

Non-invasive accurate measurement of arterial PCO₂ in a pediatric animal model

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Abstract The PCO₂ in arterial blood (PaCO₂) is a good parameter for monitoring ventilation and acid–base changes in ventilated patients, but its measurement is invasive and difficult to obtain in small children. Attempts have been made to use the partial pressure of CO₂ in end-tidal gas (PETCO₂), as a noninvasive surrogate for PaCO₂. Studies have revealed that, unfortunately, the differences between PETCO₂ and PaCO₂ are too variable to be clinically useful. We hypothesized that end-inspiratory rebreathing, previously shown to equalize PETCO₂ and PaCO₂ in spontaneously breathing humans, would also be effective with positive pressure ventilation. Eight newborn Yorkshire pigs were mechanically ventilated via a partial rebreathing circuit to implement end-inspiratory rebreathing. Arterial blood was sampled and tested for PaCO₂.

A variety of alveolar ventilations resulting in different combinations of end-tidal PCO₂ (30–50 mmHg) and PO₂ (35–500 mmHg) were tested for differences between PETCO₂ and PaCO₂ (PET-aCO₂). The PET-aCO₂ of all samples was (mean \pm 1.96 SD) 0.4 ± 2.7 mmHg. Our study demonstrates that, in ventilated juvenile animals, end-inspiratory rebreathing maintains PET-aCO₂ to what would be a clinically useful range. If verified clinically, this approach could open the way for non-invasive monitoring of arterial PCO₂ in critically ill patients.

Keywords Mechanical ventilation · Non-invasive · PCO₂ · Piglets · End-inspiratory rebreathing

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1 Introduction

During critical care, monitoring acid–base balance and the adequacy of ventilation, may require repeated invasive measurements of the partial pressure of CO_2 in arterial blood (PaCO_2) especially during weaning from mechanical ventilatory support. Repeated blood sampling places critically ill patients at risk for such associated complications as anemia [1], infection [2], arterial catheter blockage, and vascular endothelial injury and thrombosis. These risks are especially high in pediatric patients in whom the circulatory blood volumes, arteries and arterial catheters are smaller than in adults. In addition, drawing, transporting, and analyzing the samples consume considerable health care resources [1, 3].

By contrast, the partial pressure of CO_2 in end-tidal gas (PETCO_2) is a non-invasive, inexpensive measurement that ideally, would be used as a surrogate for PaCO_2 [2]. This relationship, however, cannot be relied on for all patients as other studies indicate that there are large and variable differences between PETCO_2 and PaCO_2 [2, 4]. Even after a measurement of a baseline partial pressure gradient of CO_2 between end-tidal gas and arterial blood (PET-aCO_2), the reliability of assuming changes in PaCO_2 from serial PETCO_2 measurements does not improve. Russel and Graybeal [5] and McDonald et al. [2] noted that in critically ill patients that suffered trauma, the changes in PaCO_2 were opposite to those of PETCO_2 in about 30 % of measures.

An alternative strategy has been to reduce the PCO_2 gradient between exhaled gas and the arterial blood. Ito et al. [6] administered exhaled gas at end inspiration in healthy seated spontaneously breathing volunteers and demonstrated that the PET-aCO_2 was reduced to within the range of error of measurement of PaCO_2 . However, it is not known whether in the neonate, under the conditions of positive pressure ventilation and supine position, the effect of rebreathing at the end of inspiration on PET-aCO_2 would be maintained. To investigate this question, we used a ventilated pediatric animal model to determine the effect of end-inspiratory rebreathing on PET-aCO_2 and hypothesized that end-inspiratory rebreathing would reduce PET-aCO_2 at each combination of PETCO_2 and PETO_2 .

2 Methods

The study was approved by our institutional animal care committee, and all procedures were conducted according to the guidelines of the Canadian Council on Animal Care. We studied 8 Yorkshire newborn pigs, 3–4 weeks of age with a mean weight of 3.6 kg (Table 1) in an animal operating room setting.

2.1 Animal preparation

Anesthesia was induced with a 0.2 ml/kg mixture of ketamine 58.8 mg/ml, acepromazine 1.18 mg/ml, and atropine 90 $\mu\text{g/ml}$ administered by intramuscular injection, followed by 3 % isoflurane in O_2 to deepen anesthesia for surgical preparation. A catheter was inserted into the ear vein for continuous intravenous infusion anesthesia (22 mg/kg/h ketamine and 1 mg/kg/h midazolam). A 4 mm i.d. uncuffed pediatric endotracheal tube and a catheter for gas and pressure sampling were placed in the trachea via a tracheotomy. A catheter for arterial blood sampling was inserted into the carotid artery via surgical cut-down.

