

# Rheological properties of nanocellulose suspensions: effects of fibril/particle dimensions and surface characteristics

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**Abstract** The rheological properties of aqueous suspensions based on three different nanocelluloses were compared. One system was obtained via acid hydrolysis (thus yielding crystalline nanocellulose, CNC) and the other two from mechanical shearing, but from different origins and subjected to different pretreatments. Of the latter two, one was considered to be a rather typical cellulose nanofibril (CNF) suspension whereas the other was a kind of intermediate between CNF and CNC. All three nanocellulose elements differed in dimensions as evident from

transmission electron microscopy and atomic force microscopy. With regard to the length of the fibrils/particles, the three nanocelluloses formed three distinct groups with lengths between 200 and slightly more than 800 nm. The three cellulosic elements were also subjected to a TEMPO-mediated oxidation yielding a similar carboxylate content in the three systems. Furthermore, the TEMPO-oxidized elements were grafted with poly(ethylene glycol) (PEG). The amount of grafted PEG was about 35 wt%. The shear viscosity, the storage modulus and the loss modulus of suspensions of the unmodified, the TEMPO-oxidized and the grafted nanocelluloses were determined at room temperature and the solids content of the suspensions was varied between 0.7 and 2.0 wt%. It was concluded that the rheological properties varied significantly between the suspensions depending on the dimensions of the cellulosic elements and their surface characteristics. In this context, the length (or the aspect ratio) of the particles played a very important role.

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## Introduction

Nanocellulose can be said to be cellulose particles/fibrils which have a width or diameter smaller than

100 nm. Often the nanocellulose materials are further subdivided into three different categories (Eichhorn et al. 2009); fibrillated cellulose which consists of long flexible fibrils manufactured using e.g. mechanical shearing, nanocrystals or whiskers which are shorter rod-like particles, the last group being bacterial cellulose (which is produced by bacteria). With regard to the shape or geometry, the elements from the latter group are rather similar to fibrillated cellulose. From an industrial perspective, the two first groups are likely to be of greater importance since the corresponding manufacturing processes are potentially easier to scale-up than the production of bacterial cellulose.

Cellulose nanofibrils (denoted CNF), as the fibrillated nanoparticles are often called, are primarily manufactured using different forms of mechanical shearing of wood fibres. It was first reported on by Turbak and coworkers in the early eighties (Turbak et al. 1983), but the progress was partly hampered due to the excessive energy requirements for shearing the fibres into fibrils. This drawback was to a significant extent alleviated by the use of chemical pre-treatments that substantially lowered the required energy input. Nowadays several different such treatments has been reported on in the literature, some examples are enzymatic treatments (Henriksson et al. 2007), carboxymethylation (Wågberg et al. 2008) and TEMPO-mediated oxidation (Isogai et al. 2011). These pre-treatments do not only lower the energy requirement for the mechanical shearing but they also change the surface properties of the material. However, advances in the grinding technology may also give positive effects which regard to the energy requirement, cf (Wang and Zhu 2016).

Cellulose nanocrystals (CNC) are obtained by acid hydrolysis of cellulosic fibers/fibrils, most often using hydrochloric acid or sulphuric acid, cf e.g.(Hasani et al. 2008). The treatment dissolves the amorphous parts of the cellulose and leaves short rod-like nanoparticles usually referred to as CNC. Using e.g. sulphuric acid for the hydrolysis leaves sulphate groups on the surface (Jiang et al. 2010). Hence, this kind of treatment also changes the surface of the native cellulose.

The interest in CNF and CNC can to a significant extent be attributed to their potentially outstanding mechanical properties (in the first hand the stiffness), which, for example, makes these materials interesting as reinforcing elements in polymer composite

materials. Values of the tensile modulus between 100 and 160 GPa have been mentioned (Sakurada et al. 1962; Lee et al. 2014), which is of the same order as the modulus of aramid fibres. The incorporation of CNF/CNC into polymer matrices is however hampered by that these cellulose materials normally are available in the form of water suspensions of rather low concentrations.

With regard to use of CNF/CNC-containing products, processing issues relating to coating, extrusion, moulding etc. come into focus. Here the rheological properties of the nanocellulose suspensions are of prime concern and there are several studies on this subject. In general, suspensions based on CNF/CNC exhibit a complex rheological behaviour; already at low concentrations of the cellulose material. They are shear-thinning, viscoelastic and exhibit an extensional viscosity, cf (Pääkkö et al. 2007; Iotti et al. 2010; Karppinen et al. 2011; Moberg and Rigdahl 2012; Naderi et al. 2014; Moberg et al. 2014). It is also known that different types of additives as well as the concentration can have a significant influence on the rheological character of the suspensions, cf e.g. Karppinen et al. (2011) and Iotti et al. (2010). In many of the studies on the rheological behaviour of nanocellulose suspensions only one type of CNF or CNC has been employed. The present study will focus on the effect on the rheological behaviour of suspensions of CNF/CNC having different dimensions and surface character of the cellulosic elements. Here, however, it should be noted that Naderi and Lindström (2016) compared the rheological behaviour of three CNF-suspensions based on enzymatically treated, carboxymethylated and carboxymethyl cellulose grafted cellulose and they concluded that the CNF-systems could exhibit completely different rheological properties.

