



# Chordoma: a systematic review of the epidemiology and clinical prognostic factors predicting progression-free and overall survival

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## Abstract

**Background and aims** The aim of this systematic review is to describe the epidemiology of chordoma and to provide a clear overview of clinical prognostic factors predicting progression-free and overall survival.

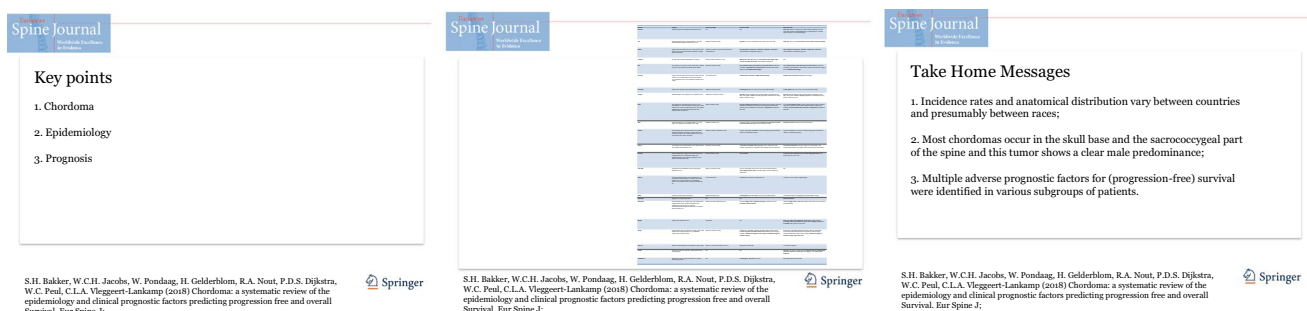
**Methods** Four databases of medical literature were searched. Separate searches were performed for each of the two objectives. Reference and citation tracking was performed. Papers were processed by two independent reviewers according to a protocol that included risk of bias analysis. Disagreement was resolved by discussion. Pooled analyses were planned if homogeneity of data would allow.

**Results** Incidence—incidence rates ranged between 0.18 and 0.84 per million persons per year and varied between countries and presumably between races. On average patients were diagnosed in their late fifties and gender data indicate clear male predominance. Two of the largest studies ( $n = 400$  and  $n = 544$ ) reported different anatomical distributions: one reporting the skull base and sacrococcygeal area affected in 32% and 29% of cases, whereas the other reporting that they were affected in 26% and 45% of cases, respectively.

**Prognostic factors** Statistically significant adverse prognostic factors predicting progression-free and overall survival include female sex, older age, bigger tumour size, increasing extent of tumour invasion, non-total resection, presence of metastasis, local recurrence, and dedifferentiated histological subtype.

**Conclusions** Incidence rate and anatomical distribution vary between countries and presumably between races. Most chordomas arise in the skull base and sacrococcygeal spine, and the tumour shows clear male predominance. Multiple adverse prognostic factors predicting progression-free and overall survival were identified in subgroups of patients.

**Graphical abstract** These slides can be retrieved under Electronic Supplementary Material.



**Electronic supplementary material** The online version of this article (<https://doi.org/10.1007/s00586-018-5764-0>) contains supplementary material, which is available to authorized users.

Extended author information available on the last page of the article

**Keywords** Chordoma · Epidemiology · Prognosis · Survival · Systematic review

## Introduction

Chordoma is the most common primary malignant bone tumour of the spine and also frequently affects the skull base [1, 2]. They are thought to arise from remnants of the embryonal notochord and were first described by Virchow in 1857, who mistakenly thought the tissue was softened cartilage with hydropic degeneration of cells and hence coined the term *echondrosis physaliphora* [3]. Müller [4] was first to suggest in 1858 that these tumours may be of notochordal origin. In humans, most notochordal remnants disappear during the first years of life [5]. By a mechanism still unknown, in some people notochordal tissue remains along the axial skeleton, which explains the locations where chordoma occurs: the bulk arises at the sacrum or clivus and the remainder occur at varying levels of the mobile spine. However, not every remnant of notochord transforms into chordoma. Sometimes the tissue develops into a benign notochordal cell tumour, and the majority of these are harmless [6]. The mechanism by which some remnants transform into malignant tissue remains largely unclear, but some genetic alterations, most importantly in the *brachyury* gene, have been associated with chordoma [7]. Chordoma is considered a low-to-intermediate grade, slow-growing sarcoma, which may lead to the false belief that they are relatively benign tumours. Because of their propensity to grow in a destructive and invasive way, radical resection is challenging—even for the experienced surgeon—and is often not possible without causing morbidity or even mortality. Additionally, these tumours are fairly resistant to radiation therapy and systemic therapy. For this reason, a lot of effort is put into developing effective forms of adjuvant therapy, mainly in the shape of high-dose radiation therapy (protons and carbon ions) and chemotherapy. Research also focuses on identifying prognostic factors predicting outcome of treatment. Based on such factors, physicians can alter treatment plans and counsel patients. In recent years, many papers describing an abundance of prognostic factors were published, but to this day, no overview has been provided.

In addition to this, there is an ongoing controversy about epidemiology of chordoma. The debate focuses on the incidence rate of chordoma and which part of the axial skeleton is most frequently affected. This is a significant question, since treatment of skull base chordoma and chordoma of the spine differs in important ways (e.g. extralesional vs. intralesional resection) and patients suffer different symptoms and signs depending on the location and treatment of the tumour. The goal of this systematic review is to accurately describe the epidemiology of chordoma and to provide a clear overview of clinical prognostic factors predicting

progression-free survival (PFS) and overall survival (OS). In doing so, it aims to facilitate decision-making, improve patient counselling, and guide future research. Special attention is paid to the increasingly important role of radiation therapy.

The second part of this systematic review, to be published later, will investigate the long-term outcome of (combined) treatment strategies for chordoma.

## Methods

In order to provide a complete, objective, and easily reproducible review of the current literature, a protocol was designed before the literature search was conducted. The protocol specified the aims of the systematic review, the search strategy, the selection criteria, the process of risk of bias assessment, and guidelines for the extraction and synthesis of data.

