# Torelli Theorem for the Deligne-Hitchin Moduli Space 

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#### Abstract

Fix integers $g \geq 3$ and $r \geq 2$, with $r \geq 3$ if $g=3$. Given a compact connected Riemann surface $X$ of genus $g$, let $\mathcal{M}_{\mathrm{DH}}(X)$ denote the corresponding $\mathrm{SL}(r, \mathbb{C})$ Deligne-Hitchin moduli space. We prove that the complex analytic space $\mathcal{M}_{\mathrm{DH}}(X)$ determines (up to an isomorphism) the unordered pair $\{X, \bar{X}\}$, where $\bar{X}$ is the Riemann surface defined by the opposite almost complex structure on $X$.


## 1. Introduction

Let $X$ be a compact connected Riemann surface of genus $g$, with $g \geq 2$. We denote by $X_{\mathbb{R}}$ the $C^{\infty}$ real manifold of dimension two underlying $X$. Let $\bar{X}$ be the Riemann surface defined by the almost complex structure $-J_{X}$ on $X_{\mathbb{R}}$; here $J_{X}$ is the almost complex structure of $X$.

Fix an integer $r \geq 2$. The main object of this paper is the $\operatorname{SL}(r, \mathbb{C})$ Deligne-Hitchin moduli space

$$
\mathcal{M}_{\mathrm{DH}}(X)=\mathcal{M}_{\mathrm{DH}}(X, \mathrm{SL}(r, \mathbb{C}))
$$

associated to $X$. This moduli space $\mathcal{M}_{\mathrm{DH}}(X)$ is a complex analytic space of complex dimension $1+2\left(r^{2}-1\right)(g-1)$, which comes with a natural surjective holomorphic map

$$
\mathcal{M}_{\mathrm{DH}}(X) \longrightarrow \mathbb{C P}^{1}=\mathbb{C} \cup\{\infty\}
$$

We briefly recall from [Si1, p. 7] the description of $\mathcal{M}_{\mathrm{DH}}(X)$ (in [Si1], the group GL $(r, \mathbb{C})$ is considered instead of $\operatorname{SL}(r, \mathbb{C})$ ).

- The fiber of $\mathcal{M}_{\mathrm{DH}}(X)$ over $\lambda=0 \in \mathbb{C} \subset \mathbb{C P}^{1}$ is the moduli space $\mathcal{M}_{\text {Higgs }}(X)$ of semistable $\operatorname{SL}(r, \mathbb{C})$ Higgs bundles $(E, \theta)$ over $X$ (see Sect. 2 for details).
- The fiber of $\mathcal{M}_{\mathrm{DH}}(X)$ over any $\lambda \in \mathbb{C}^{*} \subset \mathbb{C P}^{1}$ is canonically biholomorphic to the moduli space $\mathcal{M}_{\text {conn }}(X)$ of holomorphic $\operatorname{SL}(r, \mathbb{C})$ connections $(E, \nabla)$ over $X$. In fact the restriction of $\mathcal{M}_{\mathrm{DH}}(X)$ to $\mathbb{C} \subset \mathbb{C P}^{1}$ is the moduli space

$$
\mathcal{M}_{\mathrm{Hod}}(X) \longrightarrow \mathbb{C}
$$

of $\lambda$-connections over $X$ for the group $\operatorname{SL}(r, \mathbb{C})$ (see Sect. 3 for details).

- The fiber of $\mathcal{M}_{\mathrm{DH}}(X)$ over $\lambda=\infty \in \mathbb{C P}^{1}$ is the moduli space $\mathcal{M}_{\text {Higgs }}(\bar{X})$ of semistable $\operatorname{SL}(r, \mathbb{C})$ Higgs bundles over $\bar{X}$. Indeed, the complex analytic space $\mathcal{M}_{\mathrm{DH}}(X)$ is constructed by glueing $\mathcal{M}_{\mathrm{Hod}}(X)$ to the analogous moduli space

$$
\mathcal{M}_{\mathrm{Hod}}(\bar{X}) \longrightarrow \mathbb{C}
$$

of $\lambda$-connections over $\bar{X}$. One identifies the fiber of $\mathcal{M}_{\mathrm{Hod}}(X)$ over $\lambda \in \mathbb{C}^{*}$ with the fiber of $\mathcal{M}_{\text {Hod }}(\bar{X})$ over $1 / \lambda \in \mathbb{C}^{*}$; the identification is done using the fact that the holomorphic connections over both $X$ and $\bar{X}$ correspond to representations of $\pi_{1}\left(X_{\mathbb{R}}\right)$ in $\operatorname{SL}(r, \mathbb{C})$ (see Sect. 4 for details).

This construction of $\mathcal{M}_{\mathrm{DH}}(X)$ is due to Deligne [De]. In [Hi2], Hitchin constructed the twistor space for the hyper-Kähler structure of the moduli space $\mathcal{M}_{\text {Higgs }}(X)$; the complex analytic space $\mathcal{M}_{\mathrm{DH}}(X)$ is identified with this twistor space (see [Si1, p. 8]).

We note that while both $\mathcal{M}_{\text {Hod }}(X)$ and $\mathcal{M}_{\text {Hod }}(\bar{X})$ are complex algebraic varieties, the moduli space $\mathcal{M}_{\mathrm{DH}}(X)$ does not have any natural algebraic structure.

If we replace $X$ by $\bar{X}$, then the isomorphism class of the Deligne-Hitchin moduli space clearly remains unchanged. In fact, there is a canonical holomorphic isomorphism of $\mathcal{M}_{\mathrm{DH}}(X)$ with $\mathcal{M}_{\mathrm{DH}}(\bar{X})$ over the automorphism of $\mathbb{C P}^{1}$ defined by $\lambda \longmapsto 1 / \lambda$.

We prove the following theorem (see Theorem 4.1):
Theorem 1.1. Assume that $g \geq 3$, and if $g=3$, then assume that $r \geq 3$. The isomorphism class of the complex analytic space $\mathcal{M}_{\mathrm{DH}}(X)$ determines uniquely the isomorphism class of the unordered pair of Riemann surfaces $\{X, \bar{X}\}$.

In other words, if $\mathcal{M}_{\mathrm{DH}}(X)$ is biholomorphic to the Deligne-Hitchin moduli space $\mathcal{M}_{\mathrm{DH}}(Y)$ for another compact connected Riemann surface $Y$, then either $Y \cong X$ or $Y \cong \bar{X}$.

This paper is organized as follows. Higgs bundles are dealt with in Sect. 2; we also obtain a Torelli theorem for them (see Corollary 2.5). The $\lambda$-connections are considered in Sect. 3, which also contains a Torelli theorem for their moduli space (see Corollary 3.5). Finally, Sect. 4 deals with the Deligne-Hitchin moduli space; here we prove our main result.