In the present work, the rheological properties of aqueous suspensions based on three different nanocelluloses are compared. One system was obtained via acid hydrolysis (thus yielding CNC) and the other two from mechanical shearing, but from different origins and subjected to different pretreatments. Of the latter two, one was considered to be a rather typical CNF suspension whereas the other was a kind of intermediate between CNF and CNC. All three nanocellulose elements differed in dimensions as evident from the performed analysis using transmission electron microscopy and atomic force microscopy. In an attempt to

obtain a more detailed understanding of how the structural character affected their rheological behaviour, the nanocelluloses were subjected to TEMPO (2,2,6,6-tetramethylpiperidine-1-oxy)-mediated oxidation in order to contain a similar total carboxylate content. The charging of the cellulosic elements was here assumed to retard the tendency for aggregation and thus have a clear influence on the rheological properties. If the different cellulosic elements had a similar surface charge character, this also in principle allows for more precise evaluation of the effect on the dimensions of the cellulosic elements on the rheological behaviour of the suspensions. In this study, the interaction between the cellulose fibrils/particles were further modified by grafting of poly(ethylene glycol) onto the TEMPO-oxidized samples using a procedure described by Tang et al. (2015). A grafting of this type is of interest in connection to reinforcement of thermoplastics, i.e. for manufacturing of composites, cf (Xu et al. 2013; Moberg et al. 2016). The rheological behaviour of the suspensions based on the original nanocelluloses as well as the treated ones were evaluated in terms of the shear viscosity and the viscoelastic properties, expressed as the form of the storage and loss moduli. The overall conclusion is that the rheological properties of these suspensions differed substantially which points to the importance of a careful characterisation of dimensions and surface character of the elements when comparing such systems and using them in different situations.

## Materials

Three different nanocellulosic materials were used in this work. These were all of different origins and produced following alternative routes resulting in distinctly different shapes of the fibrillar elements and also surface characteristics. These were denoted cellulose nanofibrils (CNF), cellulose nanocrystals obtained from cellulosic material produced by following a bioethanol processing route (BE-CNC) and cellulose nanocrystals obtained from microcrystalline cellulose (CNC). The preparation of the nanocellulosic materials is described in some detail below. Parts of the different cellulosic elements were also subjected to TEMPO-mediated oxidation and subsequent grafting with poly(ethylene glycol) (PEG) as indicated below.

## CNF

The CNF were produced from TEMPO-mediated oxidation of never-dried softwood sulphite pulp followed by mechanical disintegration of the corresponding aqueous suspension as described by Tang et al. (2015). A part of this suspension was grafted with PEG, cf also (Tang et al. 2015; Moberg et al. 2016). The notation TO-CNF will in most cases be used in the following to underline that the fibrils were produced in this manner.

## BE-CNC

The preparation of the BE-CNC is described in detail by Mathew et al. (2014). The starting material was unbarked wood chips from Norwegian spruce. An acid pre-treatment of the chips in a bioethanol pilot plant gave a solid cellulose-containing residue which after further processing steps was disintegrated in a high-pressure homogenizer yielding a 2 wt% aqueous CNC-suspension. In a series of experiments, the produced BE-CNC was also subjected to the TEMPO-mediated oxidation performed in a similar way as for the CNF (Tang et al. 2015) in order to facilitate a comparison between the rheological behaviours of the suspensions. Some of the oxidised sample was then subjected to grafting with PEG.

## CNC

CNC suspensions were prepared from microcrystalline cellulose by a sulphuric acid treatment followed by desulfation procedure as described by Kantor and Schubert (1957), Jiang et al. (2010), Hasani et al. (2008). Also in this case, some part of the produced CNC was subjected to the TEMPO-mediated oxidation at similar conditions as the CNF and a subsequent PEG-grafting in the same way as for the BE-CNC.

## Surface modification of the cellulosic nanomaterials

As stated above, both the BE-CNC and the CNC were TEMPO-oxidised following the route outlined in Tang et al. (2015). The reasons for this can be said to be twofold. Firstly, through this treatment the surface charge of the different cellulosic elements became rather equal, facilitating the interpretation of the

rheological properties in terms of structural differences between the three nanocellulosics. Secondly, it enabled grafting of amino-terminated PEG via coupling of the amine group to the carboxyl group resulting from the oxidation of the C6 primary hydroxyl on the surface of the cellulosic elements from the TEMPO-oxidation (Tang et al. 2015).

All the three nanocellulosics (CNF, BE-CNC and CNC) were grafted with amino-terminated PEG (PEG-NH<sub>2</sub> with  $\bar{M}_w = 750 \text{ g mol}^{-1}$ ) using the procedure described by Tang et al. (2015). As already mentioned, BE-CNC and CNC were TEMPO-oxidised before the grafting. PEG-NH<sub>2</sub> was here synthesized according to a previously reported method (Mongondry et al. 2003). The target for the amount of grafted PEG on the cellulosic elements was around 35 wt% (relative to the mass of cellulose), see also later in the text.

### Characterization methods

The carboxylate content of CNF, BE-CNC and CNC (including the TEMPO-oxidised samples) as well as the content of residual carboxyl groups in these samples after grafting, was determined by conductometric titration. For the conductometric titration, typically, 100–300 mg sample suspensions were used and the pH of the suspensions was adjusted to 3.0 with 0.01 M HCl. The suspension was titrated with 0.05 M standardized NaOH by adding 0.1 mL aliquots in 60 s intervals until the pH value reached 11, and the conductivity was monitored with a conductometric station (SevenCompact, Mettler-Toledo).

