**ORIGINAL PAPER** 



# Electro-optical performance of inorganic monolithic electrochromic device with a pulsed DC sputtered Li<sub>x</sub>Mg<sub>y</sub>N ion conductor

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**Abstract** Lithium magnesium nitride  $(Li_xMg_yN)$  thin films were deposited by pulsed DC reactive magnetron sputtering from a LiMg alloy target in the mixture gas of Ar and N<sub>2</sub>. The as-prepared  $Li_xMg_yN$  films were amorphous. A monolithic inorganic electrochromic device (ECD) based on WO<sub>3</sub>/NiO complementary structure was fabricated using the  $Li_xMg_yN$  as the ion conductor layer. The addition of a 150-nm thick Si<sub>3</sub>N<sub>4</sub> buffer layer between  $Li_xMg_yN$  and NiO made coloration and bleaching reversible and stable. Electrochemical and optical characterizations were conducted to evaluate the performance of the ECD. Electro-optical data were recorded for both 1000 chronoamperometric cycles and 1000 voltammetric cycles. Activation and degradation of the electro-optical properties of the ECD were observed.

**Keywords** Monolithic electrochromic device · Smart windows · Lithium magnesium nitride · Electro-optical performance

## Introduction

Electrochromic materials are capable of changing their optical properties persistently and reversibly by an applied voltage [1, 2]. They have drawn considerable attention over the last decades due

to their potential applications, such as smart windows, information display, rearview mirrors, and variable-emittance thermal radiators [3–9]. For practical use, the electrochromic materials must be integrated into an electrochromic device (ECD). The most extensively investigated application of ECD is for architectural windows (smart windows), which provide a viable option for dynamic control of visible light and solar energy leading to life comfort and energy saving.

There are three fundamental components of the multilayer structure of an ECD, which are transparent conducting electrodes, electrochromic active layers, and an ion conductor. In<sub>2</sub>O<sub>3</sub>:Sn (ITO), SnO<sub>2</sub>:F (FTO), and ZnO:Al (AZO) are known as the most commonly used transparent conductors. Among the inorganic electrochromic materials, thin films of tungsten oxide and nickel oxide are the most widely studied due to their high coloration efficiency, large dynamic range, and good cyclic reversibility [10–14]. Moreover, combining them makes it possible to modulate the overall optical transmittance and create a more neutral color device.

In the case of the smart windows, there are several advantages of employing an inorganic electrolyte. And among these are: (1) using inorganic electrolytes makes it possible for continuous, large area, in-line manufacturing processes (especially reactive sputtering and related physical deposition techniques), (2) monolithic structures with inorganic electrolytes can avoid bubble formation, potential liquid leak, and sealing problems during manufacture, (3) the stability and durability of inorganic films are expected to be high, especially with respect to exposure to a wide range of temperatures and ultraviolet radiation conditions. Much research has been published regarding the inorganic all-solid-state ECD. Table 1 summarizes the reported performance of inorganic monolithic electrochromic devices using different ion conductors. Many researchers have employed  $Ta_2O_5$  thin film as the ion conductor [14–16, 24]. However, it generally takes a second procedure to inject ions

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 Table 1
 Reported performance

