

## Erratum to: Quadratic mixed finite element approximations of the Monge-Ampère equation in 2D

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**Abstract** The proof of Lemma 10 in [Awanou, G.: Quadratic mixed finite element approximations of the Monge-Ampère equation in 2D. *Calcolo* 52(4), 503–518 (2015)] is not correct. The purpose of this erratum is to give a correct proof of the main result therein under the assumption of elliptic regularity.

### 1 Introduction

In [1, Lemma 10], we claimed a strict contraction property of a mapping  $T_1$  in the  $H^1$  seminorm. Unfortunately there was a mistake at the end of the proof of the lemma. It was stated that "Since  $\gamma < 1$ , and  $\alpha = h^{k+2}$ , for  $h$  sufficiently small,  $Ch + C\alpha h \|\operatorname{cof} Q\|_{H^{k+1}(\mathcal{T}_h)} + C\alpha < 1 - \gamma$ ". However  $\gamma$  also depends on  $h$ , see [1, p. 6]. Moreover  $1 - \gamma \rightarrow 0$  at a rate higher than  $h$ , and thus the argument as stated is not correct. As a consequence, the strategy which consists in rescaling the equation does not work.

In this erratum, using the same notation as in [1], we give a proof of the main result therein under the assumption of  $W^{2,p}$  elliptic regularity. Our approach consists

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in adapting the proof in [3]. The main ingredient is a  $W^{2,p}$  discrete elliptic regularity proved in [13].

The elliptic regularity assumption is known to hold if the domain is smooth. We refer to [14, Remark 3.2] and [13] for the formulation of the method with the weak imposition of the Dirichlet boundary condition using Nitsche’s method and the use of curvilinear coordinates near the boundary. The arguments given here can be extended to that setting.

On the other hand,  $W^{2,p}$  elliptic regularity holds for the Poisson equation on a cube [17, Remark 9.1.1]. It is therefore reasonable to expect that one can prove a  $W^{2,p}$  elliptic regularity result on cubes for second order equations in divergence form with smooth coefficients using an antisymmetric extension as in the proof of [17, Proposition 9.1.2]. We wish to address this issue, following the  $W^{2,p}$  elliptic regularity approach in [11], in a separate work.

## 2 Preliminaries

We use the standard notation  $W^{k,p}(\Omega)$  for the Sobolev spaces and the notation  $|\cdot|_{W^{k,p}}$  for its semi norm. We recall that  $W_0^{1,p}(\Omega)$  is the subset of  $W^{1,p}(\Omega)$  of elements with vanishing trace on  $\partial\Omega$ . We will need the following mesh dependent norm on  $V_h$

$$\|v\|_{\tilde{W}^{2,p}(\mathcal{T}_h)}^p = \|v\|_{W^{2,p}(\mathcal{T}_h)}^p + h^{1-p} \sum_{K \in \mathcal{T}_h} \|Dv\|_{L^p(\partial K)}^p, \quad p \geq 2.$$

We have by scaling

$$\|v\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \leq C \|v\|_{W^{2,p}(\mathcal{T}_h)}, \quad \forall v \in V_h \tag{2.1}$$

$$\|v\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \leq Ch^{-1} \|v\|_{W^{1,p}}, \quad \forall v \in V_h. \tag{2.2}$$

Moreover, there exists an interpolation operator  $\tilde{I}_h$  such that for  $m \in W^{k+1,p}(\Omega) \cap W_0^{1,p}(\Omega)$ ,  $\tilde{I}_h m \in V_h \cap W_0^{1,p}(\Omega)$  and

$$\|m - \tilde{I}_h m\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \leq Ch^{k-1} |m|_{W^{k+1,p}} \tag{2.3}$$

$$\|m - \tilde{I}_h m\|_{W^{1,p}} \leq Ch^k |m|_{W^{k+1,p}}. \tag{2.4}$$

The proofs are essentially the same as the ones given for [4, Lemma 1], [4, Lemma 2] and [4, Lemma 4]. It is important to note that the constant in the above inequalities are independent of  $p$ . This follows from the fact that the constant in the Bramble-Hilbert lemma [5, (4.3.9)] is independent of  $p$ .

We recall the scale-trace inequality

$$\|v\|_{L^p(\partial K)} \leq Ch^{-\frac{1}{p}} \|v\|_{L^p(K)} \leq Ch^{-\frac{1}{2}} \|v\|_{L^p(K)}, \quad p \geq 2, \tag{2.5}$$

with a constant  $C$  independent of  $p$ .

We also recall that if  $w$  is in the Sobolev space  $W^{l+1,p}(\Omega)$ ,  $1 \leq p \leq \infty, 0 \leq l \leq d$

$$\|w - I_h w\|_{W^{k,p}(\mathcal{T}_h)} \leq Ch^{l+1-k} |w|_{W^{l+1,p}},$$

for  $k = 0, 1, 2$ . The constant  $C$  is shown to be independent of  $p$  using [5, (4.4.5)] and shape regularity.

We will often use the inverse estimates

$$\|w_h\|_{t,p,\mathcal{T}_h} \leq Ch^{s-t+\min(0, \frac{n}{p}-\frac{n}{q})} \|w_h\|_{s,q,\mathcal{T}_h}, \tag{2.6}$$

for  $0 \leq s \leq t, 1 \leq p, q \leq \infty$  and  $w_h \in V_h$ . As stated in [5], the constant  $C$  in (2.6) depends on  $p$  and  $q$  because the first step of the proof is to use a norm equivalence on the reference element. However, inspection of the proof of the equivalence of norms in a finite dimensional space reveals that the constant does not depend on  $q$ . Moreover, it only depends on  $p$  through the  $W^{t,p}$  norm of the basis functions of the finite dimensional space on the reference element. The latter are bounded by a scalar multiple of their  $W^{t,\infty}$  norm. We conclude that the constant  $C$  in (2.6) can be chosen independent of  $p$ .

Next, let  $\phi$  be the solution of

$$-\operatorname{div}((\operatorname{cof} D^2 u) D \phi) = r \text{ in } \Omega, \quad \phi = 0 \text{ on } \partial \Omega. \tag{2.7}$$

We make the following assumption

**Assumption 2.1** For  $r \in L^p(\Omega)$ ,  $p \geq 2$ , the weak solution  $\phi$  of (2.7) is in  $W^{2,p}(\Omega)$  and

$$\|\phi\|_{W^{2,p}} \leq Cp \|r\|_{L^p}. \tag{2.8}$$

The result is known to hold for smooth domains, c.f. [14] and the references therein. As suggested in [16, (1.7)] the linear dependence in  $p$  of the constant in (2.8) follows by tracing constants in the proof given in [11]. Once can trace constants in the proof of [11, Theorem 9.14] and use the maximum principle [11, Theorem 9.1]. See also [7].