Piglets were initially mechanically ventilated via a pneumatic anesthesia ventilator (Air-Shields Ventimeter Controller IT, Air-Shields, Hatboro, PA 19040) with an O_2 and air mixture in pressure control mode with peak inspiratory pressures between 15 and 20 cmH_2O , PEEP 0 cmH_2O , frequency of 25–30/min, and inspiration:expiration ratio of 1:3. A sequential gas delivery circuit similar to that used for spontaneous ventilation [6, 7] was placed in a rigid container to form a functional “bag in box” secondary circuit (Fig. 1) and interposed between the ventilator and the endotracheal tube. This circuit was used both to change the end-tidal gas values as well as to apply end-inspiratory rebreathing. The circuit consists of a set of inspiratory and expiratory valves (i.e., a non-rebreathing valve), inspiratory and expiratory reservoirs (i.e., flexible bags) and a one way valve with slightly increased opening pressure connecting the expiratory reservoir to the inspiratory limb (‘cross-over valve’). During the expiratory phase, expired gas fills the expired reservoir and fresh gas fills the inspiratory reservoir, both displacing gas from the rigid container. This displaced gas is vented through the ventilator. On the inspiratory phase of the ventilator, the rigid box is pressurized. Fresh gas is progressively displaced from the inspiratory reservoir; once it is exhausted, the cross-over valve is forced open and the balance of the breath is supplied by previously exhaled gas being displaced from the expiratory gas reservoir. This results in the sequential delivery of the source gas (‘fresh gas’) followed by the rebreathed gas or its equivalent. Changes in alveolar ventilation are effected by controlling the concentrations of CO_2 , O_2 , and N_2 in a constant flow of inspired gas. In this way, the tidal volume, extent of rebreathing, and ventilatory frequency remains constant across the various alveolar gas concentrations. The gas input to the circuit (‘fresh gas’) was provided by a computer-controlled gas blender (RespirAct™, Thornhill Research Inc., Toronto, Canada). The gas blender controls the flows of each source gas via a voltage controlled orifice (VSONC-6S11-VE-Q0, Parker, Cleveland, USA) and flow sensors (TSI Air 41221,

Table 1 Piglet baseline measurements, and necropsy findings

Piglet #	Weight (kg)	(ml/min)	PaO ₂ (mmHg) (FiO ₂ 0.21)	PA-aO ₂ (mmHg) (FiO ₂ 1.0)	Anatomical shunt ^a	Lung pathology ^a
1	3.1	75	87	137	PDA, PFO	Severe bilateral atelectasis
2	3.6	90	95	74	PDA, PFO	Severe bilateral atelectasis
3	3.8	100	65	301	PDA	Severe unilateral atelectasis
4	3.4	95	88	178	PDA	Bilateral atelectasis
5	3.5	90	71	418	PFO	Mild bilateral atelectasis
6	3.5	90	84	271	PDA	Severe unilateral atelectasis
7	3.8	95	81	304	PFO	None
8	4.2	90	75	430	None	None

PDA patent ductus arteriosus, PFO patent foramen ovale; $\dot{V}CO_2$, minute CO₂ production; PA-aO₂, alveolar (end-expired) to arterial O₂ partial pressure gradient, FiO_2 inspired fractional concentration of O₂

^a Findings at necropsy

Shoreview MN, USA) using PID algorithms. A computer calculated the inspired concentrations of CO₂ and O₂ required to attain target PETCO₂ and PETO₂ using algorithms described by Slessarev et al. [7] An intra-tracheal catheter was used to monitor airway pressures and sample tidal gas. After the piglets were stabilized on the ventilator and secondary circuit, pancuronium bromide 0.2 mg/kg was administered intravenously as a bolus followed by an

infusion at 0.1 mg/kg/h for the duration of the experiment. Tidal gas was sampled continuously and analyzed for PCO₂ (Ir3107, Servomex, Sugar Land, TX, USA) and PO₂ (UFO-130-2, Teledyne Analytical Instruments, City of Industry, CA, USA) from which PETCO₂ and PETO₂ were identified using specialized data acquisition software (LabView; National Instruments Corporation, Austin, TX). The real-time end-tidal picking algorithm was designed for spontaneously breathing, and ventilated subjects. The program time shifts the PCO₂ trace to synchronize with the airway pressure trace; it then finds the maximum PCO₂ value between the times of the two pressures that indicate peak inspiration. The data is then reviewed graphically and any errors in end-tidal picking are adjusted manually. All monitors measuring physiologic parameters were sampled at 20 Hz and recorded using the same data acquisition software.