## 2. Higgs Bundles

Let $X$ be a compact connected Riemann surface of genus $g$, with $g \geq 3$. Fix an integer $r \geq 2$. If $g=3$, then we assume that $r \geq 3$. Let

$$
\begin{equation*}
\mathcal{M}_{r, \mathcal{O}_{X}} \tag{2.1}
\end{equation*}
$$

be the moduli space of semistable $\operatorname{SL}(r, \mathbb{C})$-bundles on $X$. So $\mathcal{M}_{r, \mathcal{O}_{X}}$ parameterizes all $S$-equivalence classes of semistable vector bundles $E$ over $X$ of rank $r$ together with
an isomorphism $\bigwedge^{r} E \cong \mathcal{O}_{X}$. The moduli space $\mathcal{M}_{r, \mathcal{O}_{X}}$ is known to be an irreducible normal complex projective variety of dimension $\left(r^{2}-1\right)(g-1)$. Let

$$
\begin{equation*}
\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \subset \mathcal{M}_{r, \mathcal{O}_{X}} \tag{2.2}
\end{equation*}
$$

be the open subvariety parameterizing stable $\operatorname{SL}(r, \mathbb{C})$ bundles on $X$. This open subvariety coincides with the smooth locus of $\mathcal{M}_{r, \mathcal{O}_{X}}$ according to [NR1, p. 20, Theorem 1].

## Lemma 2.1. The holomorphic cotangent bundle

$$
T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \longrightarrow \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}
$$

does not admit any nonzero holomorphic section.
Proof. Fix a point $x_{0} \in X$, and consider the Hecke correspondence

$$
\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \stackrel{q}{\longleftrightarrow} \mathcal{P} \xrightarrow{p} \mathcal{U} \subseteq \mathcal{M}_{r, \mathcal{O}_{X}\left(x_{0}\right)}
$$

defined as follows:

- $\mathcal{M}_{r, \mathcal{O}_{X}\left(x_{0}\right)}$ denotes the moduli space of stable vector bundles $F$ over $X$ of rank $r$ together with an isomorphism $\bigwedge^{r} F \cong \mathcal{O}_{X}\left(x_{0}\right)$.
- $\mathcal{U} \subseteq \mathcal{M}_{r, \mathcal{O}_{X}\left(x_{0}\right)}$ denotes the locus of all $F$ for which every subbundle $F^{\prime} \subset F$ with $0<\operatorname{rank}\left(F^{\prime}\right)<r$ has negative degree; such vector bundles $F$ are called $(0,1)$-stable (see [NR2, p. 306, Def. 5.1], [BBGN, p. 563]).
- $p: \mathcal{P} \longrightarrow \mathcal{U}$ is the $\mathbb{P}^{r-1}$-bundle whose fiber over any vector bundle $F \in \mathcal{U}$ parameterizes all hyperplanes $H$ in the fiber $F_{x_{0}}$.
- $q: \mathcal{P} \longrightarrow \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$ sends any vector bundle $F \in \mathcal{U}$ and hyperplane $H \subseteq F_{x_{0}}$ to the vector bundle $E$ given by the short exact sequence

$$
0 \longrightarrow E \longrightarrow F \longrightarrow F_{x_{0}} / H \longrightarrow 0
$$

of coherent sheaves on $X$; here the quotient sheaf $F_{x_{0}} / H$ is supported at $x_{0}$.
As $\mathcal{M}_{r, \mathcal{O}_{X}\left(x_{0}\right)}$ is a smooth unirational projective variety (see [Se, p. 53]), it does not admit any nonzero holomorphic 1-form. The subset $\mathcal{U} \subseteq \mathcal{M}_{r, \mathcal{O}_{X}\left(x_{0}\right)}$ is open due to [BBGN, p. 563, Lemma 2], and the conditions on $r$ and $g$ ensure that the codimension of the complement $\mathcal{M}_{r, \mathcal{O}_{X}\left(x_{0}\right)} \backslash \mathcal{U}$ is at least two. Hence also

$$
H^{0}\left(\mathcal{U}, T^{*} \mathcal{U}\right)=0
$$

due to Hartog's theorem. Since $H^{0}\left(\mathbb{P}^{r-1}, T^{*} \mathbb{P}^{r-1}\right)=0$, any relative holomorphic 1 -form on the $\mathbb{P}^{r-1}$-bundle $p: \mathcal{P} \longrightarrow \mathcal{U}$ vanishes identically. Thus we conclude that

$$
H^{0}\left(\mathcal{P}, T^{*} \mathcal{P}\right)=0
$$

The same follows for the variety $\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$, because the algebraic map $q: \mathcal{P} \longrightarrow \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$ is dominant.

We denote by $K_{X}$ the canonical line bundle on $X$. Let

$$
\mathcal{M}_{\mathrm{Higgs}}(X)=\mathcal{M}_{\mathrm{Higgs}}(X, \operatorname{SL}(r, \mathbb{C}))
$$

denote the moduli space of semistable $\operatorname{SL}(r, \mathbb{C})$ Higgs bundles over $X$. So $\mathcal{M}_{\text {Higgs }}(X)$ parameterizes all $S$-equivalence classes of semistable pairs $(E, \theta)$ consisting of a vector bundle $E$ over $X$ of rank $r$ together with an isomorphism $\bigwedge^{r} E \cong \mathcal{O}_{X}$, and a Higgs field $\theta: E \longrightarrow E \otimes K_{X}$ with trace $(\theta)=0$. The moduli space $\mathcal{M}_{\text {Higgs }}(X)$ is an irreducible normal complex algebraic variety of dimension $2\left(r^{2}-1\right)(g-1)$ according to [Si3, p. 70, Theorem 11.1].

There is a natural embedding

$$
\begin{equation*}
\iota: \mathcal{M}_{r, \mathcal{O}_{X}} \hookrightarrow \mathcal{M}_{\mathrm{Higgs}}(X) \tag{2.3}
\end{equation*}
$$

defined by $E \longmapsto(E, 0)$. Let

$$
\mathcal{M}_{\mathrm{Higgs}}^{\mathrm{s}}(X) \subset \mathcal{M}_{\mathrm{Higgs}}(X)
$$

be the Zariski open locus of Higgs bundles $(E, \theta)$ whose underlying vector bundle $E$ is stable (openness of $\mathcal{M}_{\text {Higgs }}^{\mathrm{s}}(X)$ follows from [Ma, p. 635, Theorem 2.8(B)]). Let

$$
\begin{equation*}
\operatorname{pr}_{E}: \mathcal{M}_{\mathrm{Higgs}}^{\mathrm{s}}(X) \longrightarrow \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \tag{2.4}
\end{equation*}
$$

be the forgetful map defined by $(E, \theta) \longmapsto E$, where $\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$ is defined in (2.2). One has a canonical isomorphism

$$
\begin{equation*}
\mathcal{M}_{\mathrm{Higgs}}^{\mathrm{s}}(X) \xrightarrow{\sim} T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \tag{2.5}
\end{equation*}
$$

of varieties over $\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$, because holomorphic cotangent vectors to a point $E \in \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$ correspond, via deformation theory and Serre duality, to Higgs fields $\theta: E \longrightarrow E \otimes K_{X}$ with $\operatorname{trace}(\theta)=0$. In particular, $\mathcal{M}_{\text {Higgs }}^{\mathrm{s}}(X)$ is contained in the smooth locus

$$
\mathcal{M}_{\text {Higgs }}(X)^{\mathrm{sm}} \subset \mathcal{M}_{\mathrm{Higgs}}(X)
$$