As pointed out in the introduction, it is reasonable to expect that the result also holds for cubes.

We will refer to the result of the following lemma as discrete elliptic regularity. The result is given as [13, Lemma 4.1]. For the convenience of the reader, we give the proof.

Let  $P_h : W_0^{1,p}(\Omega) \rightarrow V_h \cap W_0^{1,p}(\Omega)$  be the projection defined by

$$\int_{\Omega} [(\operatorname{cof} D^2 u) D P_h v] \cdot Dw \, dx = \int_{\Omega} [(\operatorname{cof} D^2 u) D v] \cdot Dw \, dx, \quad \forall w \in V_h \cap W_0^{1,p}(\Omega).$$

We have the approximation property

$$\|w - P_h w\|_{W^{1,p}} \leq Ch^k |w|_{W^{k+1,p}}. \tag{2.9}$$

The result is a consequence of the stability of the Ritz projection, [15] and [12, Corollary 5.6]. Since  $\|P_h w\|_{W^{1,p}} \leq C \|w\|_{W^{1,p}}$  for  $w \in W_0^{1,p}(\Omega)$ , using  $P_h I_h w = I_h w$ , we obtain

$$\begin{aligned} \|w - P_h w\|_{W^{1,p}} &\leq \|w - I_h w\|_{W^{1,p}} + \|I_h w - P_h w\|_{W^{1,p}} \\ &= \|w - I_h w\|_{W^{1,p}} + \|P_h I_h w - P_h w\|_{W^{1,p}} \\ &\leq Ch^k |w|_{W^{k+1,p}} + \|I_h w - w\|_{W^{1,p}} \\ &\leq Ch^k |w|_{W^{k+1,p}}, \end{aligned}$$

which proves (2.9).

The independence of the constant  $C$  in  $p$  may be traced through the proof given in [12]. Alternatively, the independence of the constant  $C$  in  $p$  can be obtained through an interpolation argument we outline.

Let  $1 < p < \infty$  and  $1 \leq q \leq \infty$ . Using a notation similar to the one used in [9], we denote by  $W^{k,p,q}(\Omega)$  the interpolation space  $(W^{k,1}(\Omega), W^{k,\infty}(\Omega))_{1-1/p,q,K}$  between  $W^{k,1}(\Omega)$  and  $W^{k,\infty}(\Omega)$  as defined in [6, Definition 3.2.4]. The letter  $K$ , and also  $K'$  to be used below, refers to the function norm [6, (3.2.9)]. We note that it is assumed in [9] that the domain  $\Omega$  is a minimally smooth domain, also known as a Lipschitz domain.

By [6, Theorem 3.2.23],  $W^{k,p,q}(\Omega)$  is an exact interpolation space of order  $\theta = 1 - 1/p$  as defined in [6, Definition 3.2.22].

Moreover, by [6, Corollary 3.2.13 (a)],  $W^{k,2,2}(\Omega) \subset W^{k,2,\infty}(\Omega)$ . Thus since  $W^{k,2,2}(\Omega)$  is of order  $\theta_1 = 1/2$  and  $W^{k,\infty,\infty}(\Omega)$  is of order  $\theta_2 = 1$ , by [6, Proposition 3.2.16 (a)] and the reiteration theorem [6, Theorem 3.2.20],

$$W^{k,p,q}(\Omega) = (W^{k,2,2}(\Omega), W^{k,\infty,\infty}(\Omega))_{1-2/p,q,K'}, \quad 1 \leq q \leq \infty.$$

On the other hand, it is shown in [9, p. 595] that  $W^{k,p}(\Omega) = W^{k,p,p}(\Omega)$  with equivalent norms. It can be seen from [9, Theorem 1] that the constants in the norm equivalence are independent of  $p$ . We conclude that  $W^{k,p/2}(\Omega)$  is an exact interpolation space of order  $1 - 2/p$  between  $W^{k,2}(\Omega)$  and  $W^{k,\infty}(\Omega)$ . By [6, Definition 3.2.22], this means that since  $P_h$  is a bounded linear map from  $W^{k,2}(\Omega)$  into itself with norm  $M_1$ , and also a bounded linear map from  $W^{k,\infty}(\Omega)$  into itself with norm  $M_2$ , then  $P_h$  is a bounded linear map from  $W^{k,p/2}(\Omega)$  into itself and its norm is bounded by  $M_1^{1-2/p} M_2^{2/p}$ , which is easily seen to be bounded above by a constant independent of  $p$ .

**Lemma 2.2** *Assume that Assumption 2.1 of elliptic regularity holds. Let  $r \in L^p(\Omega)$ ,  $p \geq 2$  and let  $v \in V_h \cap H_0^1(\Omega)$  solve*

$$\int_{\Omega} [(\text{cof } D^2 u) Dv] \cdot Dw \, dx = \int_{\Omega} r w \, dx, \quad w \in V_h \cap H_0^1(\Omega). \tag{2.10}$$

Then

$$\|v\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \leq Cp \|r\|_{L^p}. \tag{2.11}$$

*Proof* With these notation the solution  $v$  of (2.10) is given by  $v = P_h\phi$ . Let  $w \in V_h \cap H_0^1(\Omega)$ . We have by (2.2) and (2.3)

$$\begin{aligned} \|v\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} &= \|P_h\phi\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \leq \|P_h\phi - \phi\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} + \|\phi\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \\ &\leq \|\phi - \tilde{I}_h\phi\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} + \|\tilde{I}_h\phi - P_h\phi\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} + \|\phi\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \\ &\leq C\|\phi\|_{W^{2,p}} + Ch^{-1}\|\tilde{I}_h\phi - P_h\phi\|_{W^{1,p}} + \|\phi\|_{\tilde{W}^{2,p}(\mathcal{T}_h)}. \end{aligned}$$

By (2.9) and (2.4)

$$\|\tilde{I}_h\phi - P_h\phi\|_{W^{1,p}} \leq \|\tilde{I}_h\phi - \phi\|_{W^{1,p}} + \|\phi - P_h\phi\|_{W^{1,p}} \leq Ch\|\phi\|_{W^{2,p}}.$$

Using (2.1) we conclude by elliptic regularity that

$$\|v\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \leq C\|\phi\|_{W^{2,p}} \leq Cp\|r\|_{L^p}.$$