2.2 Study protocol

PCO₂ and PO₂ tensions of the inspired gas were varied sequentially in the following three phases of the experiment (Fig. 2):

1. Isoxic ΔPCO_2 : From the baseline condition (PETCO₂ = 40 mmHg, PETO₂ 100 mmHg), concentrations of CO₂ and O₂ in the inspired gas were sequentially altered to produce 10 mmHg isoxic step increases and decreases of PETCO₂ in random order, returning to baseline after each step change.
2. Isocapnic ΔPO_2 . From the baseline condition, concentrations of CO₂ and O₂ in the inspired gas was sequentially altered to produce an isocapnic step

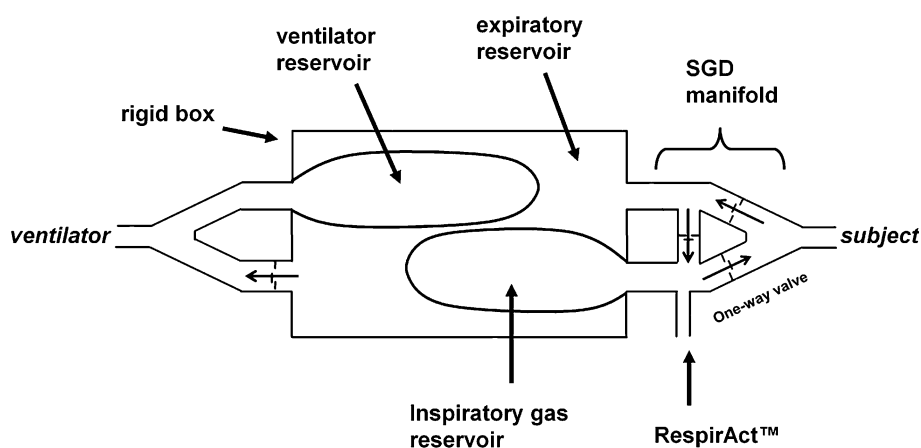
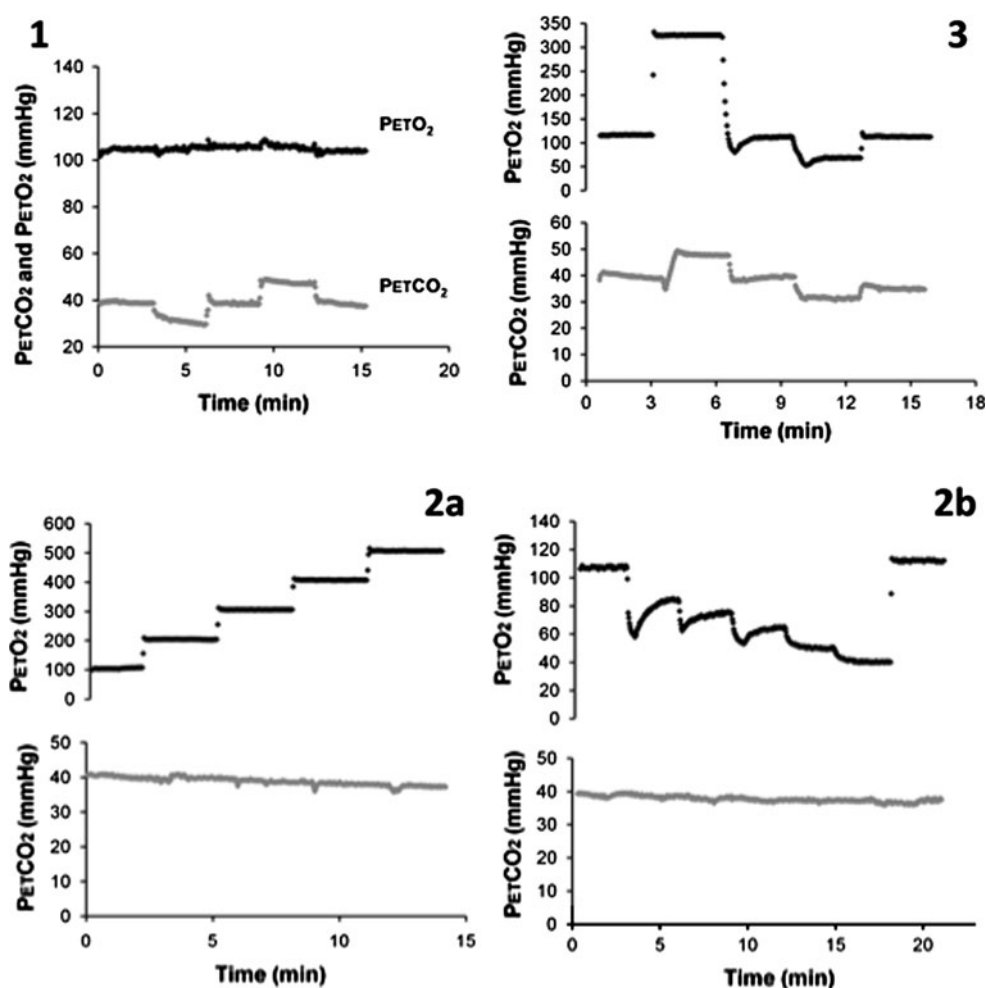


