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The Wilson flow and the finite temperature phase transition

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ABSTRACT: We consider the determination of the finite temperature phase transition in the Yang-Mills SU(3) gauge theory. We compute the difference of the spatial and temporal energy density at a physical Wilson flow time. This difference is zero in the confined phase and becomes non zero in the deconfined phase. We locate the phase transition by using a new technique based on an exponential smoothing spline. This method is an alternative to the determination of the phase transition based on the Polyakov loop susceptibility and can also be used with dynamical fermions.

KEYWORDS: Lattice QCD, Phase Diagram of QCD

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1 Introduction

The pure SU(3) gauge theory at finite temperature exhibits a first order phase transition separating the confined phase at low temperature from the deconfined phase at high temperature. The standard way to locate the critical temperature by Monte Carlo simulations is based on the computation of the susceptibility of the Polyakov loop. The position of the peak of the susceptibility determines the temperature and the scaling of the peak value with the spatial volume identifies the order of the transition.

In this work we study an alternative method to locate the phase transition based on the energy density computed using the Wilson flow. We separate the spatial and temporal components of the energy density. Their difference is zero in the confined phase and becomes non zero in the deconfined phase. This behaviour allows to locate the phase transition. Technically we propose to use an exponential smoothing spline to fit the data of the energy difference. We give explicit formulae for the construction of such a spline. We define the critical temperature to correspond to the maximum slope of the spline. We verify by Monte Carlo simulations that the new method agrees with the results of the standard method based on the Polyakov loop susceptibility.

The motivation to look at an alternative method to locate the phase transition is that in QCD with dynamical fermions one usually uses the peak of the chiral susceptibility to locate the phase transition or cross over (depending on the quark mass), which is a computationally expensive quantity. The method based on the energy difference which we describe in this paper can be applied also in presence of dynamical fermions.

2 Finite temperature phase transition

The finite temperature can be investigated on lattices where the time extension N_t is much smaller than the spatial one N_s . This means, it should at least hold $N_s \ge 4 \cdot N_t$ as described in [1]. The temperature T is related to the time extension by the equation

$$T(\beta) = \frac{1}{N_t \cdot a(\beta)}$$

with lattice spacing $a(\beta)$. This implies for a given N_t , there is a critical coupling β_c such that the critical temperature can be computed via $T_c(\beta_c)$. Finally, the critical temperature can be computed in physical units, for example, as

$$T_{c}[\text{MeV}] = \frac{r_{0}/a(\beta_{c})}{N_{t} \cdot r_{0}} \cdot \hbar c = \frac{r_{0}/a(\beta_{c})}{N_{t} \cdot 0.49} \cdot 197.3 \text{ MeV}$$
(2.1)

with $\hbar c = 197.3 \text{ MeV fm}$ and $r_0 = 0.49 \text{ fm}$ [2]. The formula for the determination of the scale $r_0/a(\beta)$ is

$$\ln(a/r_0) = -1.6804 - 1.7331(\beta - 6) + 0.7849(\beta - 6)^2 - 0.4428(\beta - 6)^3$$
(2.2)

for $5.7 \le \beta \le 6.92$ and explained in [3].

2.1 Polyakov loop susceptibility

Usually, the critical coupling β_c is determined using the Polyakov loop susceptibility. The lattice average P of the Polyakov loop is computed as

$$P = \frac{1}{N_s^3} \sum_{\vec{x}} \frac{1}{3} \operatorname{Tr} \prod_{n_t=1}^{N_t} U(\vec{x}, n_t)$$

which is the mean of the product of links $U(\vec{x}, n_t)$ in time direction n_t for each 3d-spatial vector \vec{x} . As the lattice average P is a complex number, the Polyakov loop susceptibility χ_p is the variance of its absolute value |P|,

$$\chi_p := N_s^3 \cdot \left(\langle |P|^2 \rangle - \langle |P| \rangle^2 \right).$$