This proves (2.11). □

**Lemma 2.3** *Let  $r \in V_h$ . Then for  $p \geq 2$*

$$\|r\|_{L^p} \leq C \sup_{\substack{z \neq 0 \\ z \in V_h}} \frac{|(r, z)|}{\|z\|_{L^q}} \quad \frac{1}{p} + \frac{1}{q} = 1.$$

*Proof* We have

$$\|r\|_{L^p} = \sup_{\substack{w \neq 0 \\ w \in L^q}} \frac{|(r, w)|}{\|w\|_{L^q}}.$$

Let  $P_{V_h}$  be the  $L^2$  projection into  $V_h$ . The projection is known to be stable in  $L^q$  [10], i.e. for  $w \in L^q(\Omega)$

$$\|P_{V_h}w\|_{L^q} \leq C^\theta \|w\|_{L^q}, \theta = \left|1 - \frac{2}{q}\right|.$$

Since  $p \geq 2$ ,  $-1 < 1 - 2/q \leq 2$  and hence the constant  $C^\theta$  is bounded uniformly in  $q$ . Since  $r \in V_h$ ,  $(r, w) = (r, P_{V_h}w)$  and therefore

$$\frac{|(r, w)|}{\|w\|_{L^q}} \leq C \frac{|(r, P_{V_h}w)|}{\|P_{V_h}w\|_{L^q}} \leq C \sup_{\substack{z \neq 0 \\ z \in V_h}} \frac{|(r, z)|}{\|z\|_{L^q}}.$$

This concludes the proof. □

### 3 Error analysis of the mixed method with the elliptic regularity assumption

For this erratum the mapping  $T : V_h \times \Sigma_h \rightarrow V_h \times \Sigma_h$  is defined by

$$T(w_h, \eta_h) = (T_1(w_h, \eta_h), T_2(w_h, \eta_h)),$$

where  $T_1(w_h, \eta_h)$  and  $T_2(w_h, \eta_h)$  satisfy

$$\begin{aligned} (\eta_h - T_2(w_h, \eta_h), \tau) + (\operatorname{div} \tau, D(w_h - T_1(w_h, \eta_h))) \\ - \langle D(w_h - T_1(w_h, \eta_h)), \tau n \rangle = (\eta_h, \tau) \\ + (\operatorname{div} \tau, Dw_h) - \langle Dw_h, \tau n \rangle, \quad \forall \tau \in \Sigma_h \end{aligned} \tag{3.1}$$

$$((\operatorname{cof} D^2u)D(w_h - T_1(w_h, \eta_h)), Dv) = (f, v) - (\det \eta_h, v), \quad \forall v \in V_h \cap H_0^1(\Omega) \tag{3.2}$$

$$w_h - T_1(w_h, \eta_h) = 0 \quad \text{on} \quad \partial\Omega. \tag{3.3}$$

It is shown in [3, Lemma 3.4] that a fixed point of (3.1)–(3.3) with  $w_h = g_h$  on  $\partial\Omega$  solves the nonlinear problem [1, (3)].

For this erratum we define

$$\bar{B}_h(\rho) = \{(w_h, \eta_h) \in V_h \times \Sigma_h, \|w_h - I_h u\|_{W^{2,\infty}(\mathcal{I}_h)} \leq \rho, \|\eta_h - I_h \sigma\|_{L^\infty} \leq \rho\}.$$

Recall that  $B_h(\rho) = \bar{B}_h(\rho) \cap Z_h$  with  $Z_h$  defined on [1, p. 7].

**Lemma 3.1** *For a positive constant  $C_0$  and  $\rho = C_0 h^{k-1}$ , we have  $B_h(\rho) \neq \emptyset$ .*

*Proof* It is shown in [3, Lemma 3.5] that there exists  $\eta_h \in \Sigma_h$  such that  $(I_h u, \eta_h) \in Z_h$ . We estimate  $\|\eta_h - I_h \sigma\|_{L^\infty}$ . We have

$$(\eta_h - I_h \sigma, \tau) = (\sigma - I_h \sigma, \tau) - (\operatorname{div} \tau, D(I_h u - u)) + \langle D(I_h u - u), \tau n \rangle.$$

Let  $p > 1$  and  $q$  such that  $1/p + 1/q = 1$ . We have by Lemma 2.3

$$\|\eta_h - I_h \sigma\|_{L^p} \leq C \sup_{\substack{\tau \neq 0 \\ \tau \in \Sigma_h}} \frac{|(\eta_h - I_h \sigma, \tau)|}{\|\tau\|_{L^q}}.$$

By Cauchy-Schwarz inequality, the scale-trace inequality (2.5), inverse estimates and approximation properties of  $I_h$

$$\begin{aligned} |(\eta_h - I_h \sigma, \tau)| &\leq \|\sigma - I_h \sigma\|_{L^p} \|\tau\|_{L^q} + \|D(I_h u - u)\|_{L^p} \|\operatorname{div} \tau\|_{L^q} \\ &\quad + C \|D(I_h u - u)\|_{L^p(\partial\Omega)} \|\tau\|_{L^q(\partial\Omega)} \\ &\leq \|\sigma - I_h \sigma\|_{L^\infty} \|\tau\|_{L^q} + Ch^{-1} \|D(I_h u - u)\|_{L^\infty} \|\tau\|_{L^q} \\ &\quad + Ch^{-\frac{1}{2}} \|D(I_h u - u)\|_{L^\infty} \|\tau\|_{L^q} \\ &\leq (Ch^{k+1} + Ch^{k-1}) \|\tau\|_{L^q} \leq Ch^{k-1} \|\tau\|_{L^q}. \end{aligned}$$

We conclude that  $\|\eta_h - I_h\sigma\|_{L^p} \leq Ch^{k-1}$ . By an inverse estimate

$$\|\eta_h - I_h\sigma\|_{L^\infty} \leq Ch^{-\frac{2}{p}} \|\eta_h - I_h\sigma\|_{L^p} \leq Ch^{-\frac{2}{p}} h^{k-1}.$$

Choosing  $p$  such that  $|\ln h| \leq p \leq 2|\ln h|$ , we obtain  $\|\eta_h - I_h\sigma\|_{L^\infty} \leq Ch^{k-1}$ . This concludes the proof.  $\square$

