



The role of South African researchers in intercontinental collaboration

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Abstract

The analysis presented here focuses on mapping, based on publication output, the scientific collaboration of African based researchers and the role of the South African research community as a channel for within- and intercontinental collaborations. We have selected 10 scientific fields, namely, Tropical Medicine, Parasitology, Infectious Disease, Ecology, Water Resources, Immunology, Zoology, Plant Sciences, Agricultural and Food Sciences, and Psychology to gain a clear picture of the aforementioned scientific activity. As a first step, we created cooperation networks and visualized them on world-maps. In addition, centrality measures of the network were calculated to see the frequency of involvement regarding different countries, with a focus on South Africa, in the collaboration process. Furthermore, first and last authorship positions of the publications were summed to highlight the influence of the selected authors on the direction of and resources provided to the publications. Finally, the most prominent funding organizations and their focus on the selected fields were singled out. Through combining these steps of analysis, we gained an accurate picture of the level of involvement of the South African research community in within- and intercontinental scientific collaboration.

Keywords Scientific collaboration · Research communities · South Africa · Intercontinental · Co-authorship · VosViewer

Introduction

In recent decades, scientific collaboration has been a significant part of emerging research communities as, by 2016, 60% of the total scientific publications were internationally co-authored (UNESCO 2010; NSB 2016). The relationships between organizations, authors, and/or governing bodies can be manifested in multiple ways. Even though collaborative research increasingly gains value among researchers across the world, the evaluation of

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these relations is still poorly understood (Klein 2008; Yegros-Yegros et al. 2015). Discussions about the quality and quantity of intra- and internationally collaborative research have taken place over a diversity of forums, and consequently, it will be essential to facilitate broader integration of the research evaluating and investigating this collaborative research (Klein 2008; Mâsse et al. 2008; Yegros-Yegros et al. 2015).

The analysis presented here focuses on mapping, based on publication output, the scientific collaborating activity of African authors, with a specific focus on studying the role of the South African research community as a channel for intercontinental collaborations. The analysis of the publications will be focused on: scientific fields to determine differences or similarities among them, authorship positions to determine the leadership on the research, and funding analysis to determine the financial leadership of the research. The research questions that triggers this study is to what extent African researchers are integrated in the international collaboration network and what is the specific role of South African researchers.

Literature review

In order to analyse scientific collaboration, some theoretical principles has already been considered. Starting with the *variability of goals*, the process of integration or transcendence of single fields can be viewed as an epistemological investment to produce a wider and more accurate pool of knowledge on the investigated phenomenon (Klein 2008). This endeavour is based on the collection and reformation of theoretical attributes, procedures, and skills (Sonnenwald 2007). The collaboration occurs at the level of researchers while institutions take a secondary but vital role of supporting the realisation of the connection between the authors (Sooryamoorthy 2013b). In addition, there is a high emphasis on methodological interdisciplinarity as it provides the integrating medium that can capitalize on the different theoretical approaches (Mâsse et al. 2008; Sonnenwald 2007). However, it is crucial to consider that contribution from researchers is measured via *variability of criteria and indicators* based on field specific metrics that are unlikely to be compatible across different scientific areas. Besides the field specific differences, it is crucial to highlight that the relative contribution to each field has been distorted by certain geographic biases. Sooryamoorthy and Shrum (2007) found that in South Africa, in particular, the introduction of Internet technology curtailed the hindrances that fuel geographic biases and deny the possibility of international cooperation. Furthermore, the involved funding organizations have been specifically tailored to research topics that accommodate western researchers and research practices (Mâsse et al. 2008). This is a clear after effect of the extended influence of colonialism that limited the resources and directions according to western interests on the African continent (Beaudry and Mouton 2017). Due to this bias, prominent funding organizations focus on western research interests, which are not necessarily in alignment with the African continent's R&D priorities (Beaudry and Mouton 2017).

With the above in mind, we need to be careful in how to evaluate the indicators of collaborative research in the current scientific world (Hicks et al. 2015). Furthermore, *effective leverage on integration* is important. It is necessary to focus on not just on outcomes, but also on the processes of integrative research by, for example, providing transparent descriptions of everyone's capabilities and background knowledge, so that the emerging project can maximize the effectiveness of the collaboration (Sonnenwald 2007; OECD 2016; Sooryamoorthy 2013b). In addition, recognizing the importance of *social and cognitive*

aspects of collaboration can smooth out the process of interaction between cooperating researchers (Måsse et al. 2008; Sonnenwald 2007). The continuous and systematic interaction of collaborators and subgroups of the project diminish the possibility of failure of the project (Klein 2008; Evans et al. 2011). It is important to engage in clear and effective *management and coaching* of the common project (Sonnenwald 2007). To make this happen, the members need to introduce common boundary objects, integrated decision-making platforms, and consensus building processes (Klein 2008). Finally, scientific collaboration needs to be built on *transparent feedback* systems between researchers both inside and outside of the immediate network of collaborators. The dynamic evaluation of each-other's work, the relative contributions of collaborators, and the overarching progress of the project need to be clearly interpretable by all the involved parties (Roper 2002; Sooryamoorthy and Shrum 2007).

Specific issues regarding international collaboration within Africa

It is important to clarify if and how collaborative research can circumvent emerging power imbalances in African scientific contributions that have been introduced and reinforced by colonialism (Briggs and Weathers 2016). As Nhemachena et al. (2016) argues: “African culture since the colonial era originated from methodological practices that took Africa as a “field” without organic cultivators. Such [a] conceptualization of Africa merely as a field for mining “raw data” has legitimized centuries-old (neo-) colonial epistemic and methodological experiments on the people of the continent.” (See pp. 15). This detrimental epistemological approach to African scientific contributions necessitates the rigorous and critical evaluation of the current intercontinental influence on African research collaborations. This current paper aims to reflect on the potential steps that the international scientific community needs to take in order to organically involve the highly valuable and impactful contribution that comes out of the African continent.

Regarding collaboration among African researchers, African researchers tend to collaborate within their own countries than within their own organization. Least frequently, they tend to cooperate with researchers from other African countries (Beaudry and Mouton 2017). In the case of South Africa, research institutes contribute most often to within-country collaborations while universities predominantly contribute to international collaborations (Sooryamoorthy 2013a).

In terms of intercontinental collaboration with the African continent, some authors show that it is very important for the future of African research to establish a balanced partnership with researchers and institutions located in Africa and ensure that the quantity and quality of involvement is equally discussed and agreed upon (Dodsworth and Cheeseman 2017).

In parallel to the described cooperative trends, it is important to note that international cooperation is frequently present in the case of publications that are produced by authors with the highest scientific impact (AOSTI 2014). According to the African Observatory of Science, Technology and Innovation (AOSTI), the top 500 most cited African researchers ‘have more than 50% of their publications co-authored, primarily with researchers outside Africa’ (AOSTI 2014: 38).

International and domestic funding

Finally, it is necessary to mention the impact of the source and nature of funding that supports each publication within these collaborations. The evaluation of the involvement of international and domestic funding organizations is relevant to determine the potential goals and challenges of each publication (Beaudry and Mouton 2017; OECD 2016). The influence of these institutions, in addition to providing funds for the publication, mainly affects the continuity of research programs in African countries (Beaudry and Mouton 2017). They function as donors for R&D projects, or work as the integrating body for international and/or intercontinental collaboration (Sonnenwald 2007; OECD 2016). In addition, they are potential providers of employment for local scientists and providers of facilities and technology that are, currently, not accessible in the countries receiving the funding (Beaudry and Mouton 2017).

The aforementioned attributes of integrative scientific research collaboration are fundamental; each research community has its own features that potentially vary based on the involved fields, geographic location, underlying organizations, institutes, and funding (Evans et al. 2011; Sonnenwald 2007).