 of inorganic monolithic
 electrochromic devices

Device configuration	Colored/bleached potentials (V)	T (%)	$t_{\mathrm{sw}}\left(\mathrm{s}\right)$	Switching cycles	Ref.
H <sup>+</sup> based ECD					
G/ITO/NiO/Ta <sub>2</sub> O <sub>5</sub> /WO <sub>3</sub> /ITO	+ 1.6/- 1.0	15-78	60	$2 \times 10^4$	[15]
G/ITO/NiO/Ta <sub>2</sub> O <sub>5</sub> /WO <sub>3</sub> /ITO	+ 1.5/- 1.5	18–73	_	10 <sup>5</sup>	[16]
G/ITO/NiV <sub>x</sub> O <sub>y</sub> H <sub>z</sub> /ZrO <sub>2</sub> /a-WO <sub>3</sub> /grid Al	- 4/+ 5	15-50	> 600	_	[17]
G/ITO/WO <sub>3</sub> /Ta <sub>2</sub> O <sub>5</sub> /NiO <sub>x</sub> /Au	+ 2/- 2	3–58	6	_	[14]
G/ITO/NiO/ZrO <sub>2</sub> /WO <sub>3</sub> /ITO	+ 5/- 5	3–59	120	_	[18]
Li <sup>+</sup> based ECD					
G/ITO/WO3/LiNbO3/LiCoO2/ITO	+ 3/- 3	12-65	_	$1.8 \times 10^4$	[19]
G/ITO/Li <sub>x</sub> V <sub>2</sub> O <sub>5</sub> /LiBO <sub>2</sub> /WO <sub>3</sub> /ITO	_	13-65	_	$2 \times 10^3$	[20]
G/ITO/LizLiyCrO2+x/LiBO2/WO3/ITO	_	9–74	30	$5 \times 10^3$	[21]
G/ITO/WO3/LiAlF4/V2O5/ITO	- 2/+ 1	11-50	90	_	[22]
G/ITO/WO3/LiNbO3/NiO/ITO	- 1.5/+ 1.5	8-72	2	$2 \times 10^3$	[23]
G/ITO/WO <sub>3</sub> /Ta <sub>2</sub> O <sub>5</sub> /NiO <sub>x</sub> /ITO	- 5/+ 5	17-73	_	_	[24]
P/ITO/NiO <sub>x</sub> /LiTaO <sub>3</sub> /WO <sub>3</sub> /ITO	- 4/+ 4	7–72	55	_	[25]
G/ITO/V2O5/LiPON/LixWO3/TCO	- 1.5/+ 1.5	12-48	30	500	[26]

 $t_{sw}$  stands for switching time, G and P represent glass and plastic substrates, respectively, and (-) refers to not available data

into the device since  $Ta_2O_5$  does not contain any H<sup>+</sup> or Li<sup>+</sup> ions. Recently, lithium phosphorus oxynitride (LiPON) solid electrolyte has attracted much interest because of its favorable electrochemical properties for thin film batteries and electrochromic devices [26–28]. However, this kind of lithium contained compound (e.g., LiNbO<sub>3</sub>, LiTaO<sub>3</sub>, LiAlF<sub>4</sub>, LiBO<sub>2</sub>) is normally not electrically conductive. The deposition techniques, such as radio frequency sputtering, thermal vacuum evaporation, and pulsed laser deposition, are not compatible with industrial large-scale manufacturing. And the deposition rate is also a big concern for commercial production.

Another major issue for inorganic electrolyte is the inherent leakage current. In fact, as the electrolyte is a very thin film, the five-layer designed monolithic solid state ECDs typically suffer from electronic leakage current and electronic breakdown [29, 30]. The leakage current may arise from pin holes or structural imperfections in the films. The device performance could be compromised including a lowered dynamic range, inhomogeneous coloration, rapid self-bleaching under open circuit, and slower switching speed. Therefore, it is necessary to add an electron blocking layer in the device. Recently, Bogati et al. [31] have investigated sputtered Si<sub>3</sub>N<sub>4</sub> and SiO<sub>2</sub> as electron barrier between a redox electrolyte and the WO<sub>3</sub> film. They reported that the leakage current was significantly reduced with 80 nm of Si<sub>3</sub>N<sub>4</sub> thin film in their system.

In the present work, a lithium magnesium nitride  $(Li_xMg_yN)$ thin film was deposited by pulsed DC reactive sputtering as a  $Li^+$  ion conducting layer.  $Si_3N_4$  was applied as an electron blocking layer between the  $Li_xMg_yN$  layer and the NiO layer. A monolithic solid state ECD was deposited by a series of room temperature DC sputtering processes on a single glass substrate. The multilayer structure can be described as Glass/ITO/NiO/Si<sub>3</sub>N<sub>4</sub>/Li<sub>x</sub>Mg<sub>y</sub>N/WO<sub>3</sub>/ITO. The electro-optical properties of the ECD were investigated in detail.