For a given lattice of size (N_t, N_s^3) , the coupling constant β has to be chosen and through Monte Carlo simulation an ensemble of gauge configurations is generated. The expectation value in the ensemble of the Polyakov loop susceptibility $\langle \chi_p(\beta) \rangle$ can be computed. This has to be done for several values of β around the critical coupling β_c such that the curve $(\beta, \langle \chi_p \rangle)_k$ for $k = 1, \ldots, n_\beta$ contains a peak around β_c . The desired value β_c is found by a fit of the data, for example, with a smoothing spline $s(\beta)$. The critical coupling β_c is computed as the value of β at which the fit $s(\beta)$ reaches its maximum value. Fixing N_t and varying N_s leads to several values $\beta_c(\chi_p, N_t, N_s)$ of the critical coupling. Figure 1 shows the results of the simulations which we will describe in section 4. At the end, a linear extrapolation in $1/N_s^3$ leads to the desired critical coupling $\beta_c(\chi_p, N_t, \infty)$ for infinite volume.

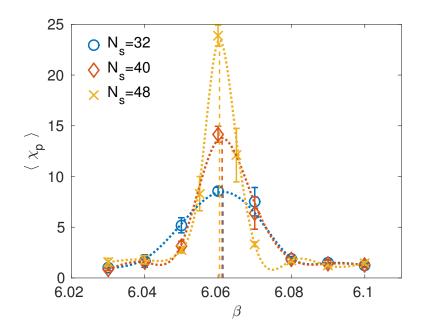


Figure 1. Standard approach: detection of the critical coupling using the Polyakov loop susceptibility, here for $N_t = 8$. The data are fitted by an exponential smoothing spline and the critical coupling is determined via its maximum.

2.2 The Wilson flow

The Wilson flow [4–6] V(t) is a flow of lattice gauge fields and it is defined as the solution of the ordinary Lie group differential equation

$$\dot{V}_{x,\mu}(t) = Z_{x,\mu}(V(t)) \cdot V_{x,\mu}(t)$$
(2.3)

with link variables $V_{x,\mu}(t)$ being elements of the special unitary Lie group SU(3) and a function $Z_{x,\mu}(V(t))$ which takes values in the Lie algebra $\mathfrak{su}(3)$. Particularly, the function $Z_{x,\mu}$ is the Lie derivative $Z_{x,\mu}(V(t)) = -\partial/\partial V_{x,\mu}(t)S_W$ of the Wilson action

$$S_W = \sum_p \operatorname{ReTr}\{1 - V_p(t)\}$$

such that it depends not only on the link itself but also on its staples. S_W is the sum over all oriented plaquettes p and $V_p(t)$ is the product of link variables around one of these plaquettes p, see [7]. The Wilson flow (2.3) can be integrated numerically with initial values $V(t_0)$ taken from an ensemble of configurations generated for a particular value of the coupling constant β . The numerical integration is performed up to a certain flow time and during this computation gauge invariant observables of interest are measured. Thereby, we focus on the energy density

$$E = \frac{a^4}{4} G^c_{\mu\nu} G^c_{\mu\nu}$$
(2.4)

with lattice spacing a and symmetric field strength tensor $G_{\mu\nu}$ as described in [7].

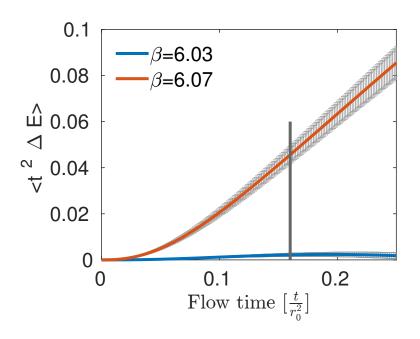


Figure 2. Difference in the temporal and spatial energy for values of $\beta = 6.03$ and $\beta = 6.07$. In the confined phase (blue) with values of $\beta < \beta_c$ the difference ΔE in the spatial and the temporal part of the energy is approximately $0, \Delta E \gg 0$ in the deconfined phase (red).