Methods

Our approach is based on a quantitative analysis of scientific publications in journals processed in the Web of Science (WoS) versions of the Science Citation Index and associated citation indices. These are the Science Citation Index (SCI), the Social Science Citation Index (SSCI), and the Arts & Humanities Citation Index (A&HCI). The Centre for Science and Technology Studies (CWTS) database contains these records. The publication proceedings database within the WoS database is not included in this study. Below, we present the different steps followed for the selection of the dataset and the analysis.

Selected scientific fields

As a first step, we selected 10 scientific fields that are largely influential regarding the number of publications originating from African countries as well as contributing significantly to the global output in those fields (Beaudry and Mouton 2017). They serve as indicators to map the scientific cooperation involving the African continent and identifying South Africa as a beacon in this process. These fields consist of *Tropical Medicine*, *Parasitology*, *Infectious Disease*, *Ecology*, *Water Resources*, *Immunology*, *Zoology*, *Plant Sciences*, *Psychology*, and *Agricultural and Food Sciences*. Psychology was selected to gain insight into the impact of a scientific field that is radically different from the rest of the fields that all fall under the category of physical sciences. Furthermore, partnerships among African researchers and development organizations have a long tradition in technical fields, as well as fields that are tied to the continent's geopolitical situation and natural resources (Beaudry and Mouton 2017).

Selection of authors

Within these fields, we have selected authors that published between 2000 and 2017. In addition, we have created three groups based on the registered location of the affiliated institution of the authors. The first group included authors who have published mainly

under an address of a South African institution. The next group included authors who have published mainly under an address in any African country *other than* South Africa, which gives a continental overview of the publication frequency in the field. Finally, the last group consisted of authors who have published mainly under an address outside of Africa. Additionally, we have filtered out authors who have published either the same or more publications than the mean quantity of publications within the respective field (see Table 1). Even though the Standard Deviation (SD) of the distribution of publications tends to be quite high (see Table 1), we have used the mean value as a cut off limit as it has been established as a standard (size independent) indicator of individual productivity (Ruiz-Castillo and Costas 2014).

After the accommodation of the prior mentioned filters, we listed the amount of publications and journals that served as data for our analysis (see Table 2).

Co-authorship maps

Based on the data, we have created co-authorship matrices between the selected researchers, which we visualized via co-authorship maps in *VosViewer 1.6.6* (Van Eck and Waltman 2010; Ranjbar-Sahraei and Negenborn 2017) (see Fig. 1a in “Results” section and Figs. 2, 3, 4, 5, 6, 7, 8, 9, 10a).

Locate the most influential sub-community in each field

In order to find out which sub-communities had the highest influence on specific field in terms of cooperation, we calculated the centrality measures in the cooperation networks. We have selected the three most frequently used centrality indicators, namely, *betweenness*, *degree*, and *closeness* (Wasserman and Faust 2016). These measurements highlight the position and connectedness of the particular researcher. The converted network files from *VosViewer* were transferred to *Pajek64 5.03* (Batagelj and Mrvar 2003) to calculate the centrality measurements. To implicate the pattern of presence in within- and intercontinental collaboration, we selected out the 10 most influential researchers who have the highest scores in each of the centrality measurements, listed their belonging countries, focusing on the African countries. This was important in order to gain a picture about the distribution of research collaboration in the selected fields on the continent (Table 3 in “Results” section and Tables 7, 8, 9, 10, 11, 12, 13, 14, 15 in “Appendix”). Furthermore, we have conducted a *Louvain Modularity* analysis, using *Pajek*, on each of the networks that depict the collaboration between African and non-African researchers in each field. This was done to identify the exact sub communities that collaborate frequently. This method is based on the density of connecting edges and compares the inside to the outside of the selected community in the network (see Figs. 1, 2, 3b) (Blondel et al. 2008). We selected this tool of modularity since it is both intuitive and easy to generate compatible text files that can be fed into *VosViewer*.

Authorship position

Furthermore, we have looked at the number of authors for each publication and have conducted an analysis of their authorship position. We have counted the number of authors whose affiliated addresses are most often located in South Africa and take first and/or last

Table 1 Quantity of authors; mean, standard error (SE) and standard deviation (SD) of publications for each author, within the selected fields, within South Africa (ZA), rest of African continent (AF) and non-African countries (NA)

Field	Quantity of authors	Mean	SE	SD
Psychology (ZA)	581	14	0.668	40,903
Psychology (AF)	463	25	1.248	45,032
Psychology (NA)	125,981	14	0.057	49,896
Agricultural and Food Sciences (ZA)	1440	20	0.646	47,819
Agricultural and Food Sciences (AF)	3092	15	0.248	27,635
Agricultural and Food Sciences (NA)	249,158	15	0.049	49,448
Zoology (ZA)	287	53	1.353	73,968
Zoology (AF)	634	39	1.057	72,787
Zoology (NA)	35,254	48	0.214	100,538
Water Resources (ZA)	401	26	0.987	46,299
Water Resources (AF)	1384	19	0.600	46,341
Water Resources (NA)	30,708	36	0.220	92,845
Tropical Medicine (ZA)	229	63	2.465	84,817
Tropical Medicine (AF)	747	63	0.812	102,833
Tropical Medicine (NA)	15,933	59	0.178	102,833
Plant Sciences (ZA)	164	90	3.835	290,842
Plant Sciences (AF)	760	47	2.067	228,257
Plant Sciences (NA)	43,285	45	0.153	106,264
Parasitology (ZA)	182	61	2.085	70,802
Parasitology (AF)	1076	38	0.955	91,013
Parasitology (NA)	19,468	61	0.424	148,497
Infectious Diseases (ZA)	1074	20	0.990	110,475
Infectious Diseases (AF)	3724	20	0.521	100,578
Infectious Diseases (NA)	76,351	40	0.225	178,504
Immunology (ZA)	181	107	1.410	95,387
Immunology (AF)	1836	39	0.723	104,783
Immunology (NA)	99,741	68	0.218	211,941
Ecology (ZA)	433	49	1.455	105,083
Ecology (AF)	932	39	1.105	97,326
Ecology (NA)	34,093	50	0.158	89,591

authorship positions in publications in the selected fields. This step allows for a more accurate picture of the role of the South African research community in the scientific collaborative process while also clarifying the influence and presence of these researchers in each field and publication. Typically, the first author position indicates the most influence and effort given to the writing of the publication, while the last position is frequently assigned to the senior author, typically independent of the level and amount of contribution given by that author (Bhattacharya 2010). Between these two positions, the line of authors is typically defined by the relative contribution to the writing process (Bhattacharya 2010). In addition, the authorship position allows us to have better insight about the actual impact and effort given by the selected authors on the publications. This relation also helps

Table 2 The number of publications and journals in the selected scientific fields in South Africa (ZA), rest of African continent (AF) and non-African countries (NA)