# **Experimental details**

#### Deposition of $Li_xMg_yN$ thin films

The Li<sub>r</sub>Mg<sub>v</sub>N thin films were deposited by pulsed DC reactive magnetron sputtering. The substrates were glass, silicon wafer, or silica glass. The target was a commercially available LiMg alloy (Li 20 wt%, Mg 80 wt%) plate of 10 cm in diameter and 6 mm in thickness. The target-substrate distance was 15 cm. Prior to the deposition, the substrates were ultrasonically cleaned in acetone, ethanol, and deionized water successively. The coating system was evacuated to a base pressure lower than  $1 \times 10^{-3}$  Pa by a turbo molecular pump combined with a rotary pump. LiMg alloy target was pre-sputtered in an argon atmosphere for 10 min in order to remove the surface contaminations. The flow rates of Ar (99.99%) and  $N_2$  (99.99%) were controlled individually by mass flow controllers. During deposition, the flow rates of Ar and N2 were 90 and 10 sccm, respectively. The total sputtering pressure was maintained at 0.8 Pa. The pulsed DC power was 180 W with a pulsing frequency of 40 kHz. The duty factor was 80% with a 20-µs sputter time and a 5-µs reverse time. Film uniformity was guaranteed by substrate rotation during the sputtering. The rotation speed was 5 rpm.

#### Fabrication of the electrochromic device

The ECD was performed in a multi-target magnetron sputtering system. All the targets used were 10 cm in diameter and 6 mm thick. The target-substrate distance was fixed at 15 cm. The sample holder was rotated (at 5 rpm) without intentional heating during the whole sputtering processes. ITO-coated glass having a sheet resistance of 30  $\Omega$  Sq<sup>-1</sup> and an average transmittance of 85% in the visible region was used as the substrate. The layer stack can be described as Glass/ITO/NiO/Si<sub>3</sub>N<sub>4</sub>/Li<sub>x</sub>Mg<sub>y</sub>N/WO<sub>3</sub>/ITO. The performance of each individual layer was optimized prior to the fabrication of the ECD. Detailed sputtering parameters are listed in Table 2. The active size of the ECD was 5 × 5 cm<sup>2</sup>.

#### Characterization

The structural properties were determined by X-ray diffraction (XRD) using a Rigaku D/MAX-2500/PC diffractometer with a Cu K $\alpha$  source. The step size was 0.02°. The grazing incidence angle was 3° in parallel beam geometry with a 2 $\theta$  between 10 and 90°. The cross-sectional images were acquired by scanning electron microscopy (SEM) using a XL30 S-FEG from FEI, equipped with energy dispersive X-ray spectroscopy (EDX). The film thicknesses of each layer were determined by both SEM cross-sectional observation and surface profilometry using a Dektak instrument. X-ray photoelectron spectroscopy (XPS) measurements were carried out in a VG Scientific ESCALab Mark II photoelectron spectrometer. Spectra were acquired using a monochromatic Al anode. Peak shifts due to charging were normalized by fixing the peak of adventitious carbon to 284.6 eV.

AC impedance measurements for the ionic conductivity of  $Li_xMg_vN$  thin films were conducted at room temperature (25 °C). An a.c. voltage with 100 mV amplitude within the frequency range from 1 Hz to 1 MHz was applied on the Glass/SS/Li<sub>x</sub>Mg<sub>v</sub>N/SS sandwich configuration. The active size of the electrode was 1 mm<sup>2</sup>. The ECDs were electrically characterized based on step chronoamperometry (CA) and cyclic voltammetry (CV). The electrical data were recorded with a CHI600E electrochemical workstation. The potential window was -4 V (coloration) and +2.5 V (bleaching) for both CA and CV measurements. The duration was 30 s for coloring step and 30 s for bleaching step in CA cycles. CV cycles were performed at a scan rate of 100 mV s<sup>-1</sup>. Both CA and CV were measured for 1000 cycles, respectively. The optical transmittance data (at 600 nm) were acquired in situ during the electrochemical cycling of the ECD by using a Hitachi U-3010 UV-Vis spectrophotometer. The transmittance spectra of the device at its original, colored, and bleached states were measured over a wavelength of 300-800 nm.

## **Results and discussion**

Figure 1 shows the XRD pattern of the  $Li_xMg_yN$  thin film prepared on a glass substrate. The big hump around 22° can be attributed to the glass substrate. The absence of diffraction peaks indicates that the as-deposited  $Li_xMg_yN$  thin film was amorphous.