**Lemma 3.2** *The mapping  $T$  does not move the center  $(I_h u, I_h \sigma)$  of the ball  $\bar{B}_h(\rho)$  too far; i.e. for  $h$  sufficiently small*

$$\|I_h u - T_1(I_h u, I_h \sigma)\|_{W^{2,\infty}(\mathcal{T}_h)} \leq C_1 h^k \tag{3.4}$$

$$\|I_h \sigma - T_2(I_h u, I_h \sigma)\|_{L^\infty} \leq C_2 h^{k-1}. \tag{3.5}$$

*Proof* By [1, Lemma 2.1], on each element  $K$

$$\|\det(I_h \sigma) - \det \sigma\|_{L^\infty(K)} \leq C \left\| \frac{1}{2} I_h \sigma + \frac{1}{2} \sigma \right\|_{L^\infty(K)} \|I_h \sigma - \sigma\|_{L^\infty(K)}.$$

By approximation properties  $\|I_h \sigma - \sigma\|_{L^\infty(K)} \leq Ch^{k+1}$ , so  $\|I_h \sigma\|_{L^\infty} \leq C\|\sigma\|_{L^\infty}$ , and

$$\|\det(I_h \sigma) - \det \sigma\|_{L^\infty(K)} \leq C \|I_h \sigma - \sigma\|_{L^\infty(K)} \leq Ch^{k+1}. \tag{3.6}$$

By (3.2), (3.3), discrete elliptic regularity and (3.6)

$$\begin{aligned} \|I_h u - T_1(I_h u, I_h \sigma)\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} &\leq Cp \|\det I_h \sigma - f\|_{L^p} = C \|\det I_h \sigma - \det D^2 u\|_{L^p} \\ &\leq Cp \|\det I_h \sigma - \det D^2 u\|_{L^\infty} \leq Cp h^{k+1}. \end{aligned}$$

Choosing  $p$  such that  $|\ln h| \leq p \leq 2|\ln h|$ , we obtain by an inverse estimate

$$\begin{aligned} \|I_h u - T_1(I_h u, I_h \sigma)\|_{W^{2,\infty}(\mathcal{T}_h)} &\leq Ch^{-\frac{2}{p}} \|I_h u - T_1(I_h u, I_h \sigma)\|_{W^{2,p}(\mathcal{T}_h)} \\ &\leq Ch^{-\frac{2}{p}} \|I_h u - T_1(I_h u, I_h \sigma)\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \\ &\leq C \|I_h u - T_1(I_h u, I_h \sigma)\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \leq Ch^{k+1} |\ln h|. \end{aligned}$$

We conclude that (3.4) holds.

Let  $p > 1$  and  $q$  such that  $1/p + 1/q = 1$ . We have by Lemma 2.3

$$\|I_h \sigma - T_2(I_h u, I_h \sigma)\|_{L^p} \leq C \sup_{\substack{\tau \neq 0 \\ \tau \in \Sigma_h}} |(I_h \sigma - T_2(I_h u, I_h \sigma), \tau)| / \|\tau\|_{L^q}. \tag{3.7}$$

Moreover by (3.1) and using

$$(\sigma, \tau) + (\operatorname{div} \tau, Du) - \langle Du, \tau n \rangle = 0, \quad \forall \tau \in H^1(\Omega),$$

we get

$$\begin{aligned}
 (I_h\sigma - T_2(I_hu, I_h\sigma), \tau) &= -(\operatorname{div} \tau, D(I_hu - T_1(I_hu, I_h\sigma))) \\
 &\quad + \langle D(I_hu - T_1(I_hu, I_h\sigma)), \tau n \rangle \\
 &\quad + (I_h\sigma - \sigma, \tau) + (\operatorname{div} \tau, D(I_hu - u)) \\
 &\quad - \langle D(I_hu - u), \tau n \rangle.
 \end{aligned} \tag{3.8}$$

By Cauchy-Schwarz inequality, an inverse estimate, the trace-inverse inequality and approximation properties, we have

$$\begin{aligned}
 |(I_h\sigma - \sigma, \tau) + (\operatorname{div} \tau, D(I_hu - u)) - \langle D(I_hu - u), \tau n \rangle| &\leq (Ch^{k+1}\|\sigma\|_{W^{k+1,\infty}} \\
 + Ch^{k-1}\|u\|_{W^{k+1,\infty}} + Ch^{k-1}\|u\|_{W^{k+1,\infty}})\|\tau\|_{L^q} &\leq Ch^{k-1}\|u\|_{W^{k+3,\infty}}\|\tau\|_{L^q}.
 \end{aligned} \tag{3.9}$$

Moreover

$$\begin{aligned}
 &-(\operatorname{div} \tau, D(I_hu - T_1(I_hu, I_h\sigma))) + \langle D(I_hu - T_1(I_hu, I_h\sigma)), \tau n \rangle \\
 &= \sum_{K \in \mathcal{T}_h} (\tau, D^2(I_hu - T_1(I_hu, I_h\sigma)))_K - \sum_{K \in \mathcal{T}_h} \langle D(I_hu - T_1(I_hu, I_h\sigma)), \tau n \rangle_{\partial K} \\
 &\quad + \langle D(I_hu - T_1(I_hu, I_h\sigma)), \tau n \rangle.
 \end{aligned}$$

But by Cauchy-Schwarz inequality and the trace-inverse inequality

$$\begin{aligned}
 &| - \sum_{K \in \mathcal{T}_h} \langle D(I_hu - T_1(I_hu, I_h\sigma)), \tau n \rangle_{\partial K} + \langle D(I_hu - T_1(I_hu, I_h\sigma)), \tau n \rangle | \\
 &\leq \sum_{K \in \mathcal{T}_h} | \langle D(I_hu - T_1(I_hu, I_h\sigma)), \tau n \rangle_{\partial K} | \\
 &\leq \sum_{K \in \mathcal{T}_h} \|h^{-\frac{1}{q}} D(I_hu - T_1(I_hu, I_h\sigma))\|_{L^p(\partial K)} \|h^{\frac{1}{q}} \tau n\|_{L^q(\partial K)} \\
 &\leq C \left( \sum_{K \in \mathcal{T}_h} h^{-\frac{p}{q}} \|D(I_hu - T_1(I_hu, I_h\sigma))\|_{L^p(\partial K)}^p \right)^{\frac{1}{p}} \|\tau\|_{L^q}.
 \end{aligned}$$