Fourier transform infrared spectroscopy (FTIR) of the samples was performed using a Perkin-Elmer Spectrum 2000 FTIR equipped with a MKII Golden Gate, single reflection attenuated total reflectance (ATR) system from Specac Ltd., London, UK. The ATR crystal was a MKII heated diamond 45° ATR top plate and the samples were obtained from freeze drying of the aqueous suspensions. The spectral range of the measurements was 600–4000 cm<sup>-1</sup> with a resolution of 4 cm<sup>-1</sup>.

The morphology of samples (or more specifically the dimensions of the fibrils and the CNC-particles) was examined both by transmission electron microscopy (TEM) and atomic force microscopy (AFM). For the TEM measurement a drop of the dilute water suspension of nanocellulose was deposited on a

carbon-coated grid and treated with 1% uranyl acetate negative stain. The instrument used was a Hitachi Model HT7700 transmission electron microscope operating in high-resolution mode at 100 kV. The length and diameter of individual fibrils (TO-CNF) and BE-CNC entities were assessed from the TEM micrographs using a software procedure described by Usov and Mezzenga (2015). For the AFM measurements, a dilute suspension (10<sup>-4</sup> wt% in case of the CNC and the BE-CNC and 10<sup>-3</sup> wt% for the TO-CNF) was placed on a mica surface, and allowed to dry at room temperature before being imaged in the AFM. The AFM analysis was performed in the tapping mode with a Veeco Multimode Scanning Probe (Santa Barbara, USA) with a Nanoscope V software to obtain height and amplitude information of individual cellulosic elements. With CNC, the length of the crystalline elements was evaluated with same software as with the TEM-analysis, whereas for the diameter, the height of the CNC entities was used (in order to avoid broadening effects) as outlined by Hooshmand et al. (2014). The average values of the dimensions of the elements and their standard deviations were based on measurements of about 200 fibrils/particles (both for TEM and AFM). The AFM was also used in order to obtain representative images of all the used cellulosic elements.

Thermogravimetric analysis (TGA) of the samples was performed with a Mettler Toledo TGA/DSC 1 STARE System using nitrogen as the purge gas at a flow rate of 50 mL min<sup>-1</sup>. The weight of the samples was about 7 mg and they were heated from 30 to 700 °C at a rate of 10 °C min<sup>-1</sup>. TGA was in the first hand used in order to estimate the amount of PEG grafted onto the cellulose surfaces.

The rheological properties of the suspensions was measured at 25 °C with an Anton Paar MCR 702 rheometer (Graz, Austria) using a cone-plate configuration (cone diameter 50 mm and cone-plate angle 1.991°). For each of the nanocellulosic suspensions, two concentrations were in most cases employed. Both the shear viscosity and the dynamic shear properties, given by the storage modulus  $G'$  and the loss modulus  $G''$ , were determined. The steady state shear viscosity was measured at shear rates between 0.1 and 100 s<sup>-1</sup>. The dynamic properties were measured during a strain sweep at 1 Hz in which the moduli  $G'$  and  $G''$  were measured as functions of the applied shear strain amplitude. For each sample, the measurements were,

in most cases, repeated three times (using different specimens) and the variation in shear viscosity and storage modulus between the specimens was less than 15% (standard deviation).

## Results

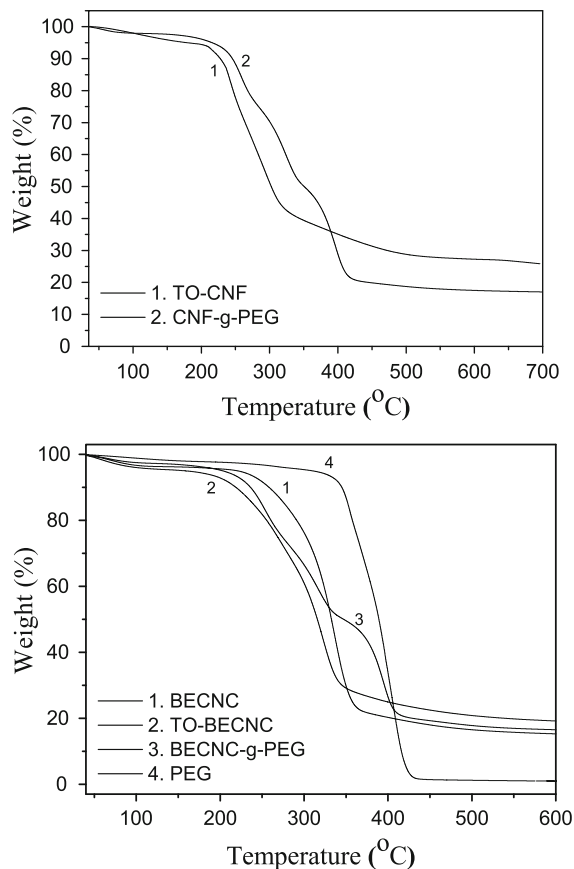
### Thermogravimetric analysis (TGA) and degree of grafting

Figure 1 shows the results from the TGA-measurements in the case of TO-CNF and BE-CNC (including their TEMPO-oxidized and grafted counterparts). The degradation curve for pure PEG is also shown and it is obvious that the main decomposition of PEG takes place at a higher temperature (around 400 °C) than that of the cellulosic materials. Assuming that the grafted PEG degrades in the same manner as the pure, the amount of grafted PEG can be calculated from the amount of material at the inflexion point in degradation curves for the grafted nanomaterials at the temperature corresponding to the onset of the PEG-degradation as described by Tang et al. (2015). The amount of grafted PEG was 33 wt% in case of CNF, 38 wt% for BE-CNC and 39 wt% with CNC.