We recall that the Hitchin map

$$
\begin{equation*}
H: \mathcal{M}_{\mathrm{Higgs}}(X) \longrightarrow \bigoplus_{i=2}^{r} H^{0}\left(X, K_{X}^{\otimes i}\right) \tag{2.6}
\end{equation*}
$$

is defined by sending each Higgs bundle $(E, \theta)$ to the characteristic polynomial of $\theta$ [Hi1, Hi2].

The multiplicative group $\mathbb{C}^{*}$ acts on the moduli space $\mathcal{M}_{\text {Higgs }}(X)$ as follows:

$$
\begin{equation*}
t \cdot(E, \theta)=(E, t \theta) \tag{2.7}
\end{equation*}
$$

On the other hand, $\mathbb{C}^{*}$ acts on the Hitchin space $\bigoplus_{i=2}^{r} H^{0}\left(X, K_{X}^{\otimes i}\right)$ as

$$
\begin{equation*}
t \cdot\left(v_{2}, \ldots, v_{i}, \ldots, v_{r}\right)=\left(t^{2} v_{2}, \ldots, t^{i} v_{i}, \ldots, t^{r} v_{r}\right) \tag{2.8}
\end{equation*}
$$

where $v_{i} \in H^{0}\left(X, K_{X}^{\otimes i}\right)$ and $i \in\{2, \ldots, r\}$. The Hitchin map $H$ in (2.6) intertwines these two actions of $\mathbb{C}^{*}$. Note that there is no nonzero holomorphic function on the Hitchin space which is homogeneous of degree 1 for this action (a function $f$ is homogeneous of degree $d$ if $f\left(t \cdot\left(v_{2}, \ldots, v_{r}\right)\right)=t^{d} f\left(\left(v_{2}, \ldots, v_{r}\right)\right)$ ), because all the exponents of $t$ in (2.8) are at least two.

Lemma 2.2. The holomorphic tangent bundle

$$
T \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \longrightarrow \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}
$$

does not admit any nonzero holomorphic section.
Proof. The proof of [Hi1, p. 110, Theorem 6.2] carries over to this situation as follows. A holomorphic section $s$ of $T \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$ provides (by contraction) a holomorphic function

$$
\begin{equation*}
f: T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \longrightarrow \mathbb{C} \tag{2.9}
\end{equation*}
$$

on the total space of the cotangent bundle $T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$, which is linear on the fibers. Under the isomorphism in (2.5), it corresponds to a function on $\mathcal{M}_{\text {Higgs }}^{\mathrm{s}}(X)$. The conditions on $g$ and $r$ imply that the complement of $\mathcal{M}_{\text {Higgs }}^{\mathrm{s}}(X)$ has codimension at least two in $\mathcal{M}_{\text {Higgs }}(X)$. Since the latter is normal, the function $f$ in (2.9) extends to a holomorphic function

$$
\tilde{f}: \mathcal{M}_{\text {Higgs }}(X) \longrightarrow \mathbb{C}
$$

for example by [Sc, p. 90, Cor. 2]. Since $f$ is linear on the fibers, we know that $\tilde{f}$ is homogeneous of degree 1 for the action (2.7) of $\mathbb{C}^{*}$.

On the moduli space $\mathcal{M}_{\text {Higgs }}(X)$, the Hitchin map (2.6) is proper [ Ni , Theorem 6.1], and also its fibers are connected. Therefore, the function $\tilde{f}$ is constant on the fibers of the Hitchin map. Hence $\widetilde{f}$ comes from a holomorphic function on the Hitchin space, which is still homogeneous of degree 1 . We noted earlier that there are no nonzero holomorphic functions on the Hitchin space which are homogeneous of degree 1. Therefore, $\widetilde{f}=0$, and consequently we have $f=0$ and $s=0$.

Corollary 2.3. The restriction of the holomorphic tangent bundle

$$
T \mathcal{M}_{\mathrm{Higgs}}(X)^{\mathrm{sm}} \longrightarrow \mathcal{M}_{\mathrm{Higgs}}(X)^{\mathrm{sm}}
$$

to $\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}\right) \subset \mathcal{M}_{\mathrm{Higgs}}(X)^{\mathrm{sm}}$ does not admit any nonzero holomorphic section.
Proof. Using Lemma 2.2, it suffices to show that the normal bundle of the embedding

$$
\iota: \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \hookrightarrow \mathcal{M}_{\mathrm{Higgs}}(X)^{\mathrm{sm}}
$$

has no nonzero holomorphic sections. The isomorphism in (2.5) allows us to identify this normal bundle with $T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$. Now the assertion follows from Lemma 2.1.

The next step is to show that the above property uniquely characterizes the subvariety $\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right) \subset \mathcal{M}_{\text {Higgs }}(X)$. This will follow from the following proposition.

Proposition 2.4. Let $Z$ be an irreducible component of the fixed point locus

$$
\begin{equation*}
\mathcal{M}_{\text {Higgs }}(X)^{\mathbb{C}^{*}} \subseteq \mathcal{M}_{\text {Higgs }}(X) \tag{2.10}
\end{equation*}
$$

Then $\operatorname{dim}(Z) \leq\left(r^{2}-1\right)(g-1)$, with equality only for $Z=\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right)$.

Proof. The $\mathbb{C}^{*}$-equivariance of the Hitchin map $H$ in (2.6) implies

$$
\mathcal{M}_{\mathrm{Higgs}}(X)^{\mathbb{C}^{*}} \subseteq H^{-1}(0)
$$

because 0 is the only fixed point in the Hitchin space. We recall that $H^{-1}(0)$ is called the nilpotent cone. The irreducible components of $H^{-1}(0)$ are parameterized by the conjugacy classes of the nilpotent elements in the Lie algebra $\operatorname{sl}(r, \mathbb{C})$, and each irreducible component of $H^{-1}(0)$ is of dimension $\left(r^{2}-1\right)(g-1)$ [La].

