

## Knot Floer homology detects fibred knots

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An important step in [5] uses JSJ theory [3, 4] to deduce some topological information about the knot complement when the knot Floer homology is monic, see [5, Sect. 6]. The version of JSJ theory cited there is from [1]. However, as pointed out by Kronheimer, the definition of “product regions” in [1] is not the one we want. In this note, we will provide the necessary background on JSJ theory following [3]. Some arguments in [5] will then be modified.

We first briefly explain the mistake in [5]. In [5, Sect. 6], we need a submanifold of  $M$ , such that every product annulus or product disk can be homotoped into this submanifold. The existence of such submanifold is well-known in JSJ theory, but the version of JSJ theory cited from [1] does not provide such existence result. In fact, the definition of “product regions” there [1, Definition 3.1] requires that every component of the product region contains a component of the suture. This condition is very restrictive and was ignored by the author in [5].

In this note we will use the standard JSJ theory to get the existence of such submanifold (called the characteristic pair), and prove that a large part of this submanifold is a product submanifold. This will be sufficient for our purpose.

**Definition 1** An  $n$ -manifold pair is a pair  $(M, T)$  where  $M$  is an  $n$ -manifold and  $T$  is an  $(n - 1)$ -manifold contained in  $\partial M$ . A 3-manifold pair  $(M, T)$  is *irreducible* if  $M$  is irreducible and  $T$  is incompressible. An irreducible 3-manifold pair  $(M, T)$  is *Haken* if each component of  $M$  contains an incompressible surface.

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**Definition 2** [3, p. 10] A compact 3-manifold pair  $(S, T)$  is called an *I-pair* if  $S$  is an *I*-bundle over a compact surface, and  $T$  is the corresponding  $\partial I$ -bundle. A compact 3-manifold pair  $(S, T)$  is called an  *$S^1$ -pair* if  $S$  is a Seifert fibred manifold and  $T$  is a union of Seifert fibres in some Seifert fibration. A *Seifert pair* is a compact 3-manifold pair  $(S, T)$ , each component of which is an *I*-pair or an  *$S^1$ -pair*.

**Definition 3** [3, p. 138] A *characteristic pair* for a compact, irreducible 3-manifold pair  $(M, T)$  is a perfectly-embedded Seifert pair  $(\Sigma, \Phi) \subset (M, \text{int}(T))$  such that if  $f$  is any essential, nondegenerate map of an arbitrary Seifert pair  $(S, T)$  into  $(M, T)$ ,  $f$  is homotopic, as a map of pairs, to a map  $f'$  such that  $f'(S) \subset \Sigma$  and  $f'(T) \subset \Phi$ .

The definition of a perfectly-embedded pair can be found in [3, p. 4]. We note that the definition requires that  $\Sigma \cap \partial M = \Phi$ , so  $\Sigma$  is disjoint from  $\partial M - T$ .

The main result in JSJ theory is the following theorem due to Jaco–Shalen [3, p. 138] and Johannson [4].

**Theorem 4** (Characteristic Pair Theorem) *Every Haken 3-manifold pair  $(M, T)$  has a characteristic pair. This characteristic pair is unique up to ambient isotopy relative to  $(\partial M - \text{int}(T))$ .*

**Definition 5** Let  $(M, \gamma)$  be a sutured manifold. A 3-manifold pair  $(P, Q) \subset (M, R(\gamma))$  is a *product pair* if  $P = F \times [0, 1]$ ,  $Q = F \times \{0, 1\}$  for some compact surface  $F$ , and  $F \times 0 \subset R_-(\gamma)$ ,  $F \times 1 \subset R_+(\gamma)$ . We also require that  $P \cap A = \emptyset$  or  $A$  for any annular component  $A$  of  $\gamma$ . A product pair is *gapless* if no component of its exterior is a product pair.

**Definition 6** Suppose  $(M, \gamma)$  is a taut sutured manifold,  $(\Sigma, \Phi)$  is the characteristic pair for  $(M, R(\gamma))$ . The *characteristic product pair* for  $M$  is the union of all components of  $(\Sigma, \Phi)$  which are product pairs. A *maximal product pair* for  $M$  is a gapless product pair  $(P, Q)$  such that it contains the characteristic product pair, and if  $(P', Q') \supset (P, Q)$  is another gapless product pair, then there is an ambient isotopy relative to  $\gamma$  that takes  $(P', Q')$  to  $(P, Q)$ .

Although the uniqueness of maximal product pairs is not guaranteed by the definition, the existence is obvious. In fact, if there is an infinite ascending chain of gapless product pairs

$$(P_0, Q_0) \subset \cdots \subset (P_i, Q_i) \subset (P_{i+1}, Q_{i+1}) \subset \cdots,$$

such that  $(P_i, Q_i) \neq (P_{i+1}, Q_{i+1})$  up to ambient isotopy relative to  $\gamma$ , then we get a contradiction by Haken's Finiteness Theorem [2, Theorem III.20].

The exterior of a maximal product pair is also a sutured manifold. By definition the exterior does not contain essential product annuli or essential product disks.