Therefore by Cauchy-Schwarz inequality

$$\begin{aligned}
 &| -(\operatorname{div} \tau, D(I_hu - T_1(I_hu, I_h\sigma))) + \langle D(I_hu - T_1(I_hu, I_h\sigma)), \tau n \rangle | \\
 &\leq C (\|I_hu - T_1(I_hu, I_h\sigma)\|_{W^{2,p}(\mathcal{T}_h)} \\
 &\quad + \left( \sum_{K \in \mathcal{T}_h} h^{1-p} \|D(I_hu - T_1(I_hu, I_h\sigma))\|_{L^p(\partial K)}^p \right)^{\frac{1}{p}}) \|\tau\|_{L^q}.
 \end{aligned} \tag{3.10}$$



We conclude from (3.7), (3.8), (3.9) and (3.10) that

$$|(I_h\sigma - T_2(I_hu, I_h\sigma), \tau)| \leq (Ch^{k-1} + C\|I_hu - T_1(I_hu, I_h\sigma)\|_{\tilde{W}^{2,p}(\mathcal{T}_h)})\|\tau\|_{L^q}.$$

Thus using (2.1)

$$\begin{aligned} |(I_h\sigma - T_2(I_hu, I_h\sigma), \tau)| &\leq (Ch^{k-1} + C\|I_hu - T_1(I_hu, I_h\sigma)\|_{W^{2,p}(\mathcal{T}_h)})\|\tau\|_{L^q} \\ &\leq (Ch^{k-1} + C\|I_hu - T_1(I_hu, I_h\sigma)\|_{W^{2,\infty}(\mathcal{T}_h)})\|\tau\|_{L^q}. \end{aligned}$$

Choosing  $p$  such that  $|\ln h| \leq p \leq 2|\ln h|$  and using (3.7), we obtain

$$\|I_h\sigma - T_2(I_hu, I_h\sigma)\|_{L^\infty} \leq Ch^{-\frac{2}{p}}\|I_h\sigma - T_2(I_hu, I_h\sigma)\|_{L^p} \leq Ch^{-\frac{2}{p}}h^{k-1} \leq Ch^{k-1}.$$

This concludes the proof. □

**Lemma 3.3** *Let  $\rho > 0$  and  $(w_1, \eta_1)$  and  $(w_2, \eta_2)$  in  $B_h(\rho)$ . We have*

$$\|T_2(w_1, \eta_1) - T_2(w_2, \eta_2)\|_{L^\infty} \leq C_3\|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)}, \tag{3.11}$$

for a constant  $C_3 \geq 1$ .

*Proof* For  $(w_1, \eta_1)$  and  $(w_2, \eta_2)$  in  $B_h(\rho)$ . We have using (3.1)

$$\begin{aligned} (T_2(w_1, \eta_1) - T_2(w_2, \eta_2), \tau) &= -(\operatorname{div} \tau, D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2))) \\ &\quad + \langle D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)), \tau n \rangle \\ &= \sum_{K \in \mathcal{T}_h} (\tau, D^2(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)))_K \\ &\quad - \sum_{K \in \mathcal{T}_h} \langle \tau n, D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)) \rangle_{\partial K} \\ &\quad + \langle D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)), \tau n \rangle. \end{aligned}$$

Let  $p \geq 2$  and  $q$  such that  $1/p + 1/q = 1$ . We have

$$\begin{aligned} \left| \sum_{K \in \mathcal{T}_h} (\tau, D^2(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)))_K \right| &\leq C\|T_1(w_1, \eta_1) \\ &\quad - T_1(w_2, \eta_2)\|_{W^{2,p}(\mathcal{T}_h)}\|\tau\|_{L^q} \\ &\leq C\|T_1(w_1, \eta_1) \\ &\quad - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)}\|\tau\|_{L^q}. \end{aligned}$$

Moreover by Cauchy-Schwarz and the scale-trace inequality (2.5)

$$\begin{aligned} & \left| - \sum_{K \in \mathcal{T}_h} \langle \tau n, D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)) \rangle_{\partial K} + \langle D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)), \tau n \rangle \right| \\ & \leq \sum_{K \in \mathcal{T}_h} |\langle \tau n, D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)) \rangle_{\partial K}| \\ & = \sum_{K \in \mathcal{T}_h} | \langle h^{\frac{1}{q}} \tau n, h^{-\frac{1}{q}} D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)) \rangle_{\partial K} | \leq C \|\tau\|_{L^q} \\ & \quad \times \left( \sum_{K \in \mathcal{T}_h} h^{-\frac{p}{q}} \|D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2))\|_{L^2(\partial K)}^p \right)^{\frac{1}{p}}. \end{aligned}$$

Since  $-p/q = 1 - p$  we obtain

$$|(T_2(w_1, \eta_1) - T_2(w_2, \eta_2), \tau)| \leq C \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \|\tau\|_{L^q}.$$

And thus using (2.1)

$$\begin{aligned} |(T_2(w_1, \eta_1) - T_2(w_2, \eta_2), \tau)| & \leq C \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,p}(\mathcal{T}_h)} \|\tau\|_{L^q} \\ & \leq C \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)} \|\tau\|_{L^q}. \end{aligned}$$

We conclude that

$$\begin{aligned} \|I_h \sigma - T_2(I_h u, I_h \sigma)\|_{L^\infty} & \leq C h^{-\frac{2}{p}} \|I_h \sigma - T_2(I_h u, I_h \sigma)\|_{L^p} \\ & \leq C h^{-\frac{2}{p}} \sup_{\substack{\tau \neq 0 \\ \tau \in \Sigma_h}} |(I_h \sigma - T_2(I_h u, I_h \sigma), \tau)| / \|\tau\|_{L^q} \\ & \leq C h^{-\frac{2}{p}} \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)} \\ & \leq C \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)}, \end{aligned}$$

where we used Lemma 2.3 and choose  $p$  such that  $|\ln h| \leq p \leq 2|\ln h|$ . This concludes the proof. □

For  $(w_h, \eta_h) \in Z_h$  we define

$$\Gamma = ((\text{cof } D^2 u) : \eta_h, v) + ((\text{cof } D^2 u) D w_h, D v). \tag{3.12}$$

We have the following analogue of [3, Lemma 3.7]

**Lemma 3.4** *Let  $(w_h, \eta_h) \in Z_h$ . Then*

$$|((\text{cof } D^2 u) : \eta_h, v) + ((\text{cof } D^2 u) D w_h, D v)| \leq C h^{\frac{1}{q}} \|w_h\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \|v\|_{L^q}, \tag{3.13}$$

for all  $v \in V_h \cap H_0^1(\Omega)$  and  $p \geq 2, 1/p + 1/q = 1$ .

