

# A Computational Approach to Conway's Thrackle Conjecture\*

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**Abstract.** A drawing of a graph in the plane is called a *thrackle* if every pair of edges meets precisely once, either at a common vertex or at a proper crossing. Let  $t(n)$  denote the maximum number of edges that a thrackle of  $n$  vertices can have. According to a 40 years old conjecture of Conway,  $t(n) = n$  for every  $n \geq 3$ . For any  $\varepsilon > 0$ , we give an algorithm terminating in  $e^{O((1/\varepsilon^2)\ln(1/\varepsilon))}$  steps to decide whether  $t(n) \leq (1+\varepsilon)n$  for all  $n \geq 3$ . Using this approach, we improve the best known upper bound,  $t(n) \leq \frac{3}{2}(n-1)$ , due to Cairns and Nikolayevsky, to  $\frac{167}{117}n < 1.428n$ .

## 1 Introduction

A *drawing* of a graph (or a *topological graph*) is a representation of the graph in the plane such that the vertices are represented by distinct points and the edges by (possibly crossing) simple continuous curves connecting the corresponding point pairs and not passing through any other point representing a vertex. If it leads to no confusion, we make no notational distinction between a drawing and the underlying abstract graph (i.e. its set representation)  $G$ . In the same vein,  $V(G)$  and  $E(G)$  will stand for the vertex set and edge set of  $G$  as well as for the sets of points and curves representing them.

A drawing of  $G$  is called a *thrackle* if every pair of edges meets precisely once, either at a common vertex or at a proper crossing. (A crossing  $p$  of two curves is *proper* if at  $p$  one curve passes from one side of the other curve to its other side.) More than *forty* years ago Conway [19, 2, 15] conjectured that every thrackle has at most as many edges as vertices, and offered a bottle of beer for a solution. Since then the prize went up to one thousand dollars. In spite of considerable efforts, Conway's thrackle conjecture is still open. It is believed to represent the tip of an “iceberg”, obstructing our understanding of crossing patterns of edges in topological graphs. If true, the inequality of Conway's conjecture would be tight as any cycle of length at least *five* can be drawn as a thrackle, see [18]. Two thrackle drawings of  $C_5$  and  $C_6$  are shown in Figure 1.

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Obviously, the property that  $G$  can be drawn as a thrackle is *hereditary*: if  $G$  has this property, then any subgraph of  $G$  does. It is very easy to verify (cf. [18]) that  $C_4$ , a cycle of length *four*, cannot be drawn as a thrackle. Therefore, every “thrackable” graph is  $C_4$ -free, and it follows from extremal graph theory that every thrackle of  $n$  vertices has at most  $O(n^{3/2})$  edges [6]. The first linear upper bound of  $2n - 3$  on the maximum number of edges of a thrackle of  $n$  vertices was given by Lovász et al. [12]. This was improved to  $\frac{3}{2}(n - 1)$  by Cairns and Nikolayevsky [3].

The aim of this note is to provide a finite approximation scheme for estimating the maximum number of edges that a thrackle of  $n$  vertices can have. We apply our technique to improve the best known upper bound for this maximum.

To state our results, we need a definition.

Given three integers  $c', c'' > 2$ ,  $l \geq 0$ , the *dumbbell*  $DB(c', c'', l)$  is a simple graph consisting of two edge disjoint cycles of length  $c'$  and  $c''$ , connected by a path of length  $l$ . For  $l = 0$ , the two cycles share a vertex. It is natural to extend this definition to negative values of  $l$ , as follows. For any  $l > -\min(c', c'')$ , let  $DB(c', c'', l)$  denote the graph consisting of two cycles of lengths  $c'$  and  $c''$  that share a path of length  $-l$ . That is, for any  $l > -\min(c', c'')$ , we have

$$|V(DB(c', c'', l))| = c' + c'' + l - 1.$$

The three types of dumbbells (for  $l < 0$ ,  $l = 0$ , and  $l > 0$ ) are illustrated in Figure 2.

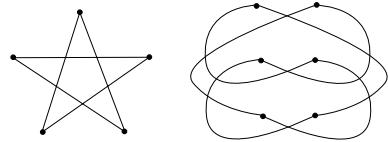
Our first theorem shows that for any  $\varepsilon > 0$ , it is possible to prove Conway's conjecture up to a multiplicative factor of  $1 + \varepsilon$ , by verifying that no dumbbell smaller than a certain size depending on  $\varepsilon$  is thrackable.