Thus $\operatorname{dim}(Z) \leq\left(r^{2}-1\right)(g-1)$, and if equality holds, then $Z$ is an irreducible component of the nilpotent cone $H^{-1}(0)$. A result due to Simpson, [Si3, p. 76, Lemma 11.9], implies that the only irreducible component of $H^{-1}(0)$ contained in the fixed point locus $\mathcal{M}_{\text {Higgs }}(X)^{\mathbb{C}^{*}}$ defined in (2.10) is the image $\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right)$ of the embedding in (2.3).

Corollary 2.5. The isomorphism class of the complex analytic space $\mathcal{M}_{\text {Higgs }}(X)$ determines uniquely the isomorphism class of the Riemann surface $X$, meaning if $\mathcal{M}_{\mathrm{Higgs}}(X)$ is biholomorphic to $\mathcal{M}_{\mathrm{Higgs}}(Y)$ for another compact connected Riemann surface $Y$ of the same genus $g$, then $Y \cong X$.

Proof. Let $Z \subset \mathcal{M}_{\text {Higgs }}(X)$ be a closed analytic subset with the following three properties:

- $Z$ is irreducible and has complex dimension $\left(r^{2}-1\right)(g-1)$.
- The smooth locus $Z^{\mathrm{sm}} \subseteq Z$ lies in the smooth locus $\mathcal{M}_{\text {Higgs }}(X)^{\mathrm{sm}} \subset \mathcal{M}_{\text {Higgs }}(X)$.
- The restriction of the holomorphic tangent bundle $T \mathcal{M}_{\mathrm{Higgs}}(X)^{\mathrm{sm}}$ to the subspace $Z^{\text {sm }} \subset \mathcal{M}_{\mathrm{Higgs}}(X)^{\mathrm{sm}}$ has no nonzero holomorphic sections.
By Corollary 2.3, the image $\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right)$ of the embedding $\iota$ in (2.3) has these properties.
The action (2.7) of $\mathbb{C}^{*}$ on $\mathcal{M}_{\mathrm{Higgs}}(X)$ defines a holomorphic vector field

$$
\mathcal{M}_{\mathrm{Higgs}}(X)^{\mathrm{sm}} \longrightarrow T \mathcal{M}_{\mathrm{Higgs}}(X)^{\mathrm{sm}}
$$

The third assumption on $Z$ says that any holomorphic vector field on $\mathcal{M}_{\text {Higgs }}(X)^{\mathrm{sm}}$ vanishes on $Z^{\text {sm }}$. Therefore, it follows that the stabilizer of each point in $Z^{\text {sm }} \subset \mathcal{M}_{\text {Higgs }}(X)$ has nontrivial tangent space at $1 \in \mathbb{C}^{*}$, and hence the stabilizer must be the full group $\mathbb{C}^{*}$.

This shows that the fixed point locus $\mathcal{M}_{\text {Higgs }}(X)^{\mathbb{C}^{*}} \subseteq \mathcal{M}_{\text {Higgs }}(X)$ contains $Z^{\text {sm }}$, and hence also contains its closure $Z$ in $\mathcal{M}_{\text {Higgs }}(X)$. Due to Proposition 2.4, this can only happen for $Z=\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right)$. In particular, we have $Z \cong \mathcal{M}_{r, \mathcal{O}_{X}}$.

We have just shown that the isomorphism class of $\mathcal{M}_{\text {Higgs }}(X)$ determines the isomorphism class of $\mathcal{M}_{r, \mathcal{O}_{X}}$. The latter determines the isomorphism class of $X$ due to a theorem of Kouvidakis and Pantev [KP, p. 229, Theorem E].

Remark 2.6. In [BG], an analogous Torelli theorem is proved for Higgs bundles $(E, \theta)$ such that the rank and the degree of the underlying vector bundle $E$ are coprime.

## 3. The $\lambda$-Connections

In this section, we consider vector bundles with connections, and more generally with $\lambda$-connections in the sense of [Si2, p. 87] and [Si1, p. 4]. We denote by

$$
\mathcal{M}_{\mathrm{Hod}}(X)=\mathcal{M}_{\mathrm{Hod}}(X, \operatorname{SL}(r, \mathbb{C}))
$$

the moduli space of triples of the form $(\lambda, E, \nabla)$, where $\lambda$ is a complex number, and $(E, \nabla)$ is a $\lambda$-connection on $X$ for the group $\operatorname{SL}(r, \mathbb{C})$. We recall that given any $\lambda \in \mathbb{C}$, a $\lambda$-connection on $X$ for the group $\operatorname{SL}(r, \mathbb{C})$ is a pair $(E, \nabla)$, where

- $E \longrightarrow X$ is a holomorphic vector bundle of rank $r$ together with an isomorphism $\wedge^{r} E \cong \mathcal{O}_{X}$.
- $\nabla: E \longrightarrow E \otimes K_{X}$ is a $\mathbb{C}$-linear homomorphism of sheaves satisfying the following two conditions:
(1) If $f$ is a locally defined holomorphic function on $\mathcal{O}_{X}$ and $s$ is a locally defined holomorphic section of $E$, then

$$
\nabla(f s)=f \cdot \nabla(s)+\lambda \cdot s \otimes d f
$$

(2) The operator $\bigwedge^{r} E \longrightarrow\left(\bigwedge^{r} E\right) \otimes K_{X}$ induced by $\nabla$ coincides with $\lambda \cdot d$.

The moduli space $\mathcal{M}_{\mathrm{Hod}}(X)$ is a complex algebraic variety of dimension $1+2\left(r^{2}-\right.$ 1) $(g-1)$. It is equipped with a surjective algebraic morphism

$$
\begin{equation*}
\mathrm{pr}_{\lambda}: \mathcal{M}_{\mathrm{Hod}}(X) \longrightarrow \mathbb{C} \tag{3.1}
\end{equation*}
$$

defined by $(\lambda, E, \nabla) \longmapsto \lambda$.
A 0 -connection is a Higgs bundle, so

$$
\mathcal{M}_{\text {Higgs }}(X)=\operatorname{pr}_{\lambda}^{-1}(0) \subset \mathcal{M}_{\mathrm{Hod}}(X)
$$

is the moduli space of Higgs bundles considered in the previous section. In particular, the embedding (2.3) of $\mathcal{M}_{r, \mathcal{O}_{X}}$ into $\mathcal{M}_{\text {Higgs }}(X)$ also gives an embedding of $\mathcal{M}_{r, \mathcal{O}_{X}}$ into $\mathcal{M}_{\text {Hod }}(X)$. Slightly abusing notation, we denote this embedding again by

$$
\begin{equation*}
\iota: \mathcal{M}_{r, \mathcal{O}_{X}} \hookrightarrow \mathcal{M}_{\mathrm{Hod}}(X) . \tag{3.2}
\end{equation*}
$$

