

On the Kissing Numbers of Some Special Convex Bodies*

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Abstract. In this note the kissing numbers of octahedra, rhombic dodecahedra and elongated octahedra are determined. In high dimensions, an exponential lower bound for the kissing numbers of superballs is achieved.

Introduction

Let *K* be an *n*-dimensional convex body. As usual, we denote the *translative kissing number* and the *lattice kissing number* of *K* by N(K) and $N^*(K)$, respectively. In other words, N(K) is the maximal number of nonoverlapping translates of *K* which can be brought into contact with *K*, and $N^*(K)$ is the similar number when the translates are taken from a lattice packing of *K*.

To determine the values of N(K) and $N^*(K)$ for a convex body K are important and difficult problems in the study of packings. These numbers, especially for balls, have been studied by many well-known mathematicians such as Newton, Minkowski, Hadwiger, van der Waerden, Shannon, Leech, Gruber, Hlawka, Kabatjanski, Levenštein, Odlyzko, Rankin, Rogers, Sloane, Watson, Wyner and many others. For details refer to [1]–[3] and [11].

In this note we determine the kissing numbers of *octahedra*, *rhombic dodecahedra* and *elongated octahedra*. In fact, besides balls and cylinders, they are the only convex bodies whose kissing numbers are exactly known. In Section 4 the *n*-dimensional *superballs* are considered.

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Let δ^C be the *Minkowski-metric* in \mathbb{R}^n given by a centrally symmetric convex body C. In other words, denote by $C(\mathbf{z})$ the boundary point of C at direction \mathbf{z} ,

$$\delta^{C}(\mathbf{x}, \mathbf{y}) = \begin{cases} \frac{\|\mathbf{x} - \mathbf{y}\|}{\|C(\mathbf{x} - \mathbf{y})\|} & \text{if } \mathbf{x} \neq \mathbf{y}, \\ 0 & \text{if } \mathbf{x} = \mathbf{y}, \end{cases}$$

where $\|\cdot\|$ indicates the *Euclidean norm* (see [5]). To determine the kissing numbers of octahedra, rhombic dodecahedra and elongated octahedra, the following lemma is frequently demanded.

Lemma 1 [10]. If the boundary $\partial(C)$ of C can be divided into m subsets X_1, X_2 , ..., X_m such that $\delta^C(\mathbf{x}, \mathbf{y}) < 1$ whenever both \mathbf{x} and \mathbf{y} belong to the same subset, then $N(C) \leq m$. More precisely, if $Z = \{\mathbf{z}_1, \mathbf{z}_2, \dots, \mathbf{z}_l\}$ is a set of points such that $C + \{2Z\} \cup \{\mathbf{0}\}$ forms a kissing configuration of C, then any subset X_i contains at most one point of Z.

For convenience, we denote by $(xy \cdots z)$ an open (relatively) polygonal arc, by $(xy \cdots z]$ a half open and half closed one, and by $[xy \cdots z]$ a closed one.

1. Octahedra

Theorem 1. Let O be an octahedron, then $N(O) = N^*(O) = 18$.

Proof. For convenience, we take

$$O = \{ \mathbf{x} \in \mathbb{R}^3 : |x^1| + |x^2| + |x^3| \le 1 \},\$$

and let Λ be the lattice generated by $\mathbf{a} = (1, 1, 0)$, $\mathbf{b} = (1, -1, 0)$ and $\mathbf{c} = (1, 0, 1)$. It is easy to see that $O + \Lambda$ is a lattice packing with density $\frac{2}{3}$, in which every octahedron touches 18 others. So that

$$N^*(O) \ge 18. \tag{1}$$

Let $\mathbf{x}_{1,1}, \mathbf{x}_{2,2}, \ldots, \mathbf{x}_{6,6}$ be the six vertices of O indicated by Fig. 1, let $\mathbf{x}_{i,i}$ be the midpoint of $\mathbf{x}_{i,i}\mathbf{x}_{j,j}$, and let $\mathbf{x}_{i',j,k}$, $\mathbf{x}_{i,j',k}$ and $\mathbf{x}_{i,j,k'}$ be points indicated by Fig. 2, where $\|\mathbf{u}\mathbf{x}_{i,i}\| = \frac{1}{4} \|\mathbf{x}_{i,i}\mathbf{x}_{i,j}\|$. Writing