**Theorem 1.** *Let  $c \geq 6$  and  $l \geq -1$  be two integers, such that  $c$  is even, with the property that no dumbbell  $DB(c', c'', l')$  with  $-c'/2 \leq l' \leq l$  and with even  $c', c''$ , for which  $6 \leq c', c'' \leq c$ , can be drawn in the plane as a thrackle. Let  $r = \lfloor l/2 \rfloor$ . Then the maximum number of edges  $t(n)$  that a thrackle on  $n$  vertices can have satisfies  $t(n) \leq \tau(c, l)n$ , where*

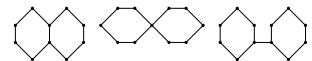
$$\tau(c, l) = \begin{cases} \frac{47c^2+116c+80}{35c^2+68c+32} & \text{if } l = -1, \\ 1 + \frac{2c^2r+4cr^2+22cr+7c^2+22c+8r^2+24r+16}{2c^2r^2+14c^2r+4cr^2+16cr+24c^2+12c} & \text{if } l \geq 0, \end{cases}$$

as  $n$  tends to infinity.

As both  $c$  and  $l$  get larger, the constant  $\tau(c, l)$  given by the second part of Theorem 1 approaches 1. On the other hand, assuming that Conway's conjecture



**Fig. 1.**  $C_5$  and  $C_6$  drawn as thrackles



**Fig. 2.** Dumbbells  $DB(6, 6, -1)$ ,  $DB(6, 6, 0)$ , and  $DB(6, 6, 1)$

is true for all bipartite graphs with up to 10 vertices, which will be verified in Section 4, the first part of the theorem applied with  $c = 6, l = -1$  yields that  $t(n) \leq \frac{617}{425}n < 1.452n$ . This bound is already better than the bound  $\frac{3}{2}n$  established in [3].

By a more careful application of Theorem 1, i.e. taking  $c = 6$  and  $l = 0$ , we obtain an even stronger result.

**Theorem 2.** *The maximum number of edges  $t(n)$  that a thrackle on  $n$  vertices can have satisfies the inequality  $t(n) \leq \frac{167}{117}n < 1.428n$ .*

Our method is algorithmic. We design an  $e^{O((1/\varepsilon^2)\ln(1/\varepsilon))}$  time algorithm by means of which we can prove, for any  $\varepsilon > 0$ , that  $t(n) \leq (1+\varepsilon)n$  for all  $n$ , or which provides a counterexample to Conway's conjecture. The proof of Theorem 2 is computer assisted: it requires testing the planarity of certain relatively small graphs.

For thrackles drawn by straight-line edges, Conway's conjecture has been settled in a slightly different form by Hopf and Pannwitz [10] and by Sutherland [17] before Conway was even born, and later, in the above form, by Erdős and Perles. Assuming that Conway's conjecture is true, Woodall [18] gave a complete characterization of all graphs that can be drawn as a thrackle. He also observed that it is sufficient to verify the conjecture for dumbbells. This observation is one of the basic ideas behind our arguments.

Several interesting special cases and variants of the conjecture are discussed in [3,4,5,9,12,13,14].

In Section 2, we describe a crucial construction by Conway and summarize some earlier results needed for our arguments. The proofs of Theorems 1 and 2 are given in Sections 3 and 4. The analysis of the algorithm for establishing the  $(1 + \varepsilon)n$  upper bound for the maximum number of edges that a thrackle of  $n$  vertices can have is also given in Section 4 (Theorem 3). In the last section, we discuss some related Turán-type extremal problems for planar graphs.

## 2 Conway's Doubling and Preliminaries

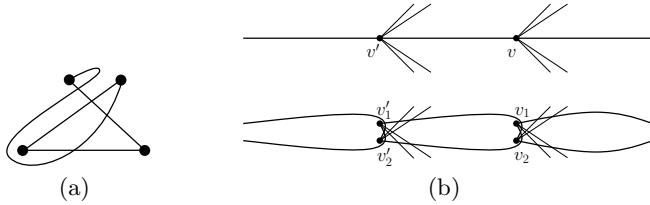
In this section, we review some earlier results that play a key role in our arguments.

A *generalized thrackle* is a drawing of a graph in the plane with the property that any pair of edges share an odd number of points at which they properly cross or which are their common endpoints. Obviously, every thrackle is a generalized thrackle but not vice versa: although  $C_4$  is not thrackable, it can be drawn as a generalized thrackle, which is not so hard to see (Figure 3(a)).

We need the following simple observation based on the Jordan curve theorem.

