

Assessment of Cardiovascular Health among Community-Dwelling Men with Incarceration History

Aaron D. Fobian • Morgan Froelich • Aaron Sellers • Karen Cropsey • Nicole Redmond

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Abstract Returning to the community after incarceration is a particularly vulnerable time with significantly increased risk of death in the first 2 weeks. The elevated risk of death persists as long as 2 years, with cardiovascular disease (CVD) among the leading causes. African-Americans, especially African-American men, have higher rates of incarceration and community supervision (e.g., probation and parole) and an earlier onset of hypertension compared to Whites. Few studies have objectively assessed the cardiovascular health profile of criminal justice involved individuals. This study is designed to determine the cardiovascular health profile among men in community corrections and/or transitional housing, identify the prevalence of key CVD risk factors, and assess if risk varies by race/ethnicity. We recruited 100 adult men (mean age = 42.7, SD = 11.35, 60% White, 40% non-Hispanic White) with a history of incarceration in jail or prison of ≥ 6 months during their most recent incarceration and enrolled in a community corrections program. Using the American Heart Association's Life's Simple 7TM (LS-7), measures of each of the LS-7 components (body mass index, blood pressure, lipids, blood glucose,

A. D. Fobian · M. Froelich · A. Sellers · K. Cropsey Department of Psychiatry, University of Alabama at Birmingham, Birmingham, AL, USA

N. Redmond (🖂)

Clincal Applications and Prevention Branch, Division of Cardiovascular Sciences, National Heart, Lung, and Blood Institute (NHLBI), Bethesda, MD, USA e-mail: nicole.redmond@nih.gov



smoking, diet, and physical activity) were obtained, and LS-7 scores were generated for each measure using AHAdefined categories of poor (1 point), intermediate (2 points), and ideal (3 points) and summed to yield a total score ranging from poor for all (7 points) to ideal for all (21 points). Mann-Whitney U tests were performed to assess differences in LS-7 scores (poor, intermediate, ideal) by race/ethnicity. Additionally, an independent samples t test was conducted for race/ethnicity and LS-7 total score. Mann-Whitney U tests for LS-7 categories and race/ethnicity indicated a greater number of non-Whites had poor blood pressure (p < .01) and diet (p < .05) as compared to Whites. The independent samples t test demonstrated significantly lower LS-7 scores for non-Whites compared to Whites. To our knowledge, this is the first study to evaluate cardiovascular health among individuals with a history of incarceration using the LS-7 metric, which included objective measures for four of the seven LS-7 metrics. Non-Whites, which included African-Americans, Hispanics, and American Indians, were more likely than Whites to fall into the poor category for both diet and blood pressure and had significantly lower total LS-7 scores than Whites, indicating they have worse scores across all seven of the LS-7 measures. Similar to what is found among non-incarcerated samples, non-Whites with incarceration histories are at elevated risk for cardiovascular events relative to their White peers.

 $\begin{tabular}{ll} \textbf{Keywords} & Community corrections} \cdot Incarceration \cdot \\ Cardiovascular risk \cdot Cardiovascular health \cdot Life's \\ Simple 7 (TM) \end{tabular}$

Introduction

The USA has the highest incarceration rate of any other country in the world. Currently, 6.7 million people are under US correctional supervision. Of this 6.7 million, 4.6 million are under community supervision, and 2.1 million are incarcerated [1]. The Urban Institute projects that over 95% of prisoners will return to the community [2], and most will return with a multitude of health issues, with cardiovascular disease (CVD) being among the most prevalent [3]. Returning to the community is a particularly vulnerable time with an approximately 12fold increased risk of death in the first 2 weeks after release and 3.5-fold increased risk across almost 2 years, with cardiovascular disease (CVD) among the leading causes [4]. Increasing evidence suggests that incarceration is associated with a higher burden of CVD risk factors such as low socioeconomic status (SES), hypertension, and poor post-release health behaviors such as eating fast food and smoking [3, 5–9]. Public health and criminal justice experts have called for further study of the association of criminal justice system involvement with health disparities since both disproportionately affect minorities, particularly those of low income and/or educational status [10–15].