It maps the stable locus

$$
\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \subset \mathcal{M}_{r, \mathcal{O}_{X}}
$$

into the smooth locus

$$
\begin{equation*}
\mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}} \subset \mathcal{M}_{\mathrm{Hod}}(X) \tag{3.3}
\end{equation*}
$$

We let $\mathbb{C}^{*}$ act on $\mathcal{M}_{\text {Hod }}(X)$ as

$$
\begin{equation*}
t \cdot(\lambda, E, \nabla)=(t \cdot \lambda, E, t \cdot \nabla) \tag{3.4}
\end{equation*}
$$

This extends the $\mathbb{C}^{*}$ action on $\mathcal{M}_{\text {Higgs }}(X)$ introduced above in formula (2.7).
Proposition 3.1. Let $Z$ be an irreducible component of the fixed point locus

$$
\mathcal{M}_{\mathrm{Hod}}(X)^{\mathbb{C}^{*}} \subseteq \mathcal{M}_{\mathrm{Hod}}(X)
$$

Then $\operatorname{dim}(Z) \leq\left(r^{2}-1\right)(g-1)$, with equality only for $Z=\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right)$.
Proof. A point $(\lambda, E, \nabla) \in \mathcal{M}_{\mathrm{Hod}}(X)$ can only be fixed by $\mathbb{C}^{*}$ if $\lambda=0$. Hence $Z$ is automatically contained in $\mathcal{M}_{\text {Higgs }}(X)$. Now the claim follows from Proposition 2.4.

A 1-connection is a holomorphic connection in the usual sense, so

$$
\begin{equation*}
\mathcal{M}_{\text {conn }}(X):=\operatorname{pr}_{\lambda}^{-1}(1) \subset \mathcal{M}_{\mathrm{Hod}}(X) \tag{3.5}
\end{equation*}
$$

is the moduli space of $\operatorname{SL}(r, \mathbb{C})$ holomorphic connections $(E, \nabla)$ over $X$. We denote by

$$
\mathcal{M}_{\mathrm{conn}}^{\mathrm{s}}(X) \subset \mathcal{M}_{\mathrm{conn}}(X) \quad \text { and } \quad \mathcal{M}_{\mathrm{Hod}}^{\mathrm{s}}(X) \subset \mathcal{M}_{\mathrm{Hod}}(X)
$$

the Zariski open subvarieties where the underlying vector bundle $E$ is stable (openness follows from [Ma, p. 635, Theorem 2.8(B)]).

## Proposition 3.2. The forgetful map

$$
\begin{equation*}
\operatorname{pr}_{E}: \mathcal{M}_{\mathrm{conn}}^{\mathrm{s}}(X) \longrightarrow \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \tag{3.6}
\end{equation*}
$$

defined by $(E, \nabla) \longmapsto E$ admits no holomorphic section.
Proof. This map $\mathrm{pr}_{E}$ is surjective, because a criterion due to Atiyah and Weil implies that every stable vector bundle $E$ on $X$ of degree zero admits a holomorphic connection. In fact, $E$ admits a unique unitary holomorphic connection according to a theorem of Narasimhan and Seshadri [NS]; this defines a canonical $C^{\infty}$ section

$$
\begin{equation*}
\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \longrightarrow \mathcal{M}_{\mathrm{conn}}^{\mathrm{s}}(X) \tag{3.7}
\end{equation*}
$$

of the map $\mathrm{pr}_{E}$. Since any two holomorphic $\operatorname{SL}(r, \mathbb{C})$-connections on $E$ differ by a Higgs field $\theta: E \longrightarrow E \otimes K_{X}$ with $\operatorname{trace}(\theta)=0$, the map $\operatorname{pr}_{E}$ in (3.6) is a holomorphic torsor under the holomorphic cotangent bundle $T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \longrightarrow \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$.

Given a complex manifold $\mathcal{M}$, we denote by $T_{\mathbb{R}} \mathcal{M}$ the tangent bundle of the underlying real manifold $\mathcal{M}_{\mathbb{R}}$, and by

$$
J_{\mathcal{M}}: T_{\mathbb{R}} \mathcal{M} \longrightarrow T_{\mathbb{R}} \mathcal{M}
$$

the almost complex structure of $\mathcal{M}$. Let

$$
\begin{equation*}
\varpi: \mathcal{X} \longrightarrow \mathcal{M} \tag{3.8}
\end{equation*}
$$

be a holomorphic torsor under a holomorphic vector bundle $\mathcal{V} \longrightarrow \mathcal{M}$. To each $C^{\infty}$ section $s: \mathcal{M} \longrightarrow \mathcal{X}$ of $\varpi$, we can associate a $(0,1)$-form

$$
\bar{\partial} s \in C^{\infty}\left(\mathcal{M}, \Omega^{0,1} \mathcal{M} \otimes \mathcal{V}\right)
$$

in the following way. The vector bundle homomorphism

$$
\widetilde{d s}:=d s+J_{\mathcal{X}} \circ d s \circ J_{\mathcal{M}}: T_{\mathbb{R}} \mathcal{M} \longrightarrow s^{*} T_{\mathbb{R}} \mathcal{X}
$$

satisfies the identity

$$
\begin{equation*}
J_{\mathcal{X}} \circ \widetilde{d s}+\widetilde{d s} \circ J_{\mathcal{M}}=J_{\mathcal{X}} \circ d s-d s \circ J_{\mathcal{M}}-J_{\mathcal{X}} \circ d s+d s \circ J_{\mathcal{M}}=0 \tag{3.9}
\end{equation*}
$$

and, since $\varpi$ is holomorphic, we also have

$$
\begin{equation*}
d \varpi \circ \widetilde{d s}=d \varpi \circ d s+J_{\mathcal{M}} \circ d \varpi \circ d s \circ J_{\mathcal{M}}=\mathrm{id}-\mathrm{id}=0 . \tag{3.10}
\end{equation*}
$$

The equation in (3.10) means that $\widetilde{d s}$ maps into the subbundle of vertical tangent vectors in $s^{*} T_{\mathbb{R}} \mathcal{X}$, which is canonically isomorphic to $\mathcal{V}_{\mathbb{R}}$ (the real vector bundle underlying the complex vector bundle $\mathcal{V}$ ). Thus we can consider $\widetilde{d s}$ as a real 1-form

$$
\tilde{d s} \in C^{\infty}\left(\mathcal{M}, T_{\mathbb{R}}^{*} \mathcal{M} \otimes \mathcal{V}_{\mathbb{R}}\right)
$$

Identify $T_{\mathbb{R}} \mathcal{M}$ with $T^{0,1} \mathcal{M}$ using the $\mathbb{R}$-linear isomorphism defined by

$$
v \longmapsto v-\sqrt{-1} \cdot J_{\mathcal{M}}(v),
$$

and also identify $\mathcal{V}_{\mathbb{R}}$ with $\mathcal{V}$ using the identity map. From (3.9) it follows that $\widetilde{d s}$ is actually a $\mathbb{C}$-linear homomorphism from $T^{0,1} \mathcal{M}$ to $\mathcal{V}$ in terms of these identifications. Let

$$
\bar{\partial} s \in C^{\infty}\left(\mathcal{M}, \Omega_{\mathcal{M}}^{0,1} \otimes \mathcal{V}\right)
$$

be the $(0,1)$-form with values in $\mathcal{V}$ defined by $\widetilde{d s}$. From the construction of $\bar{\partial} s$ it is clear that

- $\bar{\partial} s$ vanishes if and only if $s$ is holomorphic, and
- $\bar{\partial} s$ is $\bar{\partial}$-closed.