Now we are ready to modify the arguments in [5]. The next theorem is a reformulation of [5, Theorem 6.2]. The proof is not changed though.

**Theorem 6.2'** *Suppose  $(M, \gamma)$  is an irreducible balanced sutured manifold,  $\gamma$  has only one component, and  $(M, \gamma)$  is vertically prime. Let  $\mathcal{E}$  be the subgroup of  $H_1(M)$  spanned by the first homologies of product annuli in  $M$ . If  $\widehat{HFS}(M, \gamma) \cong \mathbb{Z}$ , then  $\mathcal{E} = H_1(M)$ .*

**Corollary 7** *In the last theorem, suppose  $(\Pi, \Psi)$  is the characteristic product pair for  $M$ , then the map*

$$i_*: H_1(\Pi) \rightarrow H_1(M)$$

*is surjective.*

*Proof* We recall that such an  $M$  is a homology product [5, Proposition 3.1].

Suppose  $(\Sigma, \Phi)$  is the characteristic pair for  $(M, R(\gamma))$ , then any product annulus can be homotoped into  $(\Sigma, \Phi)$  without crossing  $\gamma$ . Let  $\Phi_+ = (\Phi \cap R_+(\gamma)) \subset \text{int}(R_+(\gamma))$ . Theorem 6.2' implies that the map  $H_1(\Phi_+) \rightarrow H_1(R_+(\gamma))$  is surjective, so  $\partial\Phi_+$  consists of separating circles in  $R_+(\gamma)$ . If a component  $(\mathcal{S}, \mathcal{T})$  of  $(\Sigma, \Phi)$  is an  $S^1$ -pair, then  $\mathcal{T} \cap R_+(\gamma)$  consists of annuli by definition. We conclude that each annulus is null-homologous in  $H_1(R_+(\gamma))$ .

Suppose a product annulus  $A$  contributes to  $H_1(M)$  nontrivially, and it can be homotoped into a component  $(\sigma, \varphi)$  of  $(\Sigma, \Phi)$ . Given the result from the last paragraph, this  $(\sigma, \varphi)$  cannot be an  $S^1$ -pair. It is neither a twisted  $I$ -bundle since the two components of  $\partial A$  are contained in different components of  $R(\gamma)$ . So  $(\sigma, \varphi)$  must be a trivial  $I$ -bundle, and the two components of  $\varphi$  lie in different components of  $R(\gamma)$ . In other words,  $(\sigma, \varphi)$  is a product pair. Now our desired result follows from Theorem 6.2'. □

The following proof of the main theorem in [5] is only slightly changed. Basically we use “maximal product pair” here instead of the wrong notion “characteristic product region” in [5].

*Proof of [5, Theorem 1.1]* Suppose  $(M, \gamma)$  is the sutured manifold obtained by cutting open  $Y - \text{int}(\text{Nd}(K))$  along  $F$ ,  $(\mathcal{P}, \mathcal{Q})$  is a maximal product pair for  $M$ . We need to show that  $M$  is a product. By [5, Proposition 3.1],  $M$  is a homology product. Moreover, by [5, Theorem 4.1], we can assume  $M$  is vertically prime.

If  $M$  is not a product, then  $M - \mathcal{P}$  is nonempty. Thus there exist some product annuli in  $(M, \gamma)$ , which split off  $\mathcal{P}$  from  $M$ . Let  $(M', \gamma')$  be the remaining sutured manifold. By definition  $(\mathcal{P}, \mathcal{Q})$  contains the characteristic product pair for  $M$ . Corollary 7 then implies that the map  $H_1(\mathcal{P}) \rightarrow H_1(M)$  is surjective. So  $R_{\pm}(\gamma')$  are planar surfaces, and  $M' \cap \mathcal{P}$  consists of separating product annuli in  $M$ . Since we assume that  $M$  is vertically prime,  $M'$  must be connected. (See the first paragraph in the proof of [5, Theorem 5.1].) Moreover,  $M'$  is also vertically prime. By [5, Theorem 5.1],  $\widehat{HFS}(M', \gamma') \cong \mathbb{Z}$ .

We add some product 1-handles to  $M'$  to get a new sutured manifold  $(M'', \gamma'')$  with  $\gamma''$  connected. By [5, Proposition 2.9],  $\widehat{HFS}(M'', \gamma'') \cong \mathbb{Z}$ . It is easy to see that  $M''$  is also vertically prime. [5, Proposition 3.1] shows that  $M''$  is a homology product.

Let  $H$  be one of the product 1-handles added to  $M'$  such that  $H$  connects two different components of  $\gamma'$ . By Theorem 6.2', there is at least one product annulus  $A$  in  $M''$ , such that  $A$  cannot be homotoped to be disjoint from the cocore of  $H$ . Isotope  $A$  if necessary, we find that at least one component of  $A \cap M'$  is an essential product disk in  $M'$ , a contradiction to the assumption that  $(\mathcal{P}, \mathcal{Q})$  is a maximal product pair. □

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