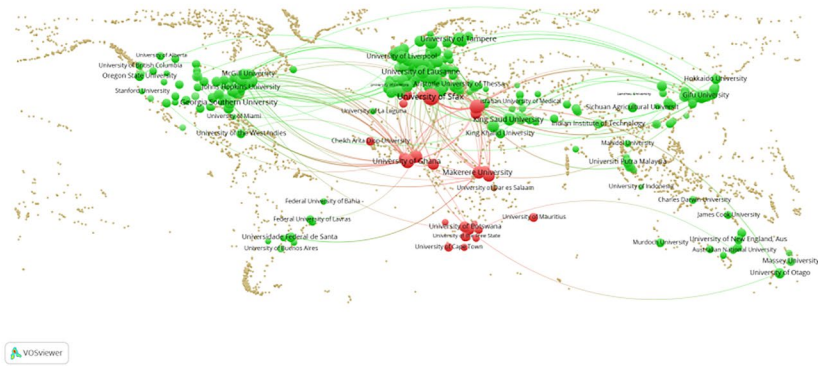
Field	Number of publications	Number of journals
Psychology (ZA)	3416	363
Psychology (AF)	659	131
Psychology (NA)	492,549	630
Agricultural and Food Sciences (ZA)	6722	355
Agricultural and Food Sciences (AF)	11,856	397
Agricultural and Food Sciences (NA)	691,827	497
Zoology (ZA)	2306	122
Zoology (AF)	3461	141
Zoology (NA)	134,219	185
Water Resources (ZA)	1556	61
Water Resources (AF)	4084	80
Water Resources (NA)	104,282	101
Tropical Medicine (ZA)	767	16
Tropical Medicine (AF)	5951	21
Tropical Medicine (NA)	56,648	25
Plant Sciences (ZA)	4153	158
Plant Sciences (AF)	8043	193
Plant Sciences (NA)	250,855	231
Parasitology (ZA)	845	30
Parasitology (AF)	4898	35
Parasitology (NA)	55,850	39
Infectious Diseases (ZA)	5084	74
Infectious Diseases (AF)	14,791	85
Infectious Diseases (NA)	201,082	91
Immunology (ZA)	2876	110
Immunology (AF)	9685	152
Immunology (NA)	418,740	175
Ecology (ZA)	3745	128
Ecology (AF)	5359	133
Ecology (NA)	175,216	166

exploring the reasons for the selection of preferred topics by the major funding organizations involved in supporting the publications selected for our analysis (see next paragraph; Table 5 in “Results” section).

Funding organizations of South African publications

Based on the data we have selected, we identified the 10 most frequently involved funding organizations which are supporting publications that have at least one author whose affiliated addresses are most often located in South Africa (see Table 6 in “Results” section). In Table 5, we included the primary scientific field that each funding organization has contributed towards within South Africa.

(A)



(B)

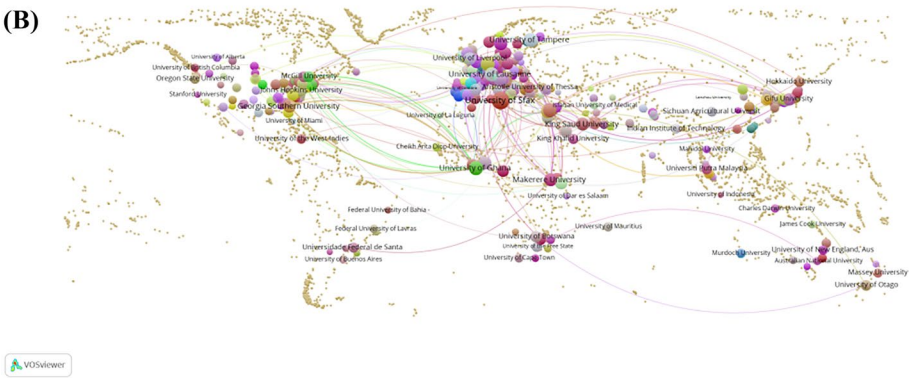


Fig. 1 **a** Collaboration between African and non-African research institutes in the field of Agricultural and Food Sciences. Red nodes represent African institutions and green nodes represent non-African institutions. **b** Collaboration split to communities between African and non-African research institutes in the field of Agricultural and Food Sciences (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

In addition, we selected the top 10 most involved funding organizations, per field, from around the world, that are providing financial support for publications in which at least one author, with a South African address or alternative address, is contributing. The identification of the involvement of funding organizations creates the possibility to gain clarity about underlying perspectives and motives of the scientific collaborative projects that turn into publications. We have done this analysis for each of the 10 fields (see Table 6 in “Results” section; see Tables 16, 17, 18, 19, 20, 21, 22, 23, 24 in “Appendix”).

Results

Most influential African researchers in the cooperation network

The analysis show that based on the calculated centrality measures, South African collaborative presence, dominates in *Zoology*, *Ecology*, *Water Resources*, and *Plant Sciences*

Table 3 Agricultural and Food Sciences centrality measurements

Agricultural and Food Sciences						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.009641	ZA	0.026263	ZA	39	ZA
2	0.009276	EG	0.025799	NG	36	NG
3	0.007508	CA	0.025748	ZA	24	ZA
4	0.007113	KN	0.025447	GH	24	GH
5	0.007087	GH	0.025165	KN	21	KN
6	0.006671	NG	0.024774	KN	20	KN
7	0.006612	TU	0.024584	EG	18	EG
8	0.005674	EG	0.024406	CA	18	CA
9	0.005658	EG	0.02435	UG	16	UG
10	0.005066	ZA	0.023623	EG	14	EG

(see Tables 7, 8, 9, 10, 11, 12, 13, 14, 15). This means that the most central collaborating author is affiliated to a South African institution for four of the 10 identified fields. However, the centrality measures also depict the amount of co-publications that are present between authors located on the African continent, for our purpose the most important result is their relative position on the lists (see Table 3; see Tables 7, 8, 9, 10, 11, 12, 13, 14, 15 in “Appendix”). These positions work as indicator for their cooperative influence in the sub-community, based on the field and geographical location. Overall, these results are a clear depiction of the role of South African research communities as prominent beacons for intercontinental scientific collaboration in at least four different major scientific fields based on our results.

Furthermore, the generated collaboration maps show the amount of intercontinental cooperation for each selected field as well as the identified hubs on the African continent: Fig. 1a (see Figs. 2, 3, 4, 5, 6, 7, 8, 9, 10a in “Appendix”). These hubs further indicate the involvement of the South African research community in between- and within continent cooperation in the aforementioned four fields (Zoology, Ecology, Water Resources, and Plant Sciences). In order to select the most influential intercontinental cooperative communities, we have created maps based on the Louvain Modularity scores that were assigned to each node in the underlying cooperation networks (see Fig. 1b; see Figs. 2, 3, 4, 5, 6, 7, 8, 9, 10b in “Appendix”). The nodes and the corresponding tags represent the institutions that the publications are affiliated with (based on the CWTS database).

Figure 1a (and Figs. 2, 3, 4, 5, 6, 7, 8, 9, 10a in “Appendix”) show that South African collaborative contributions are particularly dominant in the 4 aforementioned mentioned fields (see Figs. 3a, 4a, 6a, 10a in “Appendix”), an effect, which was also depicted by the centrality measures described above. Regarding the rest of the fields, we see a more scattered involvement of different authors from different countries throughout Africa, especially in Infectious Diseases, Tropical Medicine, Psychology, and Agricultural and Food Sciences. Regarding the field of Psychology, we see that a trend towards collaborating with non-Asian countries is apparent (see Fig. 2a).

It is important to clarify that the depiction on the figures can be dependent on either the high number of authors per publication or the high number of publications in the field. The two possibilities have different qualitative value in the perspective of collaboration. This difference in the diversity of structure in communities of collaboration between fields

can be supported by multitude of reasons, for example specific institutional interests, geo-political underpinnings, or global scientific projects, which are outside of the scope of this paper.

Finally, it is necessary to point out that the colouring on Fig. 1b (and Figs. 2, 3, 4, 5, 6, 7, 8, 9, 10b in “Appendix”) highlights that the specific communities include majorly inter-continental cooperation, that also involve African presence, with field specific geographical biases that are also visible on Fig. 1a (and Figs. 2, 3, 4, 5, 6, 7, 8, 9, 10a in “Appendix”). These biases include, among others, European and Northern-American dominance and a higher frequency involvement of Asian institutions in biomedical related sciences (such as the field of Tropical Medicine). Outside of the field of Tropical Medicine, South Africa’s presence is clearly observed and is distinguished with respect to establishing frequent international collaborations on the African Continent.

Authorship position

In order to identify the direct impact of the selected authors on the particular publications, we have counted the amount of papers where these researchers were named as first and/or last authors. Note that for this analysis, we have only included scientific publications where at least two authors were listed (see Table 4).