$$Y_{i,j} = \operatorname{rint}((\frac{1}{2}\mathbf{x}_{i,j} + \frac{1}{2}O) \cap \partial(O)),$$

where rint(X) indicates the relative interior of X, and defining

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$$\begin{aligned} X_{i,i} &= Y_{i,i}, \qquad i = 1, 2, \dots, 6, \\ X_{1,2} &= Y_{1,2} \cup \{\mathbf{x}_{1,2',6}, \mathbf{x}_{1,2',5}\}, \qquad X_{1,4} &= Y_{1,4} \cup \{\mathbf{x}_{1',4,6}, \mathbf{x}_{1',4,5}\}, \\ X_{1,5} &= Y_{1,5} \cup \{\mathbf{x}_{1',2,5}, \mathbf{x}_{1,2,5'}\}, \qquad X_{1,6} &= Y_{1,6} \cup \{\mathbf{x}_{1',2,6}, \mathbf{x}_{1,2,6'}\}, \end{aligned}$$



Fig. 1

$$\begin{aligned} X_{2,3} &= Y_{2,3} \cup \{\mathbf{x}_{2,3',6}, \mathbf{x}_{2,3',5}\}, & X_{2,5} &= Y_{2,5} \cup \{\mathbf{x}_{2',3,5}, \mathbf{x}_{2,3,5'}\}, \\ X_{2,6} &= Y_{2,6} \cup \{\mathbf{x}_{2',3,6}, \mathbf{x}_{2,3,6'}\}, & X_{3,4} &= Y_{3,4} \cup \{\mathbf{x}_{3,4',6}, \mathbf{x}_{3,4',5}\}, \\ X_{3,5} &= Y_{3,5} \cup \{\mathbf{x}_{3',4,5}, \mathbf{x}_{3,4,5'}\}, & X_{3,6} &= Y_{3,6} \cup \{\mathbf{x}_{3',4,6}, \mathbf{x}_{3,4,6'}\}, \\ X_{4,5} &= Y_{4,5} \cup \{\mathbf{x}_{1,4',5}, \mathbf{x}_{1,4,5'}\}, & X_{4,6} &= Y_{4,6} \cup \{\mathbf{x}_{1,4',6}, \mathbf{x}_{1,4,6'}\}. \end{aligned}$$

It can be verified that

$$\partial(O) = \bigcup X_{i,j},$$

and $\delta^{O}(\mathbf{x}, \mathbf{y}) < 1$ whenever both \mathbf{x} and \mathbf{y} belong to the same $X_{i,j}$ (to verify $\delta^{C}(\mathbf{x}, \mathbf{y}) < 1$ for two points \mathbf{x} and \mathbf{y} it is convenient to find a point \mathbf{z} such that both $\mathbf{z} + 2(\mathbf{x} - \mathbf{z})$ and $\mathbf{z} + 2(\mathbf{y} - \mathbf{z})$ belong to the interior of C, say int(C)). Therefore, by Lemma 1 one obtains

$$N(O) < 18.$$
 (2)

Consequently, (1) and (2) together yield

$$N(O) = N^*(O) = 18.$$

Theorem 1 is proved.



Fig. 2

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Fig. 3

2. Rhombic Dodecahedra

Theorem 2. Let P_1 be a rhombic dodecahedron (see Fig. 3), then

$$N(P_1) = N^*(P_1) = 18$$

In addition, the kissing configuration, in which $N(P_1) = 18$ can be attained, is unique.

Proof. Let $\mathbf{y}_{i,i} = \frac{1}{2}\mathbf{x}_{i,i}$ and denote the midpoint of $\mathbf{x}_{i,i}\mathbf{x}_{j,j}$ by $\mathbf{x}_{i,j}$. First, it is easy to see that $(\mathbf{y}_{1,1} + \frac{1}{2}P_1) \cap \partial(P_1)$ and $(\mathbf{y}_{2,2} + \frac{1}{2}P_1) \cap \partial(P_1)$ together can be divided into six parts $X_{21}, X_{22}, \ldots, X_{26}$ such that

$$\delta^{P_1}(\mathbf{x},\mathbf{y}) < 1$$

whenever both \mathbf{x} and \mathbf{y} belong to the same part.