### Search strategy

With the aid of a health care librarian specialised in literature research, a sensitive search strategy was composed for PubMed, Embase, Web of Science, and the Cochrane Library. A search string for the target patient population was separately combined with a search string for epidemiological studies and a search string for studies on prognostic factors for PFS and OS. In order not to limit search results, no search strings were used for therapy and outcome. Additionally, reference and citation tracking was performed on included papers. To further increase the reach of the search strategy, a search string for (systematic) reviews and meta-analyses was made. The reference lists of the papers identified through this search string were planned to be searched for original papers that met inclusion criteria and were not yet identified through the aforementioned searches. The search was conducted in October 2016. The full search strategies can be found in supplementary material.

### Selection criteria—epidemiological papers

Papers had to be population-based cohort studies, registering all incident cases of histologically proven chordoma, and had to be written in English, German, French, or Dutch. The country or region under study and details of the population under surveillance had to be described, as well as the method of case collection. Papers not reporting incidence number were excluded.

## Selection criteria—prognostic papers

Papers could be either prospective or retrospective, and their goal had to be to assess clinical prognostic factors predicting PFS, OS, or both. Patients had to have histologically proven chordoma. Papers were excluded when selection of patients was performed based on age or gender or when patient cohorts overlapped with those of a more recently published paper. In addition, papers excluding patients lost to follow-up without proper cause and explanation were excluded. At least 20 consecutive patients had to be included, and the paper had to be written in English, German, French, or Dutch. Papers published before 1990 were not considered for inclusion.

The selection process was carried out independently by two reviewers (SB and WJ). When the abstract provided insufficient information, the full text article was assessed. When disagreement arose, a third reviewer (CVL) made the final decision about inclusion.

## Risk of bias assessment

Risk of bias assessment was conducted separately for the epidemiological and the prognostic papers included in this review. For epidemiological papers, the checklist developed by Hoy et al. [8] was used. For prognostic papers, the checklist by Hayden et al. [9] was used. Both checklists were validated previously and provide summary scores. Risk of bias assessment was carried out independently by two reviewers (SB and CVL). When discussion failed to resolve disagreement, a third reviewer (WJ) made the final decision about inclusion.

## Data extraction and synthesis

Prior to data extraction, forms were drafted onto which data were to be collected. Subsequently, data were extracted by one reviewer (SB) and checked for mistakes by another (WJ). For epidemiological studies, data on the country under study, the time period under investigation, tumour location, and patient demographics were collected. For prognostic studies, data on treatment, patient demographics, duration of follow-up, and clinical prognostic factors were collected. Pooled analyses were planned to be performed if homogeneity of data would allow.

## Results

### Epidemiology

#### Literature search

Through the literature search, a total of 1383 citations were identified. After the removal of duplicates, 963 original citations remained (Fig. 1). The selection procedure further narrowed the number of papers down to 6 that met all criteria for inclusion [10–15]. Reference and citation tracking resulted in one additional paper that was included [16]. Checking the reference lists of (systematic) reviews and meta-analyses failed to identify new papers. This brought the total number of included papers to 7. An overview of study characteristics is provided in Table 1. The decision was made to include both studies from the USA, regardless of their overlapping time periods, because the study by Smoll et al. [12] spans a longer time period, but does not report the anatomical distribution of the tumour.

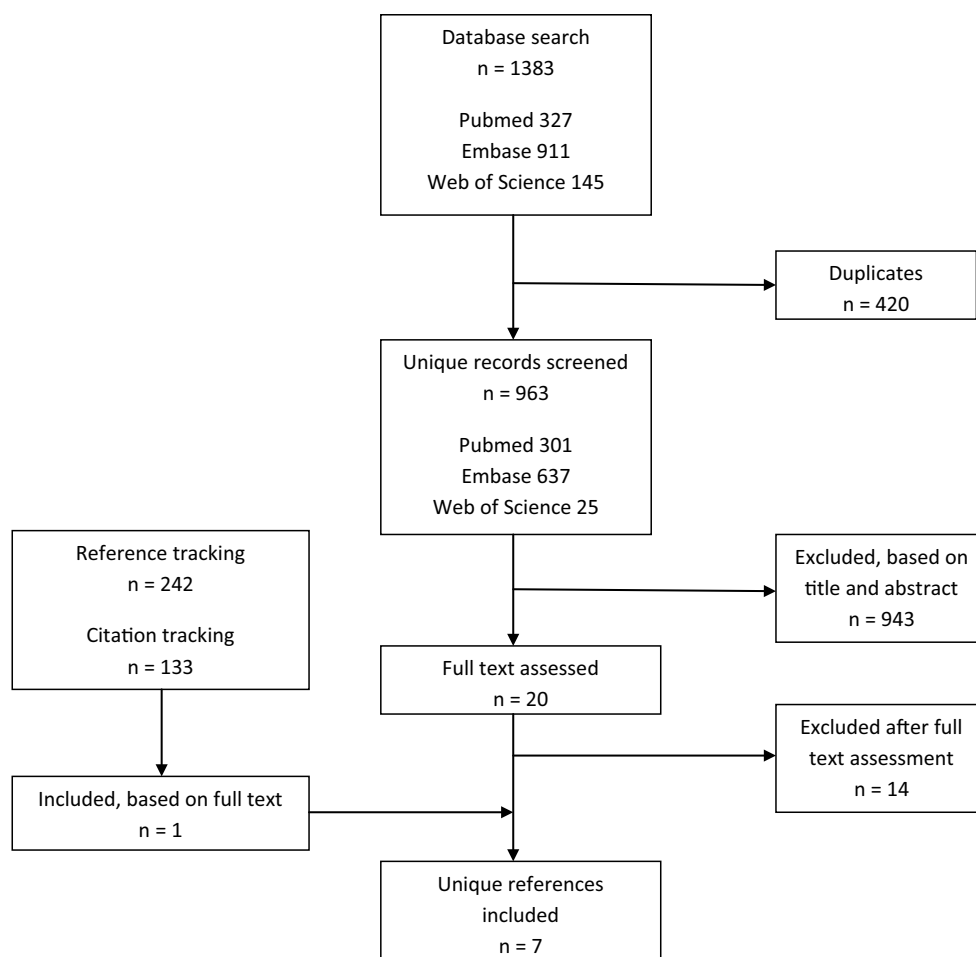
#### Risk of bias assessment

Consensus about risk of bias scores was reached for all studies. All studies were concluded to have a low risk of bias. The study with the highest risk of bias still had a summary score of 7 out of 10 points [14]. Table 2 provides an overview of risk of bias assessment.