**Lemma 1.** [12] *A (generalized) thrackle cannot contain two vertex disjoint odd cycles.*

Lovász, Pach, and Szegedy [12] gave a somewhat counterintuitive characterization of generalized thrackles containing no odd cycle: a bipartite graph is a



**Fig. 3.** (a)  $C_4$  drawn as generalized thrackle, (b) Conway's doubling of a cycle

generalized thrackle if and only if it is *planar*. Moreover, it follows immediately from Lemma 3 and the proof of Theorem 3 in Cairns and Nikolayevsky [3] that this statement can be strengthened as follows.

**Lemma 2.** [3] *Let  $G$  be a bipartite graph with vertex set  $V(G) = A \cup B$  and edge set  $E(G) \subseteq A \times B$ . If  $G$  is a generalized thrackle then it can be redrawn in the plane without crossing so that the cyclic order of the edges around any vertex  $v \in V(G)$  is preserved if  $v \in A$  and reversed if  $v \in B$ .*

We recall a construction by Conway for transforming a thrackle into another one. It can be used to eliminate odd cycles.

Let  $G$  be a thrackle or a generalized thrackle that contains an *odd cycle*  $C$ . In the literature, the following procedure is referred to as *Conway's doubling*: First, delete from  $G$  all edges incident to a vertex belonging to  $C$ , including all edges of  $C$ . Replace every vertex  $v$  of  $C$  by two vertices  $v_1$  and  $v_2$  which lie near to each other and to the former position of  $v$ . For any edge  $vv'$  of  $C$ , connect  $v_1$  to  $v'_1$  and  $v_2$  to  $v'_1$  by two edges running very close to the original edge  $vv'$ , as depicted in Figure 3(b). For any vertex  $v$  belonging to  $C$ , the set of edges incident to  $v$  but not belonging to  $C$  can be divided into two classes,  $E_1(v)$  and  $E_2(v)$ : the set of all edges whose initial arcs around  $v$  lie on one side and the other side of  $C$ , respectively. In the resulting topological graph  $G'$ , connect all edges in  $E_1(v)$  to  $v_1$  and all edges in  $E_2(v)$  to  $v_2$  so that every edge connected to  $v_1$  crosses all edges connected to  $v_2$  exactly once in their small neighborhood. See Figure 3(b). All other edges of  $G$  remain unchanged. Denote the vertices of the original odd cycle  $C$  by  $v^1, v^2, \dots, v^k$ , in this order. In the resulting drawing  $G'$ , we obtain an *even cycle*  $C' = v_1^1 v_2^2 v_1^3 v_2^4 \dots v_1^1 v_2^2 v_1^3 v_2^4 \dots$  instead of  $C$ . It is easy to verify that  $G'$  is drawn as a thrackle, which is stated as part (ii) of the following lemma (see also Lemma 2 in [3]).

**Lemma 3.** (Conway, [18,3]) *Let  $G$  be a (generalized) thrackle with at least one odd cycle  $C$ . Then the topological graph  $G'$  obtained from  $G$  by Conway's doubling of  $C$  is*

- (i) bipartite, and
- (ii) a (generalized) thrackle.

Finally, we recall an observation of Woodall [18] mentioned in the introduction, which motivated our investigations.

As thrackability is a hereditary property, a minimal counterexample to the thrackle conjecture must be a connected graph  $G$  with exactly  $|V(G)| + 1$  edges and with no vertex of degree *one*. Such a graph  $G$  is necessarily a dumbbell  $\text{DB}(c', c'', l)$ . If  $l \neq 0$ , then  $G$  consists of two cycles that share a path or are connected by a path  $uv$ . In both cases, we can “double” the path  $uv$ , as indicated in Figure 4, to obtain another thrackle  $G'$ . It is easy to see that  $G'$  is a dumbbell consisting of two cycles that share precisely one vertex (the vertex  $v$  in the figure). Moreover, if any of these two cycles is not even, then we can double it and repeat the above procedure, if necessary, to obtain a dumbbell  $\text{DB}(b', b'', 0)$  drawn as a thrackle, where  $b'$  and  $b''$  are even numbers. Thus, in order to prove the thrackle conjecture, it is enough to show that no dumbbell  $\text{DB}(c', c'', 0)$  consisting of two even cycles that share a vertex is thrackable.

### 3 Proof of Theorem 1

Let  $c \geq 6$  and  $l \geq -1$  be two integers, and suppose that no dumbbell  $\text{DB}(c', c'', l')$  with  $-c'/2 \leq l' \leq l$  and with even  $c', c''$ , for which  $6 \leq c', c'' \leq c$ , can be drawn in the plane as a thrackle. For simpler notation, let  $r = \lfloor l/2 \rfloor$ .