2.3 Difference in the Wilson energy

We investigate the mean energy density $\langle E \rangle$ described in equation (2.4) on a four-dimensional lattice with fixed temporal lattice size $N_t = 8$. Particularly, we focus on the mean spatial and temporal part of the energy $\langle E_{ss} \rangle$ and $\langle E_{st} \rangle$ and its difference $\langle \Delta E \rangle :=$ $\langle E_{ss} - E_{st} \rangle$.

The splitting in the temporal and spatial part is done as follows numbering the dimensions 0, 1, 2, 3 with 0 being the time dimension: the spatial planes are (1, 2), (1, 3), and (2, 3) and the temporal ones (0, k) with k = 1, 2, 3. Here, the exact formulae for the spatial part of the energy E_{ss} and the temporal one E_{st} are

$$E_{st} = \frac{a^4}{4} G^c_{0\nu} G^c_{0\nu} \quad \text{and} \quad E_{ss} = \frac{a^4}{4} G^c_{\mu\nu} G^c_{\mu\nu} \quad \text{with} \ \mu, \nu = 1, 2, 3, \ \mu < \nu.$$

The critical coupling β_c divides the confined phase and the deconfined phase. In the confined phase, the values of β are smaller than β_c , in the deconfined one larger. It is known from [1] that the critical coupling for lattices with $N_t = 8$ is at $\beta_c = 6.0625$. For a first test, we simulated lattices with $\beta = 6.03 < \beta_c$ and $\beta = 6.07 > \beta_c$ and computed $\langle E_{ss} \rangle$, $\langle E_{st} \rangle$ and its difference $\langle \Delta E \rangle$ as a function of the flow time. It can be seen in figure 2 that in the confined phase (blue) with values of β smaller than β_c there is no difference in the spatial and the temporal part of the energy. On the other hand, in the deconfined phase (red) with values of β larger than β_c , there is a difference in both parts of the energy. This implies, the spatial and temporal mean energy densities $\langle E_{ss} \rangle$ and $\langle E_{st} \rangle$ coincide in the confined phase and differ in the deconfined one. In the following paragraphs, we fix a certain flow time \sqrt{t}/r_0 in units of the scale r_0 such that $\langle \Delta E \rangle$ means

$$\langle \Delta E(\sqrt{t/r_0}) \rangle := \langle E_{ss}(\sqrt{t/r_0}) - E_{st}(\sqrt{t/r_0}) \rangle .$$

For a fixed flow time \sqrt{t}/r_0 , it is shown in figure 2 (in this case $\sqrt{t}/r_0 = 0.4$) that the energy difference ΔE is approximately zero in the confined phase and grows suddenly in the deconfined one. This advises that the critical coupling can be found using the energy difference at a certain flow time.

2.4 The energy difference method

We developed a new method¹ for the detection of the critical temperature and called it the energy difference method. Therefore, we consider the Wilson flow and focus on the difference in the spatial and temporal energy density at a certain flow time \sqrt{t}/r_0 . The critical coupling β_c can be computed by a fit through an exponential smoothing spline developed in [10]. The idea is to combine smoothing splines, which approximate the data in a spline setting, with an exponential spline, such that undesired oscillations not given in the data are avoided (see section 3 for more details). For the detection of the critical coupling, we proceed as follows similarly to the standard Polyakov loop susceptibility approach: first, we fix a lattice size (N_t, N_s) and the values of β_k for $k = 1, \ldots, n_\beta$. Then, we need the results of a HMC or heat bath simulation for these values as input for the Wilson flow. Moreover, the Wilson flow has to be computed up to a certain simulation time which has to be determined such that the statistical errors are minimized. We fixed the time $\sqrt{t}/r_0 = 0.15$ as described in paragraph 4.2. Additionally, the values for the spatial and temporal energy density have to be measured for the flow time $\sqrt{t}/r_0 = 0.15$. After the simulation, an exponential smoothing spline $s(\beta)$ is determined to fit the data $(\beta, \langle \Delta E \rangle)$. The critical coupling $\beta_c(\Delta E, N_t, N_s)$ for the specific lattice size (N_t, N_s^3) is determined as value of β where the steepest gradient of the spline $s(\beta)$ occurs. This has to be repeated for a few spatial lattice sizes N_s such that the values $\beta_c(\Delta E, N_t, N_s)$ can be extrapolated towards an infinite space dimension $N_s = \infty$ in order to compute $\beta_c(\Delta E, N_t, \infty)$ for the finite temperature phase transition in infinite volume. Figure 3 shows the results of the simulations which we will describe in section 4.