Community corrections include non-confined forms of supervision for persons facing conviction or who have already been convicted. Parole and probation are the most well-known forms of community corrections which also include, but is not limited to home confinement, electronic monitoring, work release, and diversion programs such as Drug Court. Community-based transitional housing programs are key partners to community corrections programs, as they frequently provide supportive housing, education programs, job training and placement, and social support to help foster selfsufficiency. The structure for regular access to services and accountability in community corrections and transitional housing programs allows for easy integration of additional services and monitoring of outcomes. In contrast to incarcerated persons, individuals in community corrections and transitional housing programs are living in the community and aside from any court-ordered programs (e.g., drug treatment), they may have increased autonomy to engage in health behaviors daily activities (e.g., diet, exercise, medication adherence) to manage and control chronic disease [16]. Care for chronic diseases such as hypertension during incarceration is fraught with challenges due to heterogeneity of quality and quantity of care provided in correctional facilities, and the majority of this population experiences significant barriers to establishing care when reentering the community [6, 15]. Therefore, community correction programs and/or community-based transitional housing programs offer a novel opportunity for CVD risk reduction interventions for a population at high risk for poor health outcomes [11, 13, 15, 17–19].

The American Heart Association (AHA) has developed the Life's Simple 7TM (LS-7) as a cardiovascular health profile comprised of measures of CVD risk factors including blood pressure, cholesterol, glucose, body mass index, smoking, physical activity, and diet [20]. The LS-7 metric characterizes health based on the presence of favorable health behaviors (i.e., abstinence from smoking within the last year, ideal body mass index (BMI), meeting recommended levels of physical activity, and favorable dietary patterns), favorable health factors (i.e., untreated total cholesterol under 200 mg/ dL, abstinence of diabetes mellitus, and untreated blood pressure < 120/< 80 mmHg), and the absence of any CVD history (stroke, heart attack, and etc.). LS-7 showed a graded relationship with CVD incidence and all-cause mortality and has been shown to be associated with improvement in longevity by preventing many other chronic illnesses [20–22].

African-Americans, especially African-American men, have higher rates of incarceration, probation, and parole compared to Whites [23]. African-Americans also have less favorable LS-7 profiles which contributes to racial/ethnic disparities in CV outcomes [24, 25]. Because most national studies of correctional populations have relied primarily on inmate self-report, limited data are available on LS-7 components measured objectively by clinical exam among correctional populations in the USA [26, 27]. Our study is designed to determine the cardiovascular health profile, as defined by AHA's LS-7, among formerly incarcerated men in community corrections and/or transitional housing, in order to establish and/or confirm the prevalence of key CVD risk factors amenable to behavioral interventions and compare risk factor prevalence by race/ethnicity.

Methods

Participants We recruited 100 adult men (aged 19 or older as state law defined age of consent as 19 or older) with a history of incarceration ≥ 6 months during their



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most recent incarceration and enrolled in the UAB Community Corrections/Treatment Alternatives for Safer Communities (TASC) community corrections program and/or housed at The Foundry Ministries, a community-based organization that provides a transitional housing program to individuals returning to the community upon release from prison. Both programs are located in Jefferson County, Alabama. Informed consent was obtained from all participants, and the protocol was approved by the University of Alabama at Birmingham's Institutional Review Board.

Protocol and Measures Measures of each of the LS-7 components, including height, weight, blood pressure, lipids, and blood glucose as well as assessments of smoking, diet, and physical activity via short survey were obtained using standardized and quality controlled approaches at either TASC or The Foundry Ministries. Lipid and non-fasting glucose measures were obtained utilizing CLIA-waived point-of-care testing systems (lipids and random glucose: Cardiochek Plus Analyzer,

PTS Diagnostics; Hemoglobin A1c: DCA Vantage Analyzer, Siemens). BMI was calculated based on height and weight measured by a digital scale (Seca 813) and stadiometer (Seca 213). Blood pressure was obtained using the clinically validated Omron BP785 DIGITAL 10 Series Blood Pressure monitor.

LS-7 scores were generated for each measure (BMI, blood pressure, total cholesterol, glycemic control, smoking status, diet, physical activity) using AHA-defined categories of poor (1 point), intermediate (2 points), and ideal (3 points), and summed to yield a total score ranging from poor for all (7 points) to ideal for all (21 points) (Table 1). We used glucose, but when missing (n = 58), we substituted A1C measurement (ideal < 5.7%, intermediate 5.7 to 6.4%, poor \geq 6.5%) to have a measure of glycemic control.