Fig. 1 The end-inspiratory rebreathing and inspiratory gas control circuit is interposed between the ventilator and the subject, with the circuit manifold part of the rigid box that acts as the expiratory gas reservoir and contains the inspiratory gas reservoir. During exhalation, gas from the RespiAct™ enters the inspiratory gas reservoir and exhaled gas enters the rigid container. During inspiration, the box

is pressurized. The relative opening pressure of the one-way valves assures that the gas from the inspiratory gas reservoir is first displaced into the subject. Once the inspiratory gas reservoir empties, the valve in the cross-over limb opens and the ventilator displaces previously exhaled gas to the subject

Fig. 2 Data for the test protocols from a representative piglet. Protocol 1 iso-oxic Δ PCO₂, 2a, 2b isocapnic Δ PO₂, 3 Δ PCO₂/ Δ PO₂. Protocol 2 was divided into isocapnic hyperoxic step changes in PETCO₂ (2a) and isocapnic hypoxic step changes in PETCO₂ (2b). The protocol could not be carried out as a single protocol since the transition from hyperoxia (500 mmHg) back to baseline (100 mmHg) was not possible within the required 3 min mainly because of the small tidal volumes employed



- increase in PETO₂ to 500 mmHg (protocol 2a) and a step decrease in PETO₂ to 35 mmHg (protocol 2b).
3. Δ PCO₂ + Δ PO₂. From baseline, concentrations of CO₂ and O₂ in the inspired gas were sequentially altered to produce periods of hypercapnia plus hyperoxia (PETCO₂ 50 mmHg + PETO₂ 300 mmHg), and hypocapnia plus hypoxia (PETCO₂ 30 mmHg + PETO₂ 60 mmHg) in a block fashion, returning to baseline between steps.

Each step change was maintained for 3 min, and PETCO₂ was taken as the average PETCO₂ of all breaths during the last minute of each step. An arterial blood sample was drawn during the last minute of each step, placed on ice, and analyzed within 30 min of collection (ABL 700, Radiometer Copenhagen, Denmark).

Ordinarily it may take many minutes—possibly hours—to develop a new steady state PETCO₂ and PaCO₂ after a change in ventilation. This is due to the time required for the inspiratory gas to come into equilibrium with the functional residual capacity and total body stores. These delays make it difficult to account for changes in non-

measured variables over time in the results. We therefore developed our system to rapidly implement the equilibrium state. The computer prospectively calculates the inspired concentrations of CO₂ and O₂ required bringing the respective gas concentrations in the lungs and tissue gas stores to equilibrium at target levels [8]. After rapid equilibration with the lung, continued equilibration with the tissues ensues over the next few breaths by taking into account the ongoing gas equilibration with mixed venous blood in the lung and metabolic CO₂ production and O₂ consumption. Subsequent ventilation reflects a state of equilibrium (Fig. 2).

2.3 Statistics

Statistical analysis of the data was performed using the SAS System v.9.1.3 (SAS Institute Inc, Cary NC, USA). A series of mixed-effect repeated measures models was performed to determine whether differences in PETCO₂ and PaCO₂ values were significantly greater than zero, and whether the magnitude of these differences varied across

sequences, and across PCO_2 and PO_2 tensions. A subject identifier was included as a random effect in each of these models to account for the relatedness of observations taken on the same subject.

Two separate model analyses were conducted, the first to examine $PET\text{-}aCO_2$ as a function of sequence, and the second to examine $PET\text{-}aCO_2$ as a function of $PETCO_2$. Bonferroni-adjusted pairwise comparisons were used to examine whether $PET\text{-}aCO_2$ was significantly smaller in the sequence in which both $PETCO_2$ and $PETO_2$ were varied than in the sequence when $PETO_2$ was maintained constant. A Bland–Altman analysis (Bland and Altman) [9] was used to calculate the limits of agreement between $PETCO_2$ and $PaCO_2$. Data are presented as mean \pm SD.

3 Results

3.1 Animal status

Although the animals assigned to this experiment seemed clinically healthy, necropsy revealed them to be otherwise. The study was performed in the autumn and many of the animals in the research facility had flu-like symptoms. At necropsy, most of the animals in our study had visible pulmonary infiltration in at least one of their lungs on gross examination (Table 1). A virology examination was not performed. Of the 8 animals in the study, 4 had a patent foramen ovale and 5 had a patent ductus arteriosus. Baseline PaO_2 tensions when breathing spontaneously

(with some intermittent ventilatory assistance as required to prevent hypoventilation while titrating the level of anesthesia) on room air and on 100 % O_2 (indicating A-a O_2 gradients) are also presented in Table 1. In some piglets the A-a gradient was not commensurate with the degree of atelectasis. Piglets 1 and 2, in particular, had extensive atelectasis but normal A-a gradients. This probably reflected an increased vascular resistance and brisk hypoxic pulmonary vasoconstriction in the atelectatic areas. Data from a single representative piglet for each of the test protocols are presented in Fig. 2.