#### Overview

All studies were population-based cohort studies and were published in English between 1976 and 2013. An overview of the extracted data is given in Table 3. The data on incidence all originate from nation- or statewide registries, located in several European countries, the USA, and Taiwan. For all registries histological confirmation of the diagnosis was required. The three largest studies used the SEER database in the USA and the National Cancer Data Repository and the Office of National Statistics in England, with case numbers ranging from 400 to 1062 [10–12]. Incidence rates ranged from 0.18 to 0.84 per million persons per year and varied per country.

The most striking difference in incidence rates was observed comparing the English study, which reports an incidence of 0.3–0.4 cases per million inhabitants per year with the largest US study, which reports an incidence rate of 0.84 cases per million persons per year [11, 12]. The data used by the English study were more similar to the incidence rates observed for the other European countries and the incidence rate reported for the Taiwanese study, varying from



**Fig. 1** Flowchart—epidemiology

**Table 1** Risk of bias—epidemiology

	McMaster	Whelan	Smoll	Stiller	Eriksson	Hung	Paavolainen
<i>External validity</i>							
Close representation of national population	+	+	+	+	+	+	+
Sampling frame true to or close to target population	+	+	+	+	+	+	+
Random selection or census undertaken	+	+	+	+	+	+	+
Likelihood of non-response bias minimised	+	+	+	+	+	–	+
<i>Internal validity</i>							
Data collected directly from subjects	+	+	+	+	+	+	+
Acceptable case definition	+	+	+	+	+	+	+
Reliable and valid measurement of parameters	+	+	+	+	+	–	+
Same mode of data collection for all subjects	+	+	+	+	+	+	–
Appropriate length of incidence period	+	+	+	+	+	+	+
Appropriate numerator and denominator	+	+	+	+	–	–	–
Summary score	10/10	10/10	10/10	10/10	9/10	7/10	8/10

0.18 to 0.52 per million persons per year and the incidence rate of < 1 per million per year for the Europe-wide study [13–16].

On average, patients were diagnosed in their late fifties and gender data indicated a clear male predominance. Studies reported different anatomical distributions, again

**Table 2** Study characteristics—epidemiology

Authors	Year of publication	Study quality	Country or region	Time period	Registry	Case definition
McMaster	2001	10/10	USA	1973–1995	Surveillance, Epidemiology, and End Results programme	ICD-O-2 9370/3
Whelan	2012	10/10	England	1979–2007	National Cancer Data Repository and the Office of National Statistics	ICD-O M937
Smoll	2013	10/10	USA	1973–2009	Surveillance, Epidemiology, and End Results programme	ICD-O-3 9370/3
Stiller	2013	10/10	Europe	1995–2002	RARECARE project, using 64 cancer registries across Europe	ICD-O-3 9370
Eriksson	1981	9/10	Sweden	1958–1970	Swedish Cancer Registry	Histology
Hung	2014	7/10	Taiwan	2003–2010	Taiwan Cancer Registry	ICD-O-3
Paavolainen	1976	5/10	Finland	1953–1971	Finnish Cancer Registry	Histology

**Table 3** Extracted data—epidemiology

Authors	Number of cases	Incidence rate/10 <sup>6</sup> /year	Age	Gender	Anatomical distribution	Study quality
McMaster	400	0.80	Median 58.5 (range 3–95)	M: 1.0/10 <sup>6</sup> F: 0.6/10 <sup>6</sup> ( $p=0.0002$ )	SB: 32% MS: 32.8% SC: 29.2% Other: 6.0%	10/10
Whelan	544	1979–1987: 0.3 1988–1997: 0.3 1998–2007: 0.4	NR	NR	SB: 26% MS: 23% SC: 45% Missing: 6%	10/10
Smoll	1062	0.84	Median 58 (interquartile range 29 years)	M: 1.06/10 <sup>6</sup> F: 0.66/10 <sup>6</sup>	NR	10/10
Stiller	352	< 1	0–14: <0.1/10 <sup>5</sup> 15–24: <0.1/10 <sup>5</sup> 25–64: <0.1/10 <sup>5</sup> 65+: 0.1/10 <sup>5</sup>	M: 1/10 <sup>6</sup> F: < 1/10 <sup>6</sup>	NR	10/10
Eriksson	51	0.51	Mean 57 (range 6–87)	M: 51% F: 49%	SB: 27% MS: 16% SC: 57%	9/10
Hung	83	0.40 M: 0.52 (0.38–0.66) F: 0.25 (0.15–0.35)	0–24: 6 25–59: 48 ≥ 60: 29	M: 67% F: 33%	NR	7/10
Paavolainen	20	M: 0.30  F: 0.18	Mean 55.5 (youngest patient in 0–9 group, oldest in 70–79)	M: 60%  F: 40%	SB: 10%  MS: 15% SC: 75%	5/10

SB skull base, MS mobile spine, SC sacrum/coccyx, NR not reported

most strikingly for England and the USA; whereas the English study showed that the sacrum or coccyx was affected in 45% of cases and the skull base in 26% of the cases, the US study reported these sites were affected in 29% and 32% of cases, respectively [10, 11]. The mobile

spine was found to be affected in 15–33% of cases [10, 11, 13, 15]. The US study by McMaster et al. reported incidence rates of chordoma in blacks to be only one-fourth of that in whites. However, as reported by the authors, the

number of patients on which this observation is based was too limited to draw definitive conclusions [10].

