506	87.4%	0.386
Diabetes-related other outpatient services costs (mean, SD)	\$959	\$11,810	\$741	\$2185	\$986	\$12,501	0.276
Total diabetes-related medical costs (mean, SD)	\$2333	\$15,555	\$2085	\$8725	\$2364	\$16,205	0.327
Outpatient pharmacy prescriptions							
Patients with a diabetes-related outpatient pharmacy prescriptions $(N, \%)$	30,249	100.0%	3354	100.0%	26,895	100.0%	
Diabetes-related outpatient pharmacy prescriptions costs (mean, SD)	\$2155	\$1439	\$2285	\$1423	\$2138	\$1440	< 0.001
Number of outpatient pharmacy prescriptions for saxagliptin/sitagliptin (mean, SD)	-	_	6.1	3.7	5.7	3.5	<0.001
Outpatient pharmacy prescriptions costs for saxagliptin/sitagliptin (mean, SD)	-	_	\$1756	\$912	\$1661	\$925	<0.001
Total diabetes-related healthcare costs (mean, SD)	\$4488	\$15,639	\$4370	\$8857	\$4503	\$16,288	0.643

DPP-4i dipeptidyl peptidase-4 inhibitor, ER emergency room, SD standard deviation

<sup>a</sup> Diabetes-related measures were defined as medical claims with a primary or non-primary diagnosis of type 2 diabetes mellitus (ICD-9-CM  $250.\times0$ ,  $250.\times2$ ) in any position or an outpatient claim for an antidiabetes medication

cost sharing, baseline endocrinologist and cardiologist visits, baseline renal impairment, baseline macrovascular and microvascular disease, pregnancy during follow-up, baseline number of unique 3-digit ICD-9 diagnoses and Deyo Charlson Comorbidity Index [9]. Variables included were hypothesized to be potential confounders of the relationship between the type of drug initiated and post-initiation costs, as this was an observational analysis and patients were not randomly assigned to receive saxagliptin or sitagliptin. The covariates listed above are demographic characteristics and clinical characteristics, including proxies for severity of diabetes, which may affect a clinician's choice of medication and may also affect costs. Analyses were conducted using SAS version 9.3 (SAS Institute Inc., SAS Campus Drive, Cary, NC, USA).

#### **Compliance with Ethic Guidelines**

The analyses presented are based on previously collected, de-identified data, and do not contain any new studies with human subjects performed by any of the authors.

	DPP-4i i	DPP-4i initiators Saxagliptin initiators		Sitaglipti	Sitagliptin initiators		
	$\overline{N = 30,249} \qquad \overline{N = 3354}$		é	N = 26,8			
Adherence							
PDC							
Mean, SD	0.67	0.32	0.67	0.32	0.66	0.32	0.016
Median	0.77		0.81		0.75		
Adherent patients (N, %)	14,571	48.2%	1699	50.7%	12,741	47.4%	< 0.001
Persistence							
Days persistent							
Mean, SD	253.15	136.41	258.77	134.64	250.98	136.85	0.002
Median	365		365		365		
Discontinued $(N, \%)$	13,377	44.2%	1423	42.4%	12,120	45.1%	0.004

 Table 3 Adherence and persistence to initiated DPP-4i over 12-month follow-up

DPP-4i dipeptidyl peptidase-4 inhibitor, PDC proportion of days covered, SD standard deviation

## RESULTS

#### **Patient Demographics**

There were 30,249 DPP-4i initiators who met the study criteria and among them, 3354 initiated saxagliptin (11.1%). Demographic characteristics are presented in Table 1. On average, patients were approximately 57 years old and slightly more than half were male, with no significant differences between saxagliptin and sitagliptin initiators. A significantly larger proportion of saxagliptin initiators resided in the South. The majority of patients insured through a commercial plan (approximately 78%) and a significantly smaller proportion of saxagliptin initiators had evidence of capitated services.