Statistical Analysis Descriptive statistics were generated for the individual components and total score of the LS-7. Because of the low representation of Native American/American Indian and Hispanic/Latino participants (n = 4),

Table 1 Ideal, Intermediate, and poor levels of Life's Simple 7 components

Component	Ideal (2 points)	Intermediate (1 point)	Poor (0 point) Current	
Smoking	Never or former > 1 year	Former ≤ 1 year		
Healthy diet score*	4 to 5 points	2 to 3 points	0 to 1 point	
Physical activity+	≥ 150 min/week moderate intensity <i>or</i> ≥75 min/week vigorous intensity	1 to 149 min/week moderate intensity <i>or</i> 1 to 74 min/week vigorous intensity <i>or</i> 1 to 149 min/week moderate + vigorous intensity	None	
Body mass index	$< 25 \text{ kg/m}^2$	25 to 29.9 kg/m ²	\geq 30 kg/m ²	
Blood pressure	SBP < 120 mmHg <i>AND</i> DBP < 80 mmHg untreated	SBP 120–139 mmHg <i>or</i> DBP 80–89 mmHg <i>or</i> treated to ideal level	SBP \geq 140 mmHg or DBP \geq 90 mmHg	
Total cholesterol	< 200 mg/dL untreated	200 to 239 mg/dL <i>or</i> treated to ideal level	\geq 240 mg/dL	
Glucose‡				
Fasting	< 100 mg/dL untreated	100 to 125 mg/dL <i>or</i> treated to ideal level	\geq 126 mg/dL	
Random	100-125 mg/dL untreated	140 to 199 mg/dL	\geq 200 mg/dL	
A1c	< 5.7%	5.7 to 6.4%	≥6.5%	

DBP indicates diastolic blood pressure; SBP, systolic blood pressure

[‡] Categories based on the American Diabetes Association (ADA) criteria for the diagnosis of diabetes and prediabetes (Ref. Diabetes Care 2011; 34:S11)



^{*}Based on American Heart Association definitions of 5 healthy diet components, each worth 1 point:

¹⁾ fruits and vegetables: ≥4.5 cups per day

²⁾ fish: ≥two 3.5-oz servings per week (preferably oily fish)

³⁾ fiber-rich whole grains (≥ 1.1 g of fiber per 10 g of carbohydrate): ≥three 1-oz-equivalent servings per day;

⁴⁾ sodium: < 1500 mg per day

⁵⁾ sugar-sweetened beverages: ≤450 kcal (36 oz) per week

⁺ American Heart Association definition

Table 2 Demographic information

	M(SD)
Age	42.7 (11.4)
BMI	28.1 (8.0)
HDL	43.8 (15.1)
LDL	68.1 (32.2)
Glucose	119.1 (68.9)
	%
Race	
African-American	60.0
Non-Hispanic White	36.0
Native American	2.0
Hispanic/Latino	2.0
Highest education achieved	
Less than high school	40.0
High school or GED	40.0
More than high school	20.0
Employment status	
Full-time	27.0
Part-time	4.0
Unemployed	51.0
Disabled	16.0
Non-White	2.0
Yearly household income	
None	48.0
Less than \$10,000	9.2
\$10,000–\$19,999	15.3
\$20,000–\$39,000	20.4
\$40,000 or more	7.1

race/ethnicity was divided into two groups: non-Hispanic White (N=36) and non-White (African-American, Native American/American Indian, and Hispanic/Latino, N=64), and Mann-Whitney U tests were performed to assess differences in LS-7 scores (poor, intermediate, ideal) by race/ethnicity. Additionally, an independent samples t test was conducted for race/ethnicity and LS-7 total score. We conducted sensitivity analyses by repeating these analyses and excluding the Native American/American Indian and Hispanic/Latino participants (n=4) and including only the non-Hispanic White and non-Hispanic Black participants.