Writing

$$Y_i = \operatorname{rint}((\mathbf{y}_{i,i} + \frac{1}{2}P_1) \cap \partial(P_1))$$

and defining

$$\begin{aligned} X_2 &= Y_2 \cup (\mathbf{x}_{2,1}\mathbf{x}_{2,7}\mathbf{x}_{2,17}\mathbf{x}_{2,12}), \\ X_3 &= Y_3 \cup (\mathbf{x}_{3,7}\mathbf{x}_{3,2}\mathbf{x}_{3,12}) \cup (\mathbf{x}_{3,12}\mathbf{x}_{3,13}\mathbf{x}_{3,14}), \\ X_4 &= Y_4 \cup (\mathbf{x}_{4,1}\mathbf{x}_{4,3}\mathbf{x}_{4,13}\mathbf{x}_{4,14}), \\ X_5 &= Y_5 \cup (\mathbf{x}_{5,3}\mathbf{x}_{5,4}\mathbf{x}_{5,14}) \cup (\mathbf{x}_{5,14}\mathbf{x}_{5,15}\mathbf{x}_{5,16}), \\ X_6 &= Y_6 \cup (\mathbf{x}_{6,1}\mathbf{x}_{6,5}\mathbf{x}_{6,15}\mathbf{x}_{6,16}), \\ X_7 &= Y_7 \cup (\mathbf{x}_{7,5}\mathbf{x}_{7,6}\mathbf{x}_{7,16}) \cup (\mathbf{x}_{7,16}\mathbf{x}_{7,17}\mathbf{x}_{7,12}), \\ X_{12} &= Y_{12} \cup (\mathbf{x}_{12,16}\mathbf{x}_{12,17}\mathbf{x}_{12,2}) \cup (\mathbf{x}_{12,7}\mathbf{x}_{12,2}\mathbf{x}_{12,3}), \\ X_{13} &= Y_{13} \cup (\mathbf{x}_{13,11}\mathbf{x}_{13,12}\mathbf{x}_{13,2}\mathbf{x}_{13,3}), \\ X_{14} &= Y_{14} \cup (\mathbf{x}_{14,12}\mathbf{x}_{14,13}\mathbf{x}_{14,3}) \cup (\mathbf{x}_{14,3}\mathbf{x}_{14,4}\mathbf{x}_{14,5}), \\ X_{15} &= Y_{15} \cup (\mathbf{x}_{15,11}\mathbf{x}_{15,14}\mathbf{x}_{15,5}), \\ X_{16} &= Y_{16} \cup (\mathbf{x}_{16,14}\mathbf{x}_{16,15}\mathbf{x}_{16,5}) \cup (\mathbf{x}_{16,5}\mathbf{x}_{16,6}\mathbf{x}_{16,7}), \\ X_{17} &= Y_{17} \cup (\mathbf{x}_{17,11}\mathbf{x}_{17,16}\mathbf{x}_{17,6}\mathbf{x}_{17,7}), \end{aligned}$$

it can be verified that

$$\delta^{P_1}(\mathbf{x},\mathbf{y}) < 1, \qquad \mathbf{x},\mathbf{y} \in X_i$$

and

$$\partial(P_1) = \bigcup_{i=1}^{26} X_i$$

(there are intervals among the indices). So, by Lemma 1 we have $N(P_1) \leq 18$. On the other hand, by suitable construction we get $N^*(P_1) \ge 18$ and therefore

$$N(P_1) = N^*(P_1) = 18.$$

Now, we proceed to show the uniqueness. Let $Z = \{\mathbf{z}_1, \mathbf{z}_2, \dots, \mathbf{z}_{18}\}$ be a set of points such that $P_1 + \{\mathbf{0}\} \cup \{2Z\}$ is a kissing configuration. By Lemma 1, without loss of generality, one has $z_1 = x_{1,2}$, $z_2 = x_{1,4}$ and $z_3 = x_{1,6}$, or $z_1 = x_{1,3}$, $z_2 = x_{1,5}$ and $\mathbf{z}_3 = \mathbf{x}_{1,7}$. Similarly, $\mathbf{z}_{16} = \mathbf{x}_{11,12}$, $\mathbf{z}_{17} = \mathbf{x}_{11,14}$ and $\mathbf{z}_{18} = \mathbf{x}_{11,16}$, or $\mathbf{z}_{16} = \mathbf{x}_{11,13}$, $\mathbf{z}_{17} = \mathbf{x}_{11,15}$ and $\mathbf{z}_{18} = \mathbf{x}_{11,17}$. Then, by considering four possibilities, finally we get