Let  $G = (V, E)$  be a thrackable graph with  $n$  vertices and  $m$  edges. We assume without loss of generality that  $G$  is connected and that it has no vertex of degree *one*. Otherwise, we can successively delete all vertices of degree *one*, and argue for each connected component of the resulting graph separately.

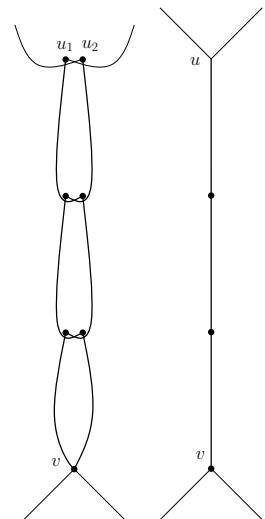
As usual, we call a graph *two-connected* if it is connected and it has no *cut vertex*, i.e., it cannot be separated into two or more parts by the removal of a vertex [6].

We distinguish three cases:

- (A)  $G$  is bipartite;
- (B)  $G$  is not bipartite, and the graph  $G'$  obtained by performing Conway’s doubling of a shortest odd cycle  $C \subset G$  is 2-connected;
- (C)  $G$  is not bipartite, and the graph  $G'$  obtained by performing Conway’s doubling of a shortest odd cycle  $C \subset G$  is not 2-connected.

In each case, we will prove that  $m \leq \tau(c, l)n$ .

- (A) By Lemma 2, in this case  $G$  is planar. We fix an embedding of  $G$  in the plane. According to the assumption of our theorem,  $G$  contains no subgraph that is a dumbbell  $\text{DB}(c', c'', l')$ , for any even  $6 \leq c' \leq c'' \leq c$ , and  $-c'/2 \leq l' \leq l$ . We also know that  $G$  has no  $C_4$ . We are going to use these conditions to bound the number of edges  $m = |E(G)|$ .



**Fig. 4.** Doubling the path  $uv$

Notice that we also exclude dumbbells  $\text{DB}(c', c'', l')$  with  $-c' \leq l' < -c'/2$ . Indeed, in this case  $\text{DB}(c', c'', l')$  is isomorphic to  $\text{DB}(c', d, k)$ , where  $d = (c' + c'' + 2l')$ ,  $k = (-c' - l')$ , and  $d < c'' \leq c$ ,  $\max(-c'/2, -d/2) \leq k < 0$ .

Suppose first that  $G$  is *two-connected*. Let  $f$  denote the number of faces, and let  $f_c$  stand for the number of faces with at most  $c$  sides. By double counting the edges, we obtain

$$2m \geq 6f_c + (c+2)(f-f_c). \quad (1)$$

If  $l = -1$ , then applying the condition of forbidden dumbbells, we obtain that no two faces of size at most  $c$  share an edge, so that  $6f_c \leq m$ . If  $l \geq 0$ , Menger's theorem implies that any two faces of size at most  $c$  are connected by two vertex disjoint paths. Since any such path must be longer than  $l$ , to each face we can assign its vertices as well as the  $r = \lfloor l/2 \rfloor$  closest vertices along two vertex disjoint paths leaving the face, and these sets are disjoint for distinct faces. Thus, we have  $f_c(2r+6) \leq n$ . In either case, we have

$$f_c \leq \begin{cases} \frac{m}{6} & \text{if } l = -1, \\ \frac{n}{2r+6} & \text{if } l \geq 0. \end{cases} \quad (2)$$

Combining the last two inequalities, we obtain

$$f \leq \frac{(c-4)f_c + 2m}{c+2} \leq \begin{cases} \frac{(c-4)\frac{m}{6} + 2m}{c+2} & \text{if } l = -1, \\ \frac{(c-4)\frac{n}{2r+6} + 2m}{c+2} & \text{if } l \geq 0. \end{cases}$$

Using Euler's polyhedral formula  $m+2 = n+f$  we obtain

$$m \leq \begin{cases} \frac{6c+12}{5c+4}n - \frac{12c+24}{5c+4} & \text{if } l = -1, \\ \frac{2cr+4r+7c+8}{2cr+6c}n - \frac{2c+4}{c} & \text{if } l \geq 0. \end{cases} \quad (3)$$

It can be shown by routine calculations that the last estimates, even if we ignore their negative terms independent of  $n$ , are stronger than the ones claimed in the theorem. (In fact, they are also stronger than the corresponding bounds (5) and (4) in Case (B); see below.) This concludes the proof of the case (A) when  $G$  is 2-connected.