In general, the degradation pattern for the different nanocellulosics was quite similar, with an onset of the decomposition around 225 °C. It seemed like the BE-CNC exhibited a somewhat higher thermal resistance with a degradation initiated around 250 °C, but after the TEMPO-oxidation this difference had vanished. Note that in Fig. 1 (and in the following) “TO” denotes the TEMPO-oxidized samples.

### Conductometric titration

The summarised result from the conductometric titration of the different suspensions is given in Table 1 in terms of the carboxylate content. Before the TEMPO-mediated oxidation the carboxylate content of the BE-CNC was quite low. The unmodified CNC was subjected to a desulfonation step after the acid treatment and a rather small amount of sulphate groups remained on the surfaces after this treatment (corresponding to a z-potential of approximately  $-35$  mV at pH 5.8). After the TEMPO-oxidation the carboxylate content was about the same for all the three different nanocellulosics and their surface charge in an aqueous



**Fig. 1** Thermogravimetric curves showing the thermal degradation of PEG, TO-CNF and BE-CNC (including their modifications)

suspension is then expected to be similar. The PEG-grafting reduced the carboxylate content, which is to be expected since the amino-terminated PEG binds to the carboxylate groups during the grafting. Of the grafted nanomaterials, the CNC exhibited the lowest carboxylate content ( $0.3 \text{ mmol g}^{-1}$ ).

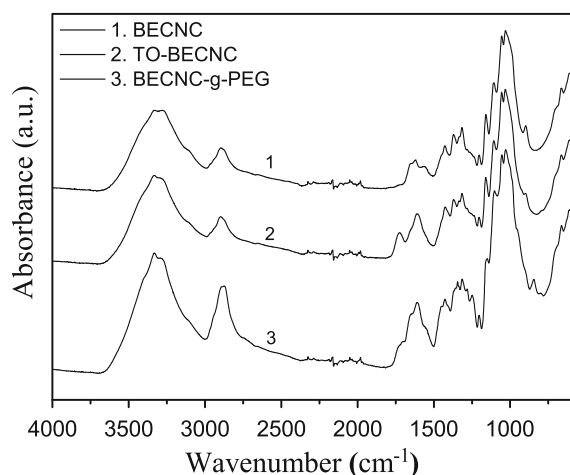
### Fourier transform infrared spectroscopy (FTIR)

Fourier transform IR spectroscopy can be used to follow the TEMPO-oxidation and the subsequent grafting of PEG onto the cellulose surfaces. Figure 2 constitutes an example of this in the case of the BE-CNC samples; similar results applied also to TO-CNF and BNC, cf (Tang et al. 2015).

For the TEMPO-oxidized sample, a new band at  $1729 \text{ cm}^{-1}$  appeared (compared to the unmodified BE-CNC) which could be associated with  $\text{C}=\text{O}$

**Table 1** Carboxylate content of the different samples before and after the grafting procedure

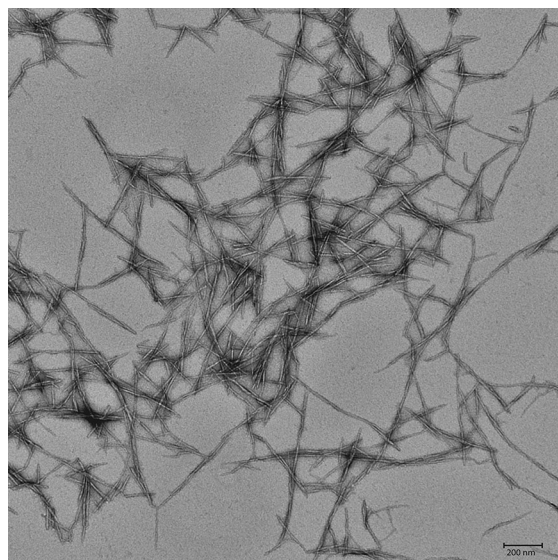
Nanocellulose	Carboxylate content (mmol g <sup>-1</sup> )
TO-CNF	1.2
CNF-g-PEG	0.43
BE-CNC	0.07
TO-BE-CNC	1.2
BE-CNC-g-PEG	0.34
TO-CNC	1.2
CNC-g-PEG	0.3

**Fig. 2** Fourier transform IR spectra of the BE-CNC as received, TEMPO-oxidised and grafted with PEG

stretching frequency of the carbonyl group in the acidic form (Tang et al. 2015). The intensity of this peak decreased in the spectrum for the grafted sample which is expected since these groups were used for the grafting of PEG. Instead new bands appeared at 1558 and 1659 cm<sup>-1</sup>, associated with NH bending and carbonyl stretching, respectively, indicating a successful grafting of the amino-terminated PEG [these bands were however partially hidden since they overlap with absorption band of water associated with cellulose as remarked by Tang et al. (2015)].