## Prognosis

### Literature search

The literature search identified 1754 citations, of which 1187 remained when duplicates were removed. Further selection based on title, abstract, and full text resulted in 22 papers that met all criteria to be considered for further analysis [17–38]. After reference screening and citation tracking, two more papers meeting all inclusion criteria were found [39, 40]. Screening reference lists of (systematic) reviews and meta-analyses did not result in the identification of any new papers. As a result, a total number of 24 papers were included (Fig. 2). An overview of study characteristics is provided in Table 4.

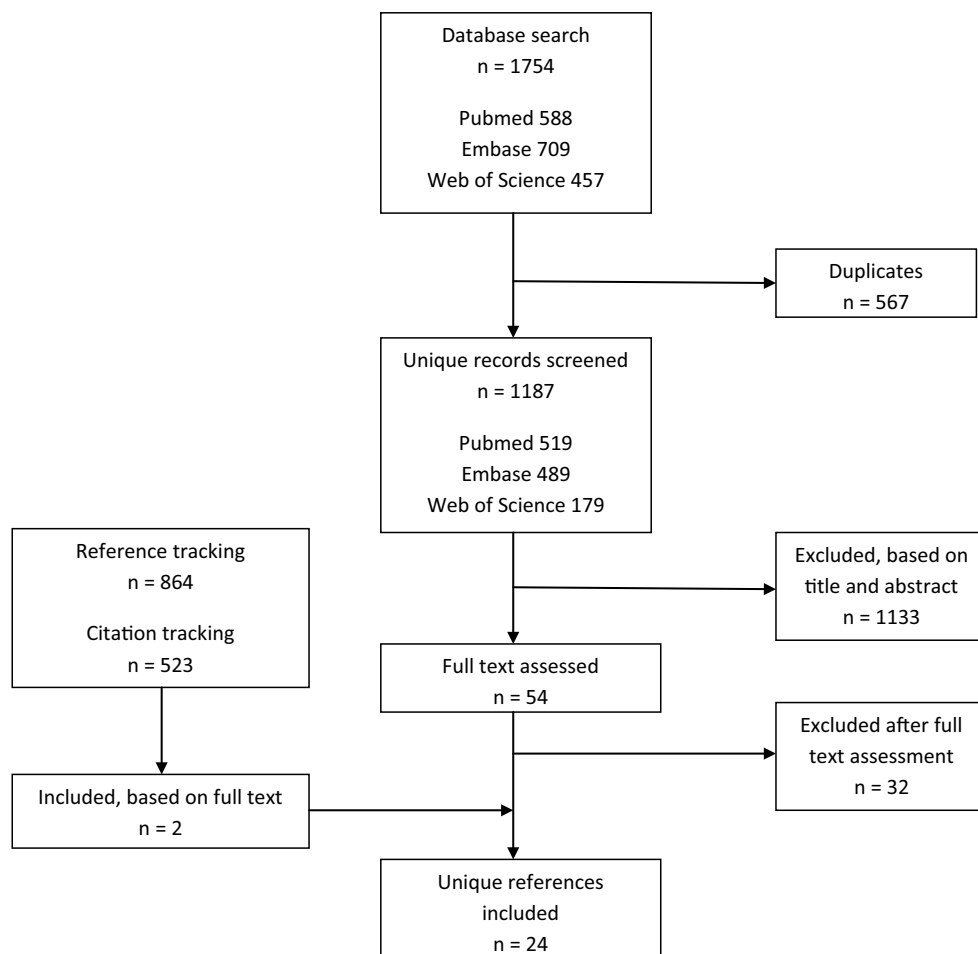
### Risk of bias assessment

After consulting the third reviewer, consensus about risk of bias score was reached in all cases. Sixteen papers were considered to have low risk of bias (scores 5–6 out of 6; Table 5). The remaining seven studies with higher risk of bias failed to pay appropriate attention to potential confounding variables and adequate statistical analysis [27, 28, 31–35].

It should be noted that all studies were case series and as such should not be considered high-quality evidence, despite their reasonable risk of bias scores.

### Overview

All papers were published in English between 1993 and 2016, and the majority were published between 2009 and 2016 [17–19, 21–26, 32–35, 37–40]. The number of patients varied substantially between studies, ranging from 23 to 962 patients. Patient age in studies concerning chordoma of the skull base was typically in the forties, whereas patient



**Fig. 2** Flowchart—prognosis

**Table 4** Risk of bias—prognosis

	Stacehiotti	McGirt	Ouyang	Noël	Jawad	Yasuda	Chen YL	Bohman	Dubory	Mima	Uhl
Study sample representative	+	+	+	+	+	+	+	+	+	+	+
LTFU not associated with key characteristics	+	–	+	+	+	–	+	–	–	–	–
Adequate measurement of prognostic factors	+	+	+	+	+	+	+	+	+	+	+
Adequate measurement of outcome	+	+	+	+	+	+	+	+	+	+	+
Potential confounders accounted for	+	+	+	–	+	+	–	+	+	+	+
Appropriate statistical analyses	+	+	+	+	+	+	+	+	+	+	+
Summary score	6/6	5/6	6/6	5/6	6/6	5/6	5/6	5/6	5/6	5/6	5/6
<hr/>											
	O'Connell	Thieblemont	Cheng	Terahara	Bergh	Schwab	Chen KW	Wu	Mukherjee	Forsyth	
Study sample representative	+	+	+	+	+	+	+	–	+	+	
LTFU not associated with key characteristics	+	+	+	+	+	+	+	–	–	–	
Adequate measurement of prognostic factors	+	+	+	+	+	–	+	+	+	+	
Adequate measurement of outcome	+	+	+	+	+	+	+	+	+	+	
Potential confounders accounted for	–	–	–	–	–	–	–	+	–	+	
Appropriate statistical analyses	–	–	–	–	–	–	–	+	–	+	
Summary score	4/6	4/6	6/6	5/6	4/6	3/6	4/6	4/6	3/6	5/6	
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	Boari et al.	Weber et al.	Meng et al.								
Study sample representative	+	+	+								
LTFU not associated with key characteristics	+	+	+								
Adequate measurement of prognostic factors	+	+	+								
Adequate measurement of outcome	+	+	+								
Potential confounders accounted for	+	+	+								
Appropriate statistical analyses	+	+	+								
Summary score	6/6	6/6	6/6								
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<i>LTFU</i> long-term follow-up											