The highest proportion of first authors is in the field of Psychology, followed by Agricultural and Food Sciences, Water Resources, Zoology and Ecology (see Table 4). These fields show a first authorship rate of over 15% of the total number of publications (equal or more than 2 authors per publication), while the rest of the selected fields span between 10 and 14%. Concerning the last author position, most are found in the Plant Sciences, followed by Zoology, Water Resources, and Ecology (see Table 4). The results show that, other than in the field of Psychology, first author position for authors that have registered addresses and/or alternative address in South Africa is always below 20% of the total amount of publications. These results highlight the fact that even though the total amount of cooperation involving authors with addresses registered in an African country is highest in the fields of Ecology and Zoology; this does not mean that they are the first authors of those publications. The combination of the cooperation maps with the authorship position draws a more accurate picture about the impact of the selected fields and the level of influence of authors located in South Africa.

Overall and field based funding profiles (ZA)

We have found that, regarding the involvement of funding organizations, the majority tend to prioritize *Astronomy and Astrophysics* as well as *Particle and Field Physics* (see Table 5). This could be explained by several causes. First, it is the presence of supporting institutions, mainly with focus of funding priorities coming out of European and Northern-American countries, and apparatuses, such as Southern African Large Telescope (SALT), that give a significant advantage to South Africa in these fields (Whitelock and Oluseyi 2008). Second, the current evolution and trend in technological inventions and modifications, specifically, recent inventions in quantum technology, allow for the testing of the underlying theoretical concepts that have been around in the last few decades (O’Brien 2017; Preskill 2018). In addition, the necessarily high amount of investment in these technologically demanding fields presupposes the deployment of more global and financially extensive investment policies (Gibney 2016). Furthermore, these fields are considered

Table 4 Authorship position of authors whose primary or alternative address is located in South Africa for the selected fields based on publications between 2000 and 2017

Field (total number of publications with 2+ authors)	Position	Proportion taken by the position from total (%)
Zoology (2878)	First	17.90
	Last	43.12
Water Resources (2114)	First	18.73
	Last	37.51
Plant Sciences (5635)	First	11.55
	Last	45.66
Tropical Medicine (1099)	First	12.28
	Last	29.84
Parasitology (1138)	First	12.30
	Last	30.76
Infectious Diseases (12286)	First	13.73
	Last	20.90
Immunology (4514)	First	10.63
	Last	27.78
Ecology (5083)	First	16.07
	Last	37.18
Agricultural and Food Sciences (10477)	First	19.33
	Last	32.15
Psychology (3982)	First	24.08
	Last	33.93

Table 5 Top 10 most involved funding organizations for publications in which at least one author, whose primary or alternative address is located in South Africa, is contributing (scientific field focus)

Place	Funding organization	Number of funded publications	Field
1	National Aeronautics and Space Administration	779	Astronomy and astrophysics
2	National Research Foundation of South Africa	773	Astronomy and astrophysics
3	Science and Technology Facilities Council	770	Astronomy and astrophysics
4	National Research Foundation of South Africa	753	Plant sciences
5	National Research Foundation of South Africa	679	Ecology
6	National Research Foundation of South Africa	671	Physics, particles and fields
7	Science and Technology Facilities Council	668	Physics, particles and fields
8	National Natural Science Foundation of China	649	Physics, particles and fields
9	Conselho Nacional de Desenvolvimento Científico e Tecnológico—CNPq	636	Physics, particles and fields
10	National Institute of Allergy and Infectious Diseases	631	Infectious diseases

mega-sciences where sometimes hundreds of different researchers contribute to the publication (Kahn 2017). This underlying trend also contributes to the higher amount of funding that is shown by our analysis.

Table 6 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Psychology)

Place	Funding organization	Number of funded publications
1	National Institute of Mental Health	52
2	National Research Foundation of South Africa	51
3	National Institute on Drug Abuse	36
4	Medical Research Council of South Africa	29
5	Eunice Kennedy Shriver National Institute of Child Health and Human Development	26
6	Wellcome Trust	20
7	Fogarty International Center	20
8	Netherlands Organisation for Scientific Research	19
9	Medical Research Council of the United Kingdom	18
10	Economic and Social Research Council	16

Based on the summation of funded publications across the different fields, we found that the three most frequently involved funding organizations are the *National Research Foundation of South Africa* (2333 funded publication), *National Institute of Allergy and Infectious Diseases* (698 funded publications), and the *Wellcome Trust* (535 funded publications) (see Table 6; see Tables 16, 17, 18, 19, 20, 21, 22, 23, 24 in “Appendix”). It is important to highlight these institutions since they contribute to a quantity of publications that is above the overall mean of the top 10 most involved institutions. However, it is important to clarify that the main authors, who are not always the selected authors with registered address in South Africa, define the direction and subfield of the publications. This also means that these authors are the ones responsible for the establishment of the funding relation. With this in mind, it is important to evaluate the emerging results in the light of the analysis we conducted on the number of co-publications in combination with the authorship positions that are taken by the selected authors.

Discussion

Limitations

The observed results should be taken cautiously due to some limitations of the analysis. First, it is important to clarify that in our analysis, collaboration is based on co-authorship, which may not accurately represent the many forms of collaboration that might occur (Kahn 2017). The nature and direction of collaboration are not indicated by listing only co-authorship, especially in the prior mentioned mega-science fields such as Astronomy or Particle Physics (Kahn 2017). Second, the WoS has the common shortcoming of other international databases of not including some of the scientific publications that are published in African journals (Cloete et al. 2015). Nevertheless, this quantity has decreased in the last decade (Cloete et al. 2015). Third, we used a 17 year publication window (from 2000 until 2017), which does not include the most up to date (published in 2018) publications, since, at the time of the analysis, the database did not have this information. Fourth, the selected fields for analysis were not a

comprehensive account of African science. In future analyses, a higher diversity of fields can be included. Finally, the statistical tools, including the centrality measures and the Louvain modularity analysis, were chosen as these are widely applied in network analysis, but other tests and measurements can potentially be included in the future.

Conclusion

Based on the conducted analysis, we can conclude that, even though the South African research community has a major role in intercontinental scientific collaboration, this influence is mainly manifested in the fields of Zoology, Ecology, Water Resources, and Plant Sciences. Furthermore, we can also see that, when considering the quantity of co-publications, more technical fields are featured more prominently across the African continent, which has been prior supported by Cloete et al. (2015), as well.

In addition, the analysis measuring the position of authorship has shown that authors located in South Africa take first author positions the most frequently in Psychology compared to the rest of the fields. In addition, the combination of authorship analysis and collaboration maps has shown that there is dominance in the aforementioned fields (Zoology, Ecology, Water Resources, and Plant Sciences) but this influence has to be carefully interpreted in the light of the position the selected authors take. From the perspective of emergent social and/or economic issues, research institutions are naturally in the need to channel significant resources to scientific research involving regional problems related, specifically, to Africa (locally relevant diseases, maintenance of ecological and zoological resources). On the other hand, the main research institutes must widen their approach towards other fields and areas of socio-cultural relevance that potentially help increasing international cooperation, which in return heightens the level of scientific production and research skills. This means that research deemed valuable to the international research community is unlikely to be identical to locally relevant research, as researchers face a potential conflict between choosing to emphasize research that is locally or internationally relevant.

Moreover, it became visible, through the conducted Louvain Modularity analysis on collaborating communities that intercontinental collaboration is under major expansion. In addition, it was shown that Astronomy and Astrophysics, as well as Particle and field physics, take up the majority of primary positions in the investment agenda of the most influential funding organizations. Even though this highlights the current direction and preference of scientific funding and the demand of substantial input these two fields require, this pattern seems quite problematic due to the questionable local need to have these fields (astronomy/astrophysics/particle and field physics) taking up funding priority and if they even address priorities on the African continent. This is a clear indicator of the still present western influence on the agenda setting of African science through financial means. It is also necessary to see that the National Research Foundation (NRF) still provides the highest contribution to the selected fields, even though its planned funding cuts had a serious impact on the South African academic community (Wild 2017). As some authors have already highlighted it is very important for the future of African research to establish a balanced partnership with researchers and institutions located in Africa and ensure that the quantity and quality of involvement is equally discussed and agreed upon (Dodsworth and Cheeseman 2017).