#### The dimensions of the cellulosic elements

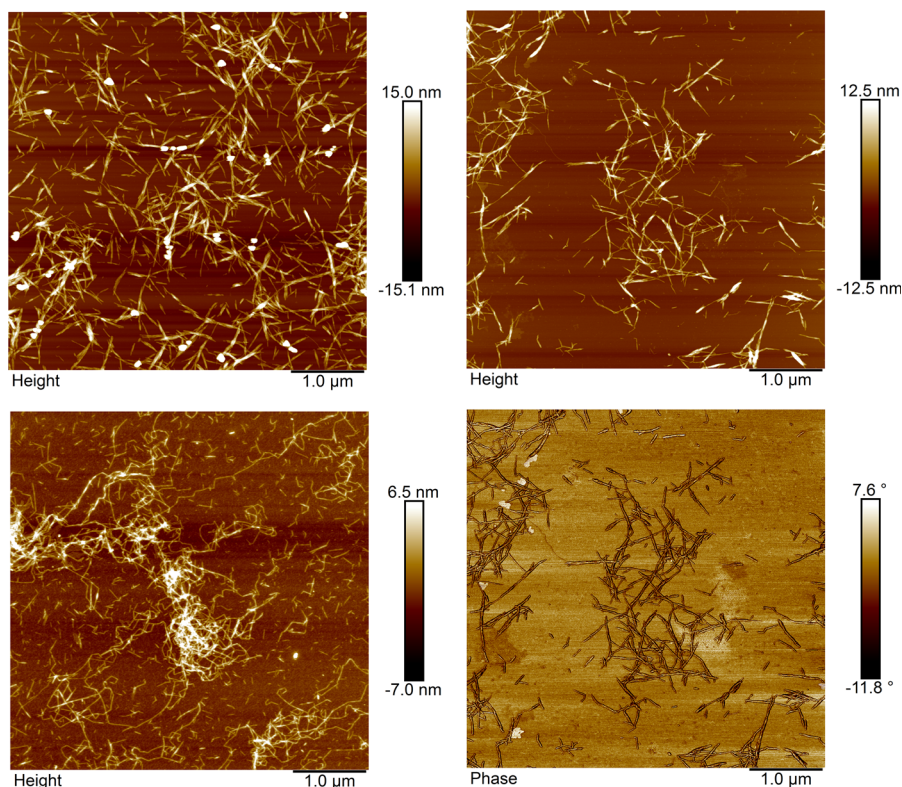
The different samples were imaged by both TEM and AFM in order to estimate the dimensions, i.e. the length and the diameter, of the cellulosic elements and how (and if) these were affected by the TEMPO-oxidation and the subsequent grafting. Figure 3 is a

**Fig. 3** Transmission electron micrograph of BE-CNC-g-PEG, stained with uranyl acetate in order to increase the contrast against the carbon grid on which the suspension was deposited and dried

TEM micrograph of a sample of BE-CNC-g-PEG. Individual “fibrils” can clearly be detected and a rough estimate of the length and diameter is 500 and 10 nm, respectively, giving an aspect ratio (the ratio between length and diameter) of around 50. The dimensions will however be more commented on in the following.

Figure 4 shows AFM micrographs of a number of different nanocellulosics used in the present work. When determining the diameter of the elements it has already been mentioned that it is better to determine the height from measurements of this kind and then identifying the height with the diameter of the entities. This is associated with the size of the measuring tip in relation to the size of the object. AFM micrographs can be obtained with different imaging modes. Figure 4 contains examples of both the topographic mode (the contrast is based on height differences) and the phase mode (contrast depends on the stiffness of the material and the adhesion between the measuring tip and the specimen).

From the AFM images in Fig. 4, it seems like TO-CNF consists of the longest elements, which is quite plausible, whereas the BE-CNC are somewhat shorter and also thicker. CNC apparently contains the shortest entities, which also is to be expected. It is also evident



**Fig. 4** AFM micrographs of three different samples. *Top left* shows a CNC sample, *top right* BE-CNC, *bottom left* TO-CNF, the *bottom right* shows a BE-CNC sample obtained in the phase mode. The other three images were produced with the topographic mode

that the CNF suspension contained several bent elements in agreement with earlier reports, e.g. (Usov and Mezzenga 2015). As outlined in the section on Characterization methods, the average values of the length and diameter of the different elements could be determined from the TEM and AFM micrographs together with their corresponding standard deviations. The results are summarized in Table 2 below.

It is obvious that the TO-CNF fibrils are the longest, followed by the BE-CNC elements and the CNC contains the shortest entities. It is also evident that the grafting of the PEG in most cases increased the diameter of the elements somewhat (the change is however not substantial), whereas neither the TEMPO-oxidation nor the grafting had any significant effect on the length of the elements. The standard deviations are quite substantial, indicating that the size distributions of the elements are broad. In the case of the CNC and its modifications, this means that the suspensions most likely contained a relatively large number of particles that are small with a low aspect ratio.

**Table 2** The average diameter and the average length of the nanocellulosic elements (together with the corresponding standard deviations) obtained from TEM or AFM images of the samples

Nanocellulose	Diameter (nm)	Length (nm)
TO-CNF <sup>1</sup>	3.6 ± 0.7	820 ± 570
CNF-g-PEG <sup>1</sup>	4.3 ± 0.6	830 ± 480
BE-CNC <sup>1</sup>	5.6 ± 1.0	520 ± 310
TO-BE-CNC <sup>1</sup>	5.7 ± 1.2	570 ± 280
BE-CNC-g-PEG <sup>1</sup>	6.3 ± 1.4	520 ± 280
CNC <sup>2</sup>	6.0 ± 1.5	211 ± 114
TO-CNC <sup>2</sup>	5.5 ± 2.6	198 ± 105
CNC-g-PEG <sup>2</sup>	5.2 ± 1.4	190 ± 97

<sup>1</sup> From TEM and <sup>2</sup> from AFM

Comparisons with dimensions of CNF/CNC reported elsewhere are not straightforward, since those depend on the raw material and the preparation technique (and to some extent also on the method used for evaluating the dimensions). However, it can be