If  $G$  is not 2-connected, then consider a block decomposition of  $G$ , and proceed by induction on the number of blocks. The base case, i.e. when  $G$  is 2-connected, is treated above. Otherwise  $G$  can be obtained as a union of two bipartite graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  sharing exactly one vertex. By induction hypothesis we can use (3) to bound the number of edges in  $G_i$ , for  $i = 1, 2$ , by substituting  $|E_i|$  and  $|V_i|$  for  $m$  and  $n$ , respectively. We obtain the claimed bound on the maximum number of edges in  $G$  by adding up the bounds on  $|E_1|$  and  $|E_2|$  as follows.  $|E(G)| = |E(G_1)| + |E(G_2)| \leq k_1|V(G_1)| + k_1|V(G_2)| - 2k_2 = k_1|V(G)| + k_1 - 2k_2$  where  $k_1 = k_1(c, l)$  and  $k_2 = k_2(c, l)$  represent the constants in (3). The induction step follows analogously, because  $k_1 < k_2$  for all considered values of  $c$  and  $l$ .

(B) In this case, we establish two upper bounds on the maximum number of edges in  $G$ : one that decreases with the length of the shortest odd cycle  $C \subseteq G$  and one that increases. Finally, we balance between these two bounds.

By doubling a shortest odd cycle  $C \subseteq G$ , as before, we obtain a bipartite thrackle  $G'$  (see Lemma 3). Let  $C'$  denote the doubled cycle in  $G'$ . By Lemma 2,  $G'$  is a planar graph. Since  $G'$  is bipartite, it is trivially two-colorable. Moreover, it can be embedded in the plane without crossing so that the cyclic order of the edges around each vertex in one color class is preserved, and for each vertex in the other color class reversed. A closer inspection of the way how we double  $C$  shows that as we traverse  $C'$  in  $G'$ , the edges incident to  $C'$  start on alternating sides of  $C'$ . This implies that, after redrawing  $G'$  as a plane graph, all edges incident to  $C'$  lie on one side, that is,  $C'$  is a *face*.

Slightly abusing the notation, from now on let  $G'$  denote a crossing-free drawing with the above property, which has a  $2|C|$ -sided face  $C'$ . Denoting the number of vertices and edges of  $G'$  by  $n'$  and  $m'$ , the number of faces and the number of faces of size at most  $c$  by  $f'$  and  $f'_c$ , respectively, we have  $n' = n + |C| = |V(G')|$ ,  $m' = m + |C| = |E(G')|$ , and, as in Case (A), inequality (2),

$$f'_c \leq \begin{cases} \frac{1}{6}m' & \text{if } l = -1, \\ \frac{n'}{2r+6} & \text{if } l \geq 0. \end{cases}$$

Double counting the edges of  $G'$ , we obtain

$$2m' \geq 6f'_c + (c+2)(f' - 1 - f'_c) + 2|C|.$$

In case  $l \geq 0$ , combining the last two inequalities, we have

$$f' \leq \frac{(c-4)f'_c + 2(m' - |C|) + c + 2}{c + 2} \leq \frac{(c-4)\frac{n'}{2r+6} + 2(m' - |C|) + c + 2}{c + 2}.$$

By Euler's polyhedral formula,  $f' = m' - n' + 2$ . Thus, after ignoring the negative term, which depends only on  $c$  and  $l$ , the last inequality yields

$$|E(G)| \leq \frac{2cr + 4r + 7c + 8}{2cr + 6c}n + |C|\frac{c-4}{2cr + 6c}. \quad (4)$$

The case  $l = -1$  can be treated analogously, and the corresponding bound on  $|E(G)|$  becomes

$$|E(G)| \leq \frac{6c + 12}{5c + 4}n + |C|\frac{c-4}{5c + 4}. \quad (5)$$

We now establish another upper bound on the number of edges in  $G$ : one that decreases with the length of the shortest odd cycle  $C$  in  $G$ . As in [12], we remove from  $G$  the vertices of  $C$  together with all edges incident to them. Let  $G''$  denote the resulting thrackle. By Lemma 1,  $G''$  is bipartite. By Lemma 2, it is a planar graph. From now on, let  $G''$  denote a fixed (crossing-free) embedding of this graph. According to our assumptions,  $G''$  has no subgraph isomorphic to

$\text{DB}(c', c'', l')$ , for any even numbers  $c'$  and  $c''$  with  $6 \leq c' \leq c'' \leq c$ , and for any integer  $l'$  with  $-c'/2 \leq l' \leq l$ .