Results

We recruited 100 participants who were 60% African-American, 36% White, 2% Native American, and 2%

Hispanic. Although 40% of the sample did not graduate high school, the majority completed high school with 40% having obtained their GED or high school diploma and 20% with at least some education after high school. The majorities of the participants were unemployed (51%) or disabled (16%), with only 27% working fulltime and 4% working part-time, and 16% were disabled. Most the participants reported little or no annual income, with 48% of participants reporting no yearly income (Table 2). LS-7 scores overall and by race are summarized in Table 3. Mann-Whitney *U* tests for LS-7 categories and race/ethnicity yielded significant results for two measures (Table 3): diet (U = 843.0, p < 0.05, r = 0.21; Fig. 1a) and blood pressure (U = 683.0, p < 0.01, r = 0.33; Fig. 1b). A greater number of non-Whites had poor blood pressure and diet as compared to Whites. The independent samples t test assessing differences in LS-7 scores by race/ethnicity was significant (t=-2.45, p<0.05), demonstrating significantly lower LS-7 scores for non-Whites compared to Whites. When we compared African-Americans to non-Whites and excluded other racial groups (N=4), a similar pattern of results was seen, so the other racial groups were combined with African-Americans.

Discussion

Results showed variability among LS-7 measures. Surprisingly, most participants had ideal levels for cholesterol, glucose, and exercise. However, since exercise was self-reported, exercise could have been over-reported. Consistent with previous literature [7], 78% of our participants were current smokers and thus were in the poor category for smoking. BMI categories were somewhat evenly distributed, and most participants reported dietary patterns in the intermediate category. The majority of people had poor or intermediate levels for blood pressure. This data suggests that smoking, BMI, diet, and blood pressure could be significant factors to target for prevention of CVD among men with a history of incarceration in supervised community settings.

Non-Whites were more likely than Whites to fall into the poor category for both diet and blood pressure. This is consistent with research that has found African-American men are less likely to engage in primary medical care, receive preventive health services, or meet goal levels for evidence-based lifestyle modifications (e.g., the Dietary Approaches to Stop Hypertension



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Table 3 Life's Simple 7 scores overall and Mann-Whitney U tests for individual LS7 scores by race, df = 2, LS7 total mean = 8.3, SD = 1.9

LS7 measure	Race	LS7 rating n (%)		U	p value	r	
		Poor	Intermediate	Ideal			
Diet	Overall Non-White	20 (20.0) 18 (30.0)	65 (65.0) 33 (55.0)	15 (15.0) 9 (15.0)	843.0	0.036	0.21
	Non-Hispanic White	2 (5.6)	28 (77.8)	6 (16.7)			
Blood pressure	Overall Non-White	37 (37.0) 31 (51.7)	40 (40.0) 18 (30.0)	23 (23.0) 11 (18.3)	683.0	0.001	0.33
	Non-Hispanic White	5 (13.9)	20 (55.6)	11 (30.5)			
Smoking	Overall Non-White	78 (78.0) 48 (80.0)	6 (6.0) 4 (6.7)	16 (16.0) 8 (13.3)	1052.0	0.77	0.03
	Non-Hispanic White	28 (77.8)	2 (5.6)	6 (16.7)			
BMI	Overall Non-White	29 (31.2) 22 (40.7)	31 (33.3) 15 (27.8)	33 (35.5) 17 (31.5)	787.5	0.11	0.17
	Non-Hispanic White	7 (19.4)	15 (41.7)	14 (38.9)			
Exercise	Overall Non-White	6 (6.0) 5 (8.3)	7 (7.0) 4 (6.7)	87 (87.0) 51 (85.0)	1032.5	0.55	0.14
	Non-Hispanic White	1 (2.8)	3 (8.3)	32 (88.9)			
Glucose/A1C	Overall Non-White	11 (11.2) 7 (11.9)	21 (21.4) 15 (25.4)	66 (67.3) 37 (62.7)	888.5	0.17	0.14
	Non-Hispanic White	3 (8.6)	5 (14.3)	27 (77.1)			
Total cholesterol	Overall Non-White	1 (1.3) 1 (1.9)	1 (1.3) 1 (1.9)	76 (97.4) 52 (96.2)	572.0	0.36	0.10
	Non-Hispanic White	0 (0)	0 (0)	22 (100)			

Italics denote significant values

(DASH) diet, sodium reduction, and physical activity) compared to Whites [28–33]. This also supports data from the Jackson Heart Study which demonstrated that most community dwelling African-American men without hypertension or cardiovascular disease did not have an ideal diet or ideal blood pressure as measured by LS-7 measures. However, this study did not assess the incarceration history of these participants [34]. Additionally, non-White participants in our sample were found to have significantly lower total LS-7 scores than Whites, indicating they have worse scores across all seven or a few of the LS-7 measures. This indicates that they may be at greater risk for CVD than White men with a history of incarceration.