The energy difference ΔE is equivalent (up to discretization effects) to the difference of the temporal and spatial plaquettes, cf. [7], and it corresponds to the sum of the pressure and the energy density, see e.g. [9]. It is expected to develop a discontinuity in the thermodynamic limit² since the energy density is discontinuous in the Yang-Mills SU(3) theory, see e.g. [1]. Presently our data shown in figure 3 do not allow to verify this expectation.

3 Exponential smoothing splines

The class of exponential smoothing splines approximates data with uncertainties avoiding undesired oscillations. It couples the ideas of smoothing splines [11] with exponential splines [12, 13]. Here, we explain the idea of exponential smoothing splines and give the necessary formulae for its implementation. The mathematical essentials can be found in [10] based on [14].

¹In the course of our work the article [8] appeared, which also uses this method.

 $^{^{2}}$ We thank the referee of our paper for pointing this out.



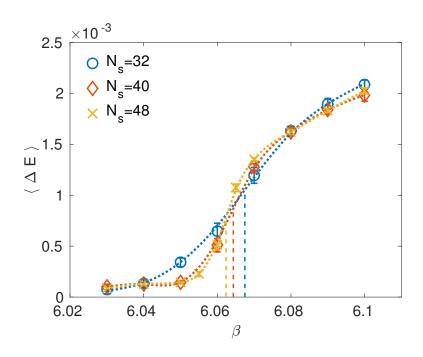


Figure 3. Detection of the critical coupling using the energy difference method. The data are fitted by an exponential smoothing spline. For each lattice, the critical coupling is computed as value of β at which the maximum slope of the spline occurs.

3.1 Idea

We start with data (x_i, y_i) with errors w_i , i = 1, ..., n. The data should be approximated by a spline s(x) within the region of their errors and, moreover, no artificial oscillations should be added. The spline can be found by minimizing the energy function

$$\int_{x_1}^{x_n} \left[f''(x)^2 + \Lambda(x)^2 f'(x)^2 \right] dx$$

among all $f \in C^2(x_1, x_n)$ using the constraints

$$\sum_{i=1}^n \left(\frac{f(x_i)-y_i}{w_i}\right)^2 \le S$$

and piecewise constant tension functions $\Lambda(x) = \lambda_i > 0$ with $x \in [x_i, x_{i+1})$ for i = 1, ..., n. Here, $\Lambda(x)$ avoid undesired oscillations, the weights w_i are correlated to the errors of y_i and the smoothing parameter S defines how much the approximated values $f(x_i)$ may differ from y_i . There are three limiting cases which coincide with already known kinds of splines:

- $\lambda = 0, S = 0$ describes the well-known cubic spline,
- λ = 0, S ≠ 0 leads to the smoothing spline which approximates the data; it may lead to oscillations not given in the data,
- and $\lambda \neq 0, S = 0$ results in the exponential spline (also known as spline under tension) interpolating the data exactly avoiding oscillations not given in the data.

3.2 The shape of the exponential smoothing spline

Finally, the exponential smoothing spline is parameterized as

$$s(x) = s_{i+1}t + s_i(1-t) + \frac{d_{i+1}}{\lambda_i^2} \left(\frac{\sinh(\mu_i t)}{\sinh\mu_i} - t\right) + \frac{d_i}{\lambda_i^2} \left(\frac{\sinh(\mu_i (1-t))}{\sinh(\mu_i)} - (1-t)\right) \quad (3.1)$$

for $x \in [x_i, x_{i+1})$ and coefficients $s_i, s_{i+1}, d_i, d_{i+1}, \mu_i$ and λ_i for all intervals $i = 1, \ldots, n-1$. For convenience, the variables h_i , t and μ_i are used. They are specified as

$$h_i := x_{i+1} - x_i, \quad t := \frac{x - x_i}{h_i} \text{ and } \mu_i := h_i \cdot \lambda_i \text{ for } i = 1, \dots, n-1.$$

The variables λ_i , are tension parameters for all intervals $i = 1, \ldots, n-1$ and, assuming they are known, $s = (s_1, \ldots, s_n)^T$ and $d = (d_1, \ldots, d_n)^T$ are the only unknowns in equation (3.1).