We can bound  $|E(G'')|$ , as follows. By the minimality of  $C$ , each vertex  $v \in V(G)$  that does not belong to  $C$  is adjacent in  $G$  to at most one vertex on  $C$ . Indeed, otherwise,  $v$  would create either a  $C_4$  or an odd cycle shorter than  $C$ . Hence, if  $l \geq 0$ , inequality (3) implies that

$$|E(G)| \leq |E(G'')| + |C| + (n - |C|) \leq \frac{2cr + 4r + 7c + 8}{2cr + 6c}(n - |C|) + n. \quad (6)$$

In the case  $l = -1$ , we obtain

$$|E(G)| \leq |E(G'')| + |C| + (n - |C|) \leq \frac{6c + 12}{5c + 4}(n - |C|) + n. \quad (7)$$

It remains to compare the above upper bounds on  $|E(G)|$  in order to find the value of  $|C|$  for which the minimum of our two bounds is maximal. If  $l > -1$ , then the value of  $|C|$  for which the right-hand sides of (4) and (6) coincide is

$$|C| = \frac{cr + 3c}{cr + 2r + 4c + 2}n.$$

The claimed bound follows by inserting this value into (4) or (6).

In the case  $l = -1$ , the critical value of  $|C|$ , obtained by comparing the bounds (5) and (7), is

$$|C| = \frac{5c + 4}{7c + 8}n.$$

Inserting this value into (5) or (7), the claimed bound follows.

(C) As before, let  $C$  be a shortest odd cycle in  $G$ , and let  $G'$  be the graph obtained from  $G$  after doubling  $C$ . The doubled cycle is denoted by  $C' \subset G'$ . Let  $G_0 \supseteq C$  denote a *maximal* subgraph of  $G$ , which is turned into a *two-connected* subgraph of  $G'$  after performing Conway's doubling on  $C$ . Let  $G_1$  stand for the graph obtained from  $G$  by the removal of all *edges* in  $G_0$ .

It is not very hard to see that  $G_1$  is bipartite, and each of its connected components shares exactly one vertex with  $G_0$ . Indeed, if a connected component  $G_2 \subseteq G_1$  were not bipartite, then, by Lemma 1,  $G_2$  would share at least one vertex with  $C$ , which belongs to an odd cycle of  $G_2$ . By the maximal choice of  $G_0$ , after doubling  $C$ , the component  $G_2$  must turn into a subgraph  $G'_2 \subset G'$ , which shares precisely *one* vertex with the doubled cycle  $C'$ . Thus,  $G_2$  must also share precisely *one* vertex with  $C$ , which implies that  $G'_2 \subseteq G'$  has an odd cycle. This contradicts Lemma 3(i), according to which  $G'$  is a bipartite graph.

Therefore,  $G_1$  is the union of all blocks of  $G$ , which are not entirely contained in  $G_0$ . Since each connected component  $G_2$  of  $G_1$  is bipartite, the number of edges of  $G_2$  can be bounded from above by (3), just like in Case (A).

In order to bound the number of edges of  $G$ , we proceed by adding the connected components of  $G_1$  to  $G_0$ , one by one. As at the end of the discussion of Case (3), using the fact that the last terms in (3), which do not depend on  $n$ , are smaller than  $-2$ , we can complete the proof by induction on the number of connected components of  $G_1$ .

## 4 A Better Upper Bound

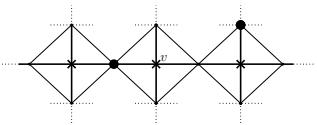
As was pointed out in the introduction, if we manage to prove that for any  $l'$ ,  $-3 \leq l' \leq -1$ , the dumbbell  $\text{DB}(6, 6, l')$  is not thrackable, then Theorem 1 yields that the maximum number of edges that a thrackle on  $n$  vertices can have is at most  $\frac{617}{425}n < 1.452n$ . This estimate is already better than the currently best known upper bound  $\frac{3}{2}n$  due to Cairns and Nikolayevsky [3].

In order to secure this improvement, we have to exclude the subgraphs  $\text{DB}(6, 6, -1)$ ,  $\text{DB}(6, 6, -2)$ , and  $\text{DB}(6, 6, -3)$ . The fact that  $\text{DB}(6, 6, -3)$  cannot be drawn as a thrackle was proved in [12] (Theorem 5.1). Here we present an algorithm that can be used for checking whether a “reasonably” small graph  $G$  can be drawn as a thrackle. We applied our algorithm to verify that  $\text{DB}(6, 6, -1)$  and  $\text{DB}(6, 6, -2)$  are indeed not thrackable. In addition, we show that  $\text{DB}(6, 6, 0)$  cannot be drawn as a thrackle, which leads to the improved bound in Theorem 2.