3.2 Controlling $PETCO_2$ and $PaCO_2$

Table 2 lists the differences between the target $PETCO_2$ ($PtCO_2$) and measured $PETCO_2$ and $PaCO_2$ for all three protocols, and Fig. 3a, b illustrate these results. There was a small but consistent difference between $PtCO_2$ and measured $PETCO_2$ ($p = 0.005$), which was marginally greater at a $PtCO_2$ of 30 mmHg, compared to those at 40 and 50 mmHg ($p < 0.01$ for both).

3.3 $PET\text{-}aCO_2$

Table 3 lists the differences between measured $PETCO_2$ and $PaCO_2$ for all three protocols, and Fig. 4a and b illustrate these results. The average $PET\text{-}aCO_2$ difference was small but consistent ($p = 0.034$). Within each protocol, there were no significant differences between $PETCO_2$ and $PaCO_2$ but when the data across protocols were pooled,

Table 2 Differences between target end-tidal PCO_2 ($PtCO_2$) and measured end-tidal PCO_2 ($Pt\text{-}ETCO_2$) and measured arterial PCO_2 ($Pt\text{-}aCO_2$) values for each of the 3 protocols at each of the 3 targets in mmHg

Protocol	$PtCO_2$	$Pt\text{-}ETCO_2$		$Pt\text{-}aCO_2$	
		Mean \pm SD	95 % CI	Mean \pm SD	95 % CI
1	30	2.8 \pm 3.0	(0.0, 5.6)	4.0 \pm 2.9	(1.4, 6.7)
1	40	−0.8 \pm 2.2	(−1.6, 0.1)	−1.3 \pm 2.7	(−2.3, −0.3)
1	50	−1.0 \pm 1.7	(−2.4, 0.5)	−1.4 \pm 1.9	(−3.0, 0.1)
	Combined	−0.1 \pm 2.6	(−0.9, 0.7)	−0.5 \pm 3.2	(−1.5, 0.4)
2	30				
2	40	−1.5 \pm 3.4	(−2.2, −0.8)	−1.9 \pm 3.2	(−2.6, −1.2)
2	50				
	Combined	−1.5 \pm 3.4	(−2.2, −0.8)	−1.9 \pm 3.2	(−2.6, −1.2)
3	30	4.0 \pm 4.9	(−0.5, 8.6)	4.7 \pm 3.7	(1.3, 8.1)
3	40	−0.4 \pm 3.2	(−1.9, 1.1)	−1.3 \pm 2.8	(−2.5, −0.0)
3	50	−0.8 \pm 2.9	(−3.5, 1.9)	−1.8 \pm 4.1	(−5.6, 1.9)
	Combined	0.4 \pm 3.9	(−0.9, 1.8)	−0.2 \pm 4.0	(−1.6, 1.2)
Combined	30	3.4 \pm 4.0	(1.2, 5.7)	4.4 \pm 3.2	(2.5, 6.2)
Combined	40	−1.2 \pm 3.2	(−1.7, −0.7)	−1.7 \pm 3.0	(−2.2, −1.2)
Combined	50	−0.9 \pm 2.3	(−2.1, 0.4)	−1.6 \pm 3.0	(−3.3, 0.0)
	Combined	−0.7 \pm 3.4	(−1.3, −0.2)	−1.2 \pm 3.5	(−1.7, −0.7)