XPS measurement was carried out to investigate the surface electronic state and chemical composition of the as-deposited Li<sub>x</sub>Mg<sub>y</sub>N thin film. Figure 2a-c shows the XPS high-resolution spectra. A strong O 1s peak is observed, suggesting that the surface of the Li<sub>x</sub>Mg<sub>y</sub>N film was oxidized. The binding energies of Li 1s (55.1 eV), C 1s (289.8 eV), and O 1s (531.5 eV) are in good accordance with Li<sub>2</sub>CO<sub>3</sub>. The binding energy of Mg 2p (49.4 eV) is accordance with Mg(OH)<sub>2</sub>. The area of Li 1s region is much larger than Mg 2p region (see Fig. 2a). As the atomic sensitive factor of Mg 2p is much higher than Li 1s, it is implicated that the concentration of Li was much higher than Mg in the surface of the film. However, N 1s peak was not detected in the XPS measurement. In fact, moisture in the atmosphere can cause the degradation of Li<sub>3</sub>N due to its reaction with H<sub>2</sub>O to LiOH and NH<sub>3</sub>, followed by further reaction with CO<sub>2</sub> to  $Li_2CO_3$  at room temperature [32].  $Mg_3N_2$  can also react with H<sub>2</sub>O to Mg(OH)<sub>2</sub> and NH<sub>3</sub>. Therefore, the as-prepared Li<sub>x</sub>Mg<sub>y</sub>N thin film was highly sensitive to atmosphere. Sun et al. [33] and Zhang et al. [34] have reported similar results of XPS spectra for Li<sub>3</sub>N. They reported that the surface of Li<sub>3</sub>N consisted of Li<sub>3</sub>N, Li<sub>2</sub>CO<sub>3</sub>, and LiOH. Fig. 2d shows the EDX spectrum of the Li<sub>r</sub>Mg<sub>v</sub>N thin film deposited on silicon wafer substrate. The N peak is observed in the spectrum. Based on the above discussion, the surface of the film was comprised of mainly Li<sub>2</sub>CO<sub>3</sub>, a small amount of Mg(OH)<sub>2</sub>, and probable LiOH. The exact film composition was not available in this study. Li<sub>3</sub>N is known as a fast lithium ion conductor [35]. Lapp et al. [36] have investigated the ionic conductivities of pure and doped Li<sub>3</sub>N. They supposed that the incorporation of Mg<sup>2+</sup> substitutional ions in the Li<sub>3</sub>N lattice might be expected to create Li<sup>+</sup> vacancies for charge compensation, thereby, increasing the number of mobile Li<sup>+</sup> ions. But the actual conductivities  $(1.0 \times 10^{-4} \text{ S cm}^{-1})$  they obtained were not increased. Figure 3 shows a Nyquist impedance spectrum of a 300-nm thick  $Li_{x}Mg_{y}N$  film. The ionic conductivity  $\sigma$  was determined by using the equation of  $\sigma = d$  ( $R \times A$ ), where d is the thickness of the electrolyte, R is the resistance of the electrolyte, and A is the area of the electrode. The calculated ionic conductivity of the  $Li_xMg_yN$  film was  $3.3 \times 10^{-9}$  S cm<sup>-1</sup>. This value is much lower than the value reported by Lapp et al. (Mg doped Li<sub>3</sub>N crystal, bulk,  $1.0 \times 10^{-4}$  S cm<sup>-1</sup>). The amorphous structure of the  $Li_xMg_vN$  film might compromise the mobility of  $Li^+$  ions, and it should be mentioned that the residual oxygen in the chamber was probably incorporated into the  $Li_xMg_yN$  film during the sputtering process. This value is also lower than the ionic conductivity of LiPON thin films studied by Oukassi et al.  $(2.7 \times 10^{-6} \text{ S cm}^{-1})$  [26] and Su et al.  $(4.9 \times 10^{-6} \text{ S cm}^{-1})$  [27].

Table 2Deposition conditionsfor each layer of theelectrochromic device

Film	Target	Power <sup>a</sup> (W)	Pressure (Pa)	Ar/O <sub>2</sub> /N <sub>2</sub> (sccm)	Thickness (nm)
NiO	Ni	DC, 230	3	94/6/0	300
Si <sub>3</sub> N <sub>4</sub>	SiAl <sup>b</sup>	p-DC, 235	0.5	40/0/10	150
Li <sub>x</sub> Mg <sub>y</sub> N	LiMg <sup>c</sup>	p-DC, 180	0.8	100/0/10	300
WO <sub>3</sub>	W	DC, 300	2.2	30/10/0	300
TO	ITO	DC, 210	0.3	98/2/0	300

<sup>a</sup> DC and p-DC refer to direct current and pulsed direct current power sources, respectively