Therefore, $\bar{\partial} s$ defines a Dolbeault cohomology class

$$
\begin{equation*}
[\varpi]:=[\bar{\partial} s] \in H_{\bar{\partial}}^{0,1}(\mathcal{M}, \mathcal{V}) \cong H^{1}(\mathcal{M}, \mathcal{V}) \tag{3.11}
\end{equation*}
$$

Since $\mathcal{V}$ acts on $\varpi: \mathcal{X} \longrightarrow \mathcal{M}$, each section $v \in C^{\infty}(\mathcal{M}, \mathcal{V})$ acts on the sections of $\varpi$; we denote this action by $s \longmapsto v+s$. The above construction implies that

$$
\begin{equation*}
\bar{\partial}(v+s)=\bar{\partial} v+\bar{\partial} s \tag{3.12}
\end{equation*}
$$

Consequently, the Dolbeault cohomology class [ $\varpi$ ] in (3.11) does not depend on the choice of the $C^{\infty}$ section $s$. From (3.12) it also follows that [ $\varpi$ ] vanishes if and only if the torsor $\varpi$ in (3.8) admits a holomorphic section.

We now take $\varpi$ to be the torsor $\mathrm{pr}_{E}$ in (3.6) under the cotangent bundle $T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$, and we take $s$ to be the $C^{\infty}$ section in (3.7). For this case, the class

$$
\begin{equation*}
[\bar{\partial} s] \in H^{1}\left(\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}, T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}\right) \tag{3.13}
\end{equation*}
$$

has been computed in [BR, p. 308, Theorem 2.11]; the result is that it is a nonzero multiple of $c_{1}(\Theta)$, where $\Theta$ is the ample generator of $\operatorname{Pic}\left(\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}\right)$. In particular, the cohomology class (3.13) of the torsor $\mathrm{pr}_{E}$ in question is nonzero. Therefore, $\mathrm{pr}_{E}$ does not admit any holomorphic section.

We note that the forgetful map $\mathrm{pr}_{E}$ defined in Proposition 3.2 extends canonically from $\mathcal{M}_{\text {conn }}^{\mathrm{s}}(X)$ to $\mathcal{M}_{\text {Hod }}^{\mathrm{s}}(X)$. Slightly abusing notation, we denote this extended map again by

$$
\mathrm{pr}_{E}: \mathcal{M}_{\mathrm{Hod}}^{\mathrm{s}}(X) \longrightarrow \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}
$$

This map is defined by $(\lambda, E, \nabla) \longmapsto E$, and it also extends the map $\mathrm{pr}_{E}$ in (2.4).
Corollary 3.3. The only holomorphic map

$$
s: \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \longrightarrow \mathcal{M}_{\mathrm{Hod}}^{\mathrm{s}}(X)
$$

with $\mathrm{pr}_{E} \circ s=\mathrm{id}$ is the restriction

$$
\iota: \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \hookrightarrow \mathcal{M}_{\mathrm{Hod}}^{\mathrm{s}}(X)
$$

of the embedding $\iota$ defined in (3.2).
Proof. The composition

$$
\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \xrightarrow{s} \mathcal{M}_{\mathrm{Hod}}^{\mathrm{s}}(X) \xrightarrow{\mathrm{pr}_{\lambda}} \mathbb{C},
$$

where $\mathrm{pr}_{\lambda}$ is the projection in (3.1), is a holomorphic function on $\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$, and hence it is a constant function. Up to the $\mathbb{C}^{*}$ action in (3.4), we may assume that this constant is either 0 or it is 1 .

If this constant were 1 , then $s$ would factor through $\operatorname{pr}_{\lambda}^{-1}(1)=\mathcal{M}_{\text {conn }}^{\mathrm{s}}(X)$, which would contradict Proposition 3.2.

Hence this constant is 0 , and $s$ factors through $\operatorname{pr}_{\lambda}^{-1}(0)=\mathcal{M}_{\text {Higgs }}^{\mathrm{s}}(X)$. Thus $s$ corresponds, under the isomorphism (2.5), to a holomorphic global section of the vector bundle $T^{*} \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{S}}$. But any such section vanishes due to Lemma 2.1; this means that $s$ is indeed the restriction of the canonical embedding $\iota$ in (3.2).

Corollary 3.4. As in (3.3), let $\mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}}$ be the smooth locus of $\mathcal{M}_{\mathrm{Hod}}(X)$. The restriction of the holomorphic tangent bundle

$$
T \mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}} \longrightarrow \mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}}
$$

to $\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}\right) \subset \mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}}$ does not admit any nonzero holomorphic section.
Proof. We denote the holomorphic normal bundle of the restricted embedding

$$
\iota: \mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}} \hookrightarrow \mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}}
$$

by $\mathcal{N}$. Due to Lemma 2.2, it suffices to show that this vector bundle $\mathcal{N}$ over $\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$ has no nonzero holomorphic sections.

One has a canonical isomorphism

$$
\begin{equation*}
\mathcal{M}_{\mathrm{Hod}}^{\mathrm{s}}(X) \xrightarrow{\sim} \mathcal{N} \tag{3.14}
\end{equation*}
$$

of varieties over $\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$, defined by sending any $(\lambda, E, \nabla)$ to the derivative at $t=0$ of the map

$$
\mathbb{C} \longrightarrow \mathcal{M}_{\mathrm{Hod}}(X), \quad t \longmapsto(t \cdot \lambda, E, t \cdot \nabla) .
$$

Using this isomorphism, from Corollary 3.3 we conclude that vector bundle $\mathcal{N}$ over $\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}$ does not have any nonzero holomorphic sections. This completes the proof.

Corollary 3.5. The isomorphism class of the complex analytic space $\mathcal{M}_{\mathrm{Hod}}(X)$ determines uniquely the isomorphism class of the Riemann surface $X$.