**Table 5** Characteristics—prognosis

Authors	Year of publication	Study quality	Number of patients	Age	Sex	Tumour location
Bohman	2014	5/6	416	< 18 (9%) 18–39 (28%) 40–59 (34%) 60–84 (28%) ≥ 85 (1%)	M: 56% F: 44%	SB: 100%
Uhl	2014	5/6	155	Median: 48 (range 15–85)	M: 49% F: 51%	SB: 100%
Weber	2016	6/6	151	Mean: 43.3	M: 57% F: 43%	SB: 100%
Terahara	1999	4/6	115	Median: 45 (range 19–80)	M: 57.4% F: 42.6%	SB: 100%
Wu	2010	4/6	79	Mean 35.6 <sup>a</sup> (range 7–65)	M: 56.6% F: 43.4% <sup>a</sup>	SB: 100%
Ouyang	2014	6/6	77 <sup>b</sup>	Mean: 42.5	M: 65% F: 35%	SB: 100%
O’Connell	1994	4/6	62	Median M: 36 F: 37	M: 53.2% F: 46.8%	SB: 100%
Forsyth	1993	1/6	51	Median: 46	M: 55% F: 45%	SB: 100%
Boari	2016	6/6	45	Mean: 46.7	M: 67% F: 33%	SB: 100%
Noël	2004	5/6	90 <sup>c</sup>	Mean: 49 (range 10–85)	M: 57.8% F: 42.2%	SB: 93.3% CS: 6.7%
Yasuda	2012	5/6	40	Mean: 45.1 (range 11–68)	M: 70% F: 30%	SB: 42.5% CVJ: 32.5% CS: 25%
Cheng	1999	4/6	23	Mean: 55 (range 28–85)	M: 60.9% F: 39.1%	LS: 17.4% SC: 82.6%
Schwab	2009	4/6	42	NR	M: 70% F: 30%	S: 100%
Chen KW	2010	4/6	36	Mean: 51.5 (range 18–77)	M: 56% F: 44%	S: 100%
Dubory	2014	5/6	29	Mean: 53.3 (range 25–75)	M: 59% F: 41%	S: 100%
Mima	2014	5/6	23	Median: 72 (range 35–84)	M: 65% F: 35%	S: 100%
Mukherjee	2011	4/6	414	Mean: 59.9	M: 62.8% F: 37.2%	MS: 47.1% SC: 52.9%
Stacchiotti	2010	6/6	138	Median: 59 (range 50–65)	M: 65.9% F: 34.1%	CTS: 6.5% LS: 15.2% S: 78.3%
McGirt	2011	6/6	114	Mean: 57.5	M: 63% F: 37%	MS: 42% SC 58%
Bergh	2000	4/6	39	Mean: 55 (range 25–81)	M: 44% F: 56%	CS: 12.8% TS: 2.5% LS: 7.5% S: 77.2%



**Table 5** (continued)

Authors	Year of publication	Study quality	Number of patients	Age	Sex	Tumour location
Chen YL	2013	5/6	24	Median: 69.5	M: 54% F: 46%	CS: 8% TS: 4% LS: 8% S: 80%
Jawad	2010	5/6	962	0–29 (12.2%) 29–59 (42.6%) > 59 (45.2%)	M: 58.4% F: 41.6%	SB: 42.1% MS and others: (28.4%) S: 29.5%
Thieblemont	1995	4/6	26	Median: 50 (range 22–73)	M: 57.7% F: 42.3%	SB: 30.8% CS: 15.4% TS: 3.8% LS: 11.5% S: 38.5%
Meng	2015	6/6	153	Mean: 54.5 (range 15–81)	M: 65% F: 35%	C1–C2: 12% C3–L5: 26% Sacrum: 62%

<sup>a</sup>Reported for 106 patients—only 79 were analysed, *SC* sacrum/coccyx, *NR* not reported

<sup>b</sup>Follow-up information for 66 patients, *SB* skull base, *CTS* cervicothoracic spine, *CVJ* craniovertebral junction

<sup>c</sup>64 chordomas, *CS* cervical spine, *LS* lumbar spine, *TS* thoracic spine

age in studies examining the entire vertebral column and skull base combined was commonly in the fifties. The latter matched the findings of the epidemiological papers. Again, a clear male predominance for the occurrence of chordoma was observed. Studies examining both the skull base and entire vertebral column found anatomical distributions slightly different from those reported in the epidemiological studies from England and the USA, with especially the skull base being more frequently affected in the prognostic study by Jawad and Scully [21]. Follow-up ranged from 0 to 356 months. Table 6 provides an overview of the extracted data. Patient characteristics and methods differed significantly; hence, no pooled analyses could be performed.

Nine papers reported exclusively on the skull base [19, 24, 27, 30, 34, 36–39]. All patients in these studies were treated by surgery, radiation therapy, chemotherapy, or a combination of these. Significant adverse prognostic factors for PFS were non-total resection, dedifferentiated histological subtype, age > 40 years, history of prior treatment, gross tumour volume > 25 cc, tight tumour adherence to a vital structure, rhinopharynx invasion, optic apparatus compression, brainstem compression, and no or lower dose of radiation therapy. Female gender and male gender were both reported as significant adverse prognostic factors for PFS [27, 30]. Significant adverse prognostic factors for OS were non-total resection, dedifferentiated histological subtype, age > 40 years, a history of prior treatment, tumour size  $\geq 4$  cm, gross tumour volume > 25 cc, rhinopharynx invasion, optic apparatus compression, brainstem compression,

and again no or a lower dose of radiation therapy and female gender.

Seven studies reported solely on the vertebral column [17, 18, 23, 29, 31, 35, 40]. All patients were treated by surgery, radiation therapy, or a combination of both. Significant adverse prognostic factors for PFS were a relatively bigger tumour, dedifferentiated subtype, preoperative Frankel scores A–C, older age, inadequate surgical margins, non-en bloc resection (as compared to en bloc resection), a history of prior treatment or an invasive diagnostic procedure performed at another hospital, and a tumour localised in C1–C2 or S1–S5. The following significant adverse prognostic factors for OS were mentioned: a relatively bigger tumour, older age, earlier year of diagnosis, prior treatment, Enneking stage II–III, lower tumour location, increasing extent of tumour invasion, presence of distant metastasis, tumour localised in C1 or C2 or S1–S5, Karnofsky performance score < 80, no preoperative tumour embolisation, complication after surgery, inadequate surgical margins, and non-en bloc resection (as compared to en bloc resection).