<sup>b</sup> Composition of SiAl alloy target is 90 wt% Si, 10 wt% Al

<sup>c</sup> Composition of LiMg alloy target is 20 wt% Li, 80 wt% Mg

The ECD fabricated in this research comprised WO<sub>3</sub> and NiO as the electrochromic active layers, Li<sub>x</sub>Mg<sub>y</sub>N as the electrolyte layer, and Si<sub>3</sub>N<sub>4</sub> as an electron blocking layer. The cross-sectional SEM image in Fig. 4 displays the layer stacks of the ECD. The ECD without the Si<sub>3</sub>N<sub>4</sub> layer, however, did not show stable and reversible coloration/bleaching in our study. The cyclic voltammogram (CV) showed mainly a straight line which indicated no capacitance characteristics. This is most likely caused by the poor dielectric property of the Li<sub>x</sub>Mg<sub>y</sub>N layer. The required voltage is shorted out, and the movements of lithium ions are suppressed due to the electronic currents which leak or pass through the ion conducting layer. According to Bogati et al. [31], we have applied Si<sub>3</sub>N<sub>4</sub> as a buffer layer between the  $Li_xMg_vN$  layer and the NiO layer. In our case, the optimal thickness of Si<sub>3</sub>N<sub>4</sub> was around 150 nm. As indicated in Fig. 5a, the transportation of Li<sup>+</sup> ions in Si<sub>3</sub>N<sub>4</sub> was fast and only a little decrease of charge capacity was observed. Fig. 5b shows the CV curves of NiO and Si<sub>3</sub>N<sub>4</sub>covered NiO in Mg<sup>2+</sup>-containing electrolyte. The big reduction of current density implies that the Mg<sup>2+</sup> ion intercalation into NiO film can be partially blocked by Si<sub>3</sub>N<sub>4</sub> layer. This provides another benefit for the device that the unwanted effect of possible Mg<sup>2+</sup> ion intercalation into NiO layer could be inhibited by Si<sub>3</sub>N<sub>4</sub> layer.

Transmittance spectra over a wavelength of 300-800 nm of the ECD in original, bleached, and colored states are shown in Fig. 6a. The cyclic voltages were -4 V for coloration and



Fig. 1 XRD pattern of the  $Li_xMg_yN$  thin film deposited on glass

2.5 V for bleaching, respectively. The optical modulation in the visible region is around 40% in average. The optical absorption at around 400 nm and residual color in the bleached device arise from NiO layer and top ITO layer, not from  $Li_xMg_yN$  layer which is highly transparent in the visible region as shown in Fig. 6d. It should be mentioned that the electric resistance of the top ITO layer was high (150  $\Omega$  Sq<sup>-1</sup>). The device required a high potential window to obtain a large optical modulation which can be attributed to the large resistance of the ITO electrode and also the comparatively low ionic conductivity of the  $Li_xMg_yN$  ion conductor. Figure 6b, c presents the bleached and colored states of the ECD. It is demonstrated that the coloration is homogeneous, and the color is neutral.

To study the dynamics of the switching process and test the electrochemical stability of the device, both step chronoamperometric cycles (CA) and cyclic voltammetries (CV) were carried out for 1000 cycles, respectively. The optical transmittance at 600 nm of the device was measured in real-time. The transmittance data of the ECD during 1000 CA cycles are shown in Fig. 7a. Except for the first few cycles, the bleached transmittance is maintained at a constant value of nearly 70%. The lowered bleached transmittance at the beginning is caused by the initial cycling of the NiO layer, which usually exhibits increased bleached transmittance upon multiple cycles of deintercalation/intercalation of lithium ions and electrons. The colored transmittance is first decreased to 30% in the first 200 cycles and then gradually increased to 45%. Figure 7b, c shows the CA curves of the device and the corresponding optical modulation ( $\Delta T$ ). It is evident that the device has an activation period and degradation period. The electrical activation and optical activation take place in the first 50 cycles. However, the electrical degradation begins immediately after the electrical activation, while the optical degradation begins after 200 cycles. The optical steady period sustains 150 CA cycles before apparent degradation. It is observed in Fig. 7c that the bleaching peak current drops much faster than coloration current after 50th CA cycle. The bleached transmittance also decreases after 5000 s as shown in Fig. 7a. We believed that this phenomenon is mainly associated with the degradation of NiO layer. According to Passerini et al. [37-39], electrochromism of nickel oxide in