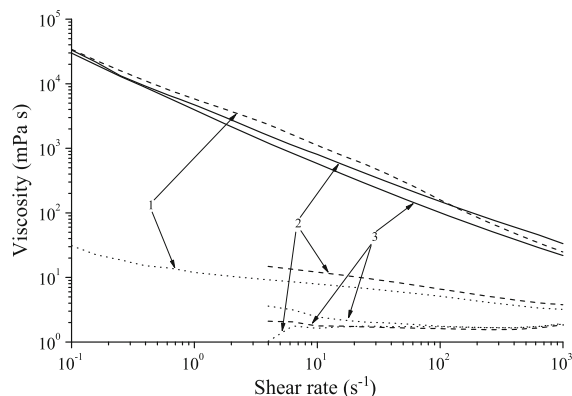
noted that Isogai et al. (2011) reported lengths of a few micrometers and widths of 3–4 nm for CNF obtained through TEMPO-mediated oxidation, whereas Wågberg et al. (2008) estimated the length of CNF produced via carboxymethylation to be up to 1000 nm and the width being in the range 5–15 nm. These reported values of the width were thus of the same order as those obtained here, whereas the length of the CNF used in the present work appears to be somewhat shorter, at least when comparing to Isogai et al. (2011). Shafiei-Sabet et al. (2013) used transmission electron microscopy to estimate the length and width of their CNC particles and reported values of about 100 and 7 nm, respectively. These values are in reasonable agreement with the ones given in Table 2 above. The difference in length could possibly be attributed to that different fibre sources were used for the preparation of the CNC.

### Rheological properties

The rheological properties were measured in shear for all the different samples at a temperature of 25 °C. The concentrations of the suspensions were 1 and 2 wt% (or 1.5 wt%) for the CNC and the BE-CNC samples, whereas it was kept at 0.7 wt% in the case of the CNF suspension due to its significantly higher viscosity (at 1 and 2 wt%) compared to that of the two other samples. Figure 5 shows the shear viscosity as a function of the shear rate in case of the lower concentrations of the suspensions (0.7 and 1 wt%).

The viscosity at low shear rates was highest for the CNF samples and of the same magnitude for the unmodified BE-CNC, being more than 10,000 mPas. Again, it should be pointed to that the concentration of the CNF suspensions was lower than that of the BE-CNC. All the unmodified CNC-materials and both CNF samples also exhibited a shear-thinning behaviour, which is the expected behaviour for suspensions containing rod-like particles or fibrils since these tend to orient themselves and partly disentangle due to the flow leading to a lower viscosity as the shear rate increases.

The viscosities of the TEMPO-oxidised TO-BE-CNC and the unmodified CNC, were significantly lower than that of the CNFs and the unmodified BE-CNC, being less than 100 mPas over the shear rate region used here. The three other suspensions, the grafted BE-CNC, grafted CNC and TO-CNC,



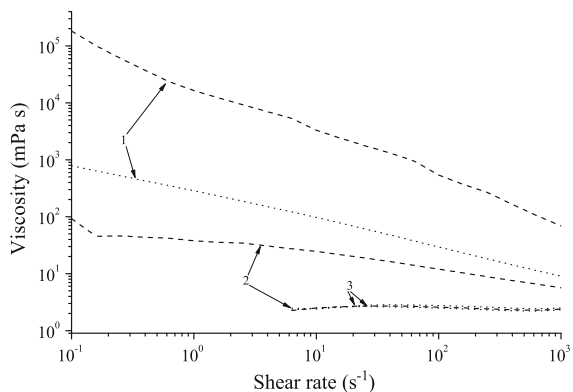
**Fig. 5** The viscosity as a function of the shear rate for all the different sample at 1 wt% (0.7 wt% for CNF *Solid lines* denote CNF samples, *dashed lines* BE-CNC and *dotted lines* CNC, 1 denotes unmodified specimens, 2 TEMPO-oxidised specimens and 3 the PEG-grafted specimens

displayed even lower viscosities and reliable measurements could only be performed at shear rates exceeding approximately  $5 \text{ s}^{-1}$ . These latter suspensions exhibited an almost Newtonian behaviour with a viscosity of about 2 mPas.

Figure 6 summarizes the shear rate dependence of the viscosity at a higher concentration of some of the nanocellulosics (2 wt% in case of unmodified BE-CNC and CNC, TO-CNC, grafted BE-CNC and 1.5 wt% for TO-BE-CNC). As expected, the increase in concentration resulted in a higher viscosity, cf e.g. (Barnes et al. 1989). The same pattern of behaviour as evident at the lower concentration was also at hand when the concentration was increased. The viscosity level of TO-CNC, grafted CNC and grafted BE-CNC was somewhat increased, but the measured values were still very low.

An important conclusion from this work is that the rheological properties of the nanocellulose dispersions depend significantly on the manufacturing technique and thus the dimensions and surface character of the particles. This in itself points to difficulties in comparing the results obtained here to those reported in the literature. However, it may be mentioned that Shafiei-Sabet et al. (2013) measured the shear viscosity of CNC dispersions as a function of the shear rate. At a concentration of 1 wt% and shear rates between 0.1 and  $1 \text{ s}^{-1}$ , the measured viscosity was found to be in the region 10–100 mPas, which is not too different from the results shown in Fig. 5, despite that the dimensions and surface character of the nanoparticles





**Fig. 6** Same as Fig. 5 but at a higher concentration of the nanocellulosics in the suspensions (2 wt% in case of unmodified BE-CNC and CNC, TO-CNC, grafted BE-CNC, grafted CNC, and 1.5 wt% for TO-BE-CNC). *Dashed lines* denote BE-CNC and *dotted lines* CNC, 1 denotes unmodified specimens, 2 TEMPO-oxidised specimens and 3 the PEG-grafted specimens

are expected to differ. Naderi et al. (2014) evaluated the shear viscosity of carboxymethylated CNF suspensions at shear rates ranging from 0.1 to 1000  $\text{s}^{-1}$  and noted viscosity values decreasing from about  $10^6$ – $10$  mPas. The CNF-concentration was in this case 0.6 wt%. The viscosity levels were in the same range as obtained here at higher shear rates (Fig. 5), but in the low shear rate region, lower viscosity values were noted in the present work.