Four studies focused on the sacrum [25, 26, 32, 33]. Patients were treated by tumour embolisation, (cryo)surgery, radiation therapy, chemotherapy, or a combination of these. Adverse prognostic factors reported to be of significant influence on PFS were female gender, a history of prior resection, and surrounding muscle invasion. Significant adverse prognostic factors for overall survival were local recurrence, presence of metastasis, a history of prior resection, and a high-grade lesion.

**Table 6** Extracted data—prognosis

Authors	Therapy	Follow-up (range)	Adverse PF—PFS	Adverse PF—OS
Bohman	Radical resection 29%, adjuvant external beam RT 42%	NR	NR	<b>Older age</b> , male sex, non-white race, earlier decade of diagnosis, tumour not confined to peritosteum, <b>tumour size <math>\geq 4</math> cm</b> , no postoperative RT, no radical surgical resection, non-chondroid subtype
Uhl	Surgery 90% (biopsy 10%, R2 resection 90%—35% had recurrent disease). Adjuvant carbon ion RT 100%	Median 72 months (12–165)	<b>Age <math>\geq 48</math></b> , PTV > 75 ml, male gender, dose < 60 Gy, RT for recurrence	<b>Older age</b> , PTV2 > 75 ml, <b>boost volume &lt; 75 ml, RT after recurrent treatment</b>
Weber	Surgery with curative intent prior to RT 100% (76% for initial disease; 24% for recurrent disease). Pencil beam scanning proton therapy 100%	Median 50 months (4–176) for both chondroma and chondrosarcoma	<b>Optic apparatus compression, brainstem compression, GTV &gt; 25 cc</b> , recurrent disease, female gender, age > 40	<b>Optic apparatus compression, brainstem compression, GTV &gt; 25 cc</b> , recurrent disease, female gender, age > 40
Terahara	RT 100% (98% combined proton/photon; 2% proton)	Mean 52 months, median 41 (5–174)	<b>Male gender, older age</b> , histology, <b>lower minimum dose, bigger target volume, lower EUD, lower D5cc</b> , other dosimetric parameters	NR
Wu	Prior surgery 21.5%, prior RT 13.9%, surgery 100%, adjuvant RT 50.6% (< 3 cm residual tumour GKRS, others LINAC)	Mean 63.9 months (10–158)	<b>Prior operation history, prior RT history, non-total resection</b> , hard tumour consistence, <b>tight adherence to vital structure</b> , extensive tumour location, stage III tumour, <b>dedifferentiated subtype</b>	<b>Prior operation history, prior RT history, non-total resection</b> , hard tumour consistence, tight adherence to vital structure, extensive tumour location, stage III tumour, <b>dedifferentiated subtype</b>
Ouyang	Surgery 100% (for primary resection group: total or near-total resection 33%, subtotal resection 48%, partial resection 12%), adjuvant RT 33% (< 3 cm residual tumour GKRS, others LINAC)	50.4 months (6–142)	<b>Smaller extent of resection, dedifferentiated subtype</b>	<b>Smaller extent of resection</b> , dedifferentiated subtype
O'Connell	Surgery 100%, adjuvant combined proton/photon RT 100%	Median 69 months (20–158)	<b>Female gender</b> , age > 40, volume > 70 ml, non-chondroid subtype	<b>Female gender</b> , age > 40, volume > 70 ml, non-chondroid subtype
Forsyth	Subtotal resection 78%, biopsy only 22%, adjuvant RT 76%	Median 99.6 months (67.2–356.4)	<b>Age <math>\geq 40</math></b> , bad neurological function, symptom duration prediagnosis > 365 days, male gender, headache, <b>diplopia</b> , papilledema, extraocular motor palsy, biopsy or shunt only, no RT	<b>Age <math>\geq 40</math></b> , bad neurological function, symptom duration prediagnosis > 365 days, male gender, headache, <b>diplopia</b> , papilledema, extraocular motor palsy, biopsy or shunt only, no RT
Boari	Prior surgery 22%, prior biopsy 18%, prior RT 7%, prior chemotherapy 7%, GTR 42%, subtotal resection 40%, partial resection 13%, biopsy 5%, adjuvant proton RT 42%, adjuvant fractionated RT 16%, adjuvant Gamma Knife RT 18%, adjuvant imatinib mesylate 4%	Mean 76 months (1–240)	<b>Age &gt; 48, rhinopharynx invasion</b> , female gender, previous surgery, brainstem compression, cavernous sinus invasion, dural involvement, classic tumour histology, less than subtotal tumour resection, <b>no adjuvant RT</b> , preoperative KPS < 80	<b>Age &gt; 48, rhinopharynx invasion</b> , female gender, previous surgery, brainstem compression, cavernous sinus invasion, dural involvement, classic tumour histology, <b>less than subtotal tumour resection, no adjuvant RT</b> , preoperative KPS < 80