Proof. The proof is similar to that of Corollary 2.5. Let $Z \subset \mathcal{M}_{\mathrm{Hod}}(X)$ be a closed analytic subset satisfying the following three conditions:

- $Z$ is irreducible and has complex dimension $\left(r^{2}-1\right)(g-1)$.
- The smooth locus $Z^{\text {sm }} \subseteq Z$ lies in the smooth locus $\mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}} \subset \mathcal{M}_{\mathrm{Hod}}(X)$.
- The restriction of the holomorphic tangent bundle $T \mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}}$ to the subspace $Z^{\text {sm }}$ has no nonzero holomorphic sections.
From Corollary 3.4 we know that $l\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right)$ satisfies all these conditions.
Consider the vector field on $\mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}}$ given by the action of $\mathbb{C}^{*}$ on $\mathcal{M}_{\mathrm{Hod}}(X)$ in (3.4). From the third condition on $Z$ we know that this vector field vanishes on $Z^{\mathrm{sm}}$. This implies that the fixed point locus $\mathcal{M}_{\text {Hod }}(X)^{\mathbb{C}^{*}}$ contains $Z^{\text {sm }}$, and hence also contains its closure $Z$. Therefore, using Proposition 3.1 it follows that $Z=\iota\left(\mathcal{M}_{\left.r, \mathcal{O}_{X}\right)}\right)$; in particular, $Z$ is isomorphic to $\mathcal{M}_{r, \mathcal{O}_{X}}$. Finally the isomorphism class of $X$ is recovered from the isomorphism class of $\mathcal{M}_{r, \mathcal{O}_{X}}$ using [KP, p. 229, Theorem E].


## 4. The Deligne-Hitchin Moduli Space

We recall Deligne's construction [De] of the Deligne-Hitchin moduli space $\mathcal{M}_{\mathrm{DH}}(X)$, as described in [Si1, p. 7].

Let $X_{\mathbb{R}}$ be the $C^{\infty}$ real manifold of dimension two underlying $X$. Fix a point $x_{0} \in X_{\mathbb{R}}$. Let

$$
\mathcal{M}_{\mathrm{rep}}\left(X_{\mathbb{R}}\right):=\operatorname{Hom}\left(\pi_{1}\left(X_{\mathbb{R}}, x_{0}\right), \operatorname{SL}(r, \mathbb{C})\right) / / \operatorname{SL}(r, \mathbb{C})
$$

denote the moduli space of representations $\rho: \pi_{1}\left(X_{\mathbb{R}}, x_{0}\right) \longrightarrow \mathrm{SL}(r, \mathbb{C})$; the group $\operatorname{SL}(r, \mathbb{C})$ acts on $\operatorname{Hom}\left(\pi_{1}\left(X_{\mathbb{R}}, x_{0}\right), \mathrm{SL}(r, \mathbb{C})\right)$ through the adjoint action of $\operatorname{SL}(r, \mathbb{C})$ on itself. Since the fundamental groups for different base points are identified up to an inner automorphism, the space $\mathcal{M}_{\text {rep }}\left(X_{\mathbb{R}}\right)$ is independent of the choice of $x_{0}$. Hence we will omit any reference to $x_{0}$.

The Riemann-Hilbert correspondence defines a biholomorphic isomorphism

$$
\begin{equation*}
\mathcal{M}_{\mathrm{rep}}\left(X_{\mathbb{R}}\right) \xrightarrow{\sim} \mathcal{M}_{\text {conn }}(X) \tag{4.1}
\end{equation*}
$$

It sends a representation $\rho: \pi_{1}\left(X_{\mathbb{R}}\right) \longrightarrow \mathrm{SL}(r, \mathbb{C})$ to the associated holomorphic $\operatorname{SL}(r, \mathbb{C})$-bundle $E_{\rho}^{X}$ over $X$, endowed with the induced connection $\nabla_{\rho}^{X}$. The inverse of (4.1) sends a connection to its monodromy representation, which makes sense because any holomorphic connection on a Riemann surface is automatically flat.

Given $\lambda \in \mathbb{C}^{*}$, we can similarly associate to a representation

$$
\rho: \pi_{1}\left(X_{\mathbb{R}}\right) \longrightarrow \operatorname{SL}(r, \mathbb{C})
$$

the $\lambda$-connection $\left(E_{\rho}^{X}, \lambda \cdot \nabla_{\rho}^{X}\right)$. This defines a holomorphic open embedding

$$
\begin{equation*}
\mathbb{C}^{*} \times \mathcal{M}_{\text {rep }}\left(X_{\mathbb{R}}\right) \longrightarrow \mathcal{M}_{\text {Hod }}(X) \tag{4.2}
\end{equation*}
$$

onto the open locus $\mathrm{pr}_{\lambda}^{-1}\left(\mathbb{C}^{*}\right) \subset \mathcal{M}_{\mathrm{Hod}}(X)$ of all triples $(\lambda, E, \nabla)$ with $\lambda \neq 0$.
Let $J_{X}$ denote the almost complex structure of the Riemann surface $X$. Then $-J_{X}$ is also an almost complex structure on $X_{\mathbb{R}}$; the Riemann surface defined by $-J_{X}$ will be denoted by $\bar{X}$.

We can also consider the moduli space $\mathcal{M}_{\text {Hod }}(\bar{X})$ of $\lambda$-connections on $\bar{X}$, etcetera.
Now one defines the Deligne-Hitchin moduli space

$$
\mathcal{M}_{\mathrm{DH}}(X):=\mathcal{M}_{\mathrm{Hod}}(X) \cup \mathcal{M}_{\mathrm{Hod}}(\bar{X})
$$

by glueing $\mathcal{M}_{\text {Hod }}(\bar{X})$ to $\mathcal{M}_{\text {Hod }}(X)$, along the image of $\mathbb{C}^{*} \times \mathcal{M}_{\text {rep }}\left(X_{\mathbb{R}}\right)$ for the map in (4.2). More precisely, one identifies, for each $\lambda \in \mathbb{C}^{*}$ and each representation $\rho \in \mathcal{M}_{\text {rep }}\left(X_{\mathbb{R}}\right)$, the two points

$$
\left(\lambda, E_{\rho}^{X}, \lambda \cdot \nabla_{\rho}^{X}\right) \in \mathcal{M}_{\mathrm{Hod}}(X) \quad \text { and } \quad\left(\lambda^{-1}, E_{\rho}^{\bar{X}}, \lambda^{-1} \cdot \nabla_{\rho}^{\bar{X}}\right) \in \mathcal{M}_{\mathrm{Hod}}(\bar{X})
$$

This identification yields a complex analytic space $\mathcal{M}_{\mathrm{DH}}(X)$ of dimension $2\left(r^{2}-1\right)$ $(g-1)+1$. This analytic space does not possess a natural algebraic structure since the Riemann-Hilbert correspondence (4.1) is holomorphic and not algebraic.