### Clinical Characteristics and Index Regimen Characteristics

Clinical characteristics and index regimen characteristics are also presented in Table 1. During the baseline period, 6.5%, 12.7%, and 21.3% of study patients had evidence of renal impairment, microvascular disease (as evidenced by diabetic peripheral neuropathy, diabetic retinopathy, leg and foot amputation, or nephropathy), and macrovascular disease (characterized by evidence of acute myocardial other ischemic heart disease. infarction, congestive heart failure. cerebrovascular accident, or peripheral vascular disease), respectively, with no significant differences between the two cohorts. Overall, however, saxagliptin patients had a significantly greater number of unique ICD-9-CM diagnosis codes prior to the index date. A significantly larger proportion of saxagliptin initiators used metformin during the baseline period. There were no significant differences in total healthcare costs or diabetes medication costs during the baseline period, although total healthcare costs tended to be lower for saxagliptin initiators, on average. Regarding index regimen, а significantly smaller proportion of saxagliptin initiators had an index prescription that was a fixed-dose combination with metformin. Initiating monotherapy was significantly more common among saxagliptin initiators.

#### Healthcare Resource Utilization and Costs

Saxagliptin initiators tended to have lower all-cause and diabetes-related unadjusted medical costs than sitagliptin initiators in all service categories but most of the cost comparisons were not statistically significant Average outpatient (Table 2). pharmacy prescription costs were significantly higher for saxagliptin initiators. Healthcare utilization was similar between the two cohorts, although the proportion of patients with an all-cause inpatient admission was significantly smaller in the saxagliptin cohort.

Results from multivariable models controlling for covariates and predicted costs

are presented in Fig. 1. Over the 12 months following initiation, saxagliptin patients had 9.9% lower all-cause medical costs and 11.0% lower diabetes-related medical costs than patients who initiated sitagliptin. Differences in predicted mean all-cause medical and diabetes-related medical costs between the two cohorts were \$959 and \$270, respectively, favoring saxagliptin. Additionally, all-cause and diabetes-related total costs were both approximately 5.0% lower in saxagliptin patients. Pharmacy costs, however, were higher among saxagliptin initiators with a difference in predicted mean all-cause costs of \$207, and difference in predicted mean diabetes-related cost of \$51. When evaluating saxagliptin and sitagliptin prescription costs for the two cohorts, the saxagliptin cohort tended to have higher costs but the difference was not statistically significant. Results for inpatient

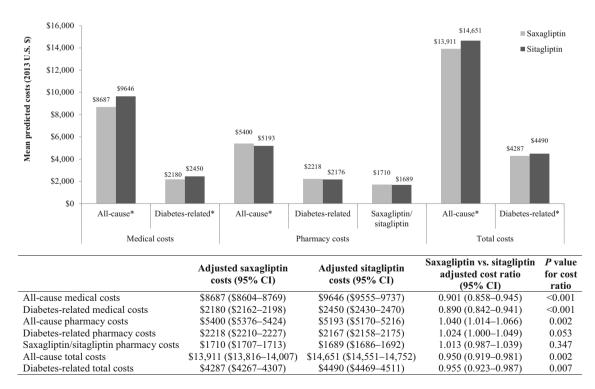


Fig. 1 All-cause and diabetes-related medical, pharmacy, and total costs over 12-month follow-up period. *Asterisk* a statistically significant difference (P < 0.05) between saxagliptin initiators and sitagliptin initiators. CI confidence interval

admission and costs are presented in Table S1 in the supplementary material. The predicted proportion of saxagliptin patients with an inpatient admission (11.0%) was significantly smaller than the predicted proportion of sitagliptin patients (12.5%, difference = 1.5%, 95% confidence interval -2.5%, -0.2%). There were no significant differences in predicted proportion of patients with a diabetes-related inpatient admission or predicted inpatients costs. Results for other outpatient medical costs models are presented in Table S2 in the supplementary material. Compared with sitagliptin patients, saxagliptin patients had

significantly lower predicted all-cause other outpatient medical costs (\$4834 vs. \$5457) and predicted diabetes-related outpatient medical costs (\$848 vs. \$1093).

## Adherence and Persistence to Initiated Medication during Follow-Up

As shown in Table 3, over the 12-month follow-up period, the proportion of patients who were adherent was significantly greater in the saxagliptin cohort compared with the sitagliptin cohort in an unadjusted analysis. Similarly, a smaller proportion of saxagliptin initiators discontinued index drug during follow-up. The average number of index drug prescription fills was significantly higher for the saxagliptin cohort compared with the sitagliptin cohort (Table 2).