$$Z = \{ \mathbf{x}_{1,2}, \mathbf{x}_{1,4}, \mathbf{x}_{1,6}, \mathbf{x}_{3,3}, \mathbf{x}_{5,5}, \mathbf{x}_{7,7}, \mathbf{x}_{2,13}, \mathbf{x}_{3,14}, \mathbf{x}_{4,15}, \\ \mathbf{x}_{5,16}, \mathbf{x}_{6,17}, \mathbf{x}_{7,12}, \mathbf{x}_{12,12}, \mathbf{x}_{14,14}, \mathbf{x}_{16,16}, \mathbf{x}_{11,13}, \mathbf{x}_{11,15}, \mathbf{x}_{11,17} \}$$

which implies the uniqueness. Theorem 2 is proved.

3. Elongated Octahedra

Theorem 3. Let P_2 be an elongated octahedron (see Fig. 4), then

$$N(P_2) \le 18$$

More precisely, let

$$\|\mathbf{x}_{8,8}\mathbf{x}_{18,18}\| = (1-\alpha)\|\mathbf{x}_{1,1}\mathbf{x}_{11,11}\|$$

with a suitable number α . It is easy to see that $0 < \alpha < \frac{1}{2}$.

- 1. When $0 < \alpha \le \frac{1}{6}$, $N(P_2) = N^*(P_2) = 18$. 2. When $\frac{1}{6} < \alpha \le \frac{1}{4}$, $N(P_2) = 18$ and $16 \le N^*(P_2) \le 18$.

Proof. Let $\mathbf{x}_{i,j}$ be the midpoint of $\mathbf{x}_{i,i}\mathbf{x}_{j,j}$. Writing $\mathbf{y}_{i,i} = \frac{1}{2}\mathbf{x}_{i,i}$ and

$$Y_i = \operatorname{rint}((\mathbf{y}_{i,i} + \frac{1}{2}P_2) \cap \partial(P_2)),$$

and then defining

$$X_1 = Y_1 \cup (\mathbf{x}_{1,3}\mathbf{x}_{1,2}\mathbf{x}_{1,9}\mathbf{x}_{1,8}\mathbf{x}_{1,7}),$$

$$X_2 = Y_2 \cup (\mathbf{x}_{2,8}\mathbf{x}_{2,9}] \cup \{\mathbf{x}_{2,12}\},$$

$$X_{12} = Y_{12} \cup [\mathbf{x}_{12,19}\mathbf{x}_{12,18}\mathbf{x}_{12,11}\mathbf{x}_{12,14}),$$



Fig. 4

 $\begin{aligned} X_{11} &= Y_{11} \cup (\mathbf{x}_{11,13}\mathbf{x}_{11,14}\mathbf{x}_{11,15}\mathbf{x}_{11,16}\mathbf{x}_{11,17}), \\ X_{16} &= Y_{16} \cup (\mathbf{x}_{16,14}\mathbf{x}_{16,15}] \cup \{\mathbf{x}_{16,6}\}, \\ X_{6} &= Y_{6} \cup [\mathbf{x}_{6,5}\mathbf{x}_{6,4}\mathbf{x}_{6,1}\mathbf{x}_{6,8}), \\ X_{18} &= Y_{18} \cup [\mathbf{x}_{18,17}\mathbf{x}_{18,16}\mathbf{x}_{18,11}\mathbf{x}_{18,12}), \\ X_{8} &= Y_{8} \cup [\mathbf{x}_{8,7}\mathbf{x}_{8,6}) \cup \{\mathbf{x}_{8,18}\}, \\ X_{14} &= Y_{14} \cup [\mathbf{x}_{14,13}\mathbf{x}_{14,12}) \cup \{\mathbf{x}_{14,4}\}, \\ X_{4} &= Y_{4} \cup [\mathbf{x}_{4,3}\mathbf{x}_{4,2}\mathbf{x}_{4,1}\mathbf{x}_{4,6}), \\ X_{7} &= Y_{7} \cup [\mathbf{x}_{7,6}\mathbf{x}_{7,1}], \\ X_{17} &= Y_{17} \cup [\mathbf{x}_{17,16}\mathbf{x}_{17,11}) \cup \{\mathbf{x}_{17,7}\}, \\ X_{13} &= Y_{13} \cup [\mathbf{x}_{13,12}\mathbf{x}_{13,11}], \\ X_{3} &= Y_{3} \cup [\mathbf{x}_{9,8}\mathbf{x}_{9,1}) \cup \{\mathbf{x}_{9,19}\}, \\ X_{19} &= Y_{19} \cup [\mathbf{x}_{19,18}\mathbf{x}_{19,11}), \\ X_{5} &= Y_{5} \cup [\mathbf{x}_{5,4}\mathbf{x}_{5,1}) \cup \{\mathbf{x}_{5,15}\}, \\ X_{15} &= Y_{15} \cup [\mathbf{x}_{15,14}\mathbf{x}_{15,11}), \end{aligned}$