Fig. 2 XPS high resolution spectra of the as-deposited Li<sub>x</sub>Mg<sub>y</sub>N thin film of a Li 1 s and Mg 2p core levels, b C 1s core level, and c O 1s core level. d EDX spectrum of 300 nm thick Li<sub>x</sub>Mg<sub>y</sub>N thin film deposited on silicon wafer substrate

Li containing electrolyte can be interpreted by a two-step process with an initial activation by

$$NiO + yLi^{+} + ye^{-} \rightarrow Li_{v}NiO$$
(1)

followed by a reversible reaction between bleached  $Li_yNiO$ and colored  $Li_{(y-z)}NiO$  according to

$$\text{Li}_{v}\text{NiO}\leftrightarrow\text{Li}_{(v-z)}\text{NiO} + z\text{Li}^{+} + ze^{-}$$
 (2)

Wen et al. [40] reported that degradation of nickel oxide thin film in LiClO<sub>4</sub>-PC was much faster than in KOH. The variation of bleached transmittance during the initial cycles can be explained by the activation and poor cycling durability of NiO film in Li based system.

As mentioned above, the inherent leakage current is a major concern to monolithic inorganic ECDs. Leakage current is defined as the steady state current when the rate of color changing becomes zero [30]. It is noteworthy that the leakage current in the ECD at colored state is decreased after 1000 CA cycles as indicated in Fig. 7b. Figure 8 illustrates the test results of open circuit memory effect of the ECD after 20 and 1000 CA cycles. The decreased leakage current after 1000 CA cycles leads to a better memory effect of the ECD. However, the coloration time is increased to reach the same colored level. Moreover, the CA current density during darkening of the device after 1000 cycles shows an anomalous slope which may be associated with a complex formatting process in the NiO layer. The origin of this needs further study.

Figure 9a shows the cyclic voltammograms of the ECD for 1000 cycles and Fig. 9b shows the corresponding evolution of the transmittance at 600 nm. Compared with CA cycles, the



Fig. 3 Nyquist impedance plots of 300 nm thick  $Li_xMg_yN$  thin film with an active electrode area of 1 mm<sup>2</sup> at 25 °C. The equivalent circuit model is also shown



Fig. 4 Cross-sectional SEM micrograph of the complete electrochromic device

CV cycles exhibit both good electrical and optical stability. The degradation of chronoamperometric cycles is much faster than cyclic voltammetries. This is associated with high current densities involved during the switching process. As discussed by Nagai et al. [16], defects in layer formation introduce hot spots which can act as degradation seed for the surrounding area. The degradation will be faster depending on the characteristic resistance of this hot spot. As expected, the CV cycles also show activation process which is though not as distinct as CA cycles. It takes 200 cycles to activate for CV cycles which is much slower than CA cycles. This also can attribute to the high current densities of the CA cycles.



Fig. 5 Cyclic voltammograms of NiO and Si<sub>3</sub>N<sub>4</sub>-covered NiO in (a) 1 M LiClO<sub>4</sub>-PC electrolyte and (b) 1 M Mg(ClO<sub>4</sub>)<sub>2</sub>-PC electrolyte, at a scan rate of 20 mV s<sup>-1</sup>



**Fig. 6 a** Optical transmittance spectra of the electrochromic device in original, bleached, and colored states. **b** Photograph of the bleached device. **c** Photograph of the colored device. The active size of the device was  $5 \times 5$  cm<sup>2</sup>. **d** Transmittance spectra of 300 nm thick Li<sub>x</sub>Mg<sub>y</sub>N thin film deposited on a silica glass substrate

Coloration efficiency (CE) is defined as the change of optical density ( $\Delta OD$ ) at a certain wavelength per unit of inserted (or extracted) charge density ( $\Delta Q$ ). The formula is defined as follows:

$$CE = \frac{\Delta OD(\lambda)}{\Delta Q} \tag{3}$$

$$\Delta OD(\lambda) = \log\left(\frac{T_b}{T_c}\right) \tag{4}$$

where CE denotes coloration efficiency,  $T_b$  and  $T_c$  refer to the optical transmittance of the film in bleached and colored states, respectively. It is desirable for most electrochromic devices that the CE should be as large as possible. The calculated values of transferred charge and coloration efficiency are



**Fig. 7 a** Evolution of transmittance at 600 nm of the ECD during the 1000 CA cycles. The inset displays the details of the first and last 10 cycles. **b** Step chronoamperometric curves of the device. **c** Evolution of peak current density and the corresponding recorded optical modulation at 600 nm in real-time during the 1000 CA cycles