Let  $G = (V, E)$  be a thrackle. Direct the edges of  $G$  arbitrarily. For any  $e \in E$ , let  $E_e \subseteq E$  denote the set of all edges of  $G$  that do not share a vertex with  $e$ , and let  $m(e) = |E_e|$ . Let  $\pi_e = (\pi_e(1), \pi_e(2), \dots, \pi_e(m(e)))$  stand for the  $m(e)$ -tuple (permutation) of all edges belonging to  $E_e$ , listed in the order of their crossings along  $e$ .

Construct a planar graph  $G'$  from  $G$ , by introducing a new vertex at each crossing between a pair of edges of  $G$ , and by replacing each edge by its pieces. In order to avoid  $G'$  having an embedding in which two paths corresponding to a crossing pair of edges of  $G$  do not *properly* cross, we introduce a new vertex in the interior of every edge of  $G'$ , whose both endpoints are former crossings. For each former crossing point  $v$ , we add a cycle of length *four* to  $G'$ , connecting its neighbors in their cyclic order around  $v$ , as illustrated in Figure 5. In the figure, the thicker lines and points represent edges and vertices or crossings of  $G$ , while the thinner lines and points depict the *four*-cycles added at the second stage.

Obviously,  $G'$  is completely determined by the directed abstract graph of  $G$  and by the set of permutations  $\Pi(G) := \{\pi_e \in E_e^{m(e)} \mid e \in E\}$ . Thus, a graph  $G = (V, E)$  can be drawn as a thrackle if and only if there exists a set  $\Pi$  of  $|E|$  permutations of  $E_e$ ,  $e \in E$ , such that the abstract graph  $G'$  corresponding to the pair  $(G, \Pi)$  is *planar*. In other words, to decide whether a given abstract graph  $G = (V, E)$  can be drawn as a thrackle, it is enough to consider all possible sets of permutations  $\Pi$  of  $E_e$ ,  $e \in E$ , and to check if the corresponding graph  $G' = G'(G, \Pi)$  is planar for at least one of them. The first deterministic linear time algorithm for testing planarity was found by Hopcroft and Tarjan [11]. However, in our implementation we used an improved algorithm for planarity testing by Fraysseix et al. [7], in particular, its implementation in the library P.I.G.A.L.E. [8]. The source code can be found in [20].



**Fig. 5.** 4-cycle around a vertex  $v$  of  $G'$ , which was a crossing point in  $G$

It was shown in [12] (Lemma 5.2) that in every drawing of a directed cycle  $C_6$  as a thrackle, either every oriented path  $e_1e_2e_3e_4$  is drawn in such a way that  $\pi_{e_1} = (e_4, e_3)$  and  $\pi_{e_4} = (e_1, e_2)$ , or every oriented path  $e_1e_2e_3e_4$  is drawn in such a way that  $\pi_{e_1} = (e_3, e_4)$  and  $\pi_{e_4} = (e_2, e_1)$ . Using this observation (which is not crucial, but saves computational time), we ran a backtracking algorithm to rule out the existence of a set of permutations  $\Pi$ , for which  $G'(\text{DB}(6, 6, 0), \Pi)$ ,  $G'(\text{DB}(6, 6, -1), \Pi)$ , or  $G'(\text{DB}(6, 6, -2), \Pi)$  is planar. Our algorithm attempts to construct larger and larger parts of a potentially good set  $\Pi$ , and at each step it verifies if the corresponding graph still has a chance to be extended to a planar graph.

Summarizing, we have the following

**Lemma 4.** *None of the dumbbells  $\text{DB}(6, 6, l')$ ,  $-3 \leq l' \leq 0$  can be drawn as a thrackle.*

According to Lemma 4, Theorem 1 can be applied with  $c = 6, l = 0$ , and Theorem 2 follows.

For any  $\varepsilon > 0$ , our Theorem 1 and the above observations provide a deterministic algorithm with bounded running time to prove that all thrackles with  $n$  vertices have at most  $(1 + \varepsilon)n$  edges or to exhibit a counterexample to Conway's conjecture.

In what follows we give an estimate of the dependence of the running time of our algorithm on  $\varepsilon$  (the proof is omitted due to lack space). The analysis uses the standard random access machine model. In particular, we assume that all basic arithmetic operations can be carried out in constant time.