3.3 Linear equations for the unknowns

So, for given data (x_i, y_i) , i = 1, ..., n, the coefficients s_i and d_i can be computed via the linear equations

$$Q^T s = T\tilde{d}$$
 and $Us - Q\tilde{d} = pD^{-2}(s - y)$ (3.2)

with $s = (s_1, \ldots, s_n)^T$, $\tilde{d} = (d_2, \ldots, d_{n-1})^T$, $d = (d_1 = 0, \tilde{d}, d_n = 0)$, $y = (y_1, \ldots, y_n)^T$ and Lagrange parameter p. The matrices Q, T, U and D are sparse matrices and they have to be set up as follows: choose

$$\begin{aligned} u_i &:= \frac{\lambda_i^2}{h_i}, \\ v_j &:= u_j + u_{j+1}, \end{aligned} \qquad t_i &:= \frac{\cosh(\mu_i)}{\lambda_i \sinh(\mu_i)} - \frac{1}{\lambda_i^2 h_i}, \\ t_{k,k+1} &:= \frac{1}{\lambda_j^2 h_k} - \frac{1}{\lambda_k \sinh(\mu_k)}, \end{aligned}$$
and
$$q_i &:= \frac{1}{h_i}$$

for i = 1, ..., n - 1, j = 1, ..., n - 2 and k = 2, ..., n - 2 such that the matrices read

Thus, U and D are of size $n \times n$, T of size $(n-2) \times (n-2)$ and and Q of size $n \times (n-2)$.

These formulae still depend on the unknowns λ_i and $\mu_i = \lambda_i \cdot h_i$. According to [13], the tension parameters λ_i can be chosen as uniformly distributed random values in the interval $[4h_i, 15h_i]$.

Finally, the Lagrange parameter p is still unknown and can be computed by $F(p)^2 = S$ with

$$F(p) = ||pD^{-1}(U - QT^{-1}Q^T - pD^{-2})^{-1}D^{-2}y - pD^{-2}y||_2.$$

This can be done, for example, by a Newton iteration or interval nesting. Thereby, it must be taken into account that the starting value $p^{(0)} = 0$ should be avoided since it would lead to vectors s = 0, d = 0 and therefore to a spline s(x) = 0, see [10].

4 Monte Carlo results

We simulate the Wilson plaquette gauge action [15]

$$S(U) = \frac{\beta}{6} \sum_{p} \operatorname{ReTr}\{1 - U_p(t)\}\$$

for gauge group SU(3) using the Hybrid Monte Carlo algorithm [16]. We let HMC simulations run for varying β (6.03 $\leq \beta \leq$ 6.10) and the lattice sizes $N_t \times N_s^3$ with $N_t = 8$ and $N_s = \{32, 40, 48\}$. Taking the gauge configurations of the HMC simulations as initial values for the Wilson flow, we computed the Wilson flow up to $\sqrt{t}/r_0 = 0.15$ and measured its spatial and temporal energy density. Statistical errors and autocorrelation times are computed with the method of [17]. Besides, we computed the Polyakov loop susceptibility along the Wilson flow for comparison reasons.