*Proof* Denote by  $P_{\Sigma_h}$  the  $L^2$  projection into the space  $\Sigma_h$ . Put  $A = \text{cof } D^2u$ . It is proven in the proof of [3, Lemma 3.7] that for  $v \in V_h \cap H_0^1(\Omega)$

$$\Gamma = - \sum_{K \in \mathcal{T}_h} (\text{div } (P_{\Sigma_h}(vA) - vA), Dw_h)_K + \langle (P_{\Sigma_h}(vA) - vA)n, Dw_h \rangle_{\partial\Omega}.$$

We have

$$\begin{aligned} \Gamma &= \sum_{K \in \mathcal{T}_h} (P_{\Sigma_h}(vA) - vA, D^2w_h)_K - \sum_{K \in \mathcal{T}_h} \langle (P_{\Sigma_h}(vA) - vA)n, Dw_h \rangle_{\partial K} \\ &\quad + \langle (P_{\Sigma_h}(vA) - vA)n, Dw_h \rangle_{\partial\Omega}. \end{aligned} \tag{3.14}$$

By Cauchy-Schwarz inequality

$$\left| \sum_{K \in \mathcal{T}_h} (P_{\Sigma_h}(vA) - vA, D^2w_h)_K \right| \leq \|P_{\Sigma_h}(vA) - vA\|_{L^q} \|w_h\|_{W^{2,p}(\mathcal{T}_h)}, \tag{3.15}$$

and by Cauchy-Schwarz and the trace inequalities

$$\begin{aligned} &\left| - \sum_{K \in \mathcal{T}_h} \langle (P_{\Sigma_h}(vA) - vA)n, Dw_h \rangle_{\partial K} + \langle (P_{\Sigma_h}(vA) - vA)n, Dw_h \rangle_{\partial\Omega} \right| \\ &\leq \sum_{K \in \mathcal{T}_h} |\langle (P_{\Sigma_h}(vA) - vA)n, Dw_h \rangle_{\partial K}| \\ &= \sum_{K \in \mathcal{T}_h} |(h^{\frac{1}{q}}(P_{\Sigma_h}(vA) - vA)n, h^{-\frac{1}{q}}Dw_h)_{\partial K}| \\ &\leq C \sum_{K \in \mathcal{T}_h} \|h^{\frac{1}{q}}(P_{\Sigma_h}(vA) - vA)\|_{L^q(\partial K)} \|h^{-\frac{1}{q}}Dw_h\|_{L^p(\partial K)} \\ &\leq Ch^{\frac{1}{q}} \|P_{\Sigma_h}(vA) - vA\|_{W^{1,q}} \left( \sum_{K \in \mathcal{T}_h} h^{1-p} \|Dw_h\|_{L^p(\partial K)}^p \right)^{\frac{1}{p}}. \end{aligned} \tag{3.16}$$

Arguing as in the proof of [14, Lemma 4.4] we have for  $m = 0, 1$

$$\|P_{\Sigma_h}(vA) - vA\|_{W^{m,q}} \leq Ch^{1-m} \|v\|_{L^q}. \tag{3.17}$$

This follows from the stability in  $L^q$  and  $W^{1,q}$  of the  $L^2$  projection [8], i.e. for  $v \in W^{m,q}(\Omega)$ ,  $v = 0$  on  $\partial\Omega$ ,  $\|P_{\Sigma_h}(vA)\|_{W^{m,q}} \leq C\|vA\|_{W^{m,q}}$ .

As in the proof of Lemma 2.3, the constant in the  $L^q$  stability of the  $L^2$  projection is independent of  $q$ . For the  $W^{1,q}$  stability, the independence in  $q$  of the constant is obtained by tracing constants in the proof of [8, Theorem 4 and Theorem 3]. More precisely, constants in the interpolation estimates and inverse estimates used therein are independent of  $q$ , c.f. Sect. 2. In addition, the constant  $\alpha$  in [8] is equal to 1 for quasi uniform triangulations, making the constants in the estimates independent of  $q$ .

Since  $P_{\Sigma_h} I_h(vA) = I_h(vA)$ ,

$$\begin{aligned} \|P_{\Sigma_h}(vA) - vA\|_{W^{m,q}} &\leq \|P_{\Sigma_h}(vA) - I_h(vA)\|_{W^{m,q}} + \|I_h(vA) - vA\|_{W^{m,q}} \\ &= \|P_{\Sigma_h}(vA) - P_{\Sigma_h} I_h(vA)\|_{W^{m,q}} + \|I_h(vA) - vA\|_{W^{m,q}} \\ &\leq C \|I_h(vA) - vA\|_{W^{m,q}} \leq Ch^{k+1-m} \|v\|_{W^{k+1,q}} \\ &= Ch^{k+1-m} \|v\|_{W^{k,q}} \leq Ch^{1-m} \|v\|_{L^2}, \end{aligned}$$

where in the last steps, we note that  $v$  is a piecewise polynomial of degree  $k$  and use an inverse estimate. It therefore follows from (3.14)–(3.16) that (3.13) holds.  $\square$

The mapping  $T_1$  has a fixed contraction property, i.e.

**Lemma 3.5** *For  $h$  sufficiently small, we have for  $(w_1, \eta_1)$  and  $(w_2, \eta_2)$  in  $B_h(\rho)$*

$$\begin{aligned} \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)} &\leq \frac{1}{4C_3} \|w_1 - w_2\|_{W^{2,\infty}(\mathcal{T}_h)} \\ &\quad + \left( \frac{1}{4C_3} + C |\ln h| \rho \right) \|\eta_1 - \eta_2\|_{L^\infty}. \end{aligned} \tag{3.18}$$

*Proof* The proof is a variant of [3, Lemma 3.10] and [3, Lemma 3.11]. Using (3.2) we have

$$\begin{aligned} ((\text{cof } D^2u)D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)), Dv) &= ((\text{cof } D^2u)D(w_1 - w_2), Dv) \\ &\quad + (\det \eta_1 - \det \eta_2, v) + ((\text{cof } D^2u) : (\eta_1 - \eta_2), v) - ((\text{cof } D^2u) : (\eta_1 - \eta_2), v), \end{aligned}$$

for all  $v \in V_h$ . Using the definition of  $\Gamma$ , (3.12) with  $w_h = w_1 - w_2$ ,  $\eta_h = \eta_1 - \eta_2$ , and Lemma 3.4, we have

$$\begin{aligned} ((\text{cof } D^2u)D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)), Dv) &= -((\text{cof } D^2u) : (\eta_1 - \eta_2), v) \\ &\quad + (\det \eta_1 - \det \eta_2, v) + \Gamma, \end{aligned} \tag{3.19}$$

for all  $v \in V_h$  with

$$|\Gamma| \leq h^{\frac{1}{q}} \|w_h\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \|v\|_{L^q}, \tag{3.20}$$

with  $p \geq 2, 1/p + 1/q = 1$ .