**Table 6** (continued)

Authors	Therapy	Follow-up (range)	Adverse PF—PFS	Adverse PF—OS
Noël	Complete resection 17%, incomplete resection 71%, only biopsy 12%, adjuvant fractionated proton RT 100%	Median 34 months (3–74)	Histological subtype, older age, <b>lower minimum dose delivered, lower dose</b> delivered to 95% of the GTV, other dosimetric parameters	<b>Failed local control</b> , older age, dosimetric parameters
Yasuda	Previous surgery 42.5%, previous RT 45%, preoperative occlusion of involved vessel 12.5%, surgery 100% (43% radical, 48% subtotal, 10% partial), adjuvant RT 75% (43% proton/photon, 25% proton, 5% photon)	Mean 46.1 months, median 56.5 (3–99)	Age ≥ 47, male gender, <b>CCJ tumour</b> , recurrence surgery group, non-extensive resection, non-chondroid subtype	Age ≥ 40, male gender, CCJ tumour, recurrence surgery group, non-extensive resection, non-chondroid subtype
Cheng	Total resection 30%, subtotal resection 22%, partial resection 48%, adjuvant external beam RT 57%	Mean 85.2 months (18–288)	<b>Lower tumour localisation</b> , older age, bigger tumour, male gender, rectal invasion, longer duration of symptoms, tumour extension beyond cortical bone	<b>Lower tumour localisation</b> , older age, bigger tumour, male gender, rectal invasion, longer duration of symptoms, tumour extension beyond cortical bone
Schwab	Previous surgery 29%, surgery 100% (wide resection 63%, marginal resection 7%, contaminated margin 19%, intralesional resection 9%), adjuvant cryosurgery 14.3%, adjuvant external beam RT 36%	Mean 46 months (1–169)	<b>Prior resection</b>	<b>Local recurrence, metastasis, prior resection, high-grade lesion</b> , male gender, age > 65, tumour > 8 cm
Chen KW	Preoperative tumour embolisation 100%, surgery 100%, adjuvant RT 41.7%	Mean 74.4 months (16–182)	Age > 50, male gender, tumour size > 9.15 cm, tumour location above S3, <b>surrounding muscle invasion</b> , non-wide surgery, non-wide margins, no adjuvant RT	NR
Dubory	Previous intralesional surgery 14%, preoperative RT 7%, preoperative imatinib mesylate 14%, surgery 100% (wide resection 62%, marginal resection 21%, intralesional resection 14%), adjuvant RT 55%, adjuvant chemotherapy 3%	77.9 months (0–241)	Postoperative local infection, postoperative RT	Tumour size > mean, positive surgical margins
Mima	RT 100% (70% carbon ion, 30% proton)	Median 38 months (7–78)	<b>Female gender</b> , dose fractionation, ion type, tumour volume ≥ 400 ml	Tumour volume ≥ 400 ml, female gender, dose fractionation, ion type
Mukherjee	Surgery 87.7%, (adjuvant) RT 46.5%	NR	NR	<b>Distant metastasis</b>
Stacchiotti	Previous surgery 22.4%, surgery 100% (wide resection 35%, marginal resection 25%, intralesional resection 34%, inoperable 6%), adjuvant RT 31%, adjuvant anthracyclines/platinum-based chemotherapy 8%, adjuvant imatinib mesylate 11%	Median 142 months (IQR range 76–210)	Older age, <b>bigger tumour, intralesional margins</b> , sacral tumour, no RT, <b>previously treated elsewhere</b>	Older age, <b>bigger tumour</b> , intralesional margins, sacral tumour, no RT, previously treated elsewhere

Table 6 (continued)

Authors	Therapy	Follow-up (range)	Adverse PF—PFS	Adverse PF—OS
McGirt	Surgery 100%, adjuvant RT 37%	≥ 60 months	NR	<b>Older age, earlier year of diagnosis, sacral tumour, radiation therapy, increasing extent of invasion, more recent year of surgery, presence of metastasis, male gender, non-white race</b>
Bergh	Previous surgery 26%, previous RT 5%, surgery 100% (wide resection 59%, marginal resection 15%, intralesional resection 26%), adjuvant RT 49%	Mean 97.2 months (1.2–276)	Sacral tumour, morphologic diagnostic procedure, older age, male gender, <b>invasive diagnostic procedure outside current centre, previous surgery elsewhere, inadequate margins at initial surgery, inadequate margins at definitive surgery</b>	Sacral tumour, morphologic diagnostic procedure, older age, male gender, invasive diagnostic procedure outside current centre, previous surgery elsewhere, inadequate margins at initial surgery, <b>inadequate margins at definitive surgery, larger tumour size</b>
Chen YL	Definitive combined high-dose proton/photon therapy (100%)	Mean 74.2 months, median 56 months (17.5–171.7)	Male gender, sacral tumour	Tumour volume > 500 cm <sup>3</sup>
Jawad	Surgery only (48%), RT only (10%), surgery and RT (33%), no therapy (9%)	NR	NR	<b>Age &gt; 59, male gender, non-white race, non-hispanic ethnicity, distant stage of disease, size &gt; 8 cm, sacral tumour, no surgery, no RT, earlier year of diagnosis</b>
Thieblemont	Surgery only (53.8%), surgery and RT (46.2%), adjuvant chemotherapy (26.9%)	NR	<b>Female gender, age ≥ 60, sacral tumour</b>	Male gender, age ≥ 60, sacral tumour
Meng	Previous surgery 22%, preoperative embolisation 42%, surgery 100% (en bloc resection 38%, total piecemeal resection 52%, subtotal resection 10%), local treatment with cisplatin or methotrexate after surgery 70%, adjuvant RT 9%	Mean 72 months, median 57 months (1–279)	<b>Tumour in C1–C2 or S1–S5, Frankel score A–C, Enneking stage II–III, dedifferentiated subtype, Tomita score IV–VI, subtotal resection, increased intraoperative blood loss, no local treatment with cisplatin or methotrexate after surgery, no adjuvant RT, male sex, KPS &lt; 80, prior treatment, distant metastasis, bladder and bowel dysfunction, tumour &gt; 6 cm</b>	Older age, male sex, <b>KPS &lt; 80, prior treatment, distant metastasis, bowel and bladder dysfunction, Frankel score A–C, Enneking stage II–III, Tomita score IV–VI, tumour &gt; 6 cm, tumour in C1–C2 or S1–S5, subtotal resection, dedifferentiated subtype, no preoperative embolisation, increased intraoperative blood loss, no local treatment with cisplatin or methotrexate after surgery, no adjuvant RT, complication after surgery, postoperative recurrence, postoperative distant metastasis</b>

PF prognostic factor, PTV planning target volume, GTR gross total resection, PFS progression-free survival, EUD equivalent uniform dose, GKR Gamma Knife radiosurgery, OS overall survival, D5cc minimum dose in Gy to 5 cm<sup>3</sup> of the tumour receiving the highest dose, RT radiation therapy, IQR interquartile range, LINAC linear accelerator radiotherapy, Gy Gray, NR not reported, KPS, Karnofsky performance score, GTV gross tumour volume CCJ craniocervical junction

Text in bold = significant adverse prognostic factor

The remaining four studies reported on combinations of the skull base and various areas of the vertebral column [20–22, 28]. The patients described were treated by tumour biopsy, tumour embolisation, surgery, radiation therapy, and chemotherapy, and a small number of patients received no treatment at all. Several significant adverse prognostic factors for PFS were reported: a lower dose of radiation therapy, a tumour localised at the craniocervical junction, female gender, and age  $\geq 60$  years. Significant adverse prognostic factors for OS were failed local control, age  $\geq 59$  years, non-Hispanic ethnicity, presence of metastasis, tumour size  $> 8$  cm, a sacral tumour, no surgical therapy, and earlier year of diagnosis.