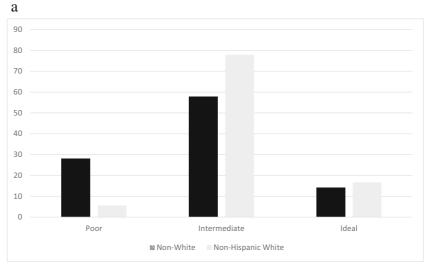
To our knowledge, this is the first study to evaluate cardiovascular health among individuals with a history of incarceration using the LS-7 metric, which included objective measures for four of the seven LS-7 metrics (blood pressure, lipids, glucose, and BMI). Our results provide a foundation for future preventive interventions for this underserved population. Specifically, smoking, BMI, diet, and blood pressure emerged as significant

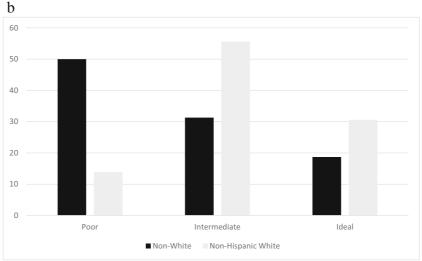
factors to target for CVD prevention among previously incarcerated men. Additionally, minorities had a higher prevalence of poor diet and high blood pressure, suggesting the importance of targeting these factors in this population to address disparities in cardio-vascular health. Future research should assess the impact of preventive measures and treatments for CVD among men with incarceration history in community corrections and transitional housing programs.

Our study has a few limitations. The LS-7 measure has demonstrated a relationship with CVD and mortality, but like other national surveys widely used in public health research, relies on self-report data for diet, exercise, and smoking, which limits the accuracy of these data and the conclusions drawn by the investigators [21, 22]. Our sample only included two Hispanics and two Native Americans. Therefore, the results may not generalize to these races/ethnicities. We did not include women for this study because one of our recruitment sites only provided transitional housing to male clients, thereby limiting our ability



Fig. 1 a Percentage of participants in each LS7 diet category by race/ethnicity.
b Percentage of participants in each LS7 blood pressure category by race/ethnicity





to recruit an adequate number of women for gender stratified analyses and due to known gender differences in CVD risk. However, women represent an increasing proportion of incarcerated individuals and CVD is a prominent cause of morbidity and mortality; thus, future research should explore the potential for reducing CVD risk among women with incarceration history. Lastly, we did not collect data on demographically matched (e.g., age, race/ethnicity, and/or socioeconomic status) men without incarceration history. Thus, it remains unclear how much incarceration history may be a mediator or moderator of cardiovascular health and CVD disparities.

Nonetheless, community corrections and transitional housing settings have a significant concentration of individuals, particularly minorities and low-income individuals who have disproportionate rates of incarceration and CVD risk factors. Therefore, these may be unique settings for deploying evidence-based CVD risk reduction interventions. This is consistent with recent recommendations to understand how transition from the criminal justice system to the community may impact CVD risk and cardiovascular health disparities and evaluate the effect of community-based CVD prevention and reduction strategies for recently released populations [15].

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Compliance with Ethical Standards

Disclosures Dr. Redmond is a board member of the nonprofit organization Physicians for Criminal Justice Reform, from which she receives no financial support; she initiated this study as an employee of the University of Alabama at Birmingham; and contributed to this article as an employee of the National Heart Lung and Blood Institute (NHLBI). Any opinions, findings, and conclusions or recommendations expressed in this paper are those of the authors and do not necessarily reflect the views of the NHLBI, National Institutes of Health (NIH), or Department of Health and Human Services (DHHS). The remaining authors report no other disclosures.

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