In this context it may be of interest to estimate at which concentration a percolating network is formed in the suspension, since the formation of such a network is expected to have strong influence on the rheological behaviour, see e.g. (Kotsilkova 2007; Kim and Macosko 2009; Oxfall et al. 2015). In order to predict the onset of percolation ( $\varphi_c$ ) in terms of a volume concentration, (Xu et al. 2013) used

$$\varphi_c = 0.7 \frac{w}{L} \quad (1)$$

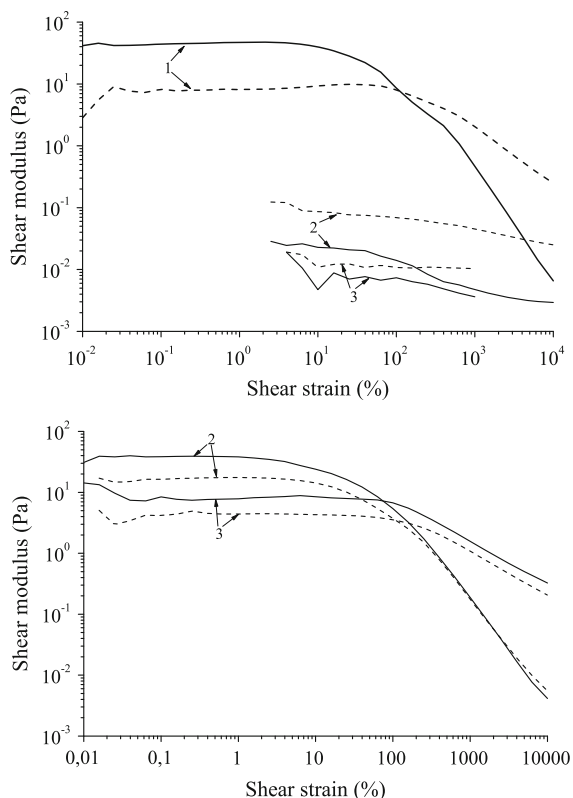
**Table 3** The weight and volume concentrations of the different suspensions and the critical volume concentration ( $\varphi_c$ ) for the onset of percolation

Nanocellulose	Concentration (wt%)	Concentration (vol%)	$\varphi_c$ (vol%)
TO-CNF	0.7	0.47	0.31
CNF-g-PEG	0.7	0.47	0.36
BE-CNC	1.0; 2.0	0.67; 1.34	0.75
TO-BE-CNC	1.0;	0.67;	0.70
BE-CNC-g-PEG	1.0; 2.0	0.67; 1.34	0.85
CNC	1.0; 2.0	0.67; 1.34	1.99
TO-CNC	1.0; 2.0	0.67; 1.34	1.94
CNC-g-PEG <sup>2</sup>	1.0;	0.67;	1.92

where  $w$  is the width (diameter) of the elements and  $L$  their length. Then numerical value 0.7 can be discussed, e.g. (Hill 2008) suggested a somewhat higher value, and possible effects of flow-induced orientation and particle interactions are discarded from here. With these reservations and admitting the large standard deviations in the measured dimensions, the average length and diameter could be used in order to at least estimate  $\varphi_c$ . Table 3 gives the calculated values for the different suspensions expressed in vol%. The concentration of the nanocellulosic suspensions is also given assuming a density of cellulose of  $1.5 \text{ g cm}^{-3}$  and water of  $1.0 \text{ g cm}^{-3}$ .

Here it may be noted that the concentration of the CNF-based suspension clearly exceeded the critical percolation concentration, which is also reflected in the relatively high viscosity of these suspensions. In case of the BE-CNC suspensions, the concentrations were in the same range as  $\varphi_c$ , whereas with the CNC the percolation limit seemed to exceed the concentration of the suspensions. It should be clear, for reasons already stated, that the exact value of  $\varphi_c$  should not be taken too strictly but merely used as an indication towards interaction between the cellulose elements and thus a reason for increased viscosity.

All suspensions were also subjected to an oscillating shear deformation with a successively increased strain amplitude at 1 Hz and the shear storage ( $G'$ ) and loss ( $G''$ ) moduli were then evaluated as functions of this amplitude. Typical examples of the results obtained are shown in Fig. 7 in the case of CNF (0.7 wt%) and BE-CNC (1 wt%). The results mirror in a sense the measured shear viscosity, i.e. higher moduli are in general reflected in a higher shear viscosity. Both types of CNF and the TO-BE-CNC exhibit quite high values of the storage and loss moduli and they are approximately of the same order for all