Finally, the conducted analysis supports the fact that, even though South Africa has a dominant presence in the continental scientific collaboration process, further research is needed to rigorously investigate the presence and influence of other African countries regarding within- and intercontinental scientific collaboration.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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Appendix

See Tables 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23 and 24 and Figs. 2, 3, 4, 5, 6, 7, 8, 9 and 10.

Table 7 Psychology centrality measurements

Psychology						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.0001688	NG	0.01264	NG	65	NG
2	0.0001274	UG	0.00655	ZA	15	UG
3	0.0000099	ZA	0.00419	UG	11	GH
4	0.0000079	GH	0.00264	ZA	7	ZA
5	0.0000057	BO	0.00239	BO	5	ZA
6	0.0000013	ZA	0.00229	GH	3	EG
7	0.0000001	EG	0.00206	EG	3	MO
8	0.0000001	EG	0.00076	EG	2	EG
9	0.0000001	ZA	0.00076	MO	2	EG
10	0.0000000	EG	0.00057	EG	2	ZA

Table 8 Zoology centrality measurements

Zoology						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.003702	ZA	0.024185	ZA	30	ZA
2	0.002521	ZA	0.021836	ZA	15	ZA
3	0.001417	ZA	0.021002	ZA	15	ZA
4	0.001373	ZA	0.020934	ZA	14	ZA
5	0.000950	ZA	0.020157	ZA	13	ZA
6	0.000929	ZA	0.018582	ZA	11	ZA
7	0.000712	ZA	0.01838	ZA	9	ZA
8	0.000416	ZA	0.017627	ZA	8	ZA
9	0.000381	ZA	0.016589	ZA	8	ZA
10	0.000180	ZA	0.015197	ZA	7	ZA

Table 9 Water Resources centrality measurements

Water Resources						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.001180	ZA	0.012472	ZA	42	ZA
2	0.000764	ZA	0.011887	ZA	17	BT
3	0.000724	ZA	0.011253	ZA	16	ZA
4	0.000563	ZA	0.011037	BT	15	ZA
5	0.000486	ZA	0.010209	ZA	14	ZA
6	0.000437	BT	0.010174	ZA	14	ZA
7	0.000292	EG	0.010167	ZA	11	ZA
8	0.000259	ZA	0.010153	ZA	10	ZA
9	0.000216	ZA	0.010126	ZA	10	ZA
10	0.000133	ZA	0.00975	EG	8	ZA

Table 10 Tropical Medicine centrality measurements

Tropical Medicine						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.00490	GH	0.104461	KY	59	SE
2	0.00260	UG	0.104061	CA	57	GH
3	0.00160	GH	0.104016	GH	48	NI
4	0.00135	CA	0.103862	UG	47	UG
5	0.00134	KY	0.10217	NG	38	CA
6	0.00111	NG	0.101229	SE	36	KE
7	0.00080	SE	0.09956	GH	33	GH
8	0.00070	EG	0.093777	KY	21	EG
9	0.00043	EG	0.093143	EG	12	EG
10	0.00027	KY	0.092993	EG	11	KE

Table 11 Plant Sciences centrality measurements

Plant Sciences						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.00552	ZA	0.044517	ZA	59	ZA
2	0.00295	CA	0.042412	ZA	51	ZA
3	0.00263	ZA	0.042229	ZA	39	CA
4	0.00232	BT	0.041943	ZA	29	BT
5	0.00222	ZA	0.041712	CA	28	ZA
6	0.00192	ZA	0.040784	BT	28	ZA
7	0.00183	ZA	0.038955	ZA	22	ZA
8	0.00128	ZA	0.038249	ZA	17	EG
9	0.00112	ZA	0.038223	ZA	16	ZA
10	0.00079	EG	0.038127	ZA	15	ZA

Table 12 Parasitology centrality measurements

Parasitology						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.00340	NG	0.055995	NG	38	TU
2	0.00251	ZA	0.055888	TU	35	GH
3	0.00170	ZA	0.055816	GH	34	CA
4	0.00166	ZA	0.055816	ZA	33	NG
5	0.00137	ZA	0.054776	NG	32	ZA
6	0.00137	GH	0.052468	EG	26	ZA
7	0.00104	EG	0.05246	ZA	21	EG
8	0.00096	UG	0.052116	ZA	20	ZA
9	0.00092	CA	0.051861	ZA	19	SE
10	0.00066	ZA	0.051854	EG	16	ZA

Table 13 Infectious Diseases centrality measurements

Infectious Diseases						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.016567014	ZA	0.15964	ZA	251	ZA
2	0.0121137	ZA	0.154505	ZA	197	ZA
3	0.008108202	EGY	0.14693	UG	133	EG
4	0.006802919	ZA	0.145787	EG	115	UG
5	0.006183579	UG	0.144279	KE	96	KE
6	0.00518807	KE	0.139285	KE	96	EG
7	0.004743269	GH	0.137199	ZA	95	NG
8	0.004638794	NG	0.135584	ZA	85	ZA
9	0.004441414	CA	0.134736	SE	65	GH
10	0.004020661	EGY	0.133085	GH	63	KE

Table 14 Immunology centrality measurements

Immunology						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.00660	ZA	0.111182	ZA	129	ZA
2	0.00453	ZA	0.106527	ZA	90	ZA
3	0.00243	UG	0.104538	UG	65	KE
4	0.00168	ZA	0.10094	KE	62	UG
5	0.00152	AL	0.093199	ZA	51	GH
6	0.00141	KE	0.091437	ZA	39	ZA
7	0.00140	GH	0.09096	ZA	24	SE
8	0.00128	GH	0.087059	SE	23	ZA
9	0.00128	SE	0.086416	ZA	21	ZA
10	0.00097	EG	0.084595	GH	21	ZA

Table 15 Ecology centrality measurements

Ecology						
Position	Betweenness	Countries	Closeness	Countries	Degree	Countries
1	0.00566	ZA	0.052531	ZA	73	ZA
2	0.00302	ZA	0.052158	ZA	37	ZA
3	0.00282	ZA	0.048707	ZA	35	ZA
4	0.00267	ZA	0.048258	ZA	28	ZA
5	0.00179	ZA	0.046413	ZA	26	ZA
6	0.00167	ZA	0.045898	ZA	24	CA
7	0.00160	ZA	0.045124	ZA	19	ZA
8	0.00114	ZA	0.044645	ZA	18	ZA
9	0.00089	ZA	0.044301	ZA	17	ZA
10	0.00044	ZA	0.042633	CA	12	ZA

Table 16 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Agricultural and Food Sciences)

Place	Funding organization	Number of funded publications
1	National Research Foundation of South Africa	487
2	Research Chairs Initiative	94
3	Medical Research Council of South Africa	72
4	University of Pretoria	68
5	University of KwaZulu-Natal	63
6	University of the Witwatersrand	43
7	Natural Sciences and Engineering Research Council of Canada	38
8	Wellcome Trust	32
9	University of Cape Town	31
10	Stellenbosch University	30

Table 17 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Zoology)

Place	Funding organization	Number of funded publications
1	National Research Foundation of South Africa	325
2	University of Pretoria	85
3	University of the Witwatersrand	50
4	University of KwaZulu-Natal	48
5	Rhodes University	26
6	Claude Leon Foundation	26
7	NSF Division of Environmental Biology	25
8	University of Cape Town	24
9	Natural Sciences and Engineering Research Council of Canada	21
10	Swiss National Science Foundation	18

Table 18 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Water Resources)