it can be verified that

$$\delta^{P_2}(\mathbf{x},\mathbf{y}) < 1, \qquad \mathbf{x},\mathbf{y} \in X_i$$

and

$$\partial(P_2) = \bigcup_{i=1}^{19} X_i$$

(10 is not among the indices). Then it follows from Lemma 1 that

$$N(P_2) \leq 18.$$

On the other hand, by simple constructions one can get

$$N(P_2) \ge N^*(P_2) \ge 18$$

in the first case, and

$$N(P_2) \ge 18$$
 and $N^*(P_2) \ge 16$

in the second case (the parameter α plays important roles in the constructions). Thus, Theorem 3 follows.

Remark 1. It seems that $N^*(P_2) = 16$ in the second case. If so, we will get another class of convex bodies such that $N(K) \neq N^*(K)$ (see [7]).

Remark 2. Let *P* be an octahedron, a rhombic dodecahedron or an elongated octahedron of the first case of Theorem 3, the lattice kissing number of *P* cannot be realized by its densest lattice packing. Similar phenomenon occurs at tetrahedron (see [8] and [9]).

4. *n*-Dimensional Superballs

Let $\alpha \geq 1$ and denote by B_{α} the superball

$$\left\{ \mathbf{x} = (x^1, x^2, \dots, x^n) \in R^n : \left(\sum_{k=1}^n |x^k|^{\alpha} \right)^{1/\alpha} \le 1 \right\}.$$

It is easy to see that B_1 is a cross-polytope, B_2 is a ball, B_{∞} is a cube and the Minkowskimetric given by B_{α} can be represented as

$$\delta^{B_{\alpha}}(\mathbf{x}, \mathbf{y}) = \left(\sum_{k=1}^{n} |x^{k} - y^{k}|^{\alpha}\right)^{1/\alpha}.$$
(3)

Now we introduce a general lemma.

Lemma 2. The translative kissing number of C is the maximal number of points $\mathbf{x}_i \in \partial(C)$ such that

$$\delta^{\mathcal{C}}(\mathbf{x}_i, \mathbf{x}_j) \ge 1, \qquad i \neq j.$$

This lemma follows directly from the fact that

$$(int(C) + \mathbf{x}) \cap (int(C) + \mathbf{y}) = \emptyset$$

if and only if

$$\delta^C(\mathbf{x}, \mathbf{y}) \geq 2.$$

By this lemma, a lower bound for $N(B_{\alpha})$ can be achieved in a combinatorical way.

Lemma 3. Let $m \le n$ be a positive integer and let X be a set of points $\mathbf{x}_i = (x_i^1, x_i^2, \dots, x_i^n)$ with $x_i^k = 0$ or ± 1 , $\sum_{k=1}^n |x_i^k| = m$ and

$$\sum_{k=1}^{n} |x_i^k - x_j^k|^{\alpha} \ge m, \qquad i \ne j.$$

$$\tag{4}$$

Then

$$N(B_{\alpha}) \geq \operatorname{card}\{X\}.$$

Proof. Taking

$$Y = \left\{ \mathbf{y}_i = \frac{1}{m^{1/\alpha}} \mathbf{x}_i : \mathbf{x}_i \in X \right\},\,$$