By [1, Lemma 1], on each element  $K$  we have

$$\det \eta_1 - \det \eta_2 = \text{cof} \left( \frac{1}{2} \eta_1 + \frac{1}{2} \eta_2 \right) : (\eta_1 - \eta_2).$$

Therefore on each element  $K$

$$\begin{aligned}
 &(\operatorname{cof} D^2u) : (\eta_1 - \eta_2) - (\det \eta_1 - \det \eta_2) \\
 &= ((\operatorname{cof} D^2u) - \operatorname{cof} \left(\frac{1}{2}\eta_1 + \frac{1}{2}\eta_2\right)) : (\eta_1 - \eta_2) \\
 &= \operatorname{cof} \left(D^2u - \frac{1}{2}\eta_1 - \frac{1}{2}\eta_2\right) : (\eta_1 - \eta_2).
 \end{aligned}
 \tag{3.21}$$

Let us define

$$A = \left(\operatorname{cof} \sigma - \frac{1}{2}\eta_1 - \frac{1}{2}\eta_2\right) : (\eta_1 - \eta_2).$$

We have

$$\begin{aligned}
 \sigma - \left(\frac{1}{2}\eta_1 + \frac{1}{2}\eta_2\right) &= \sigma - I_h\sigma + \frac{1}{2}I_h\sigma + \frac{1}{2}I_h\sigma - \left(\frac{1}{2}\eta_1 + \frac{1}{2}\eta_2\right) \\
 &= \sigma - I_h\sigma + \frac{1}{2}(I_h\sigma - \eta_1) + \frac{1}{2}(I_h\sigma - \eta_2).
 \end{aligned}$$

We conclude that

$$\begin{aligned}
 \|\sigma - \left(\frac{1}{2}\eta_1 + \frac{1}{2}\eta_2\right)\|_{L^\infty(K)} &\leq \|\sigma - I_h\sigma\|_{L^\infty(K)} \\
 &\quad + \frac{1}{2}\|I_h\sigma - \eta_1\|_{L^\infty(K)} + \frac{1}{2}\|I_h\sigma - \eta_2\|_{L^\infty(K)} \\
 &\leq Ch^{k+1} + C\rho.
 \end{aligned}$$

It follows from (3.21) that

$$\begin{aligned}
 \|(\operatorname{cof} D^2u) : (\eta_1 - \eta_2) - (\det \eta_1 - \det \eta_2)\|_{L^p} &\leq (Ch^{k+1} + C\rho)\|\eta_1 - \eta_2\|_{L^\infty}.
 \end{aligned}
 \tag{3.22}$$

Let us define the linear form  $L$  on  $V_h$  by

$$L(v) = ((\operatorname{cof} D^2u)D(T_1(w_1, \eta_1) - T_1(w_2, \eta_2)), Dv).$$

By the Riesz representation theorem, there exists  $r \in V_h$  with  $L(v) = (r, v)$  for all  $v \in V_h$ . Moreover by Lemma 2.3  $\|r\|_{L^p} \leq C \sup_{\substack{v \neq 0 \\ v \in V_h}} |L(v)|/\|v\|_{L^q}$ . We conclude from

(3.19), (3.20) and (3.22) that

$$\|r\|_{L^p} \leq Ch^{\frac{1}{q}}\|w_1 - w_2\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} + (Ch^{k+1} + C\rho)\|\eta_1 - \eta_2\|_{L^\infty}.$$

By discrete elliptic regularity and (2.1)

$$\begin{aligned} \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} &\leq Cph^{\frac{1}{q}} \|w_1 - w_2\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \\ &\quad + (Ch^{k+1} + C\rho)p \|\eta_1 - \eta_2\|_{L^\infty} \\ &\leq Cph^{\frac{1}{q}} \|w_1 - w_2\|_{W^{2,\infty}(\mathcal{T}_h)} \\ &\quad + (Ch^{k+1} + C\rho)p \|\eta_1 - \eta_2\|_{L^\infty}. \end{aligned}$$

Since  $p \geq 2$  and  $0 < h \leq 1$ , we have  $h^{1/q} \leq h^{1/2}$ . Choosing  $p$  such that  $|\ln h| \leq p \leq 2|\ln h|$  we have  $ph^{1/2} \leq C|\ln h|h^{1/2} \leq 1/(4C_3)$  for  $h$  sufficiently small. Similarly  $Ch^{k+1}|\ln h| \leq 1/(4C_3)$  for  $h$  sufficiently small. We conclude using an inverse estimate that

$$\begin{aligned} \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)} &\leq Ch^{-\frac{2}{p}} \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,p}(\mathcal{T}_h)} \\ &\leq Ch^{-\frac{2}{p}} \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \\ &\leq C \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{\tilde{W}^{2,p}(\mathcal{T}_h)} \\ &\leq \frac{1}{4C_3} \|w_1 - w_2\|_{W^{2,\infty}(\mathcal{T}_h)} \\ &\quad + \left( \frac{1}{4C_3} + C|\ln h|\rho \right) \|\eta_1 - \eta_2\|_{L^\infty}. \end{aligned}$$

This completes the proof. □

**Lemma 3.6** *Let  $\rho(h) = 2C_4h^{k-1}$  where  $C_4 = \max(C_0, C_1, 2C_2)$  with  $C_0$  the constant in Lemma 3.1 and  $C_1, C_2$  the constants from Lemma 3.2. Then  $T$  maps  $B_h(\rho)$  into itself for  $h$  sufficiently small.*

*Proof* Let  $(w_h, \eta_h) \in B_h(\rho)$ . By definition,  $\|w_h - I_hu\|_{W^{2,\infty}(\mathcal{T}_h)} \leq \rho$  and  $\|\eta_h - I_h\sigma\|_{L^\infty} \leq \rho$ . By (3.18) and (3.4), for  $h$  sufficiently small

$$\begin{aligned} \|T_1(w_h, \eta_h) - I_hu\|_{W^{2,\infty}(\mathcal{T}_h)} &\leq \|T_1(w_h, \eta_h) - T_1(I_hu, I_h\sigma)\|_{W^{2,\infty}(\mathcal{T}_h)} \\ &\quad + \|T_1(I_hu, I_h\sigma) - I_hu\|_{W^{2,\infty}(\mathcal{T}_h)} \\ &\leq \left( \frac{1}{4} + C|\ln h|h^{k-1} \right) \|\eta_h - I_h\sigma\|_{L^\infty} \\ &\quad + \frac{1}{4} \|w_h - I_hu\|_{\tilde{H}^2(\mathcal{T}_h)} + C_1h^k \\ &\leq \frac{3\rho}{4} + C_1h^k = \frac{3\rho}{4} + \frac{C_1h}{2C_5}\rho \leq \rho. \end{aligned}$$