Place	Funding organization	Number of funded publications
1	National Research Foundation of South Africa	97
2	University of Johannesburg	38
3	Tshwane University of Technology	17
4	University of KwaZulu-Natal	14
5	University of Cape Town	14
6	University of the Witwatersrand	10
7	German Academic Exchange Service	10
8	University of Pretoria	9
9	Dutch Government	8
10	UNESCO	7

Table 19 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Plant Sciences)

Place	Funding organization	Number of funded publications
1	National Research Foundation of South Africa	461
2	University of KwaZulu-Natal	183
3	University of Johannesburg	94
4	University of Pretoria	83
5	Claude Leon Foundation	64
6	University of Cape Town	48
7	Tshwane University of Technology	35
8	Ministry of Education, Youth and Sport	34
9	National Geographic Society	33
10	University of the Witwatersrand	30

Table 20 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Parasitology)

Place	Funding organization	Number of funded publications
1	National Research Foundation of South Africa	65
2	Wellcome Trust	39
3	University of Pretoria	34
4	National Institute of Allergy and Infectious Diseases	26
5	Bill and Melinda Gates Foundation	21
6	Biotechnology and Biological Sciences Research Council	13
7	Research Foundation Flanders	8
8	Medical Research Council of the United Kingdom	8
9	Medical Research Council of South Africa	8
10	Deutsche Forschungsgemeinschaft	8

Table 21 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Immunology)

Place	Funding organization	Number of funded publications
1	National Institute of Allergy and Infectious Diseases	81
2	Wellcome Trust	76
3	Medical Research Council of South Africa	50
4	National Research Foundation of South Africa	48
5	Bill and Melinda Gates Foundation	46
6	Research Chairs Initiative	23
7	Medical Research Council of the United Kingdom	23
8	World Health Organization	16
9	United States Agency for International Development	16
10	University of Cape Town	15

Table 22 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Ecology)

Place	Funding organization	Number of funded publications
1	National Research Foundation of South Africa	591
2	University of Cape Town	102
3	University of Pretoria	84
4	Stellenbosch University	66
5	Andrew W. Mellon Foundation	64
6	Research Chairs Initiative	62
7	University of KwaZulu-Natal	59
8	Natural Environment Research Council	54
9	Australian Research Council	52
10	Deutsche Forschungsgemeinschaft	46

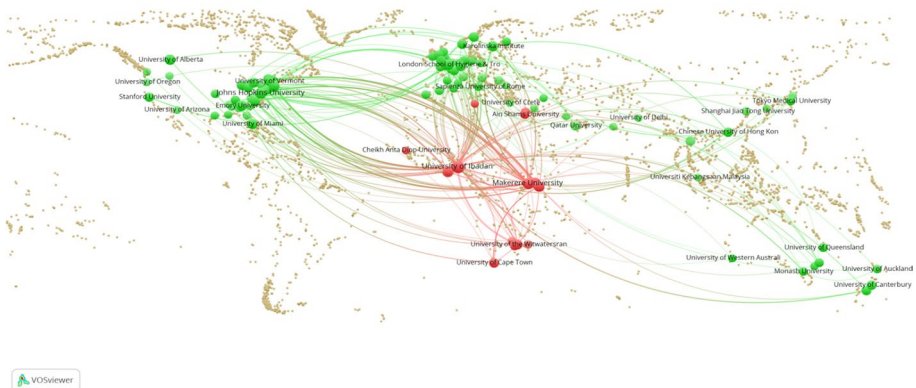
Table 23 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Tropical Medicine)

Place	Funding organization	Number of funded publications
1	Wellcome Trust	44
2	National Research Foundation of South Africa	34
3	World Health Organization	25
4	United States Agency for International Development	22
5	National Institute of Allergy and Infectious Diseases	21
6	Bill & Melinda Gates Foundation	20
7	Medical Research Council of South Africa	19
8	Fogarty International Center	17
9	National Institutes of Health	13
10	Medical Research Council of the United Kingdom	11

Table 24 Top 10 most involved funding organizations for publications (published between 2009 and 2017) in which at least one author, whose primary or alternative address is located in South Africa, is contributing (Infectious Diseases)

Place	Funding organization	Number of funded publications
1	National Institute of Allergy and Infectious Diseases	570
2	Wellcome Trust	324
3	Bill & Melinda Gates Foundation	222
4	United States Agency for International Development	219
5	Fogarty International Center	179
6	National Research Foundation of South Africa	174
7	Eunice Kennedy Shriver National Institute of Child Health and Human Development	167
8	Medical Research Council of South Africa	151
9	National Institutes of Health	143
10	Centers for Disease Control and Prevention	115

(A)



(B)

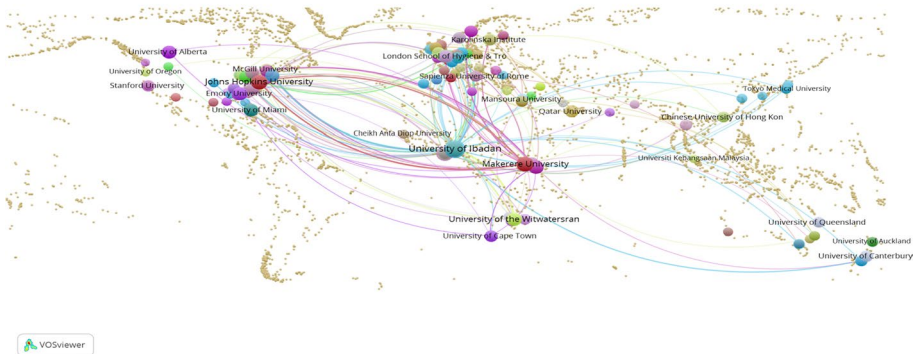
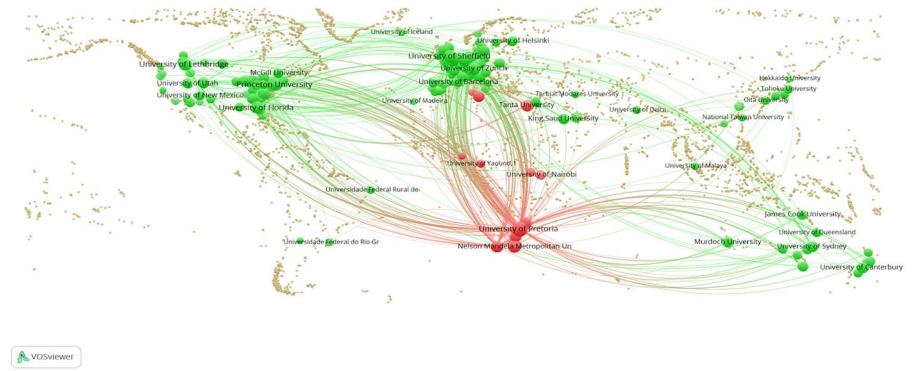


Fig. 2 **a** Collaboration between African and non-African research institutes in the field of Psychology. Red nodes represent African institutions and green the non-African ones. **b** Collaboration split to communities between African and non-African research institutes in the field of Psychology (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

(A)



(B)