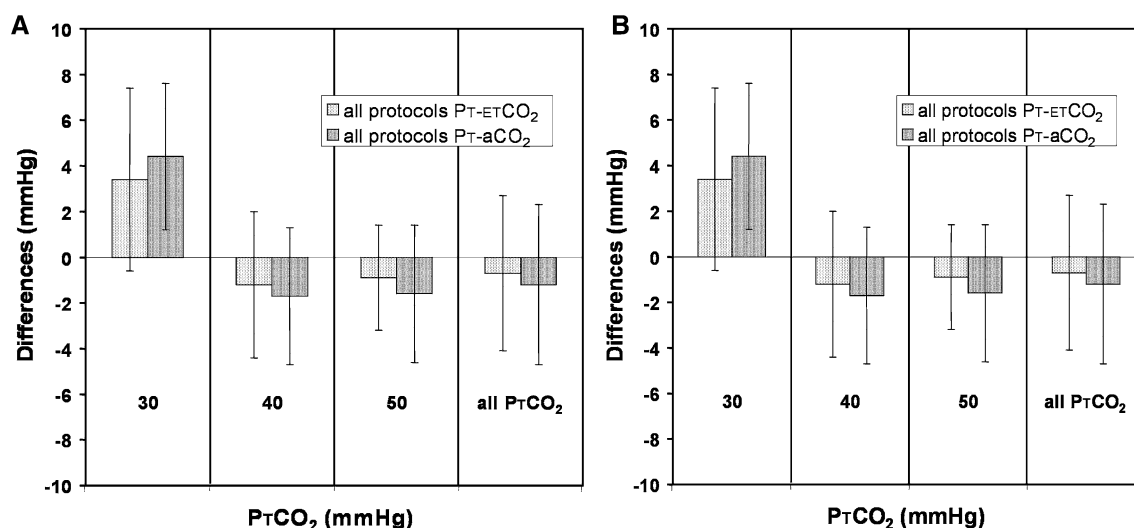


Fig. 3 **a** Mean \pm SD gradients for each of the 3 protocols at each of the 3 desired $PETCO_2$ tensions (P_rCO_2). The gradients were between P_rCO_2 and the measured $PETCO_2$ (P_r-ETCO_2), and between P_rCO_2 and the measured $PaCO_2$ (P_r-aCO_2). **b** Mean \pm SD gradients for the

3 protocols combined at each of the 3 desired $PETCO_2$ tensions (P_rCO_2). The gradients were between P_rCO_2 and measured $PETCO_2$ (P_r-ETCO_2) and between P_rCO_2 and measured $PaCO_2$ (P_r-aCO_2) values

there was sufficient power to detect a difference. $PET-aCO_2$ was greater at P_rCO_2 of 30 mmHg ($p < 0.0001$) than at 40 or 50 mmHg ($p < 0.0001$) in each case. In every instance, $PETCO_2$ moved in the same direction as $PaCO_2$.

4 Discussion

Our study in a pediatric animal model demonstrated a $PET-aCO_2$ agreement within clinical error of measurement. This data was obtained despite abnormalities in our animals such as fetal cardiac shunts and presence of cardio-pulmonary pathology. Bland–Altman analysis of our data indicated that the agreement between $PETCO_2$ and $PaCO_2$ was 0.4 ± 2.7 mmHg (Fig. 5); an agreement not due to chance ($p < 0.0001$) [10].

The consistently small $PET-aCO_2$ in our study contrasts with those of many other studies in which $PET-aCO_2$ varies widely between subjects and in the same subjects over time. McDonald et al. [2] studied 1708 sample pairs of $PETCO_2$ and $PaCO_2$ in 129 children in an intensive care unit; $PET-aCO_2$ ranged between 0 to > -30 mmHg and only 74 % of samples changed in the same direction. Tobias and Meyer (Tobias and Meyer) [3] reported a range of $PET-aCO_2$ of 5 to -22 mmHg in 100 sample sets in 25 infants and toddlers. Nevertheless, both studies suggested that even the broad $PET-aCO_2$ in their studies of -4.7 ± 8.2 mmHg and -6.8 ± 5.1 mmHg respectively were still within a “clinically acceptable” range. Others too have found poor, or no, correlations between $PETCO_2$ and $PaCO_2$ in adults with multi-system disease [11],

Table 3 Differences between measured end-tidal PCO_2 and measured arterial PCO_2 ($PET-aCO_2$) values for each of the three protocols at each of the three target $PETCO_2$

Protocol	P_rCO_2 (mmHg)	$PET-aCO_2$ (mmHg)	
		Mean \pm SD	95 % CI
1	30	-1.2 ± 1.5	($-2.7, 0.2$)
1	40	0.5 ± 2.0	($-0.2, 1.3$)
1	50	0.5 ± 0.8	($-0.2, 1.1$)
	Combined	0.41 ± 2.03	($-0.2, 1.0$)
2	30		
2	40	0.4 ± 2.6	($-0.2, 0.9$)
2	50		
	Combined	0.4 ± 2.6	($-0.2, 0.9$)
3	30	-0.7 ± 3.6	($-4.0, 2.6$)
3	40	0.9 ± 3.5	($-0.7, 2.5$)
3	50	1.1 ± 3.9	($-2.5, 4.6$)
	Combined	0.6 ± 3.6	($-0.6, 1.8$)
Combined	30	-0.9 ± 2.7	($-2.5, 0.6$)
Combined	40	0.5 ± 2.6	($0.1, 0.9$)
Combined	50	0.7 ± 2.6	($-0.7, 2.2$)
	Combined	0.4 ± 2.7	($0.0, 0.9$)

P_rCO_2 Target PCO_2 , SD Standard deviation, CI Confidence interval

trauma [12], undergoing neurosurgery [5, 13], as well as in dogs with healthy lungs [14] or lungs with oleic acid-induced ARDS (Murray and others) [15].