In addition, by (3.18), (3.11) and (3.5) and a similar argument we get

$$\begin{aligned} \|T_2(w_h, \eta_h) - I_h\sigma\|_{L^\infty} &\leq \|T_2(w_h, \eta_h) - T_2(I_hu, I_h\sigma)\|_{L^\infty} + \|T_2(I_hu, I_h\sigma) - I_h\sigma\|_{L^\infty} \end{aligned}$$

$$\begin{aligned} &\leq C_3 \|T_1(w_h, \eta_h) - T_1(I_h u, I_h \sigma)\|_{W^{2,\infty}(\mathcal{T}_h)} + \|T_2(I_h u, I_h \sigma) - I_h \sigma\|_{L^\infty} \\ &\leq \frac{1}{4} \|\eta_h - I_h \sigma\|_{L^\infty} + C |\ln h| \rho \|\eta_h - I_h \sigma\|_{L^\infty} \\ &\quad + \frac{1}{4} \|w_h - I_h u\|_{W^{2,\infty}(\mathcal{T}_h)} + C_2 h^{k-1} \\ &\leq \rho, \end{aligned}$$

for  $h$  sufficiently small. By (3.1)  $(T_1(w_h, \eta_h), T_2(w_h, \eta_h))$  is in the space  $Z_h$ . This concludes the proof.  $\square$

We can now claim

**Theorem 3.7** *Let  $(u, \sigma) \in H^{k+3}(\Omega) \times H^{k+1}(\Omega)^{d \times d}$  denotes the unique convex solution of [1, (1)] with  $k \geq 2$ . Then the problem [1, (3)] has a unique solution in  $B_h(\rho) \subset V_h \times \Sigma_h$  for  $h$  sufficiently small and with  $\rho(h)$  given in Lemma 3.6.*

*Proof* The proof follows from the Brouwer fixed point theorem. For  $h$  sufficiently small and for  $(w_1, \eta_1), (w_2, \eta_2) \in B_h(\rho)$ , by (3.18) and (3.11)

$$\begin{aligned} &\|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)} + \|T_2(w_1, \eta_1) - T_2(w_2, \eta_2)\|_{L^\infty} \\ &\leq C \|T_1(w_1, \eta_1) - T_1(w_2, \eta_2)\|_{W^{2,\infty}(\mathcal{T}_h)} \\ &\leq C \|w_1 - w_2\|_{W^{2,\infty}(\mathcal{T}_h)} + C \|\eta_1 - \eta_2\|_{L^\infty}. \end{aligned}$$

Hence the mapping  $T$  is continuous in  $B_h(\rho)$ . Since for  $h$  sufficiently small and the choice of  $\rho(h)$ , the continuous mapping  $T$  maps the closed ball  $B_h(\rho)$  into itself, there exists a fixed point  $(u_h, \sigma_h)$  in  $B_h(\rho)$ .

Assume that  $(w_h^1, \eta_h^1)$  and  $(w_h^2, \eta_h^2)$  are two fixed points of  $T$ . Then  $T_1(w_h^1, \eta_h^1) = w_h^1$  and  $T_1(w_h^2, \eta_h^2) = w_h^2$ . By (3.18) we have

$$\|w_h^1 - w_h^2\|_{W^{2,\infty}(\mathcal{T}_h)} \leq \frac{1}{2C_3} \|\eta_h^1 - \eta_h^2\|_{L^\infty} + \frac{1}{4} \|w_h^1 - w_h^2\|_{W^{2,\infty}(\mathcal{T}_h)},$$

and so

$$\|w_h^1 - w_h^2\|_{W^{2,\infty}(\mathcal{T}_h)} \leq \frac{2}{3C_3} \|\eta_h^1 - \eta_h^2\|_{L^\infty}.$$

We also have  $T_2(w_h^1, \eta_h^1) = \eta_h^1$  and  $T_2(w_h^2, \eta_h^2) = \eta_h^2$ . By (3.11)

$$\|\eta_h^1 - \eta_h^2\|_{L^\infty} \leq C_3 \|w_h^1 - w_h^2\|_{W^{2,\infty}(\mathcal{T}_h)} \leq \frac{2}{3} \|\eta_h^1 - \eta_h^2\|_{L^2}.$$

This implies  $\eta_h^1 = \eta_h^2$  and so  $w_h^1 = w_h^2$ . This proves uniqueness.  $\square$

The following error estimates hold

**Theorem 3.8** *Under the assumptions of Theorem 3.7, the solution  $(u_h, \sigma_h)$  of (3.1)–(3.3) satisfies*

$$\|u - u_h\|_{W^{2,\infty}(\mathcal{T}_h)} \leq Ch^{k-1} \quad (3.23)$$

$$\|\sigma - \sigma_h\|_{L^\infty} \leq Ch^{k-1}. \quad (3.24)$$

*Proof* By the definition of the ball  $B_h(\rho)$ , the existence of the solution  $(u_h, \sigma_h)$  in  $B_h(\rho)$  with  $\rho = O(h^{k-1})$  given in Theorem 3.7, we have

$$\|I_h u - u_h\|_{W^{2,\infty}(\mathcal{T}_h)} \leq Ch^{k-1}$$

$$\|I_h \sigma - \sigma_h\|_{L^\infty} \leq Ch^{k-1}.$$

The estimates (3.23) and (3.24) then follow from triangular inequalities and standard interpolation inequalities.  $\square$

*Remark 3.9* Since it is now known that  $T$  has a fixed point  $(u_h, \sigma_h)$  with  $\|u_h - I_h \sigma\|_{L^\infty} \leq Ch^{k-1}$ , it should be possible to derive a  $O(h^k)$  error estimate in the  $H^1$  norm for  $u - u_h$  by using [3, Lemma 3.10] and [3, Lemma 3.11]. Note that in the proof of [3, Lemma 3.10] an inverse estimate, used to estimate  $\|u_h - I_h \sigma\|_{L^\infty}$  from  $\|u_h - I_h \sigma\|_{L^2}$  can now be avoided.

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