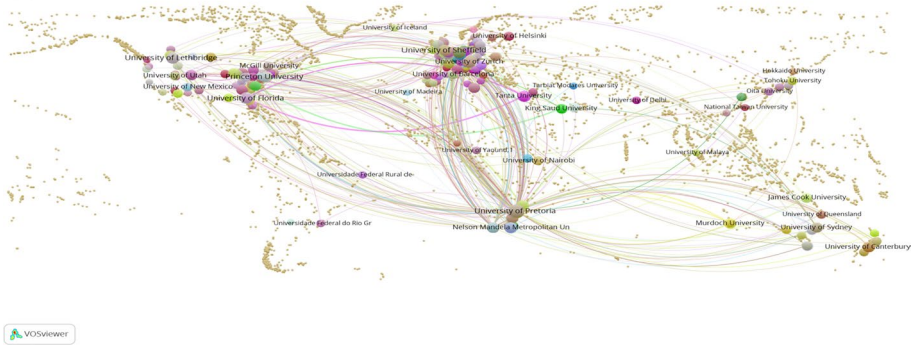
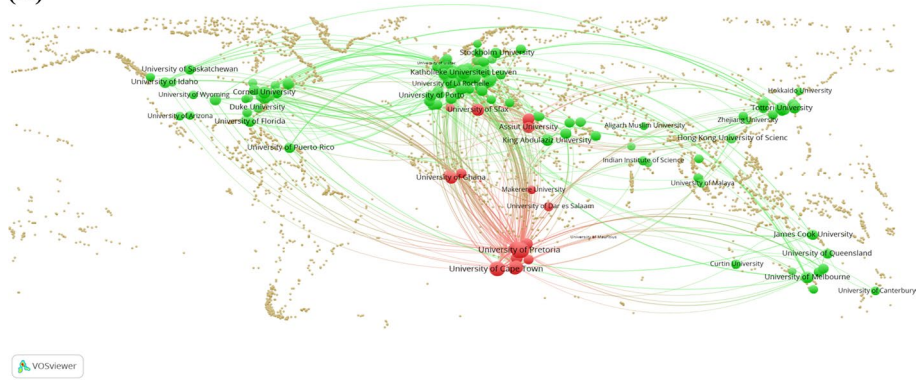


Fig. 3 **a** Collaboration between African and non-African research institutes in the field of Zoology. Red nodes represent African institutions and green the non-African ones. **b** Collaboration split to communities between African and non-African research institutes in the field of Zoology (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

(A)



(B)

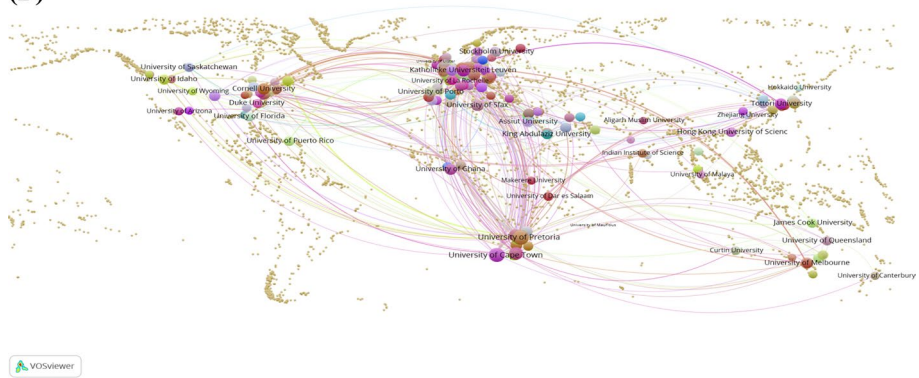
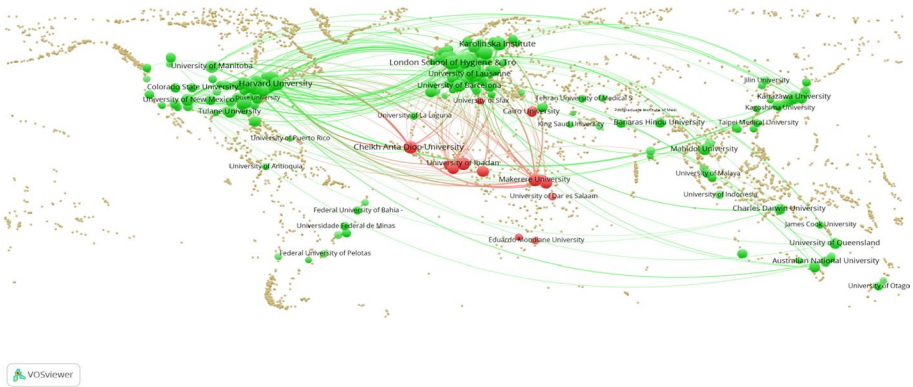


Fig. 4 **a** Collaboration between African and non-African research institutes in the field of Water Resources. Red nodes represent African institutions and green the non-African ones. **b** Collaboration split to communities between African and non-African research institutes in the field of Water Resources (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

(A)



(B)

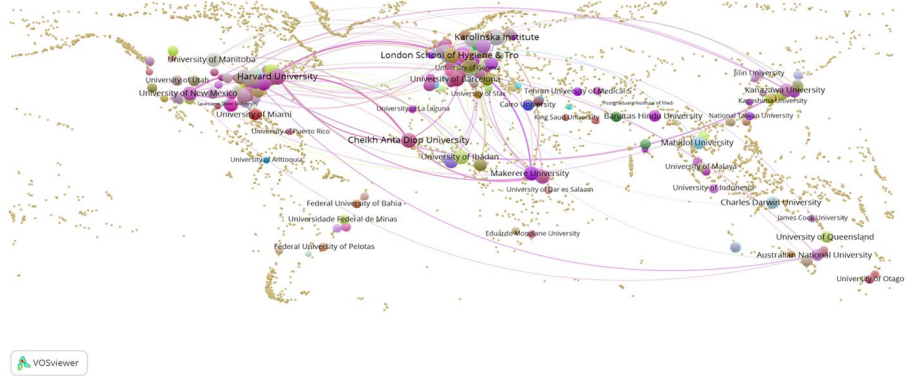
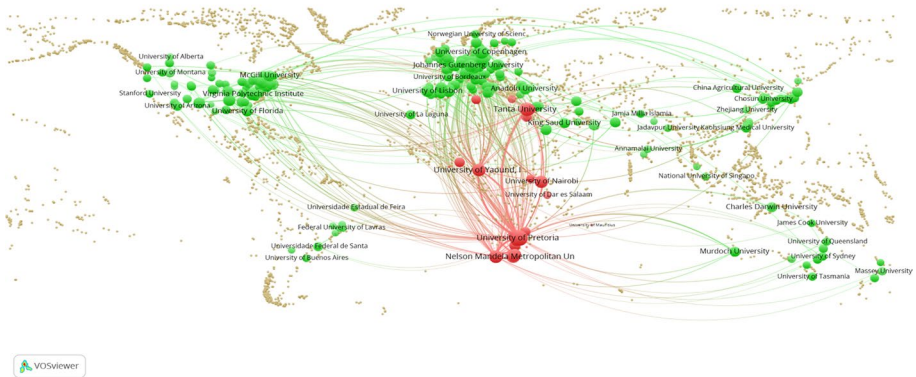


Fig. 5 a Collaboration between African and non-African research institutes in the field of Tropical Medicine. Red nodes represent African institutions and green the non-African ones. b Collaboration split to communities between African and non-African research institutes in the field of Tropical Medicine (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

(A)

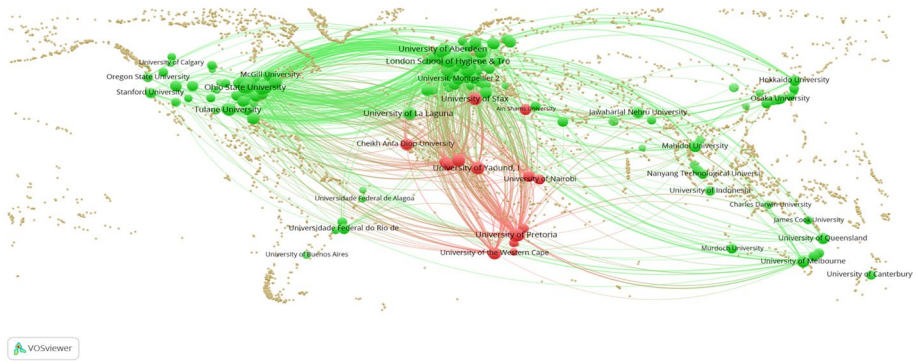


(B)