**Fig. 8** Memory test of the ECD showing the self-bleaching transmittance at 600 nm under open circuit. The inset shows the chronoamperometric current of coloration process before the memory test



Fig. 9 a Cyclic voltammograms of the ECD for 1000 cycles. The arrows represent the sweeping direction. **b** In situ measurement of the transmittance at 600 nm during the 1000 CV cycles. **c** The calculated transferred charge during coloration/bleaching and coloration efficiency of the ECD for 1000 CV cycles

plotted in Fig. 9c. The charge density increases in the first 200 CV cycles and then slightly decreases to a stable state, while the coloration efficiency increases during the initial sweeps and tends towards stability upon subsequent cycles. The amounts of transferred charge during coloration and bleaching are basically equal, which demonstrates reversible electrochemical reactions. The value of coloration efficiency is approximately 77 cm<sup>2</sup> C<sup>-1</sup>, which is comparable to the reported values adopting complementary electrochromic layers [14, 41, 42]. A high coloration efficiency was achieved owing to the electrochromic effects of both WO<sub>3</sub> and NiO layers. The value of charge capacity is only around 2 mC cm<sup>-2</sup> which limits the electrochromic performance of the device. This demonstrates that the as-deposited Li<sub>x</sub>Mg<sub>y</sub>N layer had a limited Li ionic

conductivity. As discussed above, its amorphous structure and incorporation of  $Mg^{2+}$  ions into  $Li_3N$  network might compromise the mobility of  $Li^+$  ions. Moreover, it is highly likely that the residual oxygen was incorporated into the  $Li_xMg_yN$  layer during sputtering.

It is interesting that the cycling process of the ECD involved an initial activation stage. This is mainly related to the  $\text{Li}_x\text{Mg}_y\text{N}$  layer and  $\text{Si}_3\text{N}_4$  layer. With the increased cycles, more diffusion pathways were opened up for Li<sup>+</sup> ions. It also should be mentioned that the amounts of charge insertion (extraction) in WO<sub>3</sub> layer and extraction (insertion) from NiO layer were unbalanced during the first few cycles. There are several possible reasons that may contribute to the degradation of the electro-optical characteristics of the device, and among these are (1) atmospheric interaction (moisture or oxygen), (2) degradation of the Li<sub>x</sub>Mg<sub>y</sub>N layer, and (3) Li<sup>+</sup> ions trapping in WO<sub>3</sub> or NiO during the cyclic process.

# Conclusions

Li<sub>x</sub>Mg<sub>y</sub>N thin films were prepared by pulsed DC reactive magnetron sputtering from a commercially available LiMg alloy target. XRD indicated that the films were amorphous. XPS analysis revealed a surface composition of predominant Li<sub>2</sub>CO<sub>3</sub>, Mg(OH)<sub>2</sub>, and probable LiOH, and the N peak was observed in EDX spectrum. The calculated ionic conductivity of the Li<sub>x</sub>Mg<sub>v</sub>N film was  $3.3 \times 10^{-9}$  S cm<sup>-1</sup>. The integration of Li<sub>x</sub>Mg<sub>y</sub>N film into the electrochromic device was successful when a 150 nm thick Si<sub>3</sub>N<sub>4</sub> layer was added between Li<sub>x</sub>Mg<sub>y</sub>N layer and NiO layer. The leakage current in the ECD was significantly reduced by Si<sub>3</sub>N<sub>4</sub>; moreover, the possible Mg<sup>2+</sup> ion intercalation into NiO layer could be suppressed. The ECD fabricated under the optimized conditions showed an optical modulation of around 40% in the visible region. Chronoamperometric cycles demonstrated evident activation and degradation of the electro-optical properties of the ECD. While the voltammetric cycles were comparatively stable, this can be attributed to the high current densities during the CA cycles, which accelerated the activation and degradation processes of the device. The open circuit memory effect was improved with the increased CA cycles. Some unknown changes may take place in Li<sub>x</sub>Mg<sub>v</sub>N layer or Si<sub>3</sub>N<sub>4</sub> layer which needs further investigation. The charge density of the ECD was  $2 \text{ mC cm}^{-2}$ , and the coloration efficiency was around 77 cm<sup>2</sup> C<sup>-1</sup> at 600 nm. Further work is required to improve the electrochromic performance and cycling durability of the device.

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