**Theorem 3.** *For any  $\varepsilon > 0$ , there is a deterministic algorithm with running time  $e^{O((1/\varepsilon^2)\ln(1/\varepsilon))}$  to prove that all thrackles with  $n$  vertices have at most  $(1 + \varepsilon)n$  edges or to exhibit a counterexample to Conway's conjecture.*

## 5 Concluding Remarks

We say that two cycles  $C_1$  and  $C_2$  of a graph are at distance  $l \geq 0$ , if the length of a shortest path joining a vertex of  $C_1$  to a vertex of  $C_2$  is  $l$ . The following Turán-type questions were motivated by the proof of Theorem 1.

- (1) Given two integers  $c_1, c_2$ , with  $3 \leq c_1 \leq c_2$ , what is the maximum number of edges that a planar graph on  $n$  vertices can have, if its girth (i.e. the length of the shortest cycle) is at least  $c_1$ , and no two cycles of length at most  $c_2$  share an edge?
- (2) Given three integers  $c_1, c_2$ , and  $l$ , with  $3 \leq c_1 \leq c_2$  and  $l \geq 0$ , what is the maximum number of edges that a planar graph on  $n$  vertices can have, if its girth is at least  $c_1$ , and any two of its cycles of length at most  $c_2$  are at distance larger than  $l$ ?

The inequalities (3) provide nontrivial upper bounds for restricted versions of the above problem for bipartite graphs.

We have the following general result.

**Theorem 4.** Let  $c_1, c_2$ , and  $l$  denote three non-negative natural numbers with  $3 \leq c_1 \leq c_2$ . Let  $G$  be a planar graph with  $n$  vertices and girth at least  $c_1$ .

(i) If no two cycles of length at most  $c_2$  share an edge, then

$$|E(G)| \leq \frac{c_1 c_2 + c_1}{c_1 c_2 - c_2 - 1} n.$$

(ii) If no two cycles of length at most  $c_2$  are at distance at most  $l$ , then

$$|E(G)| \leq \frac{c_1 c_2 + 2\lfloor l/2 \rfloor c_2 + 2\lfloor l/2 \rfloor + c_2 + 1}{2\lfloor l/2 \rfloor c_2 - 2\lfloor l/2 \rfloor + c_1 c_2 - c_1} n.$$

*Proof.* (Outline.) Without loss of generality, we can assume in both cases that  $G$  is connected, it has no vertex of degree one, and it is not a cycle. To establish part (i), consider an embedding of  $G$  in the plane. Let  $m = |E(G)|$ , and let  $f$  and  $f_{c_2}$  stand for the number of faces of  $G$  and for the number of faces of length at most  $c_2$ . We follow the idea of the proof of Case (A), Theorem 1, with  $f_{c_2} \leq \frac{1}{c_1}m$  instead of  $f_c \leq \frac{1}{6}m$ , and with the inequality

$$2m \geq c_1 f_{c_2} + (c_2 + 1)(f - f_{c_2}) \quad (8)$$

replacing (1). Analogously, in the proof of part (ii), we use  $f_{c_2} \leq \frac{1}{2\lfloor l/2 \rfloor + c_1}n$  instead of the inequality  $f_c \leq \frac{1}{2r+6}n$ .

It is possible that the constant factor in the part (i) of Theorem 4 is tight for all values of  $c_1$  and  $c_2$ . It is certainly tight for all values of the form  $c_1 = ml$  and  $c_2 = m(l+1)-1$ , where  $m$  and  $l$  are natural numbers, as is shown by the following result, the proof of which is omitted.

**Theorem 5.** For any positive integers  $n_0$ ,  $m \geq 1$ , and  $l \geq 3$ , one can construct a plane graph  $G = (V, E)$  with at least  $n_0$  vertices with girth  $ml$  such that all of its inner faces are of size  $ml$  or  $m(l+1)$ , its outer face is of size  $2ml$ , and each edge of  $G$  not on its outer face belongs to exactly one cycle of size  $ml$ , which is a face of  $G$ . The second smallest length of a cycle in  $G$  is  $m(l+1)$ .

If we slightly relax the conditions in Theorem 4 by forbidding only dumbbells determined by *face cycles*, we obtain some tight bounds. For instance, it is not hard to prove the following.

**Theorem 6.** Let  $c_1$  and  $c_2$  be two nonnegative integers with  $3 \leq c_1 \leq c_2$ . Let  $G$  be a plane graph on  $n$  vertices that has no face shorter than  $c_1$  and no two faces of length at most  $c_2$  that share an edge. Then we have  $|E(G)| \leq \frac{c_1 c_2 + c_1}{c_1 c_2 - c_2 - 1} n$ , and the inequality does not remain true with any smaller constant.

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