Our findings complement those already reported in previous publications. Swenson et al. [16] were studying

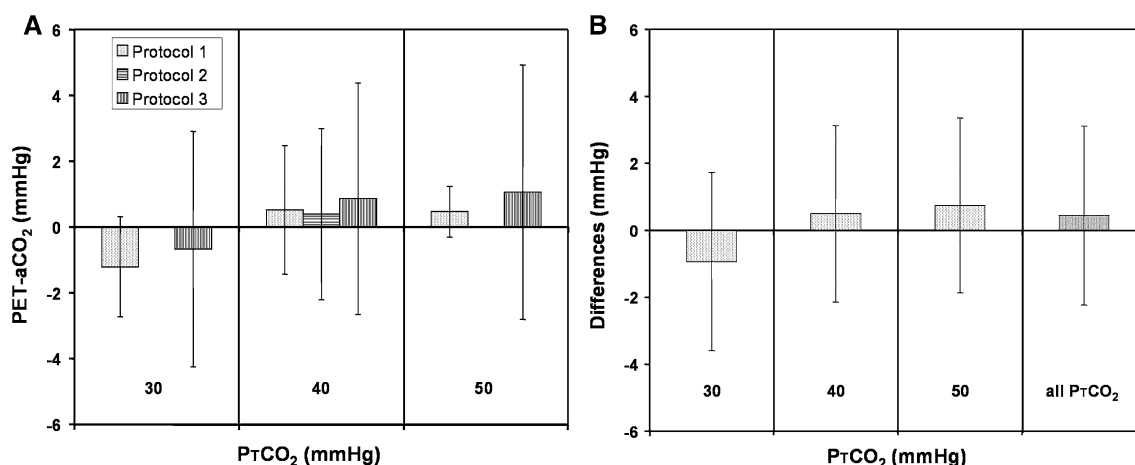


Fig. 4 **a** Mean \pm SD gradient between measured PETCO₂ and measured PaCO₂ (PET-aCO₂) for each of the 3 protocols at each of the 3 desired PETCO₂'s tensions. **b** Mean \pm SD gradient between

measured PETCO₂ and measured PaCO₂ (PET-aCO₂) for all 3 protocols combined at each of the 3 desired PETCO₂ tensions

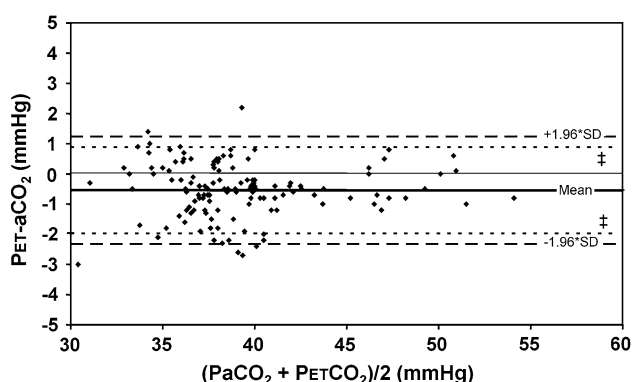


Fig. 5 The limits of agreement between PETCO₂ and PaCO₂. ‡ The fine dotted lines give the magnitude of the range of the PET-aCO₂ gradient due to the PaCO₂ measurement error alone, as calculated in a pilot study examining the PET-aCO₂ gradient in 40 kg pigs; 132 test-retest samples were analyzed with the same blood gas machine used in the current study

the effect of adding CO₂ to inspired air on reducing the alveolar to arterial PO₂ gradient (an effect first demonstrated by Ingram et al. [17] and noted that there was also a reduced gradient for CO₂, even if the CO₂ was infused at end inspiration, and distributed mainly to conducting airways [18]. No comprehensive explanation for this effect of CO₂ was offered.

Bowie et al. [19], found that (in ventilated dogs) inhaling CO₂ in the form of rebreathing diluted exhaled gas reduced PET-aCO₂. Ito et al. [6] studied the effect of end-inspired rebreathing on PET-aCO₂ in spontaneously breathing humans. The result was a reduction in PET-aCO₂ to virtually zero. However, it was not clear that this method would remain robust in a pediatric model with positive pressure ventilation, which causes increased intra-thoracic

pressure, changes in alveolar deadspace [20, 21] and affects the distribution of pulmonary blood flow [4]. Whether PET-aCO₂ would remain small over a wide range of PETO₂ and PETCO₂ tensions, both of which affect \dot{V}/\dot{Q} matching [22, 23] was unknown. Indeed, some doubt has been raised whether the effect of inspired CO₂ occurs at all with large tidal volumes [16, 24]. In our animal model, we found that even with positive pressure-applied high tidal volumes, end-inspiratory rebreathing resulted in low PET-aCO₂ differences over a wide range of combinations of PETCO₂ and PETO₂.