The forgetful map $\mathrm{pr}_{\lambda}$ in (3.1) extends to a natural holomorphic morphism

$$
\begin{equation*}
\text { pr }: \mathcal{M}_{\mathrm{DH}}(X) \longrightarrow \mathbb{C P}^{1}=\mathbb{C} \cup\{\infty\} \tag{4.3}
\end{equation*}
$$

whose fiber over $\lambda \in \mathbb{C P}^{1}$ is canonically biholomorphic to

- the moduli space $\mathcal{M}_{\text {Higgs }}(X)$ of $\operatorname{SL}(r, \mathbb{C})$ Higgs bundles on $X$ if $\lambda=0$,
- the moduli space $\mathcal{M}_{\text {Higgs }}(\bar{X})$ of $\operatorname{SL}(r, \mathbb{C})$ Higgs bundles on $\bar{X}$ if $\lambda=\infty$,
- the moduli space $\mathcal{M}_{\text {rep }}\left(X_{\mathbb{R}}\right)$ of equivalence classes of representations

$$
\operatorname{Hom}\left(\pi_{1}\left(X_{\mathbb{R}}, x_{0}\right), \mathrm{SL}(r, \mathbb{C})\right) / / \mathrm{SL}(r, \mathbb{C})
$$

if $\lambda \neq 0, \infty$.
Now we are in a position to prove the main result.

Theorem 4.1. The isomorphism class of the complex analytic space $\mathcal{M}_{\mathrm{DH}}(X)$ determines uniquely the isomorphism class of the unordered pair of Riemann surfaces $\{X, \bar{X}\}$.

Proof. We denote by $\mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}} \subset \mathcal{M}_{\mathrm{DH}}(X)$ the smooth locus, and by

$$
T \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}} \longrightarrow \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}}
$$

its holomorphic tangent bundle. Since $\mathcal{M}_{\mathrm{Hod}}(X)$ is open in $\mathcal{M}_{\mathrm{DH}}(X)$, Corollary 3.4 implies that the restriction of $T \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}}$ to

$$
\begin{equation*}
\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}^{\mathrm{s}}\right) \subset \mathcal{M}_{\mathrm{Hod}}(X)^{\mathrm{sm}} \subset \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}} \tag{4.4}
\end{equation*}
$$

does not admit any nonzero holomorphic section. The same argument applies if we replace $X$ by $\bar{X}$. Since $\mathcal{M}_{\text {Hod }}(\bar{X})$ is also open in $\mathcal{M}_{\mathrm{DH}}(X)$, the restriction of $T \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}}$ to

$$
\begin{equation*}
\iota\left(\mathcal{M}_{r, \mathcal{O}_{\bar{X}}}^{\mathrm{s}}\right) \subset \mathcal{M}_{\mathrm{Hod}}(\bar{X})^{\mathrm{sm}} \subset \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}} \tag{4.5}
\end{equation*}
$$

does not admit any nonzero holomorphic section either. Here $\mathcal{M}_{r, \mathcal{O}_{\bar{X}}}$ is the moduli space of holomorphic $\operatorname{SL}(r, \mathbb{C})$-bundles $E$ on $\bar{X}$, and $\iota$ denotes, as in (2.3) and in (3.2), the canonical embedding of $\mathcal{M}_{r, \mathcal{O}_{\bar{X}}}$ into $\mathcal{M}_{\text {Higgs }}(\bar{X}) \subset \mathcal{M}_{\text {Hod }}(\bar{X})$ defined by $E \longmapsto$ ( $E, 0$ ).

The rest of the proof is similar to that of Corollary 2.5 . We will extend the $\mathbb{C}^{*}$ action on $\mathcal{M}_{\mathrm{Hod}}(X)$ in (3.4) to $\mathcal{M}_{\mathrm{DH}}(X)$. First consider the action of $\mathbb{C}^{*}$ on $\mathcal{M}_{\mathrm{Hod}}(\bar{X})$ defined as in (3.4) by substituting $\bar{X}$ in place of $X$. Note that the action of any $t \in \mathbb{C}^{*}$ on the open subset $\mathbb{C}^{*} \times \mathcal{M}_{\text {rep }}\left(X_{\mathbb{R}}\right) \longrightarrow \mathcal{M}_{\text {Hod }}(X)$ in (4.2) coincides with the action of $1 / t$ on $\mathbb{C}^{*} \times \mathcal{M}_{\mathrm{rep}}\left(X_{\mathbb{R}}\right) \longrightarrow \mathcal{M}_{\mathrm{Hod}}(\bar{X})$. Therefore, we get an action of $\mathbb{C}^{*}$ on $\mathcal{M}_{\mathrm{DH}}(X)$. Let

$$
\begin{equation*}
\eta: \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}} \longrightarrow T \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}} \tag{4.6}
\end{equation*}
$$

be the holomorphic vector field defined by this action of $\mathbb{C}^{*}$.
Let $Z \subset \mathcal{M}_{\mathrm{DH}}(X)$ be a closed analytic subset with the following three properties:

- $Z$ is irreducible and has complex dimension $\left(r^{2}-1\right)(g-1)$.
- The smooth locus $Z^{\mathrm{sm}} \subseteq Z$ lies in the smooth locus $\mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}} \subset \mathcal{M}_{\mathrm{DH}}(X)$.
- The restriction of the holomorphic tangent bundle $T \mathcal{M}_{\mathrm{DH}}(X)^{\mathrm{sm}}$ to the subspace $Z^{\text {sm }}$ has no nonzero holomorphic sections.
We noted above that both $\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right)$ and $\iota\left(\mathcal{M}_{r, \mathcal{O}_{\bar{X}}}\right)$ (see (4.4) and (4.5)) satisfy these conditions.

The third condition on $Z$ implies that the vector field $\eta$ in (4.6) vanishes on $Z^{\mathrm{sm}}$. It follows that the fixed point locus $\mathcal{M}_{\mathrm{DH}}(X) \mathbb{C}^{\mathbb{C}}$ contains $Z^{\text {sm }}$, and hence also contains its closure $Z$. Therefore, using Proposition 3.1 we conclude that $Z$ is one of $\iota\left(\mathcal{M}_{r, \mathcal{O}_{X}}\right)$ and $\iota\left(\mathcal{M}_{r, \mathcal{O}_{\bar{X}}}\right)$. Using [KP, p. 229, Theorem E] we now know that the isomorphism class of the analytic space $\mathcal{M}_{\mathrm{DH}}(X)$ determines the isomorphism class of the unordered pair of Riemann surfaces $\{X, \bar{X}\}$. This completes the proof of the theorem.

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