4.1 Determination of the critical temperature

For the determination of the critical temperature, we computed the mean energy difference $\langle \Delta E \rangle$ for all β at the flow time $\sqrt{t}/r_0 = 0.15$ and for each lattice separately. Then, the data $(\beta, \langle \Delta E \rangle)$ are fitted by exponential smoothing splines $s(\beta)$, see figure 3. Here, the smoothing parameter S is set to S = 2 and the weights w_i used in the matrix D are set to the statistical errors of $\langle \Delta E \rangle_i$. Note that a small variation of S does not change the result; if S is chosen too large, the exponential smoothing spline does not fit each value of $\langle \Delta E \rangle$ in the region of its error $\delta \langle \Delta E \rangle$. The maximum of the slope $s'(\beta)$ of this spline leads to the critical coupling $\beta_c(\Delta E, N_t, N_s)$ which is the value of β at which $s''(\beta) = 0$ holds. The errors $\delta \beta_c(\Delta E, N_t, N_s)$ are computed by a small variation of the single values of $\langle \Delta E \rangle$ using the Gaussian error propagation law:

$$\delta\beta_c^2 = \sum_{k=1}^{n_\beta} \left(\frac{\partial\beta_c}{\partial\langle\Delta E\rangle_k}\right)^2 \cdot \langle\Delta E\rangle_k^2.$$

The partial derivatives $\partial \beta_c / \partial \langle \Delta E \rangle_k$ are computed using the symmetric difference quotient with perturbed value ΔE_k ; we use 10 percent of the error of $\langle \Delta E \rangle_k$.

At the end, a linear extrapolation in $1/N_s^3$ leads to the final value $\beta_c(\Delta E, N_t = 8, N_s = \infty) = 6.0601(11)$ which is shown in figure 4. It agrees within errors with the

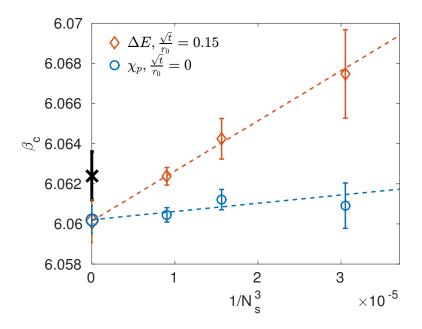


Figure 4. The critical couplings $\beta_c(N_s = \{32, 40, 48\})$ for the different lattice sizes and $N_t = 8$ are shown for the energy difference method (red diamonds) and the standard Polyakov loop susceptibility approach (blue circles). The extrapolated values $\beta_c(\Delta E, N_s = \infty)$ and $\beta_c(\chi_p, N_s = \infty)$ of both methods coincide. The black cross represents the reference value $\beta_c(N_t = 8, N_s = \infty) = 6.0624(12)$ from [18].

value 6.0624(12) computed in [18] and with the newest result 6.06239(38) of ref. [19]. Using equation (2.2) this result translates to $T_c r_0 = 0.7426(14)(37)$, where the first error is the uncertainty in β_c and the second one is a 0.5% error for r_0/a computed from equation (2.2). For comparison reasons, we determined the critical coupling from our data with the standard Polyakov loop susceptibility approach described in paragraph 2.1 and shown in figure 1. Also this method leads to a critical coupling $\beta_c(\chi_p, N_t = 8, \infty) = 6.0602(7)$ close to the value given in the literature [18]. Both methods lead to very consistent values of the critical coupling and also the size of the errors are of the same magnitude.

4.2 Statistical errors at different flow times

We investigated the statistical errors of both methods at different flow times. For the Polyakov loop susceptibility, the relative statistical errors do not change during the flow. The Wilson flow has just an effect on its absolute value, see figure 5. Therefore, it would be no advantage to combine the Wilson flow and the Polyakov loop susceptibility. On the other hand, the statistical errors for the energy difference method have a minimum at $\sqrt{t}/r_0 = 0.15$. We checked that this flow time is large enough such that cut-off effects (measured by comparing different definitions of the energy density) are small and therefore the best choice for the computation of the critical temperature.