we have

$$\left(\sum_{k=1}^{n} |y_{i}^{k}|^{\alpha}\right)^{1/\alpha} = \left(\frac{1}{m}\sum_{k=1}^{n} |x_{i}^{k}|^{\alpha}\right)^{1/\alpha} = \left(\frac{1}{m}\sum_{k=1}^{n} |x_{i}^{k}|\right)^{1/\alpha} = 1,$$

which implies $\mathbf{y}_i \in \partial(B_\alpha)$. On the other hands, by (3) and (4),

$$\delta^{B_{\alpha}}(\mathbf{y}_i, \mathbf{y}_j) = \left(\sum_{k=1}^n \frac{1}{m} |x_i^k - x_j^k|^{\alpha}\right)^{1/\alpha} \ge 1, \qquad i \neq j.$$

Therefore, by Lemma 2,

$$N(B_{\alpha}) \ge \operatorname{card}\{Y\} = \operatorname{card}\{X\},\$$

which proves Lemma 3.

Let $f(n, m, \alpha)$ be the maximal possible card{X}, where X is defined in Lemma 3, and write

$$f(n,\alpha) = \max_{1 \le m \le n} \{f(n,m,\alpha)\}.$$
(5)

Then

$$N(B_{\alpha}) \ge f(n, \alpha). \tag{6}$$

To get a lower bound for $f(n, \alpha)$, we have

Lemma 4.

$$f(n, \alpha) \ge (\frac{9}{8})^{(1-o(1))n} \ge 3^{(0.1072-o(1))n}$$

Proof. Since $\alpha \ge 1$, it is easy to see that

$$\sum_{k=1}^{n} |x_i^k - x_j^k|^{\alpha} \ge \sum_{k=1}^{n} |x_i^k - x_j^k|$$

holds for any two points \mathbf{x}_i and \mathbf{x}_j with integer coordinates. Therefore, it can be deduced that

$$f(n, m, \alpha) \ge f(n, m, 1)$$

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and

$$f(n,\alpha) \ge f(n,1). \tag{7}$$

Writing

$$Y = \left\{ (x^1, x^2, \dots, x^n) : x^k = 0 \text{ or } \pm 1, \sum_{k=1}^n |x^k| = m \right\},\$$

we have

$$\operatorname{card}\{Y\} = \binom{n}{m} 2^m. \tag{8}$$

For any point $\mathbf{x}_i \in Y$, by easy computation, there are at most

$$g(n, m, 1) = \binom{m}{h(m)} \binom{n - h(m)}{m - h(m)} 2^{m - h(m)}$$
(9)

points $\mathbf{x}_i \in Y$ such that

$$\sum_{k=1}^n |x_j^k - x_i^k| < m,$$

where h(m) = [m/2] + 1. Hence, by (8) and (9),

$$\binom{n}{m}2^m - f(n,m,1)g(n,m,1) \le 0$$

and therefore

$$f(n,m,1) \ge \frac{\binom{n}{m}2^m}{g(n,m,1)} = 2^{h(m)} \binom{n}{m} \binom{m}{h(m)}^{-1} \binom{n-h(m)}{m-h(m)}^{-1}$$

Writing n/m = l, by *Stirling Formula* and detailed computation, we have

$$f\left(n,\frac{n}{l},1\right) \ge (2^{1-3/2l}(2l-1)^{1/2l-1}l)^{(1-o(1))n}.$$

By basic analysis, it can be shown that the function

$$f(x) = 2^{1-3/2x} (2x-1)^{1/2x-1} x$$

attains its maximum $\frac{9}{8}$ at $x = \frac{9}{2}$. Therefore, by (5) and (7),

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$$f(n, \alpha) \ge (\frac{9}{8})^{(1-o(1))n} \ge 3^{(0.1072-o(1))n}.$$

Lemma 4 is proved.

It is well known that

$$N^*(K) \le N(K) \le 3^n - 1$$

for every *n*-dimensional convex body (see [4] and [6]). Therefore, by (6) and Lemma 4,

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we have

Theorem 4.

$$3^{(0.1072-o(1))n} \le N(B_{\alpha}) \le 3^n.$$

Remark 3. According to the referee, I. Talata recently obtained an exponential lower bound for N(K) for general *n*-dimensional convex bodies *K*.

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