## Discussion

Our findings show that incidence rates vary notably between countries. The incidence rate of approximately 0.8 per million persons per year reported for the US studies making use of the SEER registry is often cited in papers on chordoma, but the European and Taiwanese studies show different results, with incidence rates ranging from 0.18 to 0.52 per million persons per year [10–16, 41, 42]. Quality of case collection does not seem to be an explanation for these differences, as can be concluded from analysis of the registries indicating they perform similarly well [43–46]. Furthermore, all studies were population-based cohort studies which were demonstrated to have low risk of bias, making study design an unlikely explanation. A possible explanation is the difference in genetic background between the European, the US, and the Taiwanese populations. Indeed, as is shown by the results of the study by McMaster et al. [10], incidence rates of chordoma are likely to vary between races. Yet another explanation possibly contributing to the discrepancy in incidence rates and the lower percentage of patients affected by skull base chordoma in European countries and Taiwan when compared to the USA is that in the former countries for some period of time skull base chordoma might have been regularly mistaken for skull base chondrosarcoma and got misclassified as such [47]. Finally, it is unfortunate that the paper by Stiller et al. [16] does not more precisely specify the incidence rate that was found for the entire European population and instead only reports it was less than 1 per million persons per year.

Both the epidemiological and prognostic papers showed a clear male predominance for the occurrence of chordoma. The prognostic studies also showed that patients affected by skull base chordoma were generally diagnosed at a younger age than those affected by chordoma of the vertebral column. This is likely due to the limited amount of space the tumour can occupy before causing symptoms by compressing vulnerable structures in the direct vicinity of the skull base.

An evident limitation of the prognostic studies included in this review is the fact that they were all case series. Risk of bias assessment demonstrated they were good-quality case series, but the inherent weaknesses of this study design nevertheless result in low quality of evidence. Because different patient groups and treatment strategies were examined using different methodologies, it was not possible to report prognostic factors for chordoma patients in general. Treatment ranged from biopsy only, embolisation, surgery, cryosurgery, radiation therapy, systemic therapy, and combinations of these, to no treatment at all. However, some prognostic factors were commonly mentioned for different tumour locations and thus might be of influence on prognosis of most chordoma patients. Examples include inadequate surgical margins, older age, no adjuvant radiation therapy or lower dose of radiation therapy, and bigger tumour size, which all were of negative influence on both PFS and OS. One of three papers describing preoperative embolisation found an adverse prognostic effect for patients *not* undergoing preoperative embolisation [40]. Four papers described patients (also) undergoing systemic therapy, but none of these papers found systemic therapy to be of significant effect on prognosis [17, 25, 28, 37]. The aforementioned prognostic factors were of influence on PFS (including distant metastasis) and likely through this effect also affected OS, since local control and distant metastasis were found to be of prognostic importance for OS in multiple papers [18, 20, 21, 32, 35, 40]. The paper by Terahara et al. [30] was the only one reporting male gender as an adverse prognostic factor for PFS. Three other studies reported instead that female gender was an adverse prognostic factor for PFS, and one study reported that female gender had an adverse prognostic effect on OS [26–28]. The studies by McGirt et al. [18] and Jawad and Scully [21] reported patients with sacral tumours had worse OS than patients with chordoma above the sacrum. Cheng et al. [29] confirmed this finding and additionally showed that sacral tumours are of adverse prognostic influence on PFS. This might be explained by the fact that sacral tumours often grow very large before causing symptoms and consequently are often diagnosed at a later stage. This delay in diagnosis theoretically offers the tumour opportunity to accumulate more harmful mutations, which subsequently allow it to behave in a more malignant manner.

An important difference in treatment of skull base chordoma and chordoma of the spine is the fact that skull base chordoma cannot be resected en bloc. It is generally accepted that total resection should be aimed for whenever possible.

The importance of en bloc resection of spinal chordoma, when possible, is reflected in the paper by Meng et al. [40], which shows that en bloc resection results in better PFS and OS when compared to piecemeal total resection. This finding is not supported by the three papers focusing solely on surgery of sacral chordoma, but this may be due to smaller sample

size and the above-mentioned theory concerning mutations in sacral chordoma [25, 32, 33]. However, intralesional resection of sacral chordoma followed by radiation therapy might have better outcome compared to extralesional resection alone [48]. This finding stresses the importance of radiation therapy, the technique of which is rapidly improving. Proton radiation therapy is becoming more widely available, and the long-term results of carbon ion radiation are becoming increasingly clear. These techniques allow high doses of radiation to be more safely delivered to the tumour. The papers by Terahara et al. [30] and Mima et al. [26] focus on radiation therapy, and Mima reports promising results of primary sacral chordoma solely treated by carbon ion radiation.

In conclusion, this is the first systematic review of the epidemiology of chordoma and of prognostic factors affecting PFS and OS. Our findings show that incidence rate and tumour location vary between countries. Several adverse prognostic factors were identified, albeit in studies of limited quality and with varying treatment strategies. Hence, we suggest—as has recently been proposed by the global chordoma consensus group—future research should focus on planning carefully designed prospective studies [49]. Based on such studies, physicians can better inform their patients and adequately adapt treatment plans.

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## Compliance with ethical standard

**Conflict of interest** The authors declare that they have no conflict of interests.

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