The statistical errors already include autocorrelation effects shown in figure 6 which are quite large close to the critical coupling. For this reason, long simulations have to be run for these values of the coupling constant β such that the number of independent

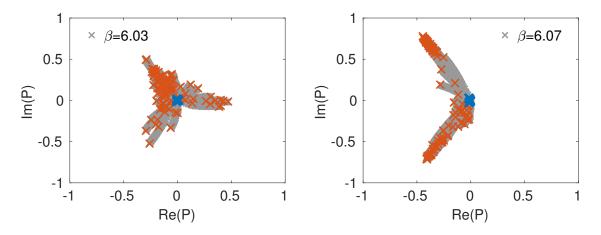


Figure 5. The value of the Polyakov loop along the Wilson flow from $\sqrt{t}/r_0 = 0$ up to $\sqrt{t}/r_0 = 0.708$ for $\beta < \beta_c$ (left) and $\beta > \beta_c$ (right) for 100 ensembles of gauge configurations. The value of the Polyakov loop for flow time $\sqrt{t}/r_0 = 0$ (blue) is close to the origin, departs during the Wilson flow (gray) and ends at $\sqrt{t}/r_0 = 0.708$ (red).

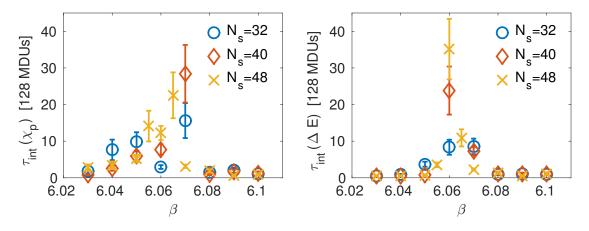


Figure 6. Integrated autocorrelation times of the Polyakov loop susceptibility (left) and the energy difference (right) in units of 128 MDUs.

configurations is large enough. Surprisingly, the number of independent configurations for the energy difference at flow time $\sqrt{t}/r_0 = 0.15$ is for almost all values of β (except at β_c) larger than the one of the Polyakov loop susceptibility at $\sqrt{t}/r_0 = 0$, mentioned in table 1. This means, the number of configurations needed for the energy difference method is smaller than for standard approach.

4.3 Conclusion and outlook

For pure gauge theory, it is possible to detect the critical coupling β_c via the energy difference of the Wilson flow. Our results agree with the standard method as well as with the reference value given in [1, 18] and [19]. For the energy difference method, the Wilson flow has to be computed in addition to the HMC as it leads to a reduction of the statistical error. This is a disadvantage compared to the standard approach but just a small one, since the energy difference can be evaluated at small flow times. For most values of β

	$N_s = 32$		$N_s = 40$		$N_{s} = 48$	
	χ_p	ΔE	χ_p	ΔE	χ_p	ΔE
$\beta = 6.03$	62(20)	208(39)	166(38)	287(34)	72(22)	426(43)
$\beta = 6.04$	36(13)	312(56)	135(32)	683(73)	74(21)	508(45)
$\beta = 6.05$	101(26)	269(49)	134(31)	1034(112)	238(46)	1784(139)
$\beta=6.055$	-	-	-	-	70(20)	280(50)
$\beta = 6.06$	339(57)	119(29)	265(48)	86(23)	380(59)	132(31)
$\beta=6.065$	-	-	-	-	84(23)	173(37)
$\beta = 6.07$	63(19)	116(29)	85(23)	337(55)	540(74)	746(89)
$\beta = 6.08$	134(33)	215(46)	236(48)	198(44)	145(34)	193(40)
$\beta = 6.09$	72(23)	145(35)	70(22)	105(29)	246(47)	279(44)
$\beta = 6.10$	195(45)	198(42)	51(18)	61(19)	168(40)	143(34)

Table 1. Number of independent configurations.

the statistical errors of the energy difference method are smaller than for the standard approach such that the simulation has to be run for less configurations to reach the same amount of independent configurations.

Moreover, our results are promising for simulations with fermions. Our method can be used as alternative to the expensive computation of the chiral susceptibility, which is usually taken in this case. Our technique based on the exponential smoothing spline is also suitable for other applications, as it allows to fit a smooth function through a data set and compute its derivatives. Additionally, the integration of the Wilson flow can be improved by using better integrators. For example, adaptive step size methods developed in [20] and [21] reduce at the same time the computational cost and are able to control the statistical errors.

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