4.1 Why end-inspiratory rebreathing reduces PET-aCO₂

Previous studies [16, 18] attributed the effect of reduction of PET-aCO₂ to the actions of CO₂ in reducing ventilation/perfusion mismatch by inducing bronchoconstriction to reduce wasted ventilation and boosting cardiac output and hypoxic pulmonary vasoconstriction to reduce the mismatch to perfusion. We explain the low PET-aCO₂ by referring to the experience with the rebreathing of previously exhaled gas to bring the PETCO₂ towards the PaCO₂ [25–27] and simulation models show this to be the case [28, 29]. It is apparent that the greater the rebreathing the smaller the fluctuations in PCO₂ and PO₂ in the alveoli within a breath, and the smaller the difference in these gases between alveoli in the lung. With the homogenization of the PCO₂ throughout the lung, heterogeneity in the distribution of pulmonary perfusion would no longer affect the PaCO₂ (and the end-capillary PO₂) [6]. Thus the PaCO₂ would approach the PACO₂ (as, unlike for O₂, shunted blood has minimal effect on PaCO₂). To address these issues, specific animal models of these lung diseases would have to be studied.

4.2 Study limitations

4.2.1 Animal model

We found that the piglets in our study were ventilated near their ventilatory capacity. Increasing peak airway pressures risked pneumothorax, and greater ventilatory frequencies risked breath stacking. We note that piglets are bred specifically for rapid muscle growth to reduce their time to market <http://www.thepigsite.com/stockstds/17/growth-rate>), which markedly increases their CO₂ production to 2–5 times that of a human of comparable weight, but their ventilatory capacity remains proportional to their body size. Further reducing their ventilatory reserve was the presence of extensive atelectasis. PEEP was not used in this study in order to isolate the effects of our intervention (end-inspiratory rebreathing) and to maintain a “worst case scenario”. We did not induce the lung pathology nor did we carefully measure its effects on pulmonary function. As such it is not appropriate for us to apportion effect of the pathology on the results. However, it is true that the lungs in the newborn piglets were not perfectly normal and that fact suggests that our findings are likely to be more robust than had it been performed in animals perfectly healthy lungs.

4.2.2 Protocol

A limitation of our protocol was that all PETCO₂ and PaCO₂ pairs were tested only with end-inspiratory rebreathing, leaving the PET-aCO₂ gradient before rebreathing unknown. It is presumed from numerous extensive investigations published in the literature and cited above, that PET-aCO₂ gradients are frequently considerably greater than those shown in this study.

4.2.3 Quantifying the extent of rebreathing

Only the presence, rather than the extent, of end-inspiratory rebreathing could be identified in these experiments by observing a rise in the recorded PCO₂ trace at the end of the inspiratory phase. As a result, the extent of end-inspiratory rebreathing likely varied across the protocols. Despite this variation, the measured PET-aCO₂ gradients remained uniformly small. This finding implies that the threshold for effective end-inspiratory rebreathing is low. The same observation has been reported by Brogan et al. [30] in healthy dogs, with respect to unquantified, but small, volumes of CO₂ added at end inspiration. Nevertheless, there may be identifiable thresholds for rebreathing that vary with the underlying lung pathology and ventilator settings.

4.2.4 Sampling from the trachea versus from the proximal endotracheal tube

Small tidal volumes, high respiratory frequencies, and high ratios of equipment deadspace to tidal volume contribute to the sampling error with sidestream capnography. Badgewell et al. [31–33] studied this issue in considerable detail and concluded that for children less than 12 kg, accurate end-tidal measurements can only be obtained from the distal endotracheal tube. We placed the gas sampling catheter beside, rather than inside, the endotracheal tube in order to provide the least resistance for the high minute ventilations required to ventilate piglets compared to those required to ventilate humans of the same weight.

4.3 Complexity of the breathing circuit

We designed this breathing circuit to carry out a protocol that enabled the implementation of ventilatory conditions resulting in a large range of combinations of PETCO₂ and PETO₂, while maintaining end-inspiratory rebreathing. Should end-inspiratory rebreathing prove effective in predicting PaCO₂ from PETCO₂ in humans, it can be implemented with simpler modifications of standard ventilator tubing [34].

4.4 Summary and conclusion

We found that end-inspiratory rebreathing results in a small PET-aCO₂ gradient in a newborn pig model over a wide range of PETCO₂ and PETO₂. Our findings therefore demonstrate that a non-invasive surrogate test for PaCO₂ may be feasible but has to be verified clinically.

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Conflict of Interest RespirAct™ is currently a non-commercial research tool made available for this research by TRI, a spin-off company from the University Health Network. J. A. F. and J. D. contributed to the development of the RespirAct™ and in addition to their academic positions, hold paid positions at TRI.

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