Fig. 6 **a** Collaboration between African and non-African research institutes in the field of Plant Sciences. Red nodes represent African institutions and green the non-African ones. **b** Collaboration split to communities between African and non-African research institutes in the field of Plant Sciences (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

(A)



(B)

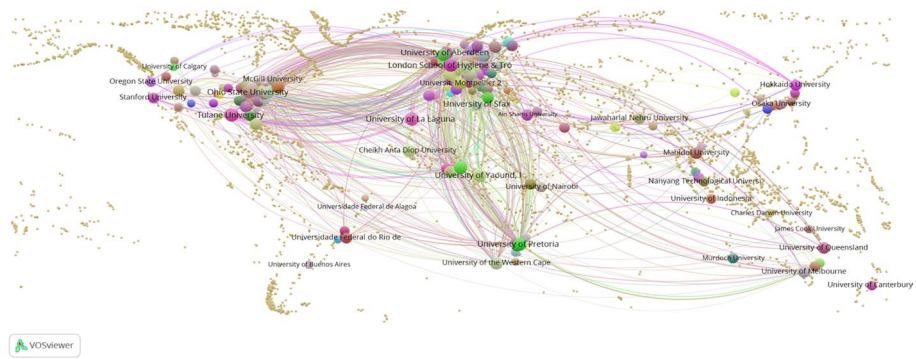


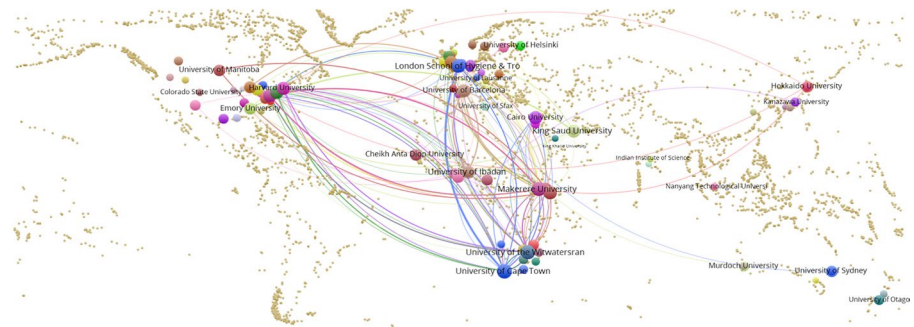
Fig. 7 **a** Collaboration between African and non-African research institutes in the field of Parasitology. Red nodes represent African institutions and green the non-African ones. **b** Collaboration split to communities between African and non-African research institutes in the field of Parasitology (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

(A)



VOSviewer

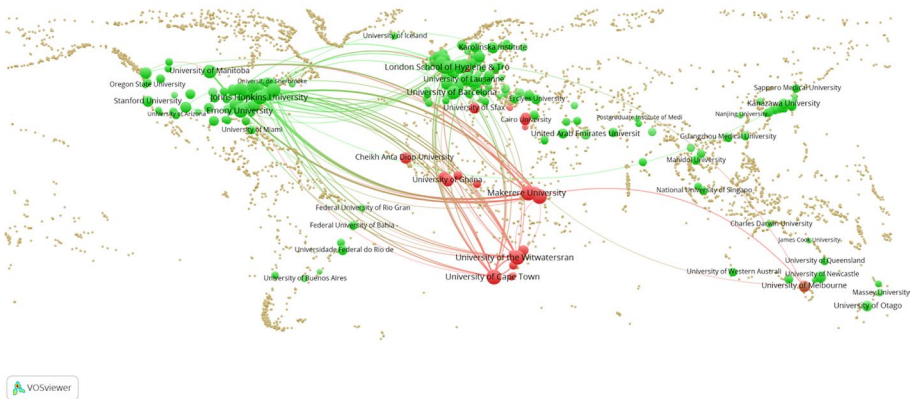
(B)



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Fig. 8 **a** Collaboration between African and non-African research institutes in the field of Infectious Diseases. Red nodes represent African institutions and green the non-African ones. **b** Collaboration split to communities between African and non-African research institutes in the field of Infectious Disease (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

(A)



(B)

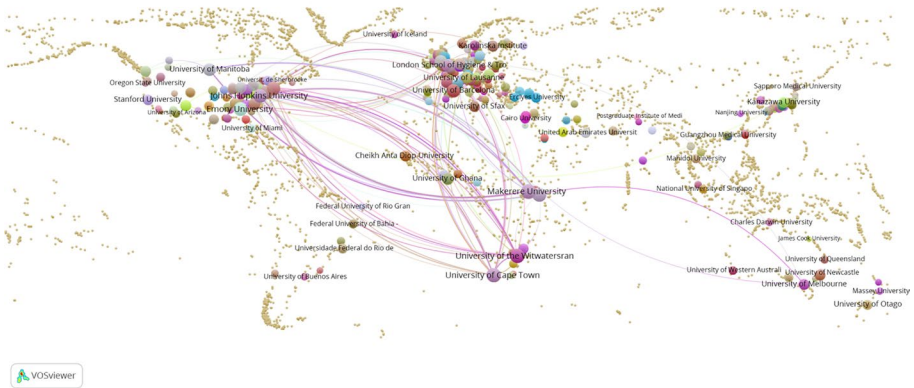
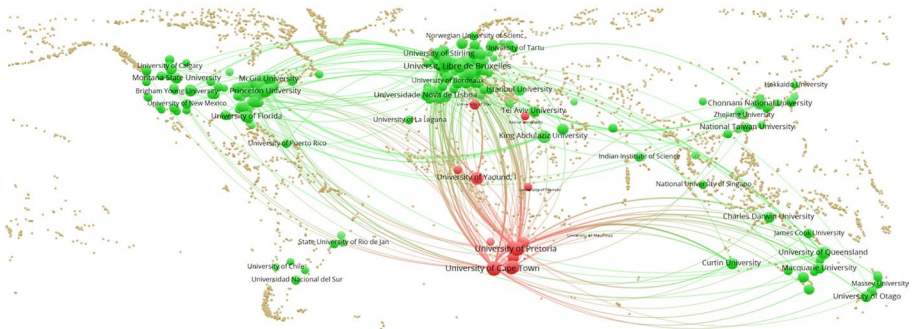


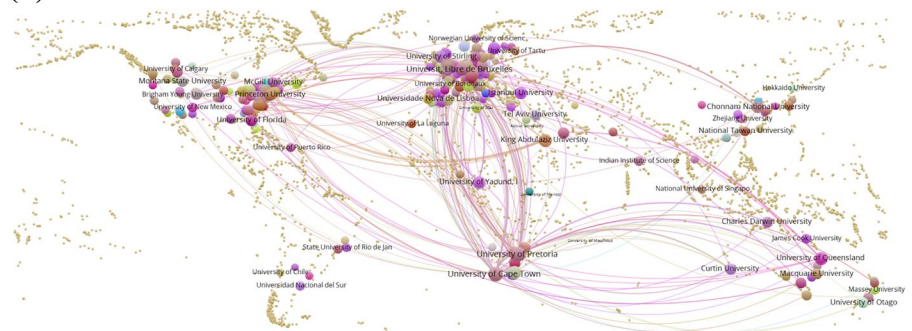
Fig. 9 **a** Collaboration between African and non-African research institutes in the field of Immunology. Red nodes represent African institutions and green the non-African ones. **b** Collaboration split to communities between African and non-African research institutes in the field of Immunology (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

(A)



VOSviewer

(B)



VOSviewer

Fig. 10 **a** Collaboration between African and non-African research institutes in the field of Ecology. Red nodes represent African institutions and green the non-African ones. **b** Collaboration split to communities between African and non-African research institutes in the field of Ecology (Louvain Modularity). Each colour represents different communities based on the density of edges (representing collaboration) connecting the institutions. (Color figure online)

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