## DISCUSSION

In this retrospective, observational claims-based analysis of adults with T2D, all-cause and diabetes-related medical costs were lower among saxagliptin initiators compared with sitagliptin initiators over a 12-month follow-up period. Additionally, total all-cause and diabetes-related healthcare costs were also lower in saxagliptin patients, although the magnitudes of the differences were smaller due to the tendency of saxagliptin patients to have greater pharmacy costs. Presently, there is very little information available directly comparing real-world costs and healthcare resource utilization among saxagliptin and sitagliptin initiators. To our knowledge, this is the first analysis to compare costs and utilizations among patients initiating one of the DPP-4i medications over a 12-month follow-up period.

Potential explanations for lower costs observed in saxagliptin patients in this study include a lower proportion of patients with inpatient admissions among saxagliptin (11.1%) saxagliptin vs. initiators 12.5% sitagliptin) as well as better adherence and persistence to the index drug among saxagliptin initiators. Saxagliptin patients were more adherent over the 12-month follow-up (50.7% saxagliptin vs. 47.4% sitagliptin) and had decreased odds of all-cause inpatient admission. Previous research has found that within the DPP-4i medication class, patients initiating saxagliptin had better adherence and persistence who initiated than patients sitagliptin [5]. Increasing adherence to antidiabetes medication has been correlated with increased glycemic control and decreased healthcare costs and resource utilization [10–12].

A previous investigation by Kaltenboeck et al. [6] evaluated healthcare costs and utilization over 6 months following the initiation of saxagliptin, sitagliptin, or SU. Only unadjusted utilization results were presented [6]. Over the short follow-up period, significantly smaller proportions of saxagliptin initiators had an all-cause inpatient admission or an ER visit compared with sitagliptin initiators (7.2% vs. 10.6% and 14.1% vs. 17.5%, respectively) [6]. The same was true for

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diabetes-related inpatient admissions (4.0% vs. 6.6%) and diabetes-related ER visits (5.7% vs. 7.3%) [6]. The saxagliptin cohort had a significantly greater proportion of patients with a diabetes-related outpatient visit (80.2% vs. 78.6%) [6]. Unadjusted mean all-cause and diabetes-related total costs were significantly lower for saxagliptin initiators than sitagliptin initiators (\$7346 vs. \$8797 and \$2445 vs. \$2828, respectively) [6]. Mean costs were significantly lower for all service categories except outpatient diabetes-related visits and diabetes-related prescription costs, although saxagliptin initiators tended to have lower costs for both [6]. The findings after adjusting for patient demographic and clinical characteristics were consistent [6]. Saxagliptin patients had significantly lower all-cause medical (\$5073 vs. \$5535, P < 0.001) and total \$8302, *P* < 0.001) costs (\$7802 vs. [6]. Additionally, compared with sitagliptin patients, saxagliptin patients had significantly lower diabetes-related medical (\$1149 vs. \$1387, P < 0.001) and total costs (\$2510 vs. 2772, P < 0.001 [6].

This study has several limitations to acknowledge. First, administrative claims data are not collected for research purposes, as the diagnostic coding on administrative claims is recorded physicians bv to support reimbursement. Diagnoses on claims may be coded incorrectly, or not at all, thereby potentially introducing measurement error with respect to variables that incorporated ICD-9-CM codes into their definitions. Similarly, prescriptions that were filled and did not generate an insurance claim were not captured in this analysis. Adherence and persistence were calculated using the service date and days supply information found on outpatient prescription drug claims and while it is assumed that the medication was taken as directed, skipped doses or discontinuation of the medication before the end of the current days supply could not be captured. Next, observational analyses may be subject to residual confounding even after multivariable adjustment, and causal inferences should be made cautiously. Lastly, study findings may not be generalizable to the entire Medicare population, Medicaid population, or uninsured population as the MarketScan Commercial and Medicare Supplemental databases contain data only on patients receiving coverage through employers, private commercial insurance, or employer-sponsored supplemental Medicare.

# CONCLUSIONS

For adults with T2D, initiation of treatment with saxagliptin was associated with lower all-cause and diabetes-related medical costs over 1 year compared with sitagliptin. The differences in costs may be due in part to better adherence and fewer hospitalizations among saxagliptin initiators.

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*Compliance with ethics guidelines.* The analyses presented are based on previously collected, de-identified data, and do not contain any new studies with human subjects performed by any of the authors.

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