**Fig. 7** Dynamic strain sweep of the BE-CNC samples (*upper graph*, 1 wt%) and the CNF samples (*lower graph*, 0.7 wt%).  $G'$  and  $G''$  are shown as function of the shear strain amplitude at a frequency of 1 Hz; 1 denotes the unmodified BE-CNC, 2 the tempo-oxidised samples and 3 the grafted specimens *Solid lines* denote the storage modulus and *dashed lines* the loss modulus

the three suspensions. (Note however that the CNF concentration is lower than that of the BE-CNC suspensions.) In the linear viscoelastic region, i.e. where the moduli are independent of the applied shear strain,  $G'$  is higher than  $G''$ , which indicates a solid- or gel-like behaviour. At a certain “critical” strain amplitude, which was almost the same for all these suspensions, both the moduli started to decrease, in agreement with earlier observations (Moberg and Rigdahl 2012; Naderi et al. 2014). This would correspond to a breakdown or disruption of the “elastic” network formed by the cellulosic elements. Grafting of PEG to the cellulose surfaces reduced the storage and the loss moduli for both types of suspensions. A contributing reason for this decrease could be that in the grafted systems, the cellulose content is actually lower, since fibres/particles are grafted with more than 30 wt% PEG.

Both the unmodified and the grafted BE-CNC displayed significantly lower values of  $G'$  and  $G''$  than the suspensions discussed above. For the former suspensions, the loss modulus was also greater than the storage modulus in the shear strain region covered. This would indicate a more liquid-like behaviour and there was no clear sign of any network formation in these specimens (as reflected in the dynamic mechanical analysis). For these BE-CNC suspensions reliable measurements could only be obtained using strain amplitude greater than approximately 10% (the torque readings were too low and scattered in the low strain region). For similar reasons, in case of the CNF-based suspensions, their viscoelastic properties were too low to be measured with any reliability.

## Discussion and conclusions

The FTIR and the TGA results showed that the TEMPO-oxidation and the PEG-grafting onto the cellulose surfaces were successful. The TGA was also used for assessing the amount of grafted material onto the cellulosic entities, which turned out to be rather similar for the three types of cellulosic elements. After the TEMPO-oxidation, the carboxylate content was similar for the three types of nanocelluloses used here, and the PEG-grafting reduced, as expected, the carboxylate content, which were not too different for the three types of samples, cf Table 1. The changes brought about when changing the surface character was certainly expected to affect the rheological properties of the corresponding suspensions.

Turning now to the viscosity of the suspensions containing the TEMPO-oxidized fibrils and rods, it is evident the viscosity level is influenced by the length (or aspect ratio) of the elements or could be in a sense be associated with the critical volume content for the onset of percolation  $\varphi_c$ . The TO-CNF suspensions exhibited the highest viscosity (and the concentration was clearly higher than  $\varphi_c$ ) followed by TO-BE-CNC which displayed a lower aspect ratio. The lowest viscosity was noted for the TO-CNC and in this case the concentrations used were lower than  $\varphi_c$  which indicates that the no percolating network was formed in the suspension. This is also supported by the viscoelastic results, i.e. very low values of the moduli were noted. The presence of a fibrillar network in the TO-CNF suspensions which was broken down at

larger deformations was quite evident in the dynamic-mechanical analysis. It may be underlined once again that some caution of taking the values of  $\varphi_c$  to literally; they are subjected to large variations and in principle they do not account for interactions between the elements. It is well known that the percolation threshold can be affected by particle interactions, cf e.g. (Safran et al. 1985) and thus Eq. (1) should only be regarded as an approximation providing a qualitative indication of the percolation behaviour. A detailed account of the influence of the surface characteristics on the onset of percolation of the nanocellulose particles is however beyond the scope of this study.

Prior to the TEMPO-oxidation, the surface charge of the cellulosic materials was significantly lower, see Table 1. The electrostatic repulsion associated with the surface charge retards aggregation/mechanical entanglement and floc/network formation and would thus result in lower viscosity levels. As can be seen in Fig. 5, the BE-CNC and CNC suspensions which were not TEMPO-oxidised clearly exhibited a higher viscosity than their TEMPO-oxidized counterparts. This cannot be related to the geometry of the cellulosic elements since their dimensions were more or less unaffected by the oxidation, Table 2. Of the two, the BE-CNC suspension still exhibited the highest viscosity due its higher aspect ratio. The dynamic-mechanical measurements clearly show that a network structure is formed with these low-charged BE-CNC elements.

Grafting of PEG onto the TO-CNF resulted only in a minor decrease of the viscosity and the viscoelastic properties of the suspension. An interpretation, in addition to the already mentioned reduction in the actual cellulose content, may be that the rheological response is mainly determined by the fibril network formed by the relatively long fibrils and the surface characteristics then play a secondary role here. The suspensions based on TO-CNC had a very low viscosity (on the border of being measureable) and the grafting of PEG to these particles had no greater influence on the rheological properties. In case of the TO-BE-CNC, however, the grafting resulted in a significant reduction of the viscosity, Figs. 5 and 6, despite the lowering of the carboxylate content. This was perhaps somewhat unexpected and points to other types of interactions, e.g. steric, contributing to the observed behaviour. Alternatively, the different treatments used here may possible have broken down parts

of the cellulosic elements to very small and almost spherical particles which were not detected during the assessment of the dimensions of the particles. Further studies are however required in order to clarify the relevant mechanisms.

In conclusion, CNF and CNC materials and suspensions are not a unique class of substances exhibiting properties within a certain region. Here, it has been shown that in addition to the concentration of the fibrils/particles, the dimensions and surface character of the cellulosic elements can affect and control their rheological behaviour within a broad range. The aspect ratio of the particles plays a very important role here. This points to the importance of a careful characterisation of dimensions and surface character of the elements when comparing such